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Unibertsitatea



Plentziako Itsas Estazioa  
Estación Marina de Plentzia

# CONTRIBUTION TO THE DEVELOPMENT OF BIOMONITORING PROGRAMMES FOR THE ASSESSMENT OF CHEMICAL POLLUTION AND ECOSYSTEM HEALTH DISTURBANCE IN MANGROVE-LINED CARIBBEAN COASTAL SYSTEMS USING BIVALVES AS BIOMONITORS AND SENTINELS



JAVIER RAFAEL AGUIRRE RUBÍ

International PhD Tesis

June 2017



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**JAVIER RAFAEL AGUIRRE RUBÍ**

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## Dedication

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# Index

I. <b>Introduction</b> .....	1
1. Regulatory policies for marine environmental protection.....	3
2. Chemical pollution and its assessment in coastal ecosystems .....	10
3. Marine ecosystem health and environmental stressors. ....	15
4. Biological effects assessment and monitoring .....	24
5. Caribbean mangrove-lined ecosystems .....	32
II. <b>State of the Art, Hypothesis and Objectives</b> .....	61
III. <b>Results and Discussion</b> .....	67
1. Chapter 1. Chemical pollution assessment in mangrove-lined Caribbean coastal systems using the oyster <i>Crassostrea</i> <i>rhizophorae</i> as biomonitor species.....	69
2. Chapter 2. Assessment of ecosystem health disturbance in mangrove-lined Caribbean coastal systems using the oyster <i>Crassostrea rhizophorae</i> as sentinel species.....	113
3. Chapter 3. Comparison of potential biomonitor and sentinel bivalve species suitable for biomonitoring of pollutants and ecosystem health disturbance in mangrove-lined Nicaraguan coastal systems .....	165
4. Chapter 4. Needs analysis and recommendations for biomonitoring chemical pollution and ecosystem health disturbance in mangrove- lined coastal systems using bivalves as biomonitors and sentinels .....	217
IV. <b>Conclusions and Thesis</b> .....	227
1. Conclusions .....	229
2. Thesis .....	231
V. <b>Annexes</b> .....	233

# I. Introduction





# 1. Regulatory policies for marine environmental protection

## 1.1. The United Nations international regulatory framework

It is widely recognised that the planet faces serious environmental challenges that can only be addressed through international cooperation (Sands et al., 2012). Acid rain, ozone depletion, climate change, loss of biodiversity, toxic and hazardous products and wastes, aquatic pollution and depletion of aquatic resources are amongst the issues that international regulation is being called upon to address (Wilhelmsson et al., 2013). The United Nations Conference on the Human Environment held in Stockholm in 1972 is regarded as the starting point of the development of modern day international environmental law (Kamminga, 1995).

Thus, a series of conventions and regulations deal with the protection of the marine environment. For instance, the approval in the early 1980's of the United Nations Convention on the Law of the Sea (UNCLOS), established a framework to define the responsibilities of the contracting nations in the prevention, reduction and control of marine pollution (UNEP, 1995). As a result, different international cooperation structures were created to manage, in a coordinated way, the actions necessary to promote the sustainable use and conservation of the regional seas (Johnson and Miller, 1971; Teclaff, 1972; Sands et al., 2012). United Nations (1992) defined specific actions at international regulatory level aimed at pursuing the protection and sustainable development of the marine and coastal environment and its resources (Chapter 17 of Agenda 21; United Nations, 1992); thus, new approaches were required for marine and coastal area management and development, at the national, subregional, regional and global levels. Besides, in 1995, the United Nations Environment Programme (UNEP) launched the Global Programme of Action for the Protection of the Marine Environment from Land-based Activities, in the context of UNCLOS (UNEP, 1995; Franckx, 1998). Different international agencies and organisations contribute to this issue: International Maritime Organization (IMO), Food and Agriculture Organization (FAO), World Meteorological Organization (WMO), International Atomic Energy Agency (IAEA), and (World Bank) International Bank for Reconstruction and Development (IBRD). Outside the UN system, regional organisations that have adopted significant legal instruments in the field of the environment include the Organization for Economic Cooperation and Development (OECD), the Conference on Security and Cooperation in Europe (CSCE) and

the Organisation of African Unity (OAU). Non-Governmental Organisations (NGOs) which have had such impact include the International Union for the Conservation of Nature (IUCN), Friends of the Earth (FOE) and Greenpeace International (Kamminga, 1995).

The Regional Seas Programme, launched in 1974, is one of UNEP's most significant achievements in the past five decades. As a result, several worldwide Regional Sea Conventions (RSCs) are used as a guidance framework for the implementation of the most recently developed marine legislation. The Programme aims to address the accelerating degradation of the world's oceans and coastal areas through a "shared seas" approach by engaging neighbouring countries in comprehensive and specific actions to protect their common marine environment. Today, more than 143 countries have joint 18 RSCs and Action Plans for the sustainable management and use of the marine and coastal environment. In most cases, the Action Plan is underpinned by a strong legal framework in the form of a regional Convention and associated Protocols on specific problems. All individual Conventions and Action Plans reflect a similar approach, yet each has been tailored by its own governments and institutions to suit their particular environmental challenges. UNEP coordinates the Regional Seas Programme, based at the Nairobi headquarters (Table 1).

**Table 1.** Regional Seas programmes. The bold letters are programmes where mangrove-lined ecosystems are present.

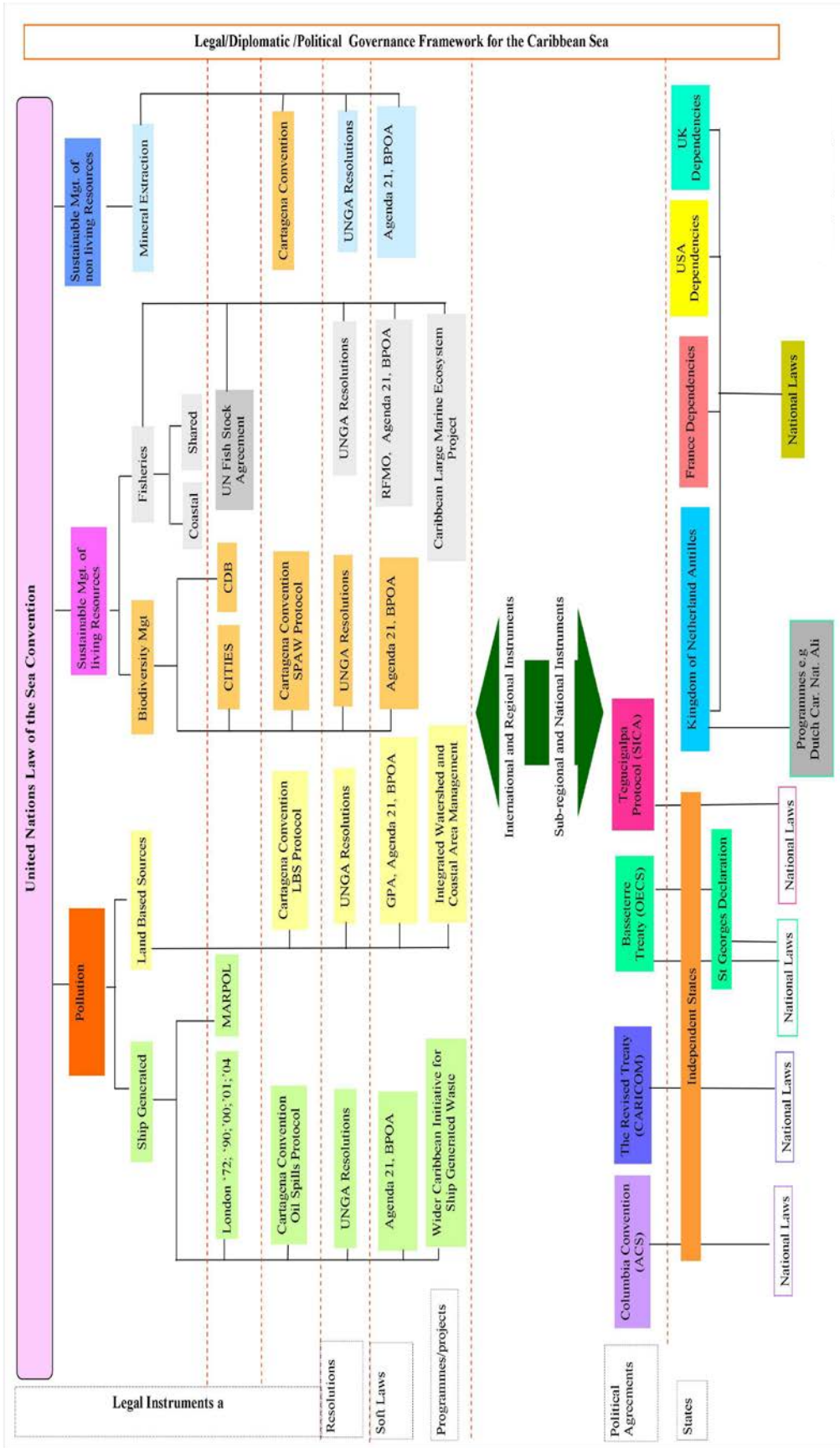
FRAMEWORK	PROGRAMMES
UNEP-administered Regional Seas programmes	<b>Caribbean Region</b> <b>East Asian Seas</b> <b>Eastern Africa Region</b> Mediterranean Region North-West Pacific Region Western Africa Region Caspian Sea
Non-UNEP administered Regional Seas programmes	Black Sea Region North-East Pacific Region Red Sea and Gulf of Aden ROPME Sea Area <b>South Asian Seas</b> <b>South-East Pacific Region</b> <b>Pacific Region</b>
Independent Regional Seas programmes	Arctic Region Antarctic Region Baltic Sea North-East Atlantic Region

In Europe, four RSCs deal with the protection of the marine environment of member states and neighbouring countries: (a) the OSPAR Convention in the North-East Atlantic; (b) the Helsinki convention (HELCOM) in the Baltic sea; (c) the Barcelona convention in the Mediterranean sea; and (d) the Bucharest convention in the Black sea (EC, 2006). These conventions fulfil an important role in the implementation of international legal requirements as they provide valuable regional frameworks for (a) assessing the state of the marine environment; (b) addressing key developments that interact with the marine environment (e.g., socio-economic activities, coastal settlements, land-based activities); and (c) agreeing on appropriate responses in terms of strategies, policies, management tools and protocols (UNEP, 2007).

In the Caribbean, UNEP established the Caribbean Environment Programme (CEP) in 1981 as one of its Regional Seas Programmes. The importance and value of the Wider Caribbean Region's fragile and vulnerable coastal and marine ecosystems is recognised. Countries of the Wider Caribbean Region (WCR) adopted an Action Plan that led to the development and adoption of the Cartagena Convention on 24 March 1983. This Convention is the first-and-only regionally binding treaty of its kind. It promotes the protection and development of the marine environment of the Region. It is supported by three technical agreements or protocols on (a) oil spills, (b) specially protected areas and wildlife, and (c) land-based sources of marine pollution. The Caribbean Regional Co-ordinating Unit, located in Kingston (Jamaica), was established in 1986 as the Secretariat to the Cartagena Convention. The Caribbean Environment Programme has three sub-programmes:

- Assessment and Management of Environment Pollution (AMEP)
- Specially Protected Areas and Wildlife (SPAW)
- Communication, Education, Training and Awareness (CETA)

Nevertheless, in the Caribbean region a number of shortcoming and challenges have been identified in relation with regulatory policies for marine environmental protection (Fig. 1); these are the low ratification of various multilateral agreements, the poor implementation, and the inadequate stipulations in conventions to effectively respond to issues such as pollution (Singh, 2008).



**Fig. 1.** The legal, political and diplomatic governance mechanisms in the Caribbean Sea with respect to three core sustainable development issues (Singh, 2008).

## 1.2. European policies for protection of the marine environment

The European Union (EU) goes ahead in the context of protection of coastal marine ecosystems. EU has adopted several environmental directives, strategies, recommendations, and agreements that focus on biological endpoints with ecosystem health at the centre of regulation and management decision making. For example, the Water Framework Directive (WFD; 2000/60/EC; EC, 2000) and the Marine Strategy Framework Directive (MSFD; 2008/56/EC; EC, 2008) deal with the assessment and management of the environmental quality of estuarine and marine water bodies, respectively.

Since its entrance into force in 2000, the WFD has established a framework for the protection of groundwater, inland surface waters, estuarine waters and coastal waters. Its first objective was to achieve the “Good Ecological Status” (GES) for all the European water bodies by 2015 (EC, 2000). The WFD deals with the compliance of environmental quality standards (EQSs), established for chemical substances at European level (Environmental Quality Standards Directive; 2008/105/EC), in the water basins from inland to transitional and coastal waters. Complementarily, the EU adopted the MSFD with the aim of achieving the “Good Environmental Status” (GEnS) for all European seas, by 2020 (Bertram and Rehdanz, 2013). The main objectives of the MSFD are to protect and restore the quality of European seas while ensuring the sustainability of human activities, the conservation of marine ecosystems, and the provision of safe, clean, healthy and productive marine waters to current and future generations (Borja et al., 2010, 2008). The WFD works as a “deconstructing structural approach”; however, the MSFD is conceived as a “holistic functional approach” that defines the quality of the marine ecosystems in an integrative way, considering together biological, physicochemical and pollution parameters, biological elements becoming especially important. Concretely, the MSFD requires criteria and methodological standards to allow consistency in approach in evaluating the extent to which Good Environmental Status (GEnS) is being achieved. MSFD's Descriptor 8 (“Concentrations of contaminants are at levels not giving rise to pollution effects”) is closely linked with Descriptor 9 (“Contaminants in fish and other seafood”) and Descriptor 10 (“Marine litter”).

Therefore, given the adverse impacts that marine pollution events can induce in the biological functioning of estuarine and marine ecosystems, both directives require the identification of the polluting pressures and the spatio-temporal scales in which these are reflected.

They require the integration of the results of chemical monitoring programmes in combination with the assessment of the biological effects at different level of biological complexity; this approach helps in detecting early warning signals of pollution (Law et al., 2010).

### **1.3. U.S. programmes for protection of the marine environment**

Likewise, USA is a pioneering reference for activities pursuing the protection of the marine environment. Thus, the US Environmental Protection Agency (USEPA) has long-lasting experience in protecting and restoring ocean and coastal ecosystems by promoting watershed-based management, preventing aquatic pollution, managing ocean dumping sites, assessing coastal conditions, establishing effective partnerships and facilitating community-led science-based efforts. These programs help to ensure clean and safe waters that sustain human health, the environment and the economy.

For instance, the Coastal Nonpoint Pollution Control Program addresses nonpoint pollution problems in coastal waters. This program is administered jointly with the National Oceanic and Atmospheric Administration (NOAA) and National Centres for Coastal Ocean Science (NCCOS) in order to study and monitor the effects of coastal pollution. These results provide communities with the information and tools they need to identify pollution "hotspots" and to develop practices and policies that reduce pollution and improve coastal health. NCCOS Coastal Pollution research is focused on chemical contaminants, hypoxia and eutrophication, invasive species and pathogens and microbes. NCCOS identifies coastal areas that are contaminated, the pollutants and their sources and impacts. In parallel, the Bioeffects Program has undertaken since 1991 a series of regional environmental assessments designed to describe the magnitude and extent of toxic contaminant impacts in estuarine and coastal areas. The Bioeffects Programme aims at assessing the spatial distribution and effects of chemical contamination, and at developing indicators of environmental contaminant exposure in water bodies, ranging from small estuaries to large bays and coastal areas. This information is integrated to develop a comprehensive assessment of the health of the marine habitat.

The National Estuary Program (NEP) constitutes another example (USEPA, 2014). In 1987, Congress established the NEP as a non-regulatory, community-based program to protect and restore the water quality of estuaries (Section 320 of the Clean Water Act of 1987). The NEP identifies nationally-significant estuaries threatened by pollution, development or overuse, and

requires the preparation of comprehensive conservation and management plans to ensure the long-term ecological integrity of those estuaries. These plans include (a) assessing water quality, natural resources and human use trends, (b) characterisation and identification of the causes of environmental problems, identifying pollutants and its sources and impact, (c) recommending priority corrective actions and compliance schedules, and (d) monitoring effectiveness of actions taken.

#### **1.4. Marine environment protection programmes in the Caribbean**

Within the UNEP CEP framework, several tools (e.g. the protocol for Pollution from Land-based Sources and Activities) were agreed in order to classify water bodies, establish legally binding standards, identify major sources of pollutants and prevent pollution (Siung-Chang, 1997). Further on, institutions such as the International Oceanographic Commission (IOC) collaborated with the UNEP and the NOAA in order to support the creation of the International Mussel Watch Project in the Caribbean since the 1990's. The program was directed by the International Mussel Watch Committee and coordinated and administered by Coastal Research Center of the Woods Hole Oceanographic Institution (Farrington and Tripp, 1995). Like the initial US Mussel Watch programme, the Caribbean Mussel Watch program was also focused on chemical approaches and mainly targeted to organochlorine contaminants (Fig. 2; Farrington and Tripp, 1995; Siung-Chang, 1997; UNEP, 2006). Following the same guidelines, the UNEP Global Environmental Facility project for the assessment of environmental and health effects of persistent toxic substances (PTSs) was launched in 2000. It incorporated Central American and Caribbean Regions (denoted Region X), which included 23 countries (Colombia and Nicaragua amongst others) and 136 million inhabitants. The regional component addressed the 12 persistent organic pollutants (POPs) defined by the Stockholm Convention on Persistent Organic Pollutants held in 2001 (aldrin, endrin, dieldrin, chlordane, DDT, toxaphene, mirex, heptachlor, hexachlorobenzene, polychlorinated biphenyls, polychlorinated dibenzodioxins, and polychlorinated dibenzofurans), and additional regionally important compounds (atrazine, endosulfan, pentachlorophenol, polybrominated diphenyl ethers, lindane, organic lead, organic mercury, organic tin, polychlorinated phenols, polycyclic aromatic hydrocarbons, short chain paraffins, phthalates, octylphenols, and nonyl phenols) (UNEP, 2002). Other relevant monitoring program was carried out in the Caribbean by the Caribbean Regional Coordinating Unit for the UNEP (UNEP-CAR/RCU), with the support of the Global Environment Facility (GEF), embarked on efforts to reduce agricultural pesticide runoff



to the Caribbean Sea through a joint project with the Governments of Colombia, Costa Rica and Nicaragua (GEF-REPCar); which began in 2006 and ended in 2011.

Exceptionally, biomonitoring programmes have been run at national level, as in the case of the REDCAM programme in Colombia (Vivas-Aguas et al., 2014). Likewise, specific pollution assessment studies have been carried out locally in a few cases (Ebanks-Mongalo et al., 2013).



**Fig. 2.** Sampling sites of the International Mussel Watch Programme (Farrington and Tripp, 1995).

## 2. Chemical pollution and its assessment in coastal ecosystems

### 2.1. Marine and coastal chemical pollution

According to OSPAR Commission (2000a) “Marine pollution” is defined as *the introduction by humans, directly or indirectly, of substances or energy into the marine area which results, or*

*is likely to result, in hazards to human health, harm to living resources and marine ecosystems, damage to amenities or interference with other legitimate uses of the sea.*

**Metals.** Metals are persistent and toxic, tend to bioaccumulate, and pose a risk to humans and marine organisms and ecosystems (Rainbow, 2002; Ponizovsky, 2003; Funes et al., 2006). There is an increasing metal input to the coastal zone from both rivers and non-point sources, especially in developing countries. Thus, heavy metal contamination at local, regional and global scales has been intensively studied in recent years,

Natural metal inputs such as erosion, wind-blown dust, volcanic activity, and forest fires account for the main sources of some metals like Al and Hg (Mahboob, 2013). Today's industrial pollution still includes Zn, Cu, Ni, Cr and Pb (Shi et al., 2010; Simpson et al., 2004). Metals such as Pb, Zn, Cd and Cu, among others resulting from anthropogenic activity, are released through atmospheric and river inputs, mining activities, dredging spoil, direct discharges, industrial dumping and sewage sludge, contributing to metal pollution leading to the marine environment (Schindler, 1991; Audry et al., 2004; ICES, 2006). Once into aquatic systems metals will undergo several processes such as dissolution, precipitation, sorption and complexation, which can further affect their biological effects and environmental consequences, as the form of the metal (chemical species, compound, matrix and particle size) may influence its bioavailability, fate, and effects on the biota (Looi et al., 2013). Environmental properties, such as pH, particle size, moisture, redox potential, organic matter, cation exchange capacity, among others are also relevant to determine the biological effects of the metal pollutants (Belabed et al., 2013; Fairbrother et al., 2007; Ivanina and Sokolova, 2013).

The mechanisms for uptake, distribution/storage and excretion of metals are well known in aquatic organisms (Fairbrother et al., 2007; Marigómez et al., 2002). Metals may form complexes with proteins such as metallothioneins (Amiard et al., 2006; Pellerin and Amiard, 2009), metalloproteins and other carrier molecules such as chaperons (Amiard et al., 2006; Hédouin et al., 2010; Kozłowski et al., 2013); thus they are distributed to target cell compartments for sequestration and excretion, their bioaccumulation usually being tissue and cell type specific (Marigómez et al., 2002); which depends on the affinity of the metals for different electron donors: metals such as Cs, K, Na, Li, Ba, Sr, Ca, Mg, Be, La, Gd, Y, Lu, Sc, and Al bind oxygen donors such as carbonate, oxalate, phosphate and sulphate, whilst Au, Cu, Hg, Se, Pd, Pt, Bi, Ti, Pb and Ag bind sulphur donors such as sulphur and nitrogen; other metals such as Cd, Sn, Pb, Ti, Mn, Fe,

V, Co, Zn, Ni, Cr, In, Ga, Sb, and As are classified as borderline and may bind both oxygen and sulphur donors (Nieboer and Richardson, 1980).

***Polycyclic aromatic hydrocarbons (PAHs).*** PAHs are composed of hydrogen and carbon arranged in the form of two or more fused benzene rings (in linear, angular, or cluster arrangements) that may or may not have substituted groups attached (Sims and Overcash, 1983; Webster et al., 2010). These can be naturally occurring, but their presence in the marine environment is mainly due to anthropogenic activities; especially those related to the combustion of any kind of organic matter and to the transport and use of fossil fuels (Galgani et al., 2011; Soclo et al., 2000). PAHs arrive at marine and coastal ecosystem mainly through long range atmospheric transport and waterborne inputs (OSPAR Commission, 2009a). As a consequence of their hydrophobic nature, PAHs in aquatic environments rapidly tend to become associated with sediments, which represent the most important reservoir of PAHs in the marine environment. The background levels of PAHs in the marine environment are also present as a result of biosynthesis and natural oil seeps (Cortazar et al., 2008; OSPAR Commission, 2000a).

Most PAHs are toxic and persistent and may be metabolised or bioaccumulated, the latter especially in marine invertebrates such as mussels; and some of them such as naphthalene, benzo[a]anthracene, benzo[b]fluoranthene, benzo[k]fluoranthene, benzo[a]pyrene and dibenzo[a,h]anthracene have a recognised mutagenic and carcinogenic potential (Eisler, 1987; IARC, 1989; Rocher et al., 2006; OSPAR Commission, 2009a). Physical and chemical characteristics of PAHs generally vary with molecular weight. For instance, solubility in water varies from 0.3 ng/l for low molecular weight PAHs (two and three ring compounds) to <0.001 ng/l for the high molecular weight PAHs (five or more ring compounds). As a general rule, high molecular weight PAHs exhibit low aqueous solubility and high melting point, boiling point, and the logK<sub>ow</sub> (octanol/water partition coefficient), which reveals a high fat solubility and a low resistance to redox reactions and vapour pressure (Eisler, 1987; Marigómez et al., 2013a; OSPAR Commission, 2000b). Consequently, depending on their molecular weight, the PAHs present different behaviour and distribution in the environment and different toxicity on marine organisms (Bustamante et al., 2010; Orbea and Cajaraville, 2006).

***Persistent organic pollutants (POPs).*** POPs include polychlorinated biphenyls (PCBs), organochlorine pesticides (OCPs) and polybrominated diphenyl ethers (PBDEs), which are anthropogenic synthetic compounds that have been used extensively in agriculture and industry in

the past (OSPAR Commission, 2009a; Sturludottir et al., 2013). Their high chemical stability, lipophilicity, and persistence, make these chemicals tend to bioconcentrate and biomagnify in the food chains and persist in the environment for many years, representing a definite health hazard for both marine organisms and humans (Naso et al., 2005; Perugini et al., 2004). POPs can induce a series adverse effects at different biological complexity levels in marine organisms; these include oxidative stress, DNA damage, membrane destabilisation, suppressed filtration rate, and reproductive and growth impairment (Islam and Tanaka, 2004; Höher et al., 2012; Bizarro et al., 2014; Ortiz-Zarragoitia et al., 2014; Valencia et al., 2017). The major route of POPs intake for small organisms at lower trophic levels (e.g., plankton, bivalves and crustaceans) is the direct uptake from water or sediments by passive diffusion through body surfaces; which is positively correlated with the  $\log K_{ow}$  of the chemicals and the amounts of lipids within the organisms (Gray, 2002; MacKay and Fraser, 2000). As the body mass and the trophic level in the food chain of marine organisms increase, the biomagnification process plays an increasing role in the accumulation of organic pollutants, especially for the most hydrophobic ones (Binelli and Provini, 2003; Loizeau et al., 2001). Elimination rates for lipophilic contaminants generally decrease with increasing  $\log K_{ow}$  (Gray, 2002). Passive diffusion also represents the main mechanism for the elimination of these chemicals. Interestingly, bivalves show a very limited capacity to metabolise PCBs through the cytochrome P450 system (Zanette et al., 2013), and hence the concentrations of each of the PCB congeners, as well as the total congener pattern, are scarcely modified by biotransformation. For these reasons, the levels of organochlorines and the accumulation profile of PCBs detected in mussels reflect the state of pollution of the coastal waters (Naso et al., 2005).

Special interest is increasing in PBDEs as they are widely used as brominated flame retardants in many domestic and industrial products (television sets, computers, radios, textiles, new synthetic building materials, and automobiles) and data on their biological effects are lacking or scarce (Darnerud et al., 2001; OSPAR commission 2009b; Llorca et al., 2016). PBDEs are persistent man-made aromatic chemicals composed of two phenyl rings linked by an oxygen bridge (ether linkage). Bromine atoms can replace up to ten hydrogen atoms on the phenyl rings. Theoretically, 209 unique PBDE structures (congeners) are possible and are classified into 10 congener groups (mono- to decabromodiphenyl ethers). Congeners with the same number of bromine atoms are referred to as a homologue, and thus, there are ten homologues ranging from mono- to decaBDE. The chemical structure of PBDEs is similar to that of PCBs, being referred to as the new PCBs (Darnerud et al., 2001; Kimbrough et al., 2009). Commercial PBDEs are quite

resistant to physical, chemical, and biological degradation. The boiling point of PBDEs is between 310 and 425°C and their vapour pressure is low at room temperature. PBDEs are lipophilic, and their solubility in water is low, especially for the higher brominated compounds (Darnerud et al., 2001; Kimbrough et al., 2009). Two PBDE transformation pathways are found in the environment: incineration and photodegradation, both resulting in formation of PBDDs and PBDFs (Thomas et al., 1987; Dumler et al., 1989); which are structurally similar to the corresponding chlorinated compounds, more toxic and very persistent in the environment (Watanabe and Satsukawa, 1987; Öberg and Bergström, 1990). Toxicological studies are not well documented (OSPAR commission, 2009b). Available data in human suggest that the acute toxicity of PBDEs is low (Darnerud et al., 2001). In Latin America, PBDE concentrations in the range of 86 ng/g lipid wt have been reported in coastal molluscs such as clams and razor clams (Barón et al., 2013; Pozo et al., 2015; Llorca et al., 2016)

## 2.2. Pollution monitoring and assessment

"Monitoring" is *a systematic and orderly gathering of data to ensure that previously established quality control conditions are being met*. Monitoring can be regarded as a series of observations in time, carried out to show the extent of compliance with a set of environmental objectives. To be effective, monitoring needs to be carried out at the appropriate time and space scales; for which a range of strategies need to be adopted making use of a variety of monitoring tools. Thus, the goal of environmental biomonitoring is to provide an early warning that unacceptable levels of environmental stress have occurred (Cairns, 2013).

Marine organisms have been commonly used as core tools in biomonitoring programs (Shugart et al., 1992; George et al., 2013; Brenner et al., 2014; Melwani et al., 2014), referred to as sentinel species (Basu et al., 2007; George et al., 2013; Viarengo et al., 2007), bioindicator (Corsi et al., 2002; Fattorini et al., 2008; Storelli and Marcotrigiano, 2005; Zhou et al., 2008), biomonitors (Conti et al., 2012; Luoma and Rainbow, 2005), or bioaccumulators (Beeby, 2001; Conti et al., 2006). Amongst them, due to their widespread geographic distribution, reasonable size and availability throughout the year, bivalves (mussels, oysters, clams and cockles) have been used most commonly (Farrington and Tripp, 1995; Cajaraville et al., 2000; Zorita et al., 2007; ICES, 2012; Garmendia et al., 2011a, b; George et al., 2013; Marigómez et al., 2013b; Zuykov et al., 2013). When used to quantify chemical bioavailability they are designated as bioindicators or

biomonitors, whilst when used in biological effect monitoring programs to assess ecosystem and environmental integrity they are referred to as sentinel organisms (Morgano and Bebian, 2005).

### 3. Marine ecosystem health and environmental stressors

#### 3.1. Healthy vs stressed ecosystems

An "ecosystem" may be defined as *any unit that includes all of the organisms in a given area interacting with the physical environment so that a flow of energy leads to a clearly defined trophic structure, biotic diversity, and material cycles within the system* (Odum, 1963). Ecosystems are complex entities that change on daily and seasonal bases as well as in the long-term due to natural environmental variations and in response to stressors such as global climate change and anthropogenic contaminants (Denslow et al., 2007).

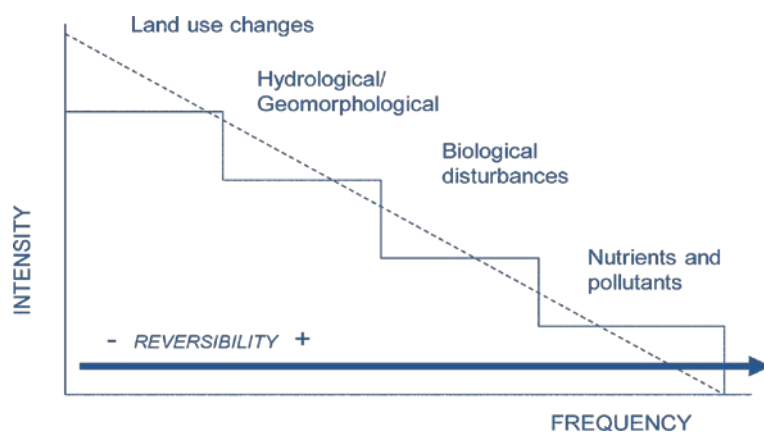
The concept of ecosystem health can be used to generate holistic methodologies for the monitoring and management of marine ecosystems, but it is itself a subject of debate (Tett et al., 2013). An ecosystem is healthy and free from "distress syndrome" if it is stable, sustainable and resilient to stress (Costanza et al., 1992; Costanza, 2012). An excellent review on marine ecosystem health has been published as a position paper by Tett et al., (2013). According to these authors, a "good health for marine ecosystems" can be understood as *the condition of a system that is self-maintaining, vigorous, resilient to externally imposed pressures, and able to sustain services to humans. It contains healthy organisms and populations, and adequate functional diversity and functional response diversity. All expected trophic levels are present and well interconnected, and there is good spatial connectivity amongst subsystems*. Overall, the various levels of biological organisation (cell, tissue, organism, population, community and ecosystem) can be regarded as healthy or unhealthy (Elliott, 2011). Thus, ecosystem health depends on (a) the physiological health of the constituent organisms; (b) the characteristic properties and interactions of the species present; and (c) the emergent properties of the system comprising the biota and their environment (Tett et al., 2013). Healthy ecosystems contain organisms and populations that are free of stress-induced pathologies. Interestingly, at the level of organism, the meaning of 'health' seems unambiguous and identical with that of human physical well-being whilst at the next levels the argument becomes more complex; for instance, "community health" can be described as that

of an assemblage of organisms that can continue to function in terms of inter-species relationships, and "ecosystem health" as providing protection against 'ecosystem pathologies' (Elliott, 2011).

"Environmental stress" is *any action, agent, or condition that impairs the structure or function of a biological system* (Cairns, 2013). However, the environmental stress can only be defined in reference to its interaction with some biological system (environmental stress receptor). Moreover, there must be an adverse response; say, a particular structure or function of the receptor is changed by exposure to the environmental stress towards the detriment of that system. However, if the survival of that biological system (or another) is not threatened by the change, then there is no environmental stress (Cairns, 2013). Yet, environmental stress appears to play a substantial role in the evolution of life (Fink, 2009; Steinberg, 2012). Environmental stress can be either natural or anthropogenic. Natural stressors, such as most hurricanes, droughts, floods, and fires are a periodic feature of life on Earth; in contrast, anthropogenic stressors such as the production and release of new chemical compounds and large-scale land-use changes can be eventual, periodic or chronic (Evans and Cohen, 1987; Cairns, 2013). Both natural and anthropogenic stressors can be characterised on the basis of their spatial distribution, temporal distribution, intensity and novelty; implying unequal sizes of effects upon species responses, species diversity, as well as ecosystem functioning and recovery (Kelly and Harwell, 1989; Segner et al., 2014). These differences between stressors in terms of intensity, frequency, temporal, and spatial scales (Fig. 3) is one of the complicating factors in the assessment of the combined effects of stressors in multiple stress scenarios naturally occurring (Segner et al., 2014). Many stressors such as increasing temperature, ocean acidification, hypoxia, increasing eutrophication, overfishing, diseases and pollution co-occur in time and space, resulting in almost all marine organisms and ecosystems becoming subject to the impacts of multiple stressors (Mackenzie and Schiedek, 2007; Schiedek et al., 2007; Wilhelmsson et al., 2013).

To diagnostic and predict multiple stressor impacts, assessment strategies should focus on properties of the biological receptors rather than on stressor properties. This change of paradigm is required because (a) multiple stressors affect multiple biological targets at multiple organizational levels, (b) biological receptors differ in their sensitivities, vulnerabilities, and response dynamics to the individual stressors, and (c) biological receptors function as networks, so that actions of stressors at disparate sites within the network can lead via indirect or cascading effects, to unexpected outcomes (Segner et al., 2014). In addition for the potential effect of these

multiple stress sources on marine ecosystems will be further exacerbated in the context of global environment and climate change (Fig. 4; Steffen, 2006; Hoegh-Guldberg and Bruno, 2010; IPCC, 2013).



**Fig. 3.** Chemical, biological, and physical stressors differ in their inherent properties which are relevant for the biological receptors. The intensity and frequency of each type of stressors influence the reversibility or irreversibility of their effects (Segner et al., 2014).

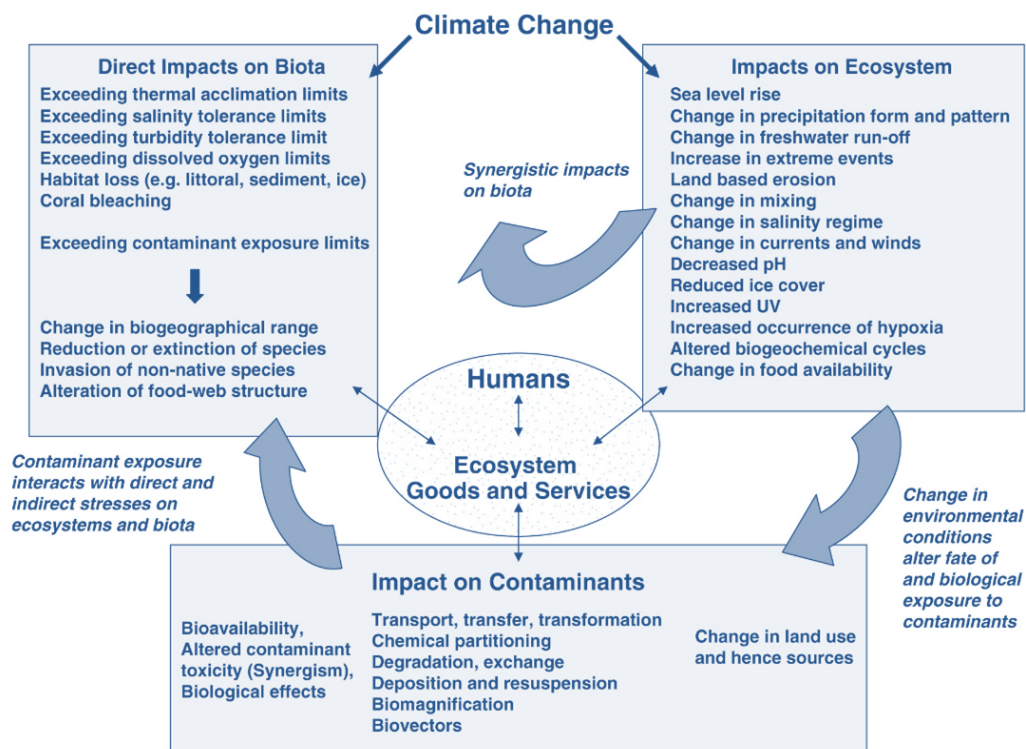
### 3.2. Ecosystem health assessment

Ecosystem health assessment is one of the hotspots in the fields of ecology and ecosystem management (Peng et al., 2007); this integrates environmental conditions with the impact of anthropogenic activities in order to give information for a sustainable use and management of natural resources (Burkhad et al., 2008). The key objectives in the assessment of marine ecosystem health are to provide information necessary to ensure maintenance of biodiversity and the integrity of marine communities, to limit human influences on living resources, to protect critical habitats and to safeguard human health (Marigomez et al., 2013b). In Canada, the Marine Environmental Quality (MEQ) program is charged with the development of methods for marine quality assessment, which are based on the concept of ecosystem health (Mark et al., 2003). In a European context, a condition of good health in estuarine and coastal marine ecosystems is implied by the ‘Good Ecological Status’ of the WFD and the ‘Good Environmental Status’ of the MSFD (Table 2; Tett et al., 2013).



**Table 2.** Components of (good) ecosystem health, according to the empirical approach, and as interpreted by EU Directives. Column 3 gives corresponding specifications from Annex V of the EU Water Framework Directive (WFD) for ‘high quality status’ (which we equate with good health) in ‘transitional’ and ‘coastal’ waters. Column 4 refers to the relevant ‘qualitative descriptors for determining good environmental status’ in Annex I of the Marine Strategy Framework Directive (MSFD), which are expanded by COM (2010) (after Tett et al., 2013).

1. Generic component of ecosystem health	2. Ecological norm	3. WFD ‘high quality status’	4. MSFD ‘qualitative descriptors’
Autochthonous <b>primary photosynthetic production</b> plus import of organic matter is roughly in balance with consumption, so that there is no large excess of respiration that might lead to de- oxygenation nor substantial export of unconsumed material	Life-form of primary producer is typical of ecohydrodynamic type and production is within characteristic range for undisturbed example of this type	<ul style="list-style-type: none"> <li>• Phytoplankton biomass to be consistent with the type specific physico-chemical condition.</li> <li>• Macro-algal cover, and angiosperm abundance to be consistent with undisturbed conditions.</li> <li>• Oxygen balance... remain[s] within the range ... normally associated with undisturbed conditions.</li> </ul>	<ul style="list-style-type: none"> <li>• Human-induced eutrophication is minimised, especially adverse effects thereof, such as losses in biodiversity, ecosystem degradation, harmful algae blooms and oxygen deficiency in bottom waters.</li> </ul>
<b>Nutrient</b> supply, cycling rates and elemental ratios are adequate to support community functioning and structure; communities make efficient use of these resources	Nutrient seasonal cycles, amounts, and elemental ratios are similar to those under undisturbed conditions	<ul style="list-style-type: none"> <li>• Nutrient concentrations remain within the range normally associated with undisturbed conditions.</li> </ul>	Not explicitly mentioned
Sufficient <b>biodiversity</b> to fulfil all the necessary bio-geochemical roles, to support species at higher trophic levels, and to provide a reserve in case of loss of species; keystone species flourishing where essential for community functioning; there is a mixture of r- and k-adapted species, and a mixture of reproductive and young individuals within populations	All aspects of diversity are appropriate for undisturbed example of ecosystem type as determined by climate and local (eco) hydrodynamic conditions	<ul style="list-style-type: none"> <li>• The composition and abundance of phytoplanktonic taxa are consistent with undisturbed conditions.</li> <li>• All disturbance sensitive macroalgal and angiosperm taxa associated with undisturbed conditions are present.</li> <li>• The level of diversity and abundance of invertebrate taxa is within the range normally associated with undisturbed conditions.</li> </ul>	<ul style="list-style-type: none"> <li>• Biological diversity is maintained. The quality and occurrence of habitats and the distribution and abundance of species are in line with prevailing physiographic, geographic and climatic conditions.</li> <li>• Non-indigenous species introduced by human activities are at levels that do not adversely alter the ecosystems.</li> </ul>
<b>Community structure</b> includes multiple trophic levels and a variety of trophic links between levels; in cases where autogenic or responsive successions are important, then either a substantial proportion of the eco- system is in the mature state, or there are no impediments to reaching such a state	Structure is that characteristic of this ecosystem type under undisturbed conditions	(Not explicitly dealt with by this Directive)	<ul style="list-style-type: none"> <li>• All elements of the marine food webs, to the extent that they are known, occur at normal abundance and diversity and levels capable of ensuring the long-term abundance of the species and the retention of their full reproductive capacity.</li> </ul>
<b>Individual organisms</b> are healthy and reproductively fit, not showing widespread pathologies, nor substantially contaminated with pollutants, nor exhibiting reduced resistance to disease or stress or reduced ability to detoxify	Body burden of contaminants below defined threshold; no substantial differences in performance compared with individuals at unpolluted station	<ul style="list-style-type: none"> <li>• Pollutant concentrations remain within the range normally associated with undisturbed conditions.</li> </ul>	<ul style="list-style-type: none"> <li>• Concentrations of contaminants are at levels not giving rise to pollution effects.</li> </ul>



**Fig. 4** Overview of climate change impacts on ecosystem and biota, and how they interact with contaminants, and their fate and effects (Schiedek et al., 2007).

Another approach is the so-called empirical ecosystem health, which sees health not as a single property of an ecosystem, but as an aggregate of contributions from organisms, species and processes within a defined area (Tett et al., 2013). At the level of communities and ecosystems, monitoring allows ‘detection of things going wrong’ against a background of system variability (Elliott, 2011); however, early signals of ecosystem health disturbance can be used preventively anticipating the occurrence of such undesired wrong events, as below discussed (Marigomez et al., 2013b).

To evaluate the "empirical health status" (say, the aggregated health status; or simply the general status) of marine ecosystems a science-based and integrated Ecosystem Approach<sup>1</sup> is

<sup>1</sup> **Ecosystem Approach:** The Ecosystem Approach [defined in CBD (2000)] is a management and resource planning procedure that integrates the management of human activities and their institutions with the knowledge of the functioning of ecosystems. In the management of marine ecosystems and resources, it requires to “identify and take action on influences that are critical to the health of marine ecosystems, thereby achieving sustainable use of ecosystem goods and services and maintenance of ecosystem integrity” (cf., Farmer et al., 2012, for a review of the concept of ecosystem approach in marine management). The Ecosystem Approach can be defined as the ability to fulfil the major aim of protecting and maintaining the natural structure and functioning while at the same time ensuring the creation of ecosystem services from which societal benefits can be obtained (Elliott, 2011).

highly valuable (Burkhad et al., 2008; Borja et al., 2016). The environmental status assessment is an important component of the integrated ecosystem approach for marine management; this assessment describes the health of marine ecosystems in an integrative way, and hence it requires that a considerable amount of data are available (Borja and Elliott, 2013; Borja et al., 2013; 2016). The evaluation of the "empirical health status" can be achieved by different methods (Borja et al., 2016): e.g., the HELCOM HOLAS Tool; the Ocean Health Index; and the MARMONI Tool. The HELCOM HOLAS Tool for the Assessment of ecosystem health of the Baltic Sea (Baltic Marine Environment Protection Commission-Helsinki Commission; Baltic Sea Action Plan; HELCOM, 2007) is based on the Ecosystem Approach for establishing a region-wide baseline and an indicator-based assessment of ecosystem health; the assessment main endpoints include eutrophication, biodiversity and chemical status (HELCOM, 2009a; 2009b; 2010b; Andersen et al., 2010; 2011; 2014; 2016). The MARMONI Tool for MSFD Marine Biodiversity Assessment ([www.sea.ee/marmoni/](http://www.sea.ee/marmoni/)) uses various indicators for the assessment area with several options for GEnS determination (Auninš and Martin, 2015). A global scale initiative in the field of evaluation of the "empirical health status" of marine systems is the Ocean Health Index (Halpern et al., 2008; 2012); this includes not only the negative impacts exerted on the oceans but also captures the tangible and less-tangible benefits derived from the oceans. The OHI framework scores a suite of benefits ("goals") that are delivered to people by assessing the current status and likely future state (including pressures and resilience measures) of each goal for each region that together comprise the whole assessment area (Fig. 5).



**Fig. 5.** The Ocean Health Index is a global scale initiative in the field of evaluation of the health status of marine systems (Halpern et al., 2008; 2012).

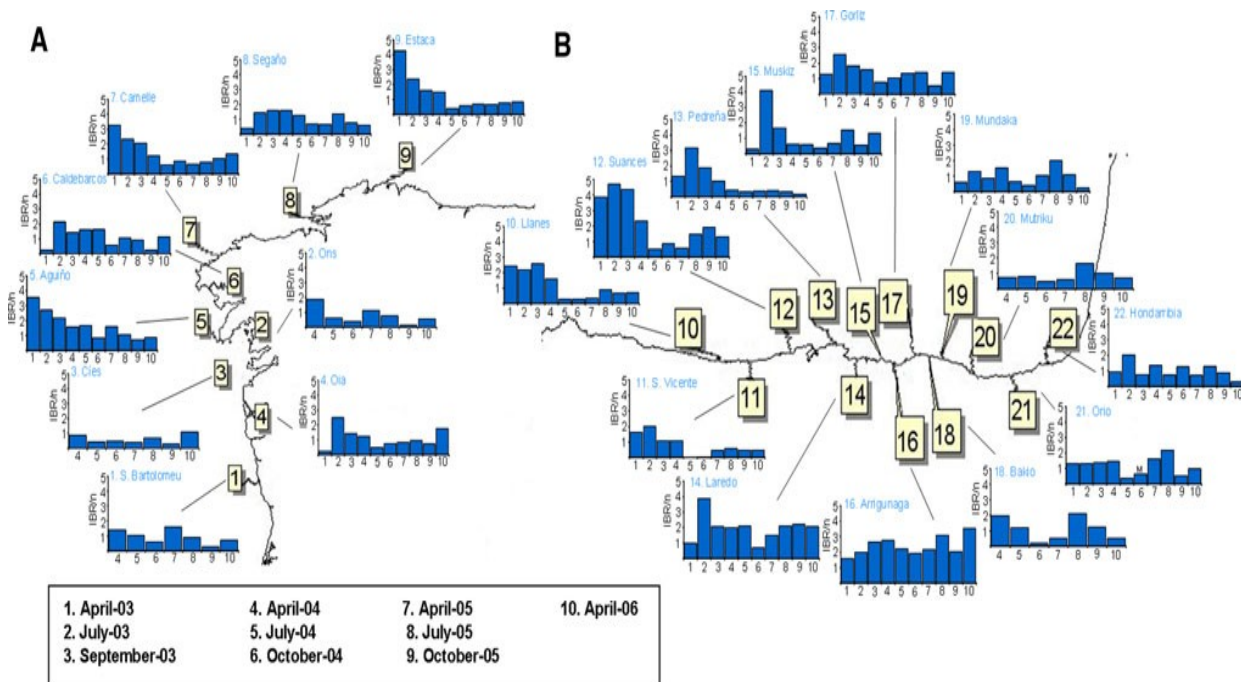
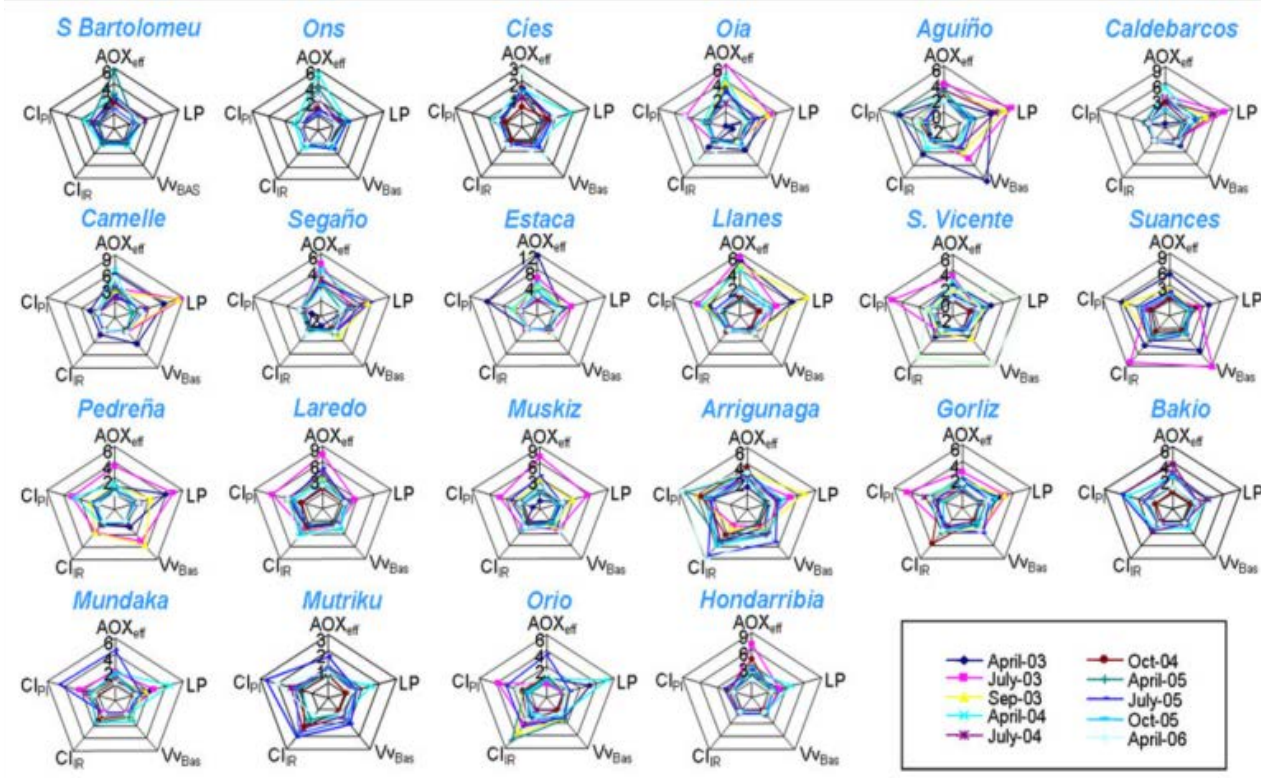
The OHI is a way to measure how healthy oceans are. Understanding the state of our oceans is a first step towards ensuring they can continue providing humans benefits now and in the future. Determining how healthy oceans are and managing for the future requires an assessment approach that evaluates current conditions comprehensively from social, economic, and environmental perspectives. The OHI is an assessment framework that measures progress towards a suite of key societal 'goals' representing the benefits and services people expect healthy oceans to provide. By analyzing these goals together and scoring them from 0-100, OHI assessments provide an integrated picture of the state of the ecosystem and can be communicated to a wide range of audiences. Originally developed by an interdisciplinary team of scientists (Halpern et al., 2012), the OHI framework is standardized yet tailorable to different contexts and spatial scales. This is possible because the core framework of how goals are scored does not change while the goal models themselves are developed with local information and local decisions specific to the context.

Changes in community structure are often used to indicate ecosystem health status but, regrettably, these responses are manifestations of damage rather than prognostic indices (Knap et al., 2002). Changes at molecular, cellular and tissue-level levels, which underlie effects at complex biological levels and for which causality can be established (Knap et al., 2002), may provide early warning of ecosystem health deterioration (Beliaeff and Burgeot, 2002). "Biomarkers" are those *responses at simple levels of biological complexity that indicate the presence of pollutants (exposure biomarkers) or the magnitude of the biological response to pollutant exposure (effect biomarkers)*; McCarthy and Shugart, 1990). Effect biomarkers give a general picture of the health status of the environment whereas exposure biomarkers have specificity of reaction (McCarthy and Shugart, 1990). Recently, biomarkers have been integrated in ecosystem health indices (Table 3) that provide comprehensive information about the biological effects of pollution in marine organisms and may therefore serve as useful tools for environmental managers (Broeg and Lehtonen, 2006).

The bioeffects assessment index (BAI; Broeg et al., 2005), was designed for the assessment of multifactorial contamination in coastal areas using fishes as sentinels (Broeg et al., 2005). BAI has been applied for long-term studies of the biological effects of pollution in Europe using fish and mussels as sentinels (Broeg et al., 2005; Broeg and Lehtonen, 2006; Marigómez et al., 2013ab). The Health Status Index (HSI) is computed by an expert system designed and developed to evaluate and integrate biomarkers recorded at different levels of biological organisation in

mussels (Viarengo et al., 2000; Dondero et al., 2006; Dagnino et al., 2007). The Integrated Biomarker Response (IBR; Beliaeff and Burgeot, 2002) has been applied in sentinel fish and mussels from the Baltic Sea, the Mediterranean Sea, the Bay of Biscay (e.g. example in Fig. 6) and the North Sea (Beliaeff and Burgeot, 2002; Bocquene et al., 2004; Broeg and Lehtonen, 2006; Damiens et al., 2007; Brooks et al., 2011; Marigómez et al., 2013a). The Ecological Health Condition Chart (EHCC) was designed to integrate biomarker and chemical data in the Urdaibai Reserve of the Biosphere and was recently adapted to sentinel mussels to monitor the biological consequences of the Prestige oil spill (POS; Marigómez et al., 2013b). The Integrative Biomarker Index (IBI) was also recently developed in order to integrate biomarker data recorded within the framework of the Mussel Watch monitoring program carried out after the POS in Galicia and the Bay of Biscay (Marigómez et al., 2013b). IBI was based on the calculation of five specific indices of deleterious effects at different levels of biological complexity (Molecular/Metabolic Response Index; Cellular Response Index; Tissue Response Index; Systemic Response Index; and Disease Response Index) and existing reference and critical values are taken into consideration (Marigomez et al., 2013b). Overall, the integrative biomarker indices provide comparable information (Broeg and Lehtonen, 2006; Dagnino et al., 2007; Marigómez et al., 2013ab).

The selection of biomarkers is a crucial issue (Marigómez et al., 2013b). For instance, BAI and IBI are only based on biomarkers of general stress while HSI, IBR and EHCC can be constructed using both effect and exposure biomarkers. Besides, whereas BAI, HSI, IBI and EHCC require a more or less extensive knowledge of mechanisms of the biological response and the existence of reference/critical values, IBR is a simple mathematical transformation which does not need such knowledge. On the other hand, EHCC allows describing each scenario using pure biomarkers without any kind of transformation. Finally, whereas BAI and HSI provide a basic indication of the ecosystem health status, IBR, IBI and EHCC provide complementary information concerning the mechanisms of biological response to environmental insult. The selection of the indices and the biomarkers used for their calculation depends on (a) the researchers' expertise and technical capability as regards biomarkers; (b) the existence of reference/critical values or previous studies in the impacted area; and (c) the available resources.



**Fig. 6** Top: Star plots representing the five biomarkers ( $AOX_{eff}$ , LP,  $VV_{BAS}$ ,  $CI_{IR}$  and  $CI_{PI}$ ) used to compute the IBR/n index that were measured in localities studied during the biological Mussel Watch programme carried out to monitor ecosystem health after POS in Galicia and Biscay Bay (2003–2006). Each of the five axes of the star plots represents the relative degree of response of one biomarker. Colour lines represent different samplings (legend). Bottom: IBR/n index (based on the same 5 biomarkers) in mussels *M. galloprovincialis* from Galicia (A) and the Bay of Biscay (B) after POS (Marigómez et al., 2013b)

**Table 3.** Quantitative biomarker indices (after Schettino et al., 2012; and Marigómez et al., 2013b).

Index	Reference	Selected Biomarkers	Species
Health Assessment Index (HAI)	Adams et al. (1993)	Histopathology, hematocrit, plasma proteins, parasitisation.	Fish
Bioeffect Assessment Index (BAI)	Broeg et al. (2005) Broeg and Lehtonen (2006)	LMS, INLA, MMCs, AcP, MFO, parasitisation.	Fish Mussel
<b>Integrated Biomarker Response (IBR)</b>	Beliaeff and Burgeot (2002)	AChE, GST, CAT, MT, LMS, MN, INLA.	Fish Mussel
Ecosystem health condition chart (EHCC)	RBU-Rep (1994) Díez (1996)	AOX <sub>eff</sub> , AOX <sub>exp</sub> , LMS, lysosomal enlargement, cell type replacement, MET, inflammatory responses, parasitisation.	Mussel
Health Status Index (HSI) Expert System	Dagnino et al. (2007)	LMS, LPF, INLA, CAT, Ca-ATPase, MFO, SOD, AChE, B[a]P-MO, MT, MN, SoS, peroxisome proliferation.	Mussel
Biomarker Response Index (BRI)	Hagger et al. (2008)	LMS, AChE, MT, MN, antioxidant status, cell viability, heart rate, feeding rate, immune function.	Mussel
Integrative Biomarker Index (IBI)	Marigomez et al. (2013a)	AOX <sub>eff</sub> , LMS, lysosomal enlargement, cell type replacement, inflammatory responses, parasitisation.	Mussel

## 4. Biological effects assessment and monitoring

Conventional monitoring studies were essentially focused upon chemical data, whilst the biological effects were often used just as a complement. During the last years, however, there has been an increasing trend to focus upon the biological effects with chemical data accompanying. Thus, the OSPAR Co-ordinated Environmental Monitoring Programme CEMP (OSPAR Commission, 2013) focuses upon the concentrations of contaminants in sediment and biota, and upon their biological effects. This shift has been due to several reasons:

- a) measured contaminants are limited to some few 30 compounds whilst 1000's are released by human activities into the environment, including new emerging pollutants for which chemical analytical methods are not available;
- b) the concentrations of contaminants give no or limited information on their consequences for the ecosystem health status;
- c) biological effects may occur below the detection limits of chemistry;
- d) measurement of contaminants in sediment, water and biota can be transient and does not necessarily reveal a biologically effective exposure;

- e) organisms' response will give indication of contaminant exposure and bioavailability;
- f) unlike chemical data, the biological responses integrate the effects of mixtures, time-trends and seasonal variations and the influence of confounding factors (temperature, salinity); and
- g) an increasing variety of cost-effective and reliable tools is available to detect alterations in the health status of marine organisms.

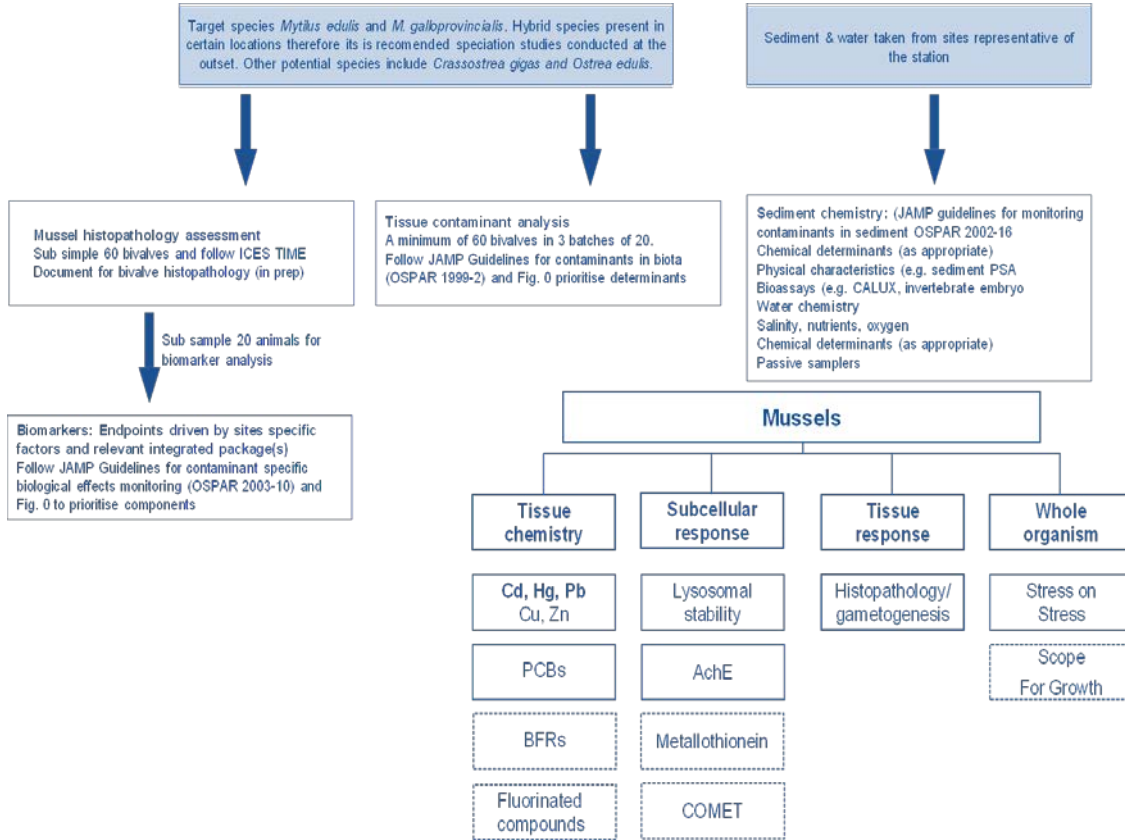
Multidisciplinary approaches are required in pollution biomonitoring programme to assess the chemical, biological and toxicological impact of complex mixtures of stressors in different environmental matrices, i.e., water, sediments and biota (Viarengo et al., 2007). The OSPAR guidelines for contaminant specific or general monitoring (JAMP 1998a) contain advice on the appropriate combinations of chemical and biological effects measurements in integrated monitoring programmes of using fish and shellfish (mussels and oysters) as sentinel organisms. These sentinel species can provide integrated and relevant information on the types, amounts, availability, and effects of environmental contaminants (Basu et al., 2007). For an organism to be considered a key sentinel species, it must satisfy certain requirements: (a) widespread distribution, (b) high trophic status, (c) ability to bioaccumulate pollutants, (d) suitability to be maintained and studied in captivity, (e) easy to be captured in sufficient numbers, (f) restricted home range, (g) well known biology, and (h) sensitivity to pollutants (Basu et al., 2007; Beeby, 2001; Fox, 2001; Masson et al., 2010). However, in sentinel species assimilation and excretion rates of pollutants and their biological effects are subjected to biological determinants (e.g.: age/size, season, gender, physiological and nutritional status, and population/genetic traits) as well as to the duration and/or level of exposure (Beeby, 2001; Phillips and Rainbow, 1994; Phillips and Segar, 1986).

#### **4.1. Biomarkers in biological effects assessment**

The OSPAR guidelines for biological effects techniques is since its commencements reviewed based on ICES advice (i.e., Fig. 7; Table 4; ICES, 2011; 2012; 2013; OSPAR Commission, 2013). These documents standardise reference methods for biomarkers and include assessment criteria/levels for various techniques (e.g., fish disease index; OSPAR Commission, 2013). Some biomarkers (PAH metabolites in bile, and Cyt P4501A activity or metallothionein levels) indicate contaminant linked sublethal responses but cannot with current knowledge be used to predict adverse effects on individual health (Law et al., 2010). Other biomarkers (lysosomal



membrane stability, oxidative stress, DNA damage and histopathology) constitute sublethal responses that are not necessarily linked to the action of pollutants; adverse health effects, however, can be predicted because the biological mechanisms underneath are known (Table 2).



**Fig.7.** Overview of methods to be included in an integrated biomonitoring programme for selected bivalve species: solid lines - core methods; broken lines - additional methods (ICES, 2011).

On the other hand, biological effects monitoring based on the biomarker approach is not commonly carried out in developing countries, as the monitoring capacities and facilities can be far away from those required to conduct sophisticated and most-advanced biomarker-based monitoring using fish and molluscs as sentinels (Van Lavieren et al., 2011). Nevertheless, the application of biomarkers is not necessarily inhibited by monetary and logistic constraints because effortless biomarkers can provide basic knowledge on animal health and water quality and are technically achievable everywhere; the toolbox may include histopathological analyses and responses easy to measure at population and individual level (Blaise et al., 2016). For instance, Blaise et al., (2016) proposed three simple physiological biomarkers, quantifiable in bivalves (SoS response, condition index and growth index), which are reliable indicators of ecosystem health disturbance.

**Table 4.** Biomarkers recommended by ICES (2006; 2011) for international biomonitoring programs and their biological significance (after Garrigues et al., 2003). OJ: OSPAR JAMP; H: HELCOM; M: MED POL. F: fish, B: bivalve, G: Gastropod, MXR/MDR: multi xenobiotic resistance, multi drug resistance, AchE: acetylcholinesterase, MT: matallothionein, PAH: polycyclic aromatic hydrocarbon, PCB: polychlorinated biphenyl, EROD: ethoxyresorufin-O-deethylase, ALA-D:  $\delta$ -amino levulinic acid dehydratase, VTG: vitellogenin.

Biomarkers	Issues addressed	Biological significance	Organism	Recommended by
Lysosomal membrane stability	Reduced lysosomal membrane stability due to a wide variety of xenobiotic contaminants and metals leading to a release of hydrolytic enzymes into the cytosol	Index of cellular damage, predictor of pathology	F, B	OJ, H, M
MXR/MDR	Activation of MXR pump to expel small hydrophobic xenobiotic from the cell	Index of organic xenobiotic exposure	B	OJ
AchE activity	Inhibition of the nervous transmission due to the inactivation of AchE by organophosphorous and carbamate pesticides	Index of neurotoxicity	F, B	OJ, H, M
MT determination	Induction of MT by certain metals (Zn, Cu, Cd, Hg) to bind metal in the cytosol	Index of metal exposure, disturbance of Cu and Zn metabolism and oxidative stress	F, B	OJ, H, M
Mussel digestive gland histopathology	Perturbation in the digestive system produced by contaminants and other stressors	Index of general stress	B	OJ
Imposex	Alteration of reproduction induced by hormone disruption	Index of exposure to organotin compounds	G	OJ
PAH bile metabolites	Measure of PAH metabolites	Index of exposure and metabolism of PAHs	F	OJ, H
EROD activity	Induction of enzymes detoxifying PAHs, planar PCBs and dioxins	Index of organic xenobiotic exposure	F	OJ, H, M
ALA-D	Inhibition of the enzyme for the synthesis of haemoglobin	Index of organic xenobiotic exposure	F	OJ
DNA adducts	DNA damage induced by genotoxic compounds	Index of genotoxic effects, predictor of pathology	F	OJ, H, M
External visible fish diseases	External alterations produced by pathogens and a wide variety of organic contaminants and metals	Index of general stress	F	OJ, H
Liver histopathology	Alteration of liver function produced by exposure to a wide variety of organic contaminants and metals	Index of general stress	F	OJ, H
Intersex	Alteration of reproduction induced by hormone disruption	Index of exposure to endocrine disruptors	F	OJ, H
VTG induction	Feminization of male fish and reproductive impairment	Index of exposure to estrogenic substances	F	OJ

## 4.2. Stress-on-Stress (SoS) response

It well known that contaminant exposure may alter the ability of organisms to survive environmental stress. (Viarengo et al., 1995; Pampanin et al., 2005; Nesto et al., 2007; Davies and Vethaak, 2012). The reduction of survival in air, or stress on stress (SoS), is a simple, low cost, organism level response and can show pollutant induced alterations in an organism's physiology that render the animal more sensitive to further environmental changes (Hellou and Law, 2003; Davies and Vethaak, 2012;).

SOS is defined as a test and it measures how long mussels at different conditions survive when are removed from water and exposed to air (Eertman et al., 1993; Smaal et al., 1991; Viarengo et al., 1995). According to Viarengo et al., (1995) mortality in air would presumably occur more rapidly in pollutant pre-stressed animals than in control animals.

This method has been applied routinely to pollutants exposed mussels in laboratory studies (Eertman et al., 1993; Viarengo et al., 1995) and mussels collected in national monitoring from polluted environments and along pollution gradients (Hellou and Law, 2003; Davies and Vethaak, 2012) . Showing their applicability of anoxic/aerial survival as an early warning indicator of contaminant induced stress. The effects of xenobiotics, including heavy metals, organometals, organics, and contaminated field sediments, on survival in air in invertebrates have been demonstrated (de Zwaan and Eertman, 1996). However, there are several research works in where this test did not demonstrate a strong correlation between stressed mussels and their survival to air (Eertman et al., 1996; Nicholson, 2003; Nesto et al., 2007).

In general, several factors can be involved in the alteration of SoS; these can be abiotic such as temperature, humidity, intertidal and subtidal zone, acclimatization, seasonal variation and pollutants (Eertman et al., 1993; Sokolova et al., 2012) or biotic such as the body size, age, reproductive cycle, sexual maturity, sex, food availability and pathologies (Eertman et al., 1993; de Zwaan and Eertman, 1996; Hellou and Law, 2003; Sokolova et al., 2012).

### 4.3. Biometry and flesh condition

The changes in normal condition patterns are generally regarded as useful measurements of the nutritive status of bivalves (Crosby and Gale, 1990). These condition indices may be used to describe physiological state of mollusc, a parameter of economic relevance reflecting the ecophysiological conditions and the health of animals (Orban et al., 2002; Stroglyoudi et al., 2012).

Biometrical parameters and Flesh Condition Index (FCI) are employed in the field of toxicology to monitoring the environmental stress of pollutants and disease in marine organism (Soto et al., 2000; Andral et al., 2004; Stroglyoudi et al., 2012; Gomiero et al., 2015). The condition index also provides some clues as to the levels of chemical contamination, especially in the case of trace metals (Andral et al., 2004). Mussels exposed to polluted areas spend part of their energy budget in detoxification processes to maintain homeostasis to the detriment of body growth and gonadal production (Gomiero et al., 2015). Andral et al., (2004) proposed a linear model explaining the relationship of FCI and metal concentrations in mussel whole soft tissue: tissue concentrations are inversely proportional to the condition index (Ivanković et al., 2005; Gorbi et al., 2008) and the other hand for organic compounds, tissue concentration is proportional to the condition index although stations located in contaminated areas did not obey this rule due to their consistently higher results (Andral et al., 2004).

The decrease of biometry and FCI values, also are correlated to bioavailability of nutrients, occurrence of phytoplankton blooms and seawater temperature which are known to influence, directly or indirectly, bivalves physiology (Acarli et al., 2011; Brenner et al., 2014). These alterations are most pronounced during or after periods of reproduction when energy reserves are exhausted, easily leading to misinterpretation of the biomarkers for nutritional status such as the condition index or glycogen.

High concentrations of metabolic end products, such as lipofuscins and neutral lipids have been observed in the submerged mussels due the permanent feeding mode (leading to higher ingestion of food and associated chemical contaminants) (Brenner et al., 2014), but also when bivalves are exposed to pollutants (Kagley et al., 2003).

Seasonal pattern of FCI and lipid content in mussels were observed by Stroglyoudi et al., (2012): elevated values during the warm period of the year followed by an additional peak in

autumn to minimum levels in January and a subsequent gradual increase through spring, presenting their best physiological condition from April to July.

#### **4.4. Sex and reproduction**

The gonad development analysis of bivalves play an essential role in marine ecosystem health assessment which face to exposure to environmental stress (Ortiz-Zarragoitia et al., 2011; Garmendia et al., 2011a; Cuevas et al., 2015). The collection of these data provide additional information on the health and physiology of the mussel (Cajaraville et al., 2006; ICES, 2011).

Reproductive condition parameters include reproductive markers such as adipogranular cells, gonadal apoptosis, atresia, hermaphroditism and intersex, it have shown the effect to exposure to organic contaminants and metal (Bignell et al., 2008, 2011; Ortiz-Zarragoitia and Cajaraville, 2010; Ortiz-Zarragoitia et al., 2011) . An increased levels of DNA damage has been detected in indigenous mussels after oil spills and in mussels exposed to oil in laboratory experiments (Laffon et al., 2006). Disruption of gonad development at early stage may affect gamete quality, causing alteration as deformities and growth in bivalves, threatening the survival of future generations (Baussant et al., 2011). According to Ortiz-Zarragoitia and Cajaraville, (2010) the oocyte atresia is more frequent within advanced maturation stages. However, high prevalence of oocyte atresia and necrosis in mussels were associated to pollutant exposure (Ortiz-Zarragoitia and Cajaraville, 2010; Puy-Azurmendi et al., 2010).

Some authors have found incidence of hermaphroditism in bivalves, which suggest associations with endocrine disruptors as organotins, estrogenic hormones, bisphenol, alkylphenol and phthalates from urban and industrial sources (Montenegro et al., 2010; Puy-Azurmendi et al., 2010). So, one of the main drawbacks that face an environmental pollution biomonitoring is the presence of potential confounding factors that may lead to misinterpretation of the toxicological findings (Garmendia et al., 2010; Costa et al., 2013). Parasites burden (Cuevas et al., 2015) and temperature can alter the timing of reproduction as well as in reproductive success, recruitment and growth performance (Pörtner, 2002; Suárez et al., 2005; Lemaire et al., 2006; Okaniwa et al., 2010). Moreover, elevated temperatures can induce earlier start of spawning (Philippart et al., 2003). Hence thermal stress has serious implications on the total reproductive effort and mortality of individuals (Fearman and Moltschaniwskyj, 2010; Múgica et al., 2015) altering the ‘good’ health status of bivalves and marine ecosystem.

#### 4.5. Histopathology and tissue-level biomarkers

Histopathology is essential in understanding the role of sublethal effects of environmental stressors at population level (Stentiford and Feist, 2005). Histopathology of digestive gland in bivalves provides valuable information concerning changes in cellular and sub-cellular structures of an organ or tissue much earlier than the external manifestations (Kim and Powell, 2006; Aarab et al., 2008; Kim et al., 2008; Bignell et al., 2011; Garmendia et al., 2011b).

High prevalence of tubular dilation or atrophy, brown cell aggregates, lipid vacuoles in basophilic cells and eosinophilic bodies in the digestive cells has been related to the presence of PAHs compounds (Moore and Clarke, 1982; Aarab et al., 2008). Augmented incidence of granulocytomas and vacuolisation have been reported in the digestive gland of clams and mussels exposed to heavy metals, pesticides and PAHs (Wolfe, 1992, Wedderburn et al., 2000; Kim et al., 2008; Khan et al., 2015).

Inflammatory responses as hemocytes are responsible of immune system in molluscs their raised incidence represent a response to detoxification and removes xenobiotics from the hemolymph or tissues and thereby keeps the concentrations in the blood and tissues below toxic levels (Marigómez et al., 1990; Soto et al., 1996). Also hemocytic infiltration constitutes a repair process following tissue damage (DesVoigne and Sparks, 1968), also pathological effects may be responsible for this immune response (Couch, 1984; Lee et al., 2001). Severe inflammations accompanied by an increased prevalence of granulocytomas within the connective tissues were observed in mussels with infestation by *Marteilia sp.*, *Steinhausia mytilovum* or digenean metacercariae (Bignell et al., 2008), provoking an extensive destruction of digestive diverticula structure (Villalba et al., 1997).

Different kind of stressors, such as food availability, water temperature, salinity, tide ranges and pollutants can increase the susceptibility to parasites and diseases (Laird, 1961; Sabry et al., 2011; Costa and Costa, 2012; Höher et al., 2012). It has been observed that a decrease in water quality can affect the immunological response in aquatic organisms thus making them more susceptible to parasitic infection (Svärdh and Johannesson, 2002; Bignell et al., 2008; Lynch et al., 2014).

## 5. Caribbean mangrove-lined ecosystems

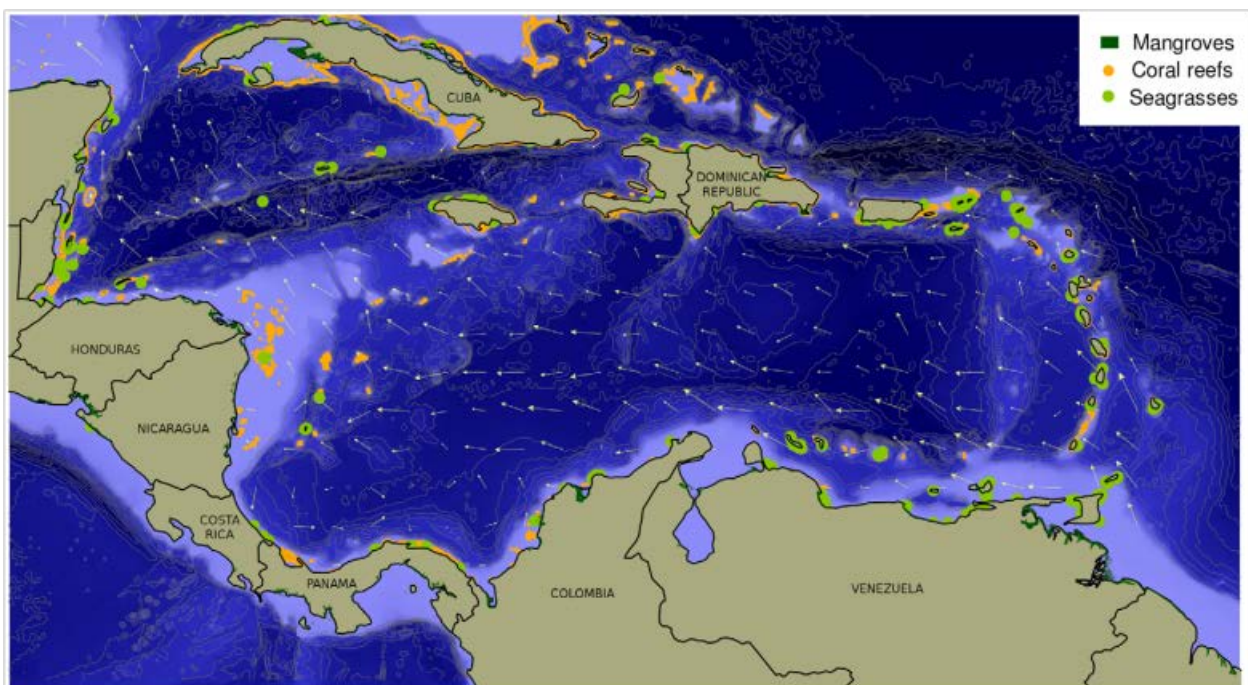
### 5.1. The Caribbean Sea region

The Caribbean Sea is a semi-enclosed basin of the western Atlantic Ocean, bounded by the coasts of Central and South America on two sides and by the Antilles island chain on the other two. The WCR has an area of about 2754000 km<sup>2</sup> and over 13500 km of coastline, and is home to 28 countries as well as 12 dependent territories (Table 4; Launche, 2008).

**Table 4.** States and Dependent Territories of the Wider Caribbean Region (after Launche, 2008).

States	Dependent Territories or Associated States
<p><b>Parties to the Cartagena Convention :</b></p> <p>Antigua and Barbuda Barbados Belize Colombia Costa Rica Cuba Dominica Dominican Republic France Grenada Guatemala Jamaica Mexico Netherlands Nicaragua Panama Saint Kitts and Nevis Saint Lucia Saint Vincent and the Grenadines Trinidad and Tobago United Kingdom United States of America Venezuela</p> <p><b>Out of the Cartagena Convention :</b></p> <p>Bahamas Guyana Haiti Honduras Suriname</p>	<p><b>Overseas territories of the United Kingdom:</b></p> <p>Anguilla British Virgin Islands Cayman Islands Montserrat Turks and Caicos Islands</p> <p><b>Three French overseas regions (departments):</b></p> <p>Guadeloupe Martinique French Guiana (which includes 2 French overseas collectives—Saint Barthélemy and Saint Martin)</p> <p><b>Two self-governing units of The Netherlands:</b></p> <p>Aruba The Netherlands Antilles</p> <p><b>One organized unincorporated U.S. Territory:</b></p> <p>Virgin Islands</p> <p><b>One U.S. Territory with Commonwealth Status:</b></p> <p>Puerto Rico</p>

The regional atmospheric seasonality is dominated by the position of the Inter-Tropical Convergence Zone (ITCZ) which controls wind and precipitation (Andrade, 2000; Poveda et al., 2006; Torres and Tsimplis, 2012). The surface wind field in the Caribbean is dominated by the Northern Trade Winds. A permanent and intense westward wind jet, known as Caribbean Low Level Jet (CLLJ), has its core in the center of the basin (~15°N, 75°W) and exhibits large spatial and temporal variability (Wang and Lee, 2007; Amador, 2008). Precipitation in the Caribbean is seasonal with a rainy season (May–November) and a dry one (December–April). A diminution in rainfall (July–August) is found during the middle of the rainy season and described as the mid-summer drought (Magaña et al., 1999). The midsummer drought modulates the precipitation over the entire Caribbean Sea with significant spatial variability in timing and strength, and has been attributed mainly to the intensification and expansion of the North Atlantic Subtropical High (Gamble and Curtis, 2008). Freshwater runoff is significant in the South Colombia Basin, where the two largest rivers which discharge directly into the Caribbean Sea have a mean annual water discharge of  $7200 \pm 2000 \text{ m}^3/\text{s}$  (Magdalena River) and  $2700 \pm 700 \text{ m}^3/\text{s}$  (Atrato River; Restrepo and Kjerfve, 2000a; 2000b). However, studies of freshwater budgets show water loss over the entire Caribbean basin caused by an excess of evaporation over precipitation (74 cm/year) not compensated by river discharge (Etter et al., 1987; Yoo and Carton, 1990).



**Fig. 8.** Bathymetry, main currents, and ecosystems of the Caribbean Sea (Miloslavich et al., 2010).



The Caribbean Sea has an overall counterclockwise circulation (Fig. 8). The Caribbean Current enters the southeast corner of the basin through several passages of variable sill depth between the Lesser Antilles and, to a lesser extent, the Windward Passage, and slightly increases its velocity as it flows west-northwesterly into the Gulf of Mexico through the Yucatan Channel, where it forms the Gulf Stream (Gyory et al., 2008). Caribbean waters are mostly clear and warm (22–29 °C), and the tidal range <0.4 m (Kinder et al., 1985). The water column is highly stratified in the upper 1200 m because of the sill depths of the Antilles Islands arc, which prevents the flow of deep water into the Caribbean Basin (Gordon, 1967).

The most characteristic ecosystems present in this region are mangroves (~11560 km<sup>2</sup>), coral reefs (~26000 km<sup>2</sup>) and seagrass beds (~66000 km<sup>2</sup>; Fig. 8; Jackson, 1997; FAO, 2003; Burke and Maidens, 2004; Miloslavich et al., 2010). As discussed by Harborne et al., (2006) Caribbean coral reef habitats, seagrass beds and mangrove stands provide many important ecosystem goods and services such as coastal defence, sediment production, primary production, fisheries and the maintenance of high species diversity. Furthermore, all three systems often occur in close proximity and many physical and ecological processes transcend individual habitats. For example, estuarine mangroves trap riverine sediments that might otherwise discharge onto reefs and cause mortality through sedimentation.

## 5.2. Environmental quality status from WCR

In the Caribbean Sea and its watersheds (WCR), degradation of water and land resources is related to major declines in biodiversity, species extinction, mass mortality of organisms, and reduction of marine resource productivity (Rivera-Monroy et al. 2004). The WCR has fragile coastal ecosystems that are susceptible to environmental impacts, in part because of their oligotrophic conditions (Richards and Bohnsack 1990, Lapointe 1997) and their critical support of economic development.

According to Ocean Health Index the WCR have an overall index score of 65 in a scale from 0 to 100<sup>2</sup> (OHI, 2015). Nicaragua showed the lowest overall score (47) and Aruba, Antigua and

<sup>2</sup> - “100” means that the evaluated system has achieved its defined target (reference point), is sustainably delivering all of the specified benefits that it can; and appears likely to be able to continue doing so in the near Future.  
 - Intermediate scores mean that the optimal benefit is not being obtained and/or is not being obtained in a sustainable way.  
 - “0” means that global data were available, but the region either did not achieve any of the potential benefits or that the benefits it did obtain were not gained in a sustainable manner.

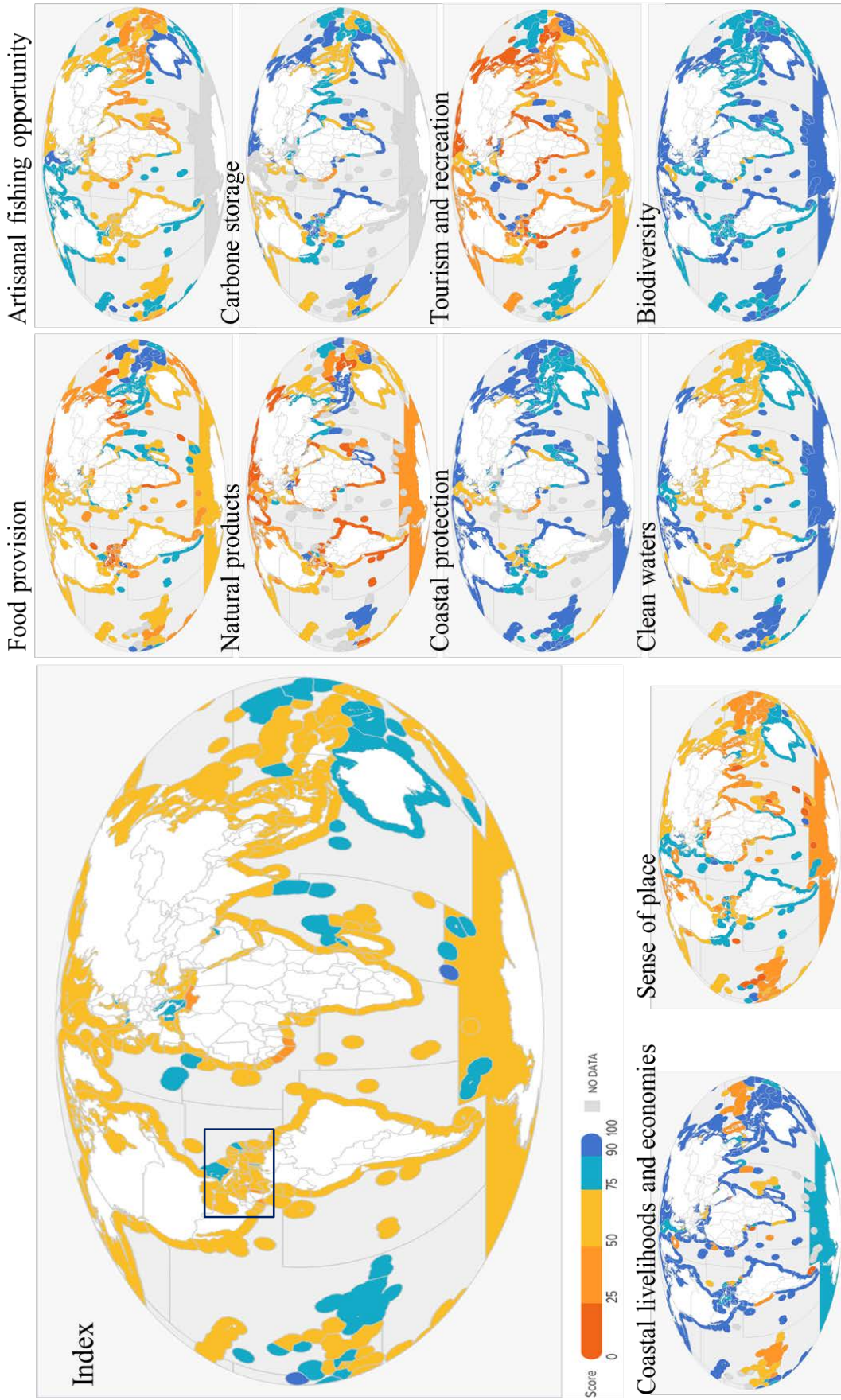
Barbuda the highest overall score (>80) in relation with the rest of localities from WCR (Table 5). Overall, more than 80% of countries from WCR are achieving optimal benefit from marine ecosystems and go on sustainable way (Table 5 and Fig. 9). Regarding the Clean Water goal (contamination by chemicals, eutrophication, human pathogens and trash) also a similar average score (64) was recorded at the WCR as a whole. Nevertheless, Guatemala, Haiti and Jamaica score for this goal was <50 whilst in the French Guiana it was >80 (Fig. 9).

In both cases indicates that we should improve policies of use and management, reducing the inflow of pressures toward natural resources.

**Table 5.** Global score of Ocean Health Index from Wider Caribbean Region calculated for 2016.

Territories from WCR	Rank	Overall score	Territories from WCR	Rank	Overall score
Anguilla	96	69	Guyana	155	63
Antigua and Barbuda	18	81	Haiti	193	56
Aruba	17	81	Honduras	147	65
Bahamas	39	76	Islands Montserrat	54	73
Barbados	198	56	Jamaica	188	58
Belize	114	68	Mexico	112	68
British Virgin Islands	83	70	Nicaragua	216	47
Cayman Island	32	77	Panama	150	64
Colombia	179	60	Puerto Rico and Virgin Islands	69	72
Costa Rica	178	60	Saint Kitts and Nevis	140	66
Cuba	161	62	Saint Lucia	182	60
Dominica	206	52	Saint Vincent and the Grenadines	194	56
Dominican Republic	79	70	Suriname	38	76
French Guiana	76	71	Trinidad and Tobago	63	72
Grenada	176	60	Turks and Caicos Islands	78	71
Guadeloupe and Martinique	73	71	Venezuela	167	61
Guatemala	173	61			

- The higher the score, the closer a region is to obtaining the maximal sustainable benefits possible with the given reference points.



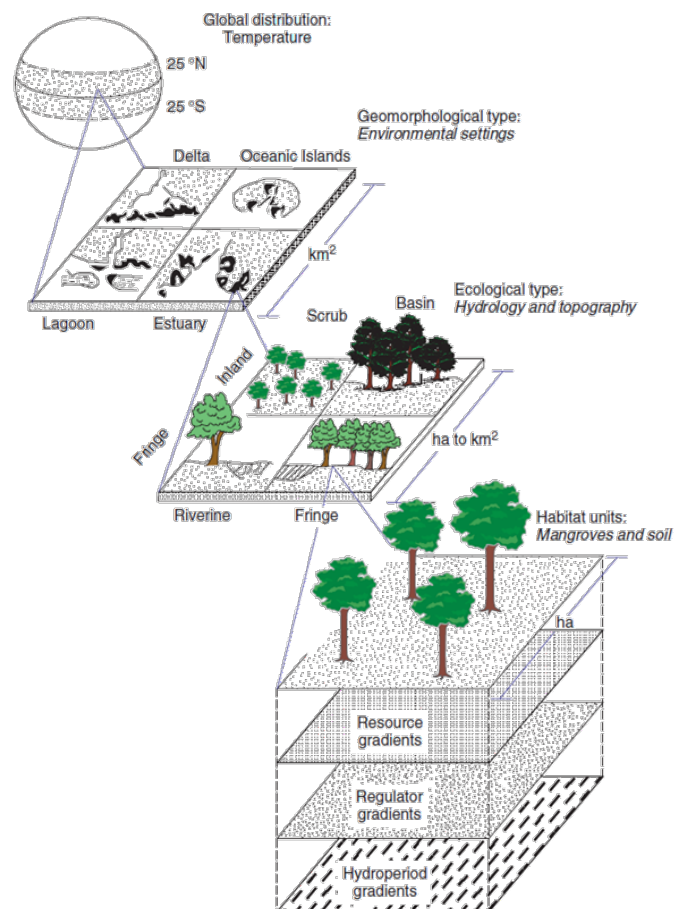
**Fig. 13.** Map of Ocean Health Index 2016 and individual goal scores per country. All waters within 171 exclusive economic zones (EEZs), that is, up to 200 nautical miles, were assessed and are represented on the map (Modified by <http://www.oceanhealthindex.org>). NA, not available.

Focal sources of metal contamination in the WCR are mining, industrial processes (smelters, oil refineries, chemical industry, shipyards), untreated sewage sludge and diffuse sources such as metal piping, traffic and combustion by-products from coal-burning power stations; being Cd, Hg, and Pb being the metallic pollutants of major concern (UNEP, 2006). At regional level, PAHs, are the result of burning of waste, burning of vegetation for clearing land, and crude oil production and transportation (UNEP, 2002; Fernandez et al., 2007). In the case of POPs such as OCPs, PCBs and PBDEs, the improper use and/or disposal of agrochemicals and industrial chemicals, combustion processes and release of by-products contributes to environmentally relevant levels of POPs (UNEP, 2006). It known that the use of agricultural pesticides has greatly increased during the last 30 years and DDT residues have become ubiquitous and constitute de major threatened for the ecosystem from WCR (Rawlins et al., 1998; Fernandez et al., 2007). PCBs have been used extensively since the 1930s in the WCR (e.g. in electrical transformers). Correspondingly, they have been detected in water, sediment, seafood and biota samples (Fernandez et al., 2007), although data and monitoring capacity are limited at regional scale for these POPs. Certainly, PCBs and PBDEs are contaminants distinctive of urban and industrialised areas; however, they have been found at increasing levels in developing countries, as a result of poor waste management practices and improper burning (UNEP, 2006). Nevertheless, with the exception of some pesticides, the monitoring of most of them relies on occasional analyses for research purposes or for impact assessment e.g. after accidental spills (UNEP, 2004; GEF-REPCar, 2011).

### **5.3. Mangrove ecosystems: concepts, services and threats**

Ecologically, "mangroves" are defined as *an assemblage of tropical and semi-tropical trees and shrubs that inhabit the coastal intertidal zone* (Duke, 1992). Mangroves are highly complex transitional coastal ecosystems that include 0.7% of world's tropical and subtropical forests and provide a unique ecosystem that represent complex food webs with a strong relationship with neighbouring habitats (Giri et al., 2011). A mangrove community is composed of plant species whose special adaptations allow them to survive the variable flooding and salinity stress conditions imposed by the coastal environment. From a total of approximately 20 plant families containing mangrove species worldwide, only two, Pellicieraceae and Avicenniaceae, are comprised exclusively of mangroves. In the family Rhizophoraceae, for example, only four of its sixteen genera live in mangrove ecosystems (Duke, 1992).

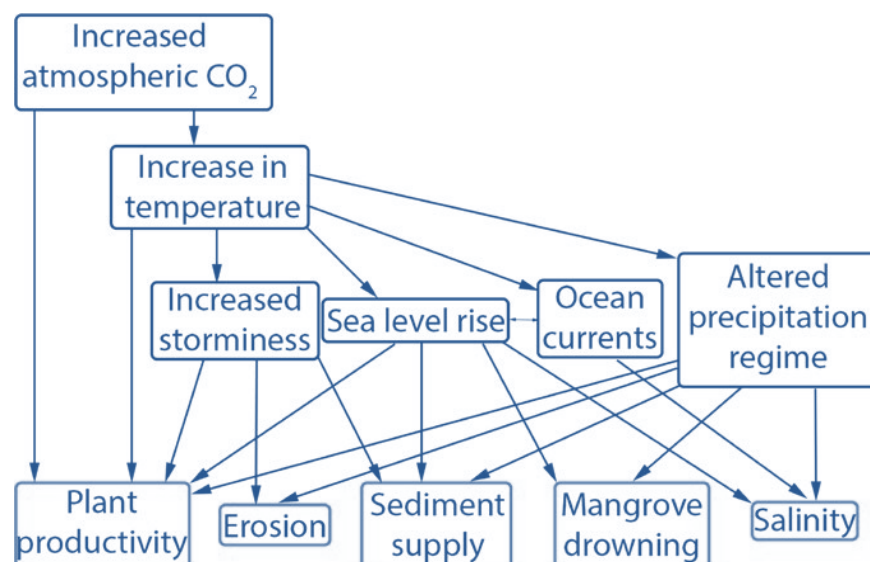
According to Twilley, (1998) there are two types of classification systems for the mangrove forest, geomorphological and ecological (Fig. 9). Coastal settings can be catalogued as river deltas, river-dominated estuaries, lagoons, and oceanic islands, with varying sources of sediments from terrigenous runoff to carbonate deposits. These geomorphologically distinct landforms have local variations in topography and hydrology that result in the evolution of distinct ecological types of mangroves referred to as riverine, fringe, or interior mangroves (Lugo and Snedaker, 1974). Fringe forest borders protected shorelines, canals, and lagoons, and is inundated by daily tides. A riverine forest flanks the estuarine reaches of a river channel and is periodically flooded by nutrient-rich fresh and brackish water. Drainage depressions in the interior of mangrove areas harbor basin forests, characterized by stagnant or slow-flowing water. Scrub forests grow in areas where hydrology is restricted, resulting in conditions of high evaporation, high salinity, or low nutrient status (Twilley et al., 1998, Twilley and Rivera-Monroy, 2005, Twilley and Day, 2012). Mangrove forests are transitional coastal ecosystems among land and marine ecosystems and provide a unique ecosystem that represents complex food webs with a strong relationship with neighbouring habitats (Bosire et al., 2008; Nagelkerken et al., 2008; Giri et al., 2011).



**Fig. 9.** Hierarchical classification system to describe patterns of mangrove structure and function based on global, geomorphological (regional), and ecological (local) factors that control the concentration of nutrient resources and regulators in soil along gradients from fringe to more interior locations from shore (Twilley and Day, 2012).

Mangrove-lined coastal systems are important because they are a natural resource for tourism, support fisheries (e.g., by providing fish nurseries), provide food supply, contribute to conservation of biological diversity, protect shoreline of storm, and purify wastes that enter coastal zones from land-based sources of pollution. Mangroves also act as bio-shields, important for protecting against coastal erosion (UNEP, 1994, Nagelkerken et al., 2008, Walter et al., 2008). Collectively, the ecosystem services provided by mangroves worldwide is estimated at 1.65 trillion dollars annually (Barner and Clevenger, 2014). These mangrove ecosystems and the services they provide are being severely threatened by anthropogenic changes.

Mangrove ecosystems and the services they provide are being severely threatened by anthropogenic changes. Some of the anthropogenic threats to mangroves include extraction for uses as timber, coastal pollution, reclamation of land for human use, and the effects of climate change on these ecosystems. Deforestation due to reclamation of coastal land is one of most pressing threats to mangroves, and recently, this reclamation has been attributed to development associated with tourism (Ellison and Farnsworth, 1996). In the last five decades, mangrove ecosystems worldwide have been severely impacted by a combination of natural and anthropogenic stressors (Lewis et al., 2011; Bayen, 2012). Trace metals, PAHs, PCBs, OCPs and other organic pollutants (anthropogenic origin) have been detected in mangrove ecosystems around the world (Bayen, 2012)



**Fig. 10.** Conceptual framework principal impacting factors of climate change and how they are likely to negatively influence mangrove communities (Ward et al., 2016).

### 5.3.1. Threats for mangrove ecosystems in the WCR

Mangrove-lined coastal systems, which are an important natural resource for tourism, fisheries and storm protection in the WCR, are vulnerable to land-based human activities (UNEP, 1994).

Oil pollution resulting from off-shore oil exploration and production, pipelines, tanker accidents, and intentional clearing of ship's ballast tanks affects mangroves throughout the WCR (Rodriguez, 1981; Ellison and Farnsworth, 1996; UNEP, 2002; Fernandez et al., 2007). In 1979, the Atlantic Empress oil spill released 280000 tonnes of oil 10 miles off Tobago. Most of the oil was burned towards open seas and no significant shore pollution was recorded on the nearest islands, albeit no impact study was carried out. In order to give an idea of the relevance of oil pollution in the WCR it is worth noting that in the 1980's there were around 30 oil spills that impacted mangroves in the region (i.e., Refineria Panamá spill, Galeta island, 1986). Moreover, spills had occurred much before since the early 1960's: i.e., Argea Prima (Puerto Rico, 1962), Santa Augusta (St Corix US Virgin islands, 1971); Zoe Colocotronis spill (Puerto Rico, 1973). In the majority of the cases, oil accumulated among mangrove roots, killing large numbers of invertebrates, fish, and turtles and hydrocarbon residues were still detectable in the mangrove soil and sediments two decades after the spills (Ellison and Farnsworth, 1996). The best available data on effects of oil spills on mangrove flora, fauna, and ecosystem processes come from studies conducted at the Smithsonian Tropical Research Institute following the 1986 spill at Galeta, Panama. Continued dependency of most Caribbean states on imported oil for energy needs, the existence of large refinery and trans-shipment complexes throughout the region, and the huge amounts of oil transported annually through the Panama's Canal strongly suggest that petroleum will continue to be the major pollutant impacting Caribbean mangroves and mangrove-lined systems (Ellison and Farnsworth, 1996).

Mining, industrial processes, untreated sewage sludge and diffuse sources (metal piping, traffic and combustion by-products) are the main anthropogenic sources of metal pollution in the WCR; and Cd, Hg, and Pb are major metallic pollutants (UNEP, 2006). In addition to these sources, the disposal of agrochemicals and industrial chemical also contributes with POPs (UNEP, 2006). Thus, persistent pesticides constitute a major environmental concern in the WCR, (Rawlins et al., 1998; Fernandez et al., 2007). PCBs have been detected in water, sediment, seafood and biota samples (Fernandez et al., 2007), although data and monitoring capacity are limited at

regional scale. In view of their environmental relevance at regional scale, POPs ranked second in the priority rankings of Caribbean contaminant categories (GESAMP, 2001). Rodriguez (1981) identified four locally or regionally important pollutants: Hg (Colombia, Cuba); mine tailings and compounds (e.g., sodium hydroxide) associated with bauxite mines (Cuba, Jamaica, and the US Virgin Islands); sewage (Cuba, Haiti, Jamaica, Puerto Rico, Venezuela); and urban runoff (Cuba, Dominican Republic). Later on, pesticide runoff, heavy metals from industrial activities, and domestic sewage in Belize, Colombia, Cuba, Jamaica, Nicaragua, Puerto Rico, and Trinidad & Tobago; solid waste dumping throughout the Lesser Antilles, Jamaica, and Trinidad & Tobago, and dredge spoil dumping in Antigua again were identified as pollutant hazards in Caribbean mangrove ecosystems (Ellison and Farnsworth, 1996). The increasing pace of industrial and tourist development, and the continued lack of sewage treatment throughout the Caribbean, can be related to the increasing impact of chemical pollutants into mangrove ecosystems in the WCR.

#### **5.4. Mangrove-lined coastal ecosystems in Nicaragua and Colombia**

*Nicaragua.* The mangrove forest from Nicaragua extends throughout 69050 ha and represents one of the main coastal ecosystems, which is approximately equally distributed between the Pacific and the Caribbean coasts (Jiménez, 1999; FAO, 2007). Nicaraguan mangroves are influenced by 21 watersheds, 13 of them drain in the Caribbean and 8 in the Pacific Coast (González, 1997). Thus, the Caribbean coastal area is a major sink for contaminants transported along the watersheds as it receives the 90% of the Nicaragua's territory catchment (UNEP, 2002). Moreover, maritime traffic and ports, urban settlements, shellfish farming and intensive agriculture are also potential sources of pollutants in the Pacific coast (Spongberg and Davies, 1998; Gener, 2009; Spongberg and Witter, 2008, Vargas et al., 2015).

Mangrove-lined coastal ecosystems are basically lagoons in the Caribbean Sea and estuaries in the Pacific coast. Thus, intertidal mangrove swamps are characteristics of the Pacific coast estuaries such as e.g. Corinto, and the Natural Reserve Padre Ramos (UICN/ORMA, 2001). These mangroves<sup>3</sup>, which are dominated by seawater, are structurally less developed than that further south as a result of its orientation within the dry tropical climate zone. Also there is lower annual rainfall, than further south of the transition line, ranging from 1300 mm in Nicaragua to 2000 mm

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<sup>3</sup> <https://www.worldwildlife.org/ecoregions/nt1434>



in Costa Rica, but mostly falling during the short wet season resulting in a longer dry season from December to April. Annual temperature fluctuates between 25°C and 27°C (Polanía and Mainardi, 1993). In general, the river basins that drain into this ecoregion are of high relief, therefore seasonally intense rainfall and highly erodible soils make them prone to erosion caused the removal of vegetation for agricultural practices, timber, fuelwood and livestock grazing. Major threats include the pressure to convert mangroves to agricultural uses, shrimp culture and salt production, as well as urban encroachment, runoff of pesticide residues and eutrophication (Polanía, 1993; Ramsar, 1999).

In the Nicaraguan Caribbean coast, mangroves are sparse are primarily found in estuarine lagoons and small patches at river mouths and are dominated by freshwater (Tomlinson, 1986). The sparseness of mangroves is a result of the high inflow of freshwaters to the coastline ocean zone. Among the highest rates of rainfall in the world, this region receives over 6 m a year. Peak rainfall occurs in the warmest months between May and September. A relatively dry season occurs from January to April, which coincides with stronger trade winds. Tides are semi-diurnal and have a range of less than 0.5 m. SST is on average around 29-30°C and salinity can be as low as <2‰ in the rainy season. The habitats include mixed rainforest, wooded swamps, coastal wetlands, estuarine lagoons, sandy beaches, sea grasses and coral reefs. This coastal area generally consists of low alluvial floodplain, in which there is a network of black-water canals and creeks. In between are beaches that are important nesting areas for endangered sea turtles that feed in the sea grass beds and visit mangrove areas<sup>4</sup>. Deforestation in the upper watershed has resulted in drainage and sedimentation problems. Other threats include gold mining, sewage contamination, runoff of agricultural chemicals, and erosion (Dumailo, 2003; MARENA, 2010). The most important lagoons in the Nicaraguan Caribbean coast are Bluefields and Pearl Lagoon. Bluefields receives water load from the Escondido River basin and where corn, banana, sugarcane, oil palm and coconut intensive agriculture has promoted the elevated application of pesticides for the last 50 years (GEF-REPCar, 2011; Ebanks-Mongalo et al., 2013). Thus, dieldrin, HCHs, DDTs, chlorpyrifos, and terbufos were reported in water, sediment and mangrove cupped oysters from Bluefields (GEF-REPCar, 2011; Ebanks-Mongalo et al., 2013). Pollution monitoring in Nicaragua has been sporadically carried out by academics and research groups (GEF-REPCar, 2011; Ebanks-Mongalo et al., 2013), as well as by international organisations such as the International Mussel

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<sup>4</sup> <https://www.worldwildlife.org/ecoregions/nt1431>

Watch and the UNEP Caribbean Environment Programme (Farrington and Tripp, 1995; Siung-Chang, 1997; UNEP, 2006).

**Colombia.** The Colombian Caribbean coast is located in the Department of Magdalena encompassing the Golfo de Urabá then east to just past the Sierra Nevada de Santa Marta at the base of the Guayjira Peninsula. The region is particularly arid with an average isomegathemic climate of 27°C, a notably seasonal pattern of precipitation of 400-760 mm and high annual evapotranspiration of 1400 mm; three times higher than precipitation (Sánchez-Páez et al., 1997). The latitudinal movement of the intertropical convergence front defines the two rainy seasons, which are from March to May and from October to November, representing 70% of total annual volume. Two dry seasons lie between these rainy season months<sup>5</sup>. SST ranges 29-33°C and salinity 15-37‰. Thus, mangrove species in general have developed special adaptations to tolerate tidal flooding and high salinity. Hydrodynamic processes are governed by the Magdalena River, which has an effect on the western section of the region and by the rivers on the western slope of the Sierra Nevada de Santa Marta, which exclusively feed the Ciénaga Grande. From an ecological and socioeconomic perspective, this region holds the most degraded estuarine complex in Colombia. Decline in mangrove forest during the period between 1956-1987 was 40.6%; and in 1995 reached 63.8% of the total alive in 1956 (Gónima et al., 1998), which has created effects such as the loss of habitats for a large number of species of fish, birds and benthic organisms. The diversion of water by building channels and dikes to prevent flooding on the major rivers as well as the resulting silting of water flow due to the high loads of sediment from erosion (Gónima et al., 1998). The enrichment of masses of water with organic matter from the rivers, from nearby human population centers and from chemical fertilizers (eutrophication), creating anoxic conditions leading to the death of massive numbers of fish and other organisms (Hernández and Márquez, 1991; Mancera and Vidal, 1994). There are also locally relevant environmental problems. In Cartagena Bay, mangrove islet and islands are offshore the Cartagena Port and the industrial zone of Mamonal (oil refineries, petrochemicals, and asphalt, cement and smelting plants); and receives the direct impact of the Dique Channel; and dissolved and dispersed hydrocarbons have been reported in seawater, especially in the rainy season (Vivas-Aguas et al., 2014). This channel was open 5 centuries ago to connect the Magdalena River with the Cartagena Bay for navigation and constitutes a major source of sediments and chemical pollution in the

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<sup>5</sup> <https://www.worldwildlife.org/ecoregions/nt1417>

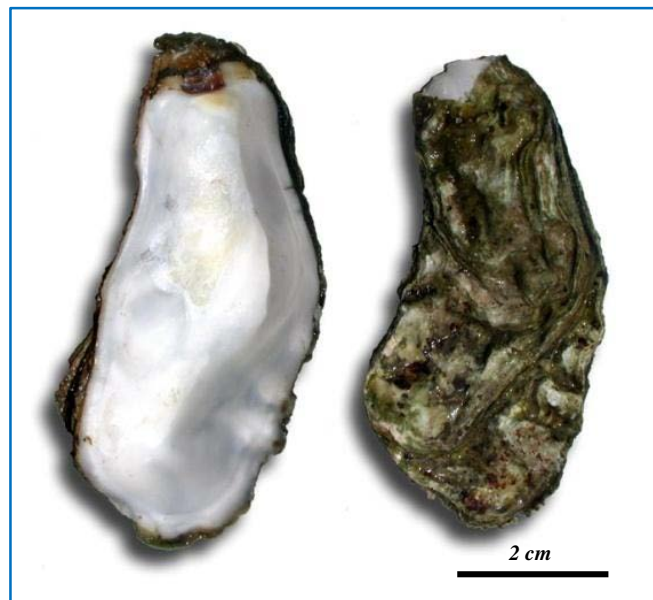
Cartagena Bay (Vivas-Aguas et al., 2014). Isla Barú in Barbacoas Bay is also influenced by the Magdalena River to which it communicates by smaller channels via the Dique Channel since the 1950's (Gómez-Giraldo et al., 2009). In Santa Marta Bay, the Marina Santa Marta is subject to strong anthropogenic influence (Garcia et al., 2012). In the early 2000's, DDT and its metabolites, heptachlor, aldrin and HCHs were widespread pollutants in surface waters in Santa Marta Bay as the result of runoff from upstream plantations of coffee and banana, but in the recent decade these chemicals have been below detection limits in tributary rivers as result of regulatory restrictions for the use of these OCPs (Vivas-Aguas et al., 2014). Similarly, a marked reduction in the OCP levels in seawater and sediments have been recorded in Cartagena Bay and Barbacoas Bay during the last decade (Vivas-Aguas et al., 2010).

#### 5.4.1. Relevant candidate species for pollution biomonitoring programmes

Four species of bivalves were proposed for pollution biomonitoring in mangrove-lined coastal ecosystems, two for Caribbean coast (*Crassostrea rhizophorae* and *Polymesoda arctata*) and other two for Pacific coast from Nicaragua (*Anadara tuberculosa* and *Larkinia grandis*). Three of them (*C. rhizophorae*, *A. tuberculosa* and *L. grandis*) were already used in the International Mussel Watch Programme carried out in Nicaragua in the early 1990's (Farrington and Tripp, 1995).

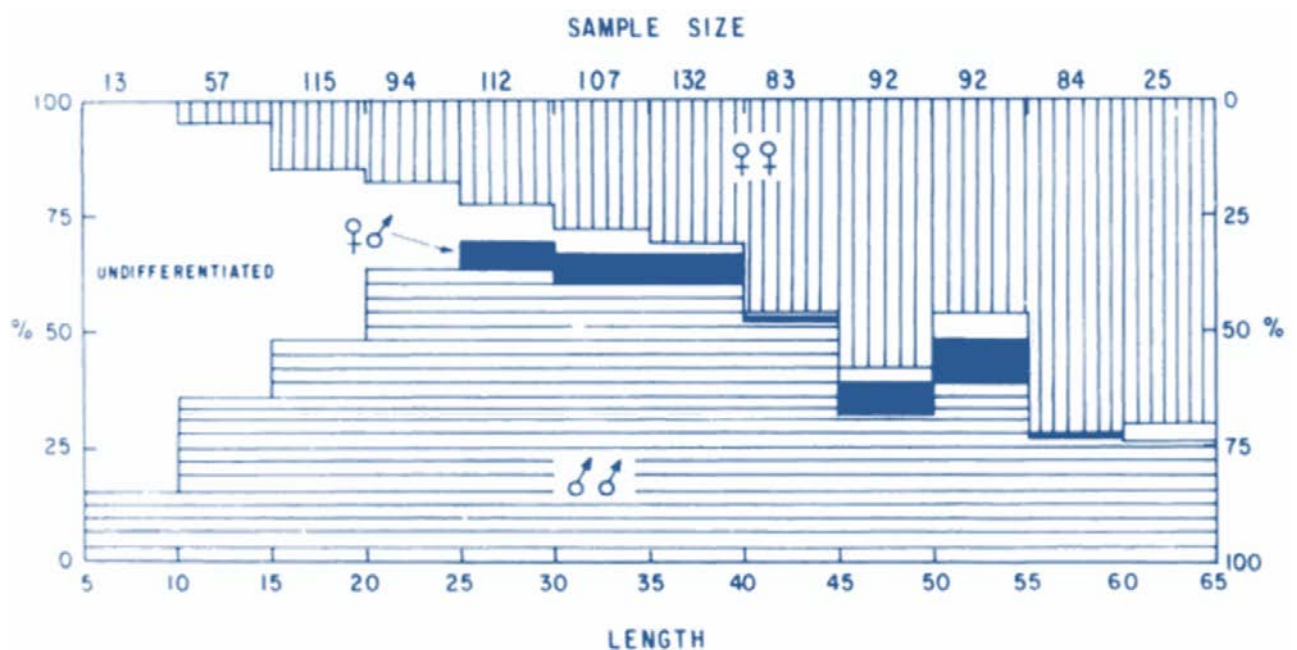
Mangrove cupped oysters, *C. rhizophorae*, were selected because they had been previously suggested as potential biomonitor and sentinel species for pollution assessment in mangrove-lined coastal ecosystems (Farrington and Tripp, 1995; Nascimento et al., 1998; Wallner-Kersanach et al., 2000; Rebelo et al., 2005; Silva et al., 2003; 2006; Valdez Domingos et al., 2007).

These oysters are (a) filter-feeding sessile organisms inhabiting both clean and polluted sites attached either to mangrove roots or to coastal rocks; (b) bioaccumulate pollutants such as metals, PAHs and POPs in their tissues (Farrington and Tripp, 1995; Rainbow, 2006; Van



Lavieren et al., 2011; Torres et al., 2012; Kanhai et al., 2014); (c) respond to environmental insult (Silva et al., 2003; Rebelo et al., 2005; Torres et al., 2012); (d) are widely distributed in tropical regions, e.g. from Caribbean to Southern Brazil; and (e) are easy to collect including a large range of size classes (Beeby, 2001; Fox, 2001; Basu et al., 2007; Valdez Domingos et al., 2007; Masson et al., 2010).

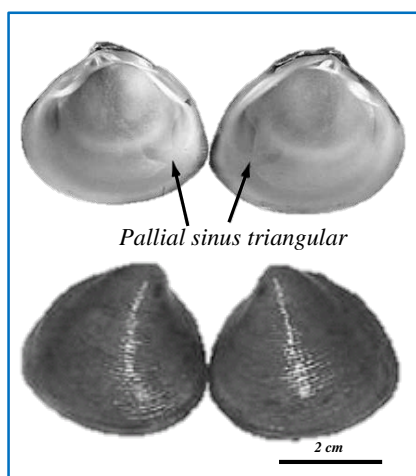
*C. rhizophorae* (Guilding, 1828) is distributed all over the Caribbean Sea, including the islands of the West Indies Archipelago such as Puerto Rico, Cuba, etc. The mangrove cupped oyster inhabits areas of relatively high salinity and commonly attaches itself to the roots of the *Rhizophora mangle* but also to rocks and/or forming oyster reefs in coastal lagoon. The shell is white, foliated and rough. The left valve is deeply indented and the right valve is flat, smooth and fits into the left valve. The shell interior is smooth and somewhat strongly purple coloured, the left shell margin being especially strongly coloured. The umbo is bent to the back. Mature individuals grow to more than 10 cm (Arakawa, 1990).



**Fig. 11.** Sex ratio of *C. rhizophorae* in each size class. The number of oyster in each size class es shown at the top of the diagram (Vélez, 1982). ♀, female; ♂, male.

Mangrove cupped oyster can reproduce continuously throughout the year. At least 2-3 spawning peaks per reproductive cycle have been reported in different regions, but the main one seems to be in the rainy season (Villarroel et al., 2004; Lenz and Boehs, 2011). This seasonal spawning may be associated with increase of temperature and salinity. In areas where water

temperature fluctuates between 23 to 29 °C, there are bimodal peaks, lower from January to June and higher from July to December. However, in lagoons with temperature higher than 25 °C, the spawning are continuous. In *C. rhizophorae*, females predominate and can reach up to 90% of the population although their prevalence may vary with size and season (Fig. 11; Vélez, 1982). Overall, *Crassostrea* oysters are protandrous hermaphrodites and sex ratio values can vary depending on the species, age, size and local conditions (Dove and O'Connor, 2012; Park et al., 2012). Sex appears to be determined by a single gene with a dominant male allele M and a recessive protandrous allele F, such that FF animals are protandrous and MF animals are permanent males (Powell et al., 2011).



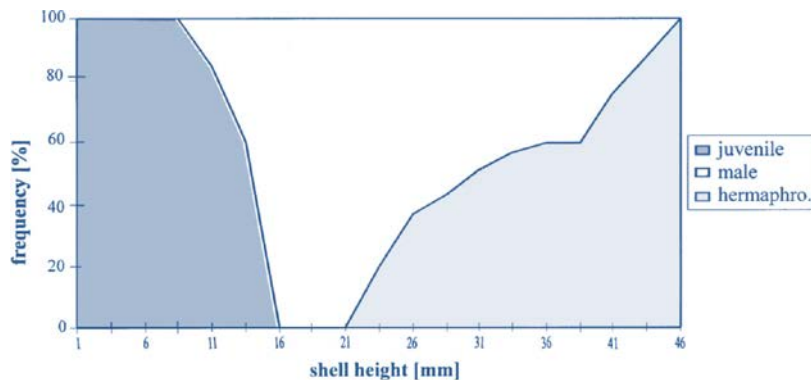
Slender marsh clam, *P. arctata*, as above mentioned for the case of *C. rhizophorae*, also has the requisites to be a candidate biomonitor/sentinel species for pollution monitoring in mangrove-lined coastal systems in the Caribbean. They are filter-feeding, sessile organisms that bioaccumulate pollutants (Pérez-cruz et al., 2013; Leal et al., 2014; Vargas et al., 2015), respond to environmental insult, and present a wide distribution in the Caribbean. Thus it can be an alternative to mangrove cupped oysters in those sites where these are not

sufficiently present, such as sandy areas of low salinity. However, data on pollutant bioaccumulation and its general biological (growth, reproductive cycle, affection parasitic, range of size, seasonality and biological responses to pollutants) are quite limited.

*P. arctata* (Deshayes, 1854; syn., *P. solida* (Deshayes, 1854)<sup>6</sup>, according to Bouchet, 2015) is a benthic mollusc with a biogeographical range extending from Belize to the Orinoco River in Venezuela. It inhabits fine, sandy sediments of the low-to-medium salinity estuarine zone (high organic content, salinity: 3-20 ppt). It is identified by having V-shaped pallial sinus, with a long curved muscle insertion area, departing from its anterior side completely separate from the anterior adductor scar (Severeyn et al., 1994). According to Rueda and Urban (1998) *P. arctata* is monoecious combined with protogyny, and the sex-ratio changes with individual size-classes (Fig. 12). Juveniles start becoming males when they reach 10 mm shell height so that above 16 mm

<sup>6</sup> According to the World Register of Marine Species (<http://www.marinespecies.org/index.php>), the currently accepted name of *P. solida* is *Polymesoda arctata* (Deshayes, 1854), Bivalvia: Cyrenidae (Bouchet, 2015).

shell length no juvenile is observed; and at 21 mm shell height males start changing to hermaphrodite.



**Fig.12.** Gender distribution by shell height intervals in *P. arctata* (Rueda and Urban, 1998).

Mangrove cockles *Anadara tuberculosa* (pustulose ark) and *Larkinia grandis* (shell clam ark) from the Pacific Ocean, also have been used as biomonitor species to measure the tissues concentration of metals, PAHs and POPs in mangrove ecosystems (Farrington and Tripp, 1995; Ziarrusta et al., 2015; Vargas et al., 2015). Like *P. arctata*, these species are a potential biomonitor/sentinel in mangrove-lined ecosystems; however, study about bioaccumulation and pollutant biological effects are practically lacking. In contrast some general biology data are available because these species constitute a regionally relevant fishery that demands sustainable management (Gener et al., 2009; Lucero-Rincón et al., 2013; Silva-Benavides and Bonilla, 2015).

Pustulose arks, *A. tuberculosa* (GB Sowerby I, 1833) have a geographic distribution range from Laguna Ballena (Baja California Sur, Mexico) to Bahía de Tumbes (Perú; Mora-Sanchez, 1990; Cruz and Jimenez, 1994). *A. tuberculosa* inhabits muddy sediments (15 cm deep in the mud) among the aerial prop roots and under the canopies of the mangrove trees in mangrove swamps along the mainlands and islands of lagoons (Mackenzie, 2001; Stern-Pirlot and Wolff, 2006). This species has two valves of equal shape that are obliquely oval. Each valve has 34–37 radial ribs and a dark brown periostracum with bristles between the ribs (Mackenzie, 2001). The dorsal margin of the valves is angular. Reproduction is continuous throughout the year with two peaks of seasonal spawning (García-Domínguez et al., 2008; Lucero-Rincón et al., 2013).



The sex ratio reported is 1:1 (female:male) and no intersex was reported in localities from Costa Rica and Nicaragua (Gener et al., 2009; Silva-Benavides and Bonilla, 2015). However, in the Pacific coast from El Salvador, Colombia and Ecuador the sex ratio may vary throughout the year between 1.2:1 to 5.9:1 (female:male). Postulose ark is considered a protandric in which intersex cases have been reported (Flores and Lincadeo, 2010; Lucero-Rincón et al., 2013).

Shell clam arks, *L. grandis*, (Broderip & GB Sowerby I, 1829; syn., *Anadara grandis* (Broderip & GB Sowerby I, 1829)<sup>7</sup>, according to Huber 2015) are found along an ample biogeographical distribution range from Bahia Magdalena (Baja California, Mexico) to Bahia de Tumbes (Perú; Mora Sanchez, 1990; Cruz and Jimenez, 1994). *L. grandis* lives in intertidal mudflats and some subtidal areas beyond the edges of mangrove swamps (Mackenzie, 2001). It grows to a size as large as >12 cm shell length. Its valves are almost square, and their length almost equals their height. The valves are convex with high umbos, and they have 26 ribs separated by deep interspaces. The shell has a dark periostracum. The blood is red. Unlike in neighbouring countries such as Honduras and El Salvador where it is commercially exploited (Galdámez et al., 2007), in



Nicaragua this mangrove cockle (commonly known as "casco de zburro" -donkey's hoof-) is endangered by overharvest (Gener et al., 2009), except in the Padre Ramos reserve, where it is under community management for recovery (Manzanares L, personal comm.). The species show the same reproductive pattern than *A. tuberculosa*, with a continuous reproduction throughout the year with two peaks of seasonal spawning, being the highest prevalence of spawning (60-80%) from December to February and June, associated to the highest salinities of the year. Sex ratio has been reported to be 1:1.25 (female:male) (Fournier and de la Cruz, 1987; Galdámez et al., 2007).

<sup>7</sup> On the other hand, taxonomic name of *Anadara grandis* is not accepted according to the World Register of Marine Species (<http://www.marinespecies.org/index.php>), the currently accepted name is *Larkinia grandis* (Broderip & GB Sowerby I, 1829), Bivalvia: Arcidae (Huber, 2015).

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## **II. State of the art, hypothesis and objectives**



## 1.1. State of the art

Mangroves are highly complex transitional coastal ecosystems that include 0.7% of world's tropical and subtropical forests and provide a unique ecosystem that represents complex food webs with a strong relationship with neighbouring habitats. In the last decades, mangrove-lined coastal ecosystems worldwide have been severely impacted by a combination of natural and anthropogenic stressors including chemical pollution.

In the Wider Caribbean Region (WCR), pollution monitoring programmes carried out in mangrove-lined coastal systems have been hitherto strictly based on chemical analysis. However, contaminants normally are present by cocktails of pollutants in multiple stress scenarios and therefore we need to carry out biological effects assessment together with the chemical analysis. For this purpose, pollution monitoring programmes based on the use of biomarkers as early warning signals of biological deterioration, is highly recommended by international and intergovernmental conventions and regulations. Unfortunately, the monitoring capacities and facilities can be in many WCR countries far away from those required to conduct sophisticated and most-advanced biomarker-based monitoring using molluscs as sentinel species. However, the application of biomarkers is not necessarily inhibited by monetary and logistic constraints because effortless biomarkers can provide basic knowledge on animal health and water quality and are technically achievable everywhere.

Accordingly, and aware of the technical and logistic limitations (e.g., limited accessibility and difficulties for secure sample transportation and quality *in situ* processing), a toolbox of non-sophisticated and reliable biological effects endpoints was selected to assess the potential of the mangrove bivalve species, as sentinels for pollution monitoring in the WCR and neighbouring areas. This toolbox includes responses easy to measure at population and individual level and histological/histopathological analyses; these latter allow scoring responses at systemic and tissue levels on the basis of cheap, solid and straightforward technology.

The Stress-on-Stress (SoS) response was recommended by ICES for monitoring programmes as an indicator of mussel health status. Further on, the successful application of SoS response as biomarker for environmental monitoring has been extended to other bivalve species. SoS response reveals the capacity of bivalves to survive on air and it is interpreted as a measure of resilience. It is not as sensitive as some ICES core biomarkers of general stress (e.g. lysosomal

membrane stability) but is more sensitive than others, and its methodology is simple, rapid and low-cost. Flesh Condition Index (FCI) reflects the physiological status of bivalves and it has been reported that it is reduced on pollutant exposure. Gamete development and reproductive impairment (e.g. alterations in sex-ratio, oocyte atresia, gonad histopathology) were included in the toolbox because the gametogenesis is crucial to understand bivalve health and because reproduction disturbances are well-known biological effects exerted by chemical pollutants. Digestive gland histopathology was also a core element of the toolbox, as a wide range of contaminants, including metals, pesticides and PAHs, is known to provoke histopathological alterations in this tissue.

Currently, pollution monitoring programmes carried out in USA, Canada, Europe and internationally use eastern oysters (*Crassostrea virginica*) and mussels (*Mytilus galloprovincialis*) as biomonitor and sentinel species. These species are not present in the WCR and therefore there is a need for alternative species suitable to be used in this region. In agreement with previous reports, the mangrove cupped oyster, *Crassostrea rhizophorae*, is a potential candidate biomonitor and sentinel for the WCR and other Latin America and tropical areas. Likewise, the slender marsh clam, *Polymesoda arctata*, in the Caribbean and the mangrove cockles, *Anadara tuberculosa* and *Larkinia grandis*, in the Pacific coast are potential alternative species for mangrove-lined coastal systems devoid or with scarce mangrove cupped oysters.

## 1.2. Hypothesis

*Biomonitoring programmes for the assessment of chemical pollution and ecosystem health disturbance in mangrove-lined coastal systems in tropical and subtropical ecosystems, such as those of the Wider Caribbean Region and Latin America, can be achieved by applying analytical chemistry to determine pollutant tissue burdens together with low-cost and effortless biomarkers, recorded in autochthonous biomonitor and sentinel bivalve species.*

## 1.3. Objectives

On order to test this hypothesis, the following general objectives are to be achieved:

1. To confirm the suitability and to promote the use of the mangrove cupped oyster, *Crassostrea rhizophorae*, as biomonitor species for pollution biomonitoring in mangrove-lined Caribbean coastal systems.
2. To develop a suitable, low-cost and effortless multi-biomarker approach for pollution monitoring in mangrove-lined Caribbean coastal systems using the mangrove cupped oyster, *C. rhizophorae* as sentinel species.
3. To determine the suitability of alternative species such as the slender marsh clam (*Polymesoda arctata*) and the mangrove cockles (*Anadara tuberculosa* and *Larkinia grandis*) as biomonitor and sentinel species for biomonitoring of pollution and associated ecosystem health disturbance in mangrove-lined Caribbean and Pacific coastal systems in Nicaragua.
4. To identify and solve logistic and technical problems associated to the implementation of biomarker-based pollution biomonitoring programmes in mangrove-lined coastal systems.





## **III. Results and Discussion**



**Chapter 1. Chemical pollution assessment in mangrove-lined Caribbean coastal systems using the oyster *Crassostrea rhizophorae* as biomonitor species**

### Scientific Contributions:

**Aguirre-Rubí J, Garmendia L, Etxebarria N, Ortiz-Zarragoitia M, Luna-Acosta A, Soto M, Zaldibar B, Izagirre U, Espinoza F, Ahrens MJ, Marigómez I.** 2013. Combined biomarker and chemical analysis as a tool to assess coastal ecosystem health in Caribbean mangroves: a pollution biological effects monitoring pilot program. *9th Iberian & 6th Iberoamerican Congress on Environmental Contamination & Toxicology CICTA, Valencia, July 1-4 2013*. Oral presentation.

**Aguirre-Rubí J, Luna-Acosta A, Garmendia L, Etxebarria N, Ortiz-Zarragoitia M, Zaldibar B, Soto M, Izagirre U, Espinoza F, Villamil L, Ahrens MJ, Marigómez I.** Combining tissue-level biomarkers and chemical analysis to assess ecosystem health in Caribbean mangroves: relevance for pollution monitoring. *25th Annual Meeting of the Society of Environmental Toxicology and Chemistry SETAC Europe, Barcelona, May 3-7 2015*. Oral presentation.

**Aguirre-Rubí J, Luna-Acosta A, Etxebarria N, Soto M, Espinoza F, Ahrens MJ, Marigómez I.** 2017. Chemical pollution assessment in mangrove-lined Caribbean coastal systems using the oyster *Crassostrea rhizophorae* as biomonitor species. ENVON SCI POLLUT RES, doi:10.1007/s11356-017-9159-2.

**Keywords:** *Crassostrea rhizophorae*, mangrove cupped oyster, bioaccumulation, ecosystem health, monitoring

**Abstract:** This paper aims to contribute to pollution biomonitoring in mangrove-lined Caribbean coastal systems using mangrove cupped oysters, *Crassostrea rhizophorae*, as a biomonitor species. Biomonitoring was carried out in 8 localities (3 in Nicaragua and 5 in Colombia) subjected to different types and levels of pollution. Oysters were collected during the rainy and dry seasons of 2012-2013 and the tissue concentrations of metals, PAHs, and POPs were determined. Low tissue concentrations of metals (except Hg) and PAHs, moderate-to-high tissue concentrations of Hg, HCHs, DDTs, detectable levels of chlorpyrifos, PCBs (mainly CB28, CB118, CB138 and CB 153) and BDE85 and negligible levels of musks were recorded in Nicaraguan oysters. Conversely, a distinct profile of POPs was identified in Colombia, where the tissue concentrations of HCHs and DDTs were practically negligible, chlorpyrifos and PBDEs were below detection limits, and the tissue levels of PCBs were low (CB28 and CB52). In contrast, noticeable tissue concentrations of synthetic musk fragrances were recorded in all the Colombian localities in the dry season, and the tissue concentrations of Ag, As, Cd, Pb and PAHs in several localities and in particular seasons ranged from moderate to extremely high. Regarding POPs, the values recorded for HCHs, DDTs and PCBs in Nicaraguan mangrove cupped oysters greatly exceeded the reference values in tissues of *C. rhizophorae* from the Wider Caribbean Region, whereas only the levels of PCBs were occasionally surpassed in Colombia. The differing contaminant profiles for oysters from Nicaragua and Colombia were distinguishable by distinct radar plots and principal component analysis, as well as evidenced in integrated pollution indices. Likewise, seasonality affected oyster tissue contaminant profiles and seemed to depend on seasonal variability in physicochemical properties of mangrove ecosystems as well as on diversification of human activities and practices between the rainy and the dry season, but seemingly not so markedly on oysters' reproductive condition. Considering the diversity, both geographical and environmental, of the studied scenarios, the present results confirm the suitability of *C. rhizophorae* as a biomonitor species at Caribbean regional scale for pollution monitoring programmes in mangrove-lined coastal systems, where seasonal variability is a major factor controlling pollutant mobility and bioavailability.

**Resumen:** El objetivo de este trabajo es contribuir al biomonitoreo de la contaminación en los sistemas costeros de manglares del Caribe, usando las ostras de manglar *Crassostrea rhizophorae* como una especie biomonitora. Se llevó a cabo el biomonitoreo en ocho localidades (tres en Nicaragua y cinco en Colombia) sujetas a diferentes tipos y niveles de contaminación. Se recolectaron ostras durante las épocas de lluvia y seca del 2012 – 2013, y se determinaron las concentraciones en tejido de metales, PAHs y POPs. En los tejidos de las ostras de Nicaragua se registraron bajas concentraciones de metales (excepto Hg) y PAHs, concentraciones entre intermedias y altas de Hg, HCHs y DDTs, niveles detectables de clorpirifos, PCBs (sobre todo CB28, CB118, CB138 y CB 153) y BDE85, y niveles insignificantes de almizcles sintéticos. Por el contrario, en Colombia se identificó un perfil distinto de POPs en el que las concentraciones de HCHs y DDTs fueron insignificantes, Clorpirifos y PBDE estuvieron por debajo del límite de detección, y los niveles tisulares de PCBs (CB28 y CB52) fueron bajos. En cambio, se detectaron concentraciones significativas en tejido de almizcles sintéticos en todas las localidades de Colombia en la estación seca. Se determinaron concentraciones tisulares de Ag, As, Cd, Pb y PAHs en varias localidades y en épocas específicas en un rango entre moderadas y extremadamente altas. En lo que respecta a POPs, los valores de HCHs, DDTs y PCBs presentes en los tejidos de la ostra *C. rhizophorae* en Nicaragua excedieron por mucho los valores de referencia en ostra de la Región del Gran Caribe (WCR), mientras que en Colombia solo los niveles de PCBs sobrepasaron ocasionalmente los de referencia. Se distinguieron diferentes perfiles de contaminantes en las ostras de Nicaragua y Colombia mediante distintos diagramas de radar y mediante análisis de componentes principales, así como en los índices integrados de contaminación. Igualmente, los perfiles de contaminantes de los tejidos de las ostras se vieron afectados por la estacionalidad, lo que parece depender de la variabilidad estacional de las propiedades fisicoquímicas de los ecosistemas de manglares y de la diversificación de la actividad humana entre la época lluvia y la época seca, pero no aparentemente de la condición reproductiva de las ostras. Considerando la diversidad tanto geográfica como ambiental de los escenarios estudiados, los resultados actuales confirman la idoneidad de *C. rhizophorae* como una especie biomonitora a escala regional en el Caribe para llevar a cabo programas de biomonitoreo de la contaminación en sistemas costeros de manglares, donde la variabilidad estacional es un factor importante que controla la movilidad y biodisponibilidad de los contaminantes.

## 1. Introduction

Mangroves are highly complex transitional coastal ecosystems that include 0.7% of world's tropical and subtropical forests and provide a unique ecosystem that represent complex food webs with a strong relationship with neighbouring habitats (Bosire et al., 2008; Nagelkerken et al., 2008; Giri et al., 2011). In the last four decades, mangrove ecosystems worldwide have been severely impacted by a combination of natural and anthropogenic stressors (Lewis et al., 2011; Bayen, 2012). Particularly, mangrove-lined coastal systems, an important natural resource for tourism, fisheries and storm protection in the Wider Caribbean Region (WCR), are enormously vulnerable to land-based human activities (UNEP, 1994).

Mining, industrial processes (smelters, oil refineries, chemical industry, shipyards), untreated sewage sludge and diffuse sources such as metal piping, traffic and combustion by-products from coal-burning power stations are the main anthropogenic sources of metal pollution in the WCR, with Cd, Hg, and Pb being the metallic pollutants of major concern (UNEP, 2006). Burning of waste, burning of vegetation for clearing land, and crude oil production and transportation result in elevated levels of polycyclic aromatic hydrocarbons (PAHs) at regional scale in the WCR (UNEP, 2002; Fernandez et al., 2007). The improper use and/or disposal of agrochemicals and industrial chemicals, combustion processes and release of by-products contributes to environmentally relevant levels of persistent organic pollutants (POPs), such as DDTs and other pesticides, polychlorinated biphenyls (PCBs) and polybrominated diphenyl ethers (PBDEs) (UNEP, 2006). Indeed, persistent pesticides constitute a major environmental concern in the WCR, where the use of agricultural pesticides has greatly increased during the last 30 years and DDT residues have become ubiquitous (Rawlins et al., 1998; Fernandez et al., 2007). It is worth noting that only ~10% of a pesticide are considered to be effective upon application, with the remaining 90% and its derivatives expected to ultimately enter waterways and ultimately coastal ecosystems via runoff, misapplication and atmospheric transport (UNEP, 1994). PCBs have been used extensively since the 1930s in the WCR (e.g. in electrical transformers). Correspondingly, they have been detected in water, sediment, seafood and biota samples (Fernandez et al., 2007), although data and monitoring capacity are limited at regional scale for these POPs. Certainly, PCBs and PBDEs are contaminants distinctive of urban and industrialised areas; however, they have been found at increasing levels in developing countries, as a result of poor waste management practices and improper burning (UNEP, 2006). In view of their environmental relevance at



regional scale, POPs ranked second in the priority rankings of Caribbean contaminant categories (GESAMP, 2001). However, with the exception of some pesticides, the monitoring of most of them relies on occasional analyses for research purposes or for impact assessment e.g. after accidental spills (UNEP, 2004; GEF-REPCar, 2011).

Pollution monitoring programmes have been carried out in the WCR coastal systems since the 1970's, under the auspices of intergovernmental institutions (Siung-Chang, 1997; UNEP, 2006). Thus, the UNEP Caribbean Environment Programme (CEP) was established in 1981 in order to promote regional cooperation for protection and sustainable development of the Caribbean Sea. Moreover, several tools (e.g. the protocol for Pollution from Land-based Sources and Activities) were agreed in the Cartagena Convention in 1983 in order to classify water bodies, establish legally binding standards, identify major sources of pollutants and prevent pollution (Siung-Chang, 1997). However, with notable exceptions (e.g. REDCAM in Colombia, Vivas-Aguas et al., 2014), monitoring activities at national scale are to our knowledge irregular and based on sporadic studies carried out by academics and research groups. A crucial issue in both national and regional monitoring programmes is that elsewhere employed technology and assessment methods (e.g. Asia-Pacific Mussel Watch, USA Mussel-Watch and EU Marine Strategy Framework Directive; Goldberg, 1975; Monirith et al., 2003; Kimbrough et al., 2008; Zampoukas et al., 2014) are not easily available or they are unaffordable and unsustainable; which often may be worsened by simple logistic hurdles (e.g. accessibility to sampling sites and sample transportation logistics). Other challenges include the paucity of baseline environmental data (e.g. concentrations of pollutants), the relatively poor baseline knowledge about contamination in mangrove-lined Caribbean coastal systems, and the limited human capacity and regional networking prospects (Rawlins et al., 1998; UNEP, 2004; 2006). Moreover, ongoing monitoring programmes are aimed at recording the concentration of pesticides and other POPs, PAHs and metals in seawater and sediments but not, only exceptionally, in biota (Sericano et al., 1995; UNEP, 2002; 2004; 2006; Rojas de Astudillo et al., 2005; Fernandez et al., 2007; Carvalho et al., 2009a; Vivas-Aguas et al., 2010; 2014; Castañeda-Chávez, 2011; Alfonso et al., 2013; Kanhai et al., 2014; 2015). Indeed, monitoring programmes based on the Mussel-Watch approach using bivalves or other target organisms as biomonitors (Goldberg, 1975; Sericano et al., 1995; Monirith et al., 2003; Kimbrough et al., 2008; 2009) are not systemically carried out, to our knowledge. If this approach is to be implemented in the WCR, research efforts must be addressed to solve logistic and technological questions and to select suitable biomonitor species.

Mangrove cupped oysters, *Crassostrea rhizophorae*, have been quite extensively investigated as potential biomonitor for pollution assessment in mangrove-lined coastal ecosystems (Nascimento et al., 1998; Wallner-Kersanach et al., 2000; Rebelo et al., 2005; Silva et al., 2003; 2006; Valdez-Domingos et al., 2007) because (a) they are filter-feeding sessile organisms inhabiting both clean and polluted sites attached either to mangrove roots or to coastal rocks; (b) they bioaccumulate high concentrations of pollutants in their tissues (Rainbow, 2006; Van Lavieren et al., 2011; Torres et al., 2012; Kanhai et al., 2014); (c) they respond to environmental insult (Silva et al., 2003; Rebelo et al., 2005; Torres et al., 2012); (d) they are widely distributed in tropical regions, e.g. from Caribbean to Southern Brazil; and (e) they are easy to collect including a large range of size classes (Beeby, 2001; Fox, 2001; Basu et al., 2007; Valdez Domingos et al., 2007; Masson et al., 2010). Indeed, *C. rhizophorae* has already been employed as biomonitor species in pollution monitoring studies aimed at deciphering spatial and temporal trends in chemical pollutants in tropical coastal zones in Brazil (Silva et al., 2003; Rebelo et al., 2005; Torres et al., 2012).

The present investigation aims at expanding the use of the mangrove cupped oyster, *C. rhizophorae*, as biomonitor species for pollution biomonitoring in mangrove-lined Caribbean coastal systems. For this purpose, a pilot field study was carried out in 8 localities (3 in Nicaragua and 5 in Colombia) subjected to different types and levels of pollution, in two sampling campaigns during 2012-2013. Samples were collected in the rainy and dry seasons. The tissue concentration of metals, PAHs, and POPs in oysters was recorded as a measure of the nature and levels of bioavailable chemical pollutants and their seasonal variability in different representative scenarios of mangrove-lined Caribbean coastal systems including subtidal oyster reefs in coastal lagoons, intertidal prop roots of mangrove trees and intertidal rocky shores.

## 2. Material and Methods

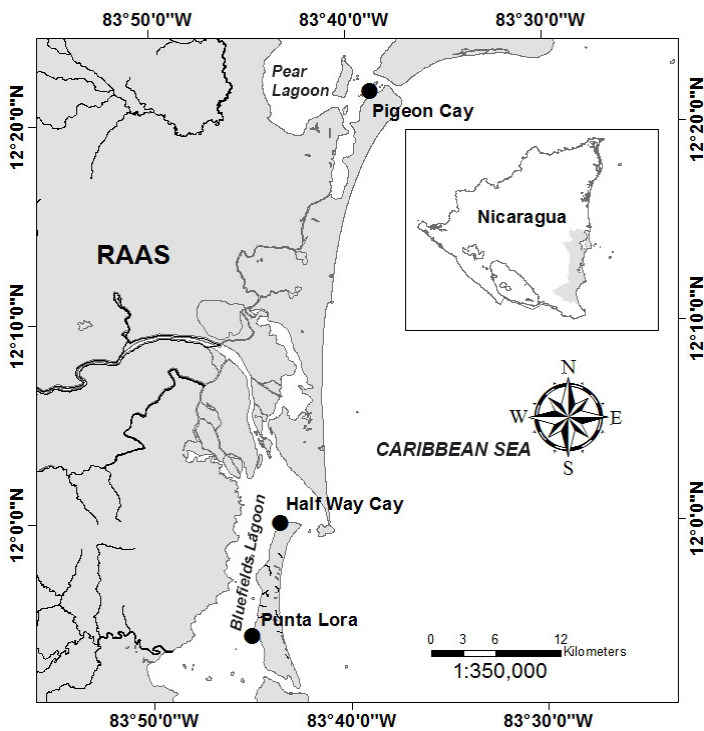
### 2.1. Sampling sites and sample collection

In Nicaragua, subtidal (<1 m depth) oyster reefs were studied in two localities (Fig. 1): Bluefields (sampling sites: Punta Lora and Half Way Cay) and Pearl Lagoon (sampling site: Pigeon Cay). Punta Lora was considered as a prospective reference site (far away from urban settlements) whilst Half Way Cay and Pigeon Cay were selected as potentially polluted areas

influenced by aquatic transport and urban discharges (GEF-REPCar, 2011; Ebanks-Mongalo et al., 2013). In Colombia, intertidal prop roots of mangrove trees were studied in Cartagena Bay and Barbacoas Bay (Fig. 2) and intertidal rocky shores in Santa Marta Bay (Fig. 3).

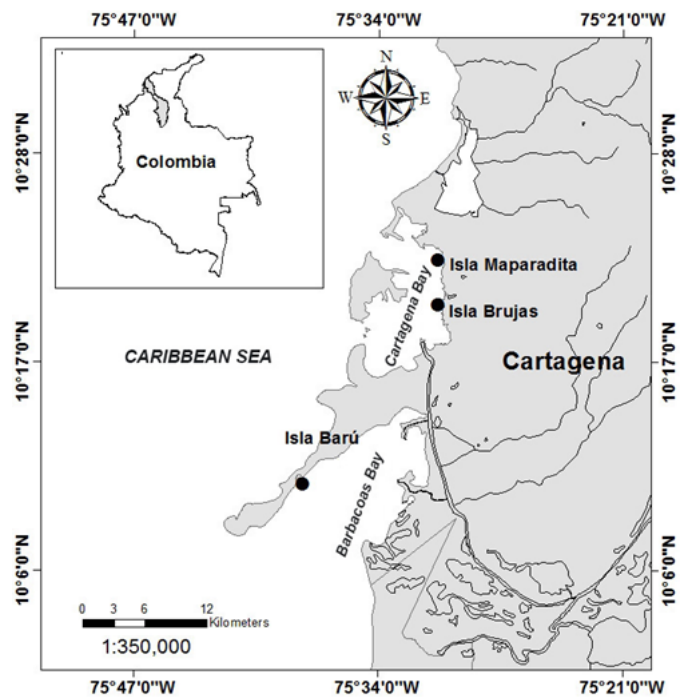
In Cartagena Bay, a mangle islet 200 m north of Isla Maparadita (0.5 Km offshore the Terminal of Cartagena Port) and Isla Brujas were selected as seemingly polluted sites, as shown in previous studies (Vivas-Aguas et al., 2010; 2014). Isla Brujas is an islet adjacent to the industrial zone of Mamonal (oil refineries, petrochemicals, and asphalt, cement and smelting plants) that also receives the direct impact of the Dique Channel. This channel was open 5 centuries ago to connect the Magdalena River with the Cartagena Bay for navigation and constitutes a major source of sediments and chemical pollution in the Cartagena Bay (Vivas-Aguas et al., 2014). In addition, Isla Barú in Barbacoas Bay was selected as a potential reference site; however, it also may be influenced by the Magdalena River to which it communicates by smaller channels via the Dique Channel since the 1950's (Gómez-Giraldo et al., 2009).

In Santa Marta Bay, the Marina Santa Marta was selected as a sampling site subject to strong anthropogenic influence (Garcia et al., 2012), whereas the nearby Taganga Harbour was a priori considered as a reference site. Sampling was carried out over one year (2012-2013) in the rainy season (October 2012) and in the dry season (March 2013). Seawater surface temperature was in the range of 30-32°C during both seasons.

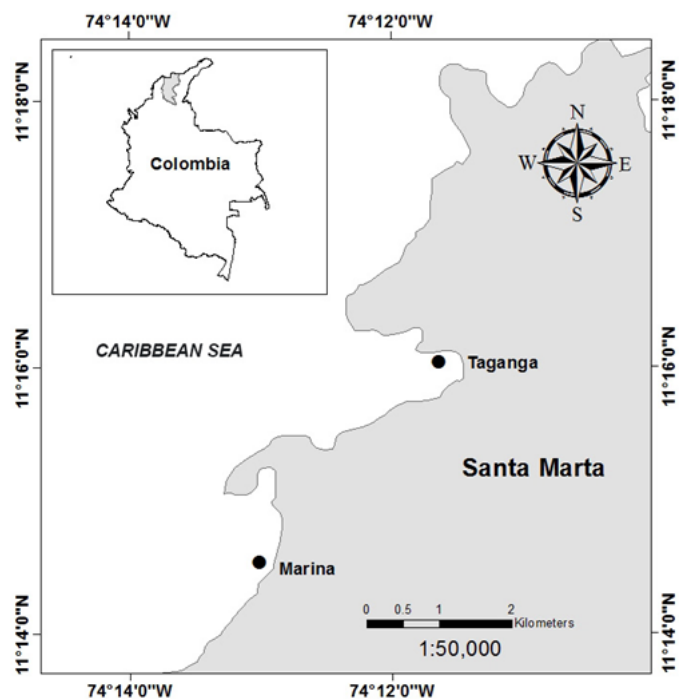


**Fig. 1.** Caribbean coastal maps from Nicaragua, showing the localities where oysters *Crassostrea rhizophorae* were collected in 2012-2013. Nicaraguan coast: Pigeon Cay (12°21'42.50"N-83°38'32.11"W); Half Way Cay (11°59'58.73"N-83°43'28.24"W) and Punta Lora (11°54'21.02"N-83°44'58.68"W).

**Fig. 2.** Caribbean coastal maps from Cartagena-Colombia, showing the localities where oysters *Crassostrea rhizophorae* were collected in 2012-2013. Isla Barú ( $10^{\circ}10'56.02''\text{N}$ - $75^{\circ}38'21.74''\text{W}$ ); Isla Brujas ( $10^{\circ}19'59.07''\text{N}$ - $75^{\circ}30'47.24''\text{W}$ ) and Isla Maparadita ( $10^{\circ}22'21.09''\text{N}$ - $75^{\circ}30'48.65''\text{W}$ ) in Cartagena Bays.



**Fig. 3.** Caribbean coastal maps from Santa Marta-Colombia, showing the localities where oysters *Crassostrea rhizophorae* were collected in 2012-2013. Marina Santa Marta ( $11^{\circ}14'31.59''\text{N}$ - $74^{\circ}13'05.24''\text{W}$ ) and Taganga ( $11^{\circ}16'07.68''\text{N}$ - $74^{\circ}11'37.37''\text{W}$ ) in Santa Marta Bay.



Up to 85 mangrove cupped oysters (*Crassostrea rhizophorae*) were collected per sampling site, of which 60 were used for biological effects assessment (Chapter 2) and the remaining 25 for the chemical analyses presented herein. Upon collection, oysters were placed in 15 L plastic boxes (2 individuals / L) in seawater at ambient temperature and transported to the laboratory (for 3-6 h) before processing. Due to logistic problems, sampling could not be conducted in Pigeon Cay during the dry season.

## 2.2. Tissue concentrations of contaminants

Pools of mangrove cupped oysters (25 individuals) were homogenised and freeze-dried before being stored (at -20°C) and analysed. For the analysis of metals (Ag, Al, As, Cd, Cr, Cu, Hg, Ni, Pb, Ti, V and Zn), 100 mg of freeze-dried samples were digested in a microwave oven (Multiwave 3000, Anton Paar, Austria) and the extracts were first filtered and then measured in an Inductively Coupled Plasma - Mass Spectrometer (ICP-MS; NexION 300 Perkin Elmer, USA) (Bartolomé et al., 2010; Navarro et al., 2010). For the analysis of the organic contaminants, 0.3 g of freeze-dried samples and 0.3 g of Florisil dispersant were manually blended in a glass mortar and were transferred to a 10-mL glass syringe containing 0.6 g of deactivated silica and 4.0 g of activated silica. The target analytes were eluted with 25 mL of dichloromethane, and the eluate was evaporated to dryness using N<sub>2</sub> blowdown and reconstituted to a final volume of 140 L of n-hexane. All these extracts were analysed by Gas Chromatography - Mass Spectrometry (GC-MS) using an Agilent 7890A gas chromatograph coupled to an Agilent 7000 triple quadrupole mass spectrometer and an Agilent 7693 autosampler (Agilent Technologies). The MassHunter WorkStation Acquisition Software (Version B.05.02/Build 5.2.365.0, Agilent Technologies, 2008) was used for data acquisition and automatic integration and quantification of the results. This method was designed to measure up to 40 non-polar or slightly non-polar organic pollutants such as PAHs, PCBs, PBDEs, organochlorine pesticides (OCPs), organophosphorus pesticides and musk fragrances (Ziarrusta et al., 2015).

## 2.3. Chemical pollution indices

Pollutant concentrations in mangrove cupped oyster tissues were compared with available environmental quality criteria for individual pollutants (OSPAR Commission, 2005; 2009; 2013; Kimbrough et al., 2008; Green et al., 2012): Background Reference Concentrations (BRCs); Background Assessment Criteria (BACs), Highest Background Concentrations (HBCs) and

Highest Low Concentrations (HLC). BRCs are intended to provide baseline or reference concentrations, and to describe environmental conditions under which there is no anthropogenic influence on the concentrations of the target substances in the environment (Davies, 2004; OSPAR Commission, 2005). BACs describe the threshold value for the background level, using data from reference sites (OSPAR Commission, 2005; 2009; 2013). HBCs correspond to the upper limit to Class I in the Norwegian marine pollution monitoring approach, which recognises five classes from Class I, insignificantly polluted, to Class V, extremely polluted (Green et al. 2012). HLCs correspond to the upper limit of the Low Concentration Range in the NOAA's mussel watch pollution monitoring; this recognises three concentration ranges (low, medium and high) for the tissue concentration of pollutants in oysters (Kimbrough et al., 2008).

The Chemical Pollution Index (CPI; Bellas et al., 2011; 2014; Beiras et al., 2012) was calculated for each site and season. For this purpose, Concentration Factors (CF) were calculated for each pollutant by dividing the tissue pollutant concentrations ( $C_{tiss}$ ) by the corresponding environmental quality criterion ( $C_{eqc}$ ):  $CF = C_{tiss} / C_{eqc}$ . Then, the following formula was applied:  $CPI = \sum_i [\log(CF_i)]$ . Likewise, the Pollution Load Index (PLI; Tomlinson et al., 1980) was computed for each site and season by obtaining the n-root from the n-CFs that were obtained for all the pollutants, according to the following equation:  $PLI = \sqrt[n]{CF_1 \times CF_2 \dots \dots CF_n}$ .

## 2.4. Statistical analyses

Statistical analyses were carried out with the aid of SPSS version 22 statistical package (IBM SPSS, Armonk, NY, USA). The normality of data distribution (Shapiro-Wilk's test) and homogeneity of variance (Levene's test) were determined before proceeding with subsequent analyses. Due to the high variability between samples, even after normal distribution transformation of data, non-parametric analyses were carried out. Significant differences between localities and seasons in pollutant tissue concentrations in oysters were analyzed by the Z score test ( $p < 0.05$ ). Pearson's correlation between tissue concentrations of pollutants was carried out for Nicaraguan (N=5) and Colombian (N=10) oysters, separately; also between PLI and CPI for the complete set of studied localities. Principal component analysis (PCA) was performed (N. variables = 43) on the basis of leverage correlation after normalization and standardisation of the data, using "The Unscramble" v. 7.1 software (Camo, Norway).

## 3. Results

### 3.1. Nicaragua

Overall, metal tissue concentrations were not high (Table 1) and after applying the Z score test differences between seasons could only be established with a significance level of  $p < 0.1$ . Likewise, metal tissue concentrations were slightly higher in Half Way Cay than in Punta Lora, and statistical significance was established with  $p < 0.1$ , especially in the rainy season (Table 1). PAH tissue concentration was apparently higher in the dry season than in the rainy season (Table 2). In the rainy season, the highest values were recorded in Pigeon Cay and the lowest in Punta Lora, with Half Way Cay values in between (Table 2). In the dry season, tissue PAH concentration increased up to 8 fold in Punta Lora but remained seemingly unchanged in Half Way Cay (Table 2). The most relevant PAHs found were acenaphthylene, acenaphthene, pyrene, benzo[a]pyrene, indeno[1,2,3-cd]pyrene and dibenzo[ah]anthracene, especially in Pigeon Cay in the rainy season but also, to a lesser extent, in Half Way Cay and Punta Lora in the dry season (Table 2).

The sum of the 16 USEPA PAHs (except naphthalene) was much lower in Punta Lora during the rainy season than in any other Nicaraguan sample, which was accompanied by low values of  $\sum_{\text{HMWPAHs}}$  and  $\sum_{\text{LMWPAHs}}$  and a high  $\sum_{\text{LMWPAHs}}/\sum_{\text{HMWPAHs}}$  ratio (Table 2). Unlike in the case of PAHs, POP tissue concentrations were higher in the rainy season than in the dry season (Table 3); nevertheless, significant differences after applying the Z score test could only be established at the level of  $p < 0.1$  in most of the cases. HCHs and DDTs and their derivatives were recorded in oyster tissues in all the samples analyzed, irrespective of the locality and the season (Table 3). Interestingly, the highest tissue concentrations of pesticides ( $\alpha$ -HCH,  $\beta$ -HCH and DDT derivatives such as 4,4-DDE) were recorded in Punta Lora in the rainy season (Table 3). Musk fragrances were always below detection limits. The tissue concentrations for CB28, CB118 and CB153 were high and similar between localities and seasons, whilst for other individual PCBs (CB52, CB101, CB138 and CB180) tissue concentrations were higher in the dry season than in the rainy season, and especially high in Pigeon Cay (Table 3). Only one of the eight analysed PBDEs (BDE85) was found in oyster tissues at measurable levels; this showed markedly high values in the rainy season in Pigeon Cay and Punta Lora (Table 3). According to the Pearson's correlation analysis carried out (Table 4), there existed association (a) amongst LMWPAHs (other than acenaphthylene and pyrene) and some HMWPAHs, such as benzo[a]anthracene, chrysene, benzo[b]fluoranthene+benzo[k]fluoranthene, as well as As, chlorpyrifos and PCBs; (b) amongst

benzo[a]pyrene, benzo[ghi]pyrene and indeno[1,2,3-cd]pyrene; and (c) between  $\text{HMWPAHs}$  and HCHs (+) and  $\text{HMWPAHs}$  and DDE (-).

The pollutant profile for each locality and season is illustrated in radar plots in Fig. 4. For this purpose, the following variables were used to construct the radar plots using a spreadsheet: (a) sum of tissue concentrations of metals upon removal of outliers such as Al, Cu, Ti and Zn; (b) sum of 16 US EPA priority PAHs (USEPA, 2001) except naphthalene; (c) sum of musks (HHCB +AHTM); (d) sum of 7 ICES PCBs (OSPAR Commission, 2010); and (e) sum of OCPs (HCHs + DDTs). It can clearly be seen that (a) pollutant tissue concentrations were higher in the rainy season than in the dry season in all localities; (b) PCBs and OCPs were the most representative pollutants; and (c) OCPs seem to constitute a potential problem were elevated in Punta Lora during the rainy season.

**Table 1.** Metal tissue concentration in *Crassostrea rhizophorae* ( $\mu\text{g/g}$ ) from shallow subtidal oyster reefs of Nicaraguan mangrove lagoons. The superscript letters (a, b and c) indicate roughly significant differences between groups ( $p < 0.1$ ). LOD, limit of detection.

	LOD	RAINY SEASON			DRY SEASON	
		Pigeon Cay	Half Way Cay	Punta Lora	Half Way Cay	Punta Lora
<b>Ag</b>	0.0015	1.88 <sup>a</sup>	2.08 <sup>a</sup>	2.98 <sup>b</sup>	0.92 <sup>a</sup>	0.89 <sup>a</sup>
<b>Al</b>	15.3	469.67 <sup>a</sup>	665.83 <sup>b</sup>	225.42 <sup>c</sup>	408.77 <sup>a</sup>	488.05 <sup>a</sup>
<b>As</b>	0.01	6.81 <sup>a</sup>	4.23 <sup>b</sup>	2.96 <sup>b</sup>	4.39 <sup>b</sup>	4.59 <sup>b</sup>
<b>Cd</b>	0	1.39 <sup>a</sup>	2.50 <sup>a</sup>	1.78 <sup>a</sup>	1.78 <sup>a</sup>	3.59 <sup>b</sup>
<b>Cr</b>	0.22	0.79 <sup>a</sup>	1.04 <sup>b</sup>	0.36 <sup>a</sup>	0.54 <sup>a</sup>	0.39 <sup>a</sup>
<b>Cu</b>	0.11	557.13 <sup>a</sup>	541.19 <sup>a</sup>	220.02 <sup>b</sup>	487.66 <sup>a</sup>	357.22 <sup>a</sup>
<b>Hg</b>	0.004	0.16 <sup>a</sup>	0.18 <sup>a</sup>	0.12 <sup>a</sup>	0.08 <sup>b</sup>	0.13 <sup>a</sup>
<b>Ni</b>	0.54	1.00 <sup>a</sup>	1.21 <sup>a</sup>	0.54 <sup>b</sup>	0.75 <sup>a</sup>	1.38 <sup>a</sup>
<b>Pb</b>	0.015	0.25 <sup>a</sup>	0.33 <sup>a</sup>	0.21 <sup>a</sup>	0.26 <sup>a</sup>	0.39 <sup>b</sup>
<b>Ti</b>	0.94	18.32 <sup>a</sup>	24.17 <sup>b</sup>	11.15 <sup>a</sup>	11.27 <sup>a</sup>	12.68 <sup>a</sup>
<b>V</b>	0	1.43 <sup>a</sup>	2.07 <sup>b</sup>	0.73 <sup>a</sup>	1.07 <sup>a</sup>	1.44 <sup>a</sup>
<b>Zn</b>	2.36	1525.61 <sup>a</sup>	2544.21 <sup>b</sup>	936.76 <sup>a</sup>	1945.92 <sup>a</sup>	1490.22 <sup>a</sup>
<b><math>\Sigma</math>Metals</b>		<b>2584.44<sup>a</sup></b>	<b>3789.04<sup>b</sup></b>	<b>1403.03<sup>c</sup></b>	<b>2863.41<sup>a</sup></b>	<b>2360.97<sup>a</sup></b>

Positive CPI values, always  $< 1$ , were recorded in Pigeon Cay in the rainy season and in Half Way Cay and Punta Lora in the dry season (Fig. 5a). Overall, the main pollutants contributing to these CPI values were As, Cd, benzo[a]pyrene, HCHs, DDTs and PCBs (SM 1). PLI values were



always <50, although PLI recorded in Pigeon Cay at the rainy season was 43.8 (Fig. 5b). Benzo[a]pyrene in the dry season and, generally, HCHs and DDTs were found to be the major contributors to PLI (SM 2).

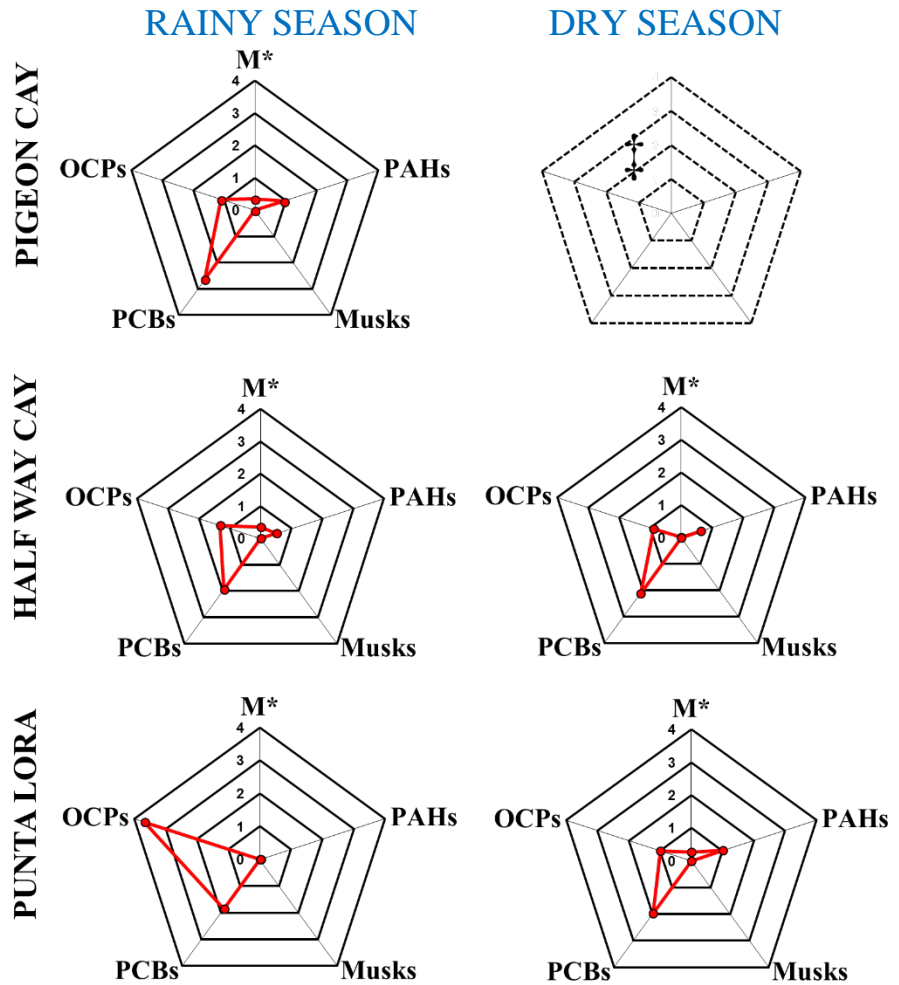
**Table 2.** PAH tissue concentration in *Crassostrea rhizophorae* (ng/g) from shallow subtidal oyster reefs of Nicaraguan mangrove lagoons. The superscript letters (a, b and c) indicate significant differences between groups ( $p < 0.05$ ;  $p < 0.1$ , when shown between brackets). The following compounds were under detection limits in all the samples (superscript numbers refer to the sums and indices given in the table where they are integrated): Flu (LOD=0.07)<sup>(1)</sup>; Flr (LOD=0.34)<sup>(1)</sup>. LOD, limit of detection; udl, under detection limits; n/a, not applicable.

	LOD	RAINY SEASON			DRY SEASON	
		Pigeon Cay	Half Way Cay	Punta Lora	Half Way Cay	Punta Lora
<b>Acy</b> <sup>(1)</sup>	1	30 <sup>a</sup>	30 <sup>a</sup>	udl <sup>b</sup>	26 <sup>a</sup>	31 <sup>a</sup>
<b>Ace</b> <sup>(1)</sup>	1	52	29	29	38	38
<b>Phe</b> <sup>(1)</sup>	0.49	udl <sup>a</sup>	udl <sup>a</sup>	udl <sup>a</sup>	23 <sup>a</sup>	83 <sup>b</sup>
<b>Ant</b>	1	22 <sup>a</sup>	5 <sup>b</sup>	4 <sup>b</sup>	10 <sup>b</sup>	9 <sup>b</sup>
<b>Pyr</b> <sup>(1)</sup>	1	29	udl	udl	udl	25
<b>Benz[a]A</b> <sup>(2)</sup>	1	24	6	5	13	12
<b>Chr</b> <sup>(2)</sup>	1	24 <sup>a</sup>	5 <sup>b</sup>	7 <sup>b</sup>	12 <sup>b</sup>	11 <sup>b</sup>
<b>B[b]F + B[k]F</b> <sup>(2)</sup>	1	23	udl	3	10	10
<b>B[a]P</b> <sup>(2)</sup>	1	64 <sup>a</sup>	50 <sup>a</sup>	udl <sup>b</sup>	45 <sup>a</sup>	55 <sup>a</sup>
<b>B[ghi]P</b>	1	19 <sup>a</sup>	20 <sup>a</sup>	udl <sup>b</sup>	17 <sup>a</sup>	22 <sup>a</sup>
<b>Ind</b> <sup>(2)</sup>	2	26 <sup>a</sup>	26 <sup>a</sup>	udl <sup>b</sup>	23 <sup>a</sup>	28 <sup>a</sup>
<b>D[ah]A</b> <sup>(2)</sup>	2	29 <sup>a</sup>	34 <sup>a</sup>	udl <sup>b</sup>	30 <sup>a</sup>	40 <sup>a</sup>
<b>∑PAHs (16; except Naph)</b>		<b>342<sup>(a)</sup></b>	<b>204<sup>(a)</sup></b>	<b>48<sup>(b)</sup></b>	<b>248<sup>(a)</sup></b>	<b>365<sup>(a)</sup></b>
<b>∑HMWPAHs<sup>∑(2)</sup> (carcinogenic)</b>		<b>190<sup>(a)</sup></b>	<b>121<sup>(a)</sup></b>	<b>15<sup>(b)</sup></b>	<b>133<sup>(a)</sup></b>	<b>156<sup>(a)</sup></b>
<b>∑LMWPAHs<sup>∑(1)</sup> (%∑PAHs)</b>		<b>111<sup>(a)</sup> (32%)</b>	<b>59<sup>(a)</sup> (29%)</b>	<b>29<sup>(a)</sup> (60%)</b>	<b>87<sup>(a)</sup> (35%)</b>	<b>177<sup>(b)</sup> (48%)</b>
<b>Ind / B[ghi]P</b>		<b>1.37</b>	<b>1.30</b>	<b>n/a</b>	<b>1.35</b>	<b>1.27</b>
<b>∑LMWPAHs / ∑HMWPAHs</b>		<b>0.58<sup>(a)</sup></b>	<b>0.49<sup>(a)</sup></b>	<b>1.93<sup>(b)</sup></b>	<b>0.65<sup>(a)</sup></b>	<b>1.13<sup>(a)</sup></b>
<b>Ind / (Ind + B[ghi]P)</b>		<b>0.58</b>	<b>0.57</b>	<b>n/a</b>	<b>0.58</b>	<b>0.56</b>

**Table 3.** POP tissue concentration in *Crassostrea rhizophorae* (ng/g) from shallow subtidal oyster reefs of Nicaraguan mangrove lagoons. The superscript letters (a, b and c) indicate significant differences between groups ( $p < 0.05$ ;  $p < 0.1$ , when shown between brackets). The following compounds were under detection limits in all the samples (superscript numbers refer to the sums and indices given in the table where they are integrated): 2,4-DDD (LOD=5)<sup>(3)</sup>; 4,4-DDT (LOD=50)<sup>(3)</sup>; 2,4-DDE (LOD=5)<sup>(3)</sup>; HCHB (LOD=8.8)<sup>(4)</sup>; AHTN (LOD=10)<sup>(4)</sup>; CB180 (LOD=1)<sup>(5)</sup>; BDE28 (LOD=7.2)<sup>(6)</sup>; BDE47 (LOD=1.3)<sup>(6)</sup>; BDE66 (LOD=0.94)<sup>(6)</sup>; BDE99 (LOD=6.6)<sup>(6)</sup>; BDE100 (LOD=7.5)<sup>(6)</sup>; BDE153 (LOD=50)<sup>(6)</sup>; BDE154 (LOD=50)<sup>(6)</sup>. LOD, limit of detection; udl, under detection limits; n/a, not applicable.

	LOD	RAINY SEASON			DRY SEASON	
		Pigeon Cay	Half Way Cay	Punta Lora	Half Way Cay	Punta Lora
$\alpha$ -HCH	50	60 <sup>(a)</sup>	udl <sup>(a)</sup>	102 <sup>(b)</sup>	udl <sup>(a)</sup>	udl <sup>(a)</sup>
$\beta$ -HCH	25	89 <sup>(a)</sup>	90 <sup>(a)</sup>	102 <sup>(a)</sup>	87 <sup>(a)</sup>	116 <sup>(b)</sup>
$\gamma$ -HCH	2	25 <sup>(a)</sup>	14 <sup>(a)</sup>	9 <sup>(a)</sup>	17 <sup>(a)</sup>	30 <sup>(b)</sup>
$\delta$ -HCH	25	88 <sup>a</sup>	85 <sup>a</sup>	74 <sup>b</sup>	85 <sup>a</sup>	90 <sup>a</sup>
$\Sigma$ HCHs		<b>262</b>	<b>189</b>	<b>287</b>	<b>189</b>	<b>236</b>
4,4-DDE <sup>(3)</sup>	2	36 <sup>a</sup>	206 <sup>a</sup>	992 <sup>b</sup>	35 <sup>a</sup>	35 <sup>a</sup>
2,4-DDT <sup>(3)</sup>	25	113 <sup>(a)</sup>	101 <sup>(b)</sup>	90 <sup>(c)</sup>	105 <sup>(b)</sup>	99 <sup>(b)</sup>
$\Sigma$ DDTs <sup><math>\Sigma</math>(3)</sup>		<b>149<sup>a</sup></b>	<b>307<sup>a</sup></b>	<b>1082<sup>b</sup></b>	<b>140<sup>a</sup></b>	<b>134<sup>a</sup></b>
4,4-DDT/4,4-DDE		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
2,4-DDD/4,4-DDE		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
(2,4-DDD+4,4-DDE)/ $\Sigma$ DDTs		<b>0.24<sup>(a)</sup></b>	<b>0.67<sup>(a)</sup></b>	<b>0.92<sup>(b)</sup></b>	<b>0.25<sup>(a)</sup></b>	<b>0.26<sup>(a)</sup></b>
$\Sigma$ OCPs		<b>411<sup>a</sup></b>	<b>496<sup>a</sup></b>	<b>1369<sup>b</sup></b>	<b>329<sup>a</sup></b>	<b>370<sup>a</sup></b>
Chlorpyrifos	2	11 <sup>(a)</sup>	udl <sup>(b)</sup>	udl <sup>(b)</sup>	5 <sup>(b)</sup>	udl <sup>(b)</sup>
$\Sigma$ Musks <sup><math>\Sigma</math>(4)</sup>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
CB28 <sup>(5)</sup>	1	111 <sup>(a)</sup>	100 <sup>(a)</sup>	99 <sup>(a)</sup>	116 <sup>(b)</sup>	101 <sup>(a)</sup>
CB52 <sup>(5)</sup>	1	18 <sup>a</sup>	udl <sup>b</sup>	udl <sup>b</sup>	udl <sup>b</sup>	udl <sup>b</sup>
CB101 <sup>(5)</sup>	1	19 <sup>a</sup>	udl <sup>b</sup>	udl <sup>b</sup>	udl <sup>b</sup>	udl <sup>b</sup>
CB118 <sup>(5)</sup>	1	124	121	111	121	118
CB138 <sup>(5)</sup>	1	22 <sup>a</sup>	udl <sup>b</sup>	udl <sup>b</sup>	udl <sup>b</sup>	udl <sup>b</sup>
CB153 <sup>(5)</sup>	1	47 <sup>(a)</sup>	29 <sup>(b)</sup>	28 <sup>(b)</sup>	36 <sup>(b)</sup>	35 <sup>(b)</sup>
$\Sigma$ PCBs <sup><math>\Sigma</math>(5)</sup> (PCB <sub>7</sub> )		<b>341<sup>(a)</sup></b>	<b>250<sup>(b)</sup></b>	<b>238<sup>(b)</sup></b>	<b>273<sup>(b)</sup></b>	<b>254<sup>(b)</sup></b>
BDE85 <sup>(6)</sup>	1	325 <sup>a</sup>	udl <sup>b</sup>	89 <sup>b</sup>	udl <sup>b</sup>	udl <sup>b</sup>
$\Sigma$ PBDEs <sup><math>\Sigma</math>(6)</sup>		<b>325<sup>a</sup></b>	<b>udl<sup>b</sup></b>	<b>89<sup>b</sup></b>	<b>udl<sup>b</sup></b>	<b>udl<sup>b</sup></b>
$\Sigma$ POPs		<b>1088<sup>a</sup></b>	<b>746<sup>a</sup></b>	<b>1696<sup>b</sup></b>	<b>607<sup>a</sup></b>	<b>624<sup>a</sup></b>

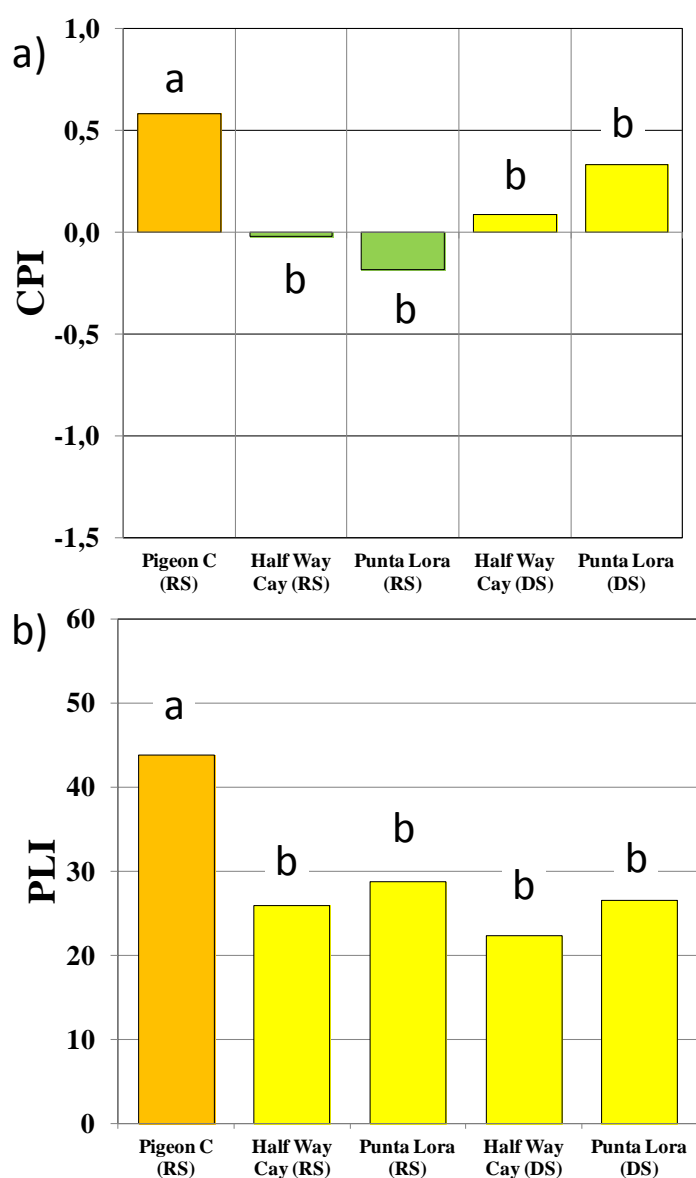
**Fig. 4.** Radar plots obtained using the total concentration of metals (other than Al, Cu, Ti and Zn: M\*;  $\mu\text{g/g}$  dry-wt), the sum of the 16 USEPA priority PAHs (ng/g dry-wt), the sum of musks (ng/g dry-wt), the sum of the 7 ICES PCBs (ng/g dry-wt) and the sum of OCPs (ng/g dry-wt), as variables to depict the tissue pollutant profile (red lines) for each locality and each season in oysters collected from



### 3.2. Colombia

With the exception of oysters from Taganga Bay, metal tissue concentrations were higher in the rainy season than in the dry season and not very dissimilar amongst localities, with the exception of the Zn tissue concentration (Table 4; Z score test,  $p < 0.05$ ). In Taganga, the tissue concentrations of Al, As, Cr, Ni, Ti and V were significantly higher in the dry season than in the rainy season (Table 5). PAH tissue concentrations were higher in the dry season than in the rainy season except in Isla Brujas where exactly the opposite was observed (Table 6). In the dry season, the most abundant PAH was phenanthrene in all the localities, although fluorene and pyrene were also relevant in Isla Brujas (Table 6). In the rainy season, in contrast, fluorene and anthracene were more concentrated in all the localities, and the levels of carcinogenic PAHs, especially chrysene, but also pyrene, benzo[a]anthracene, benzo[ghi]pyrene and indeno[1,2,3-cd]pyrene, were notably elevated at Isla Brujas (Table 6). Likewise, POP tissue concentrations were noticeably higher in the dry season than in the rainy season, especially in Isla Brujas and Taganga (Table 7). The levels of HCHs, DDTs, chlorpyrifos and PBDEs in oyster tissues were always below the detection limit (Table 7). The tissue concentrations of PCBs and, more markedly, of musk fragrances were higher

in the dry season than in the rainy season in all the localities studied (Table 7). Besides, musk fragrances were below detection limits in Marina Santa Marta and Taganga Bay during the rainy season. According to the Pearson's correlation analysis carried out (Table 8), there was association (a) amongst different metals and of these with CB138; (b) amongst  $\sum_{\text{HMWPAHs}}$ ; and (c) between musk fragrances and of these with CB52. Radar plots, constructed as above detailed, were used to illustrate the pollutant profile in Colombian oyster tissues (Fig. 6). It can be observed that pollutant levels were higher in the dry season than in the rainy season. Isla Brujas was the locality most influenced by PAHs. Musk fragrances gained relevance in the dry season in all localities, especially in Taganga. PCBs and OCPs lacked any relevance in the pollutant profile of Colombian oyster tissues.



**Fig. 5.** (a) Chemical Pollution Index (CPI) in oysters from Nicaragua. CPI is categorized into three groups: 'low pollution' when  $\text{CPI} \leq 0$ , 'moderate pollution' when  $0 < \text{CPI} \leq 1$  and 'high pollution' when  $\text{CPI} > 1$  (according to Bellas et al., 2011; 2014). (b) Pollution Load Index (PLI) derived from the tissue concentrations of pollutants in oysters from Nicaragua (Tomlinson et al., 1980). A PLI of 100 correspond to very contaminated sites and a  $\text{PLI} > 50$  requires a potential risk and moderate contamination (Angulo, 1996). Letters (a and b) indicate significant differences between groups ( $p < 0.05$ ) after the Z-score test. RS, rainy season; DS, dry season.



Positive CPI values ( $<1$ ) were only recorded in Isla Brujas in the rainy season (Fig. 7a), with As, Cd, benzo[a]anthracene, chrysene and benzo[ghi]pyrene as the main pollutants contributing to this CPI value (SM 1). PLI values were always  $<50$ , although PLI recorded in Isla Brujas at the rainy season was 44.0, and PLI values recorded in the dry season in Isla Brujas and Isla Maparadita were, respectively, 19.9 and 11.1 (Fig. 7b). Cadmium, benzo[a]anthracene, chrysene and benzo[ghi]pyrene were found to be the major contributors to PLI in Isla Brujas in the rainy season whilst in the dry season, the main contributors in both Isla Brujas and Isla Maparadita were HHCB, phenathrene and Cd (SM 2).

## 4. DISCUSSION

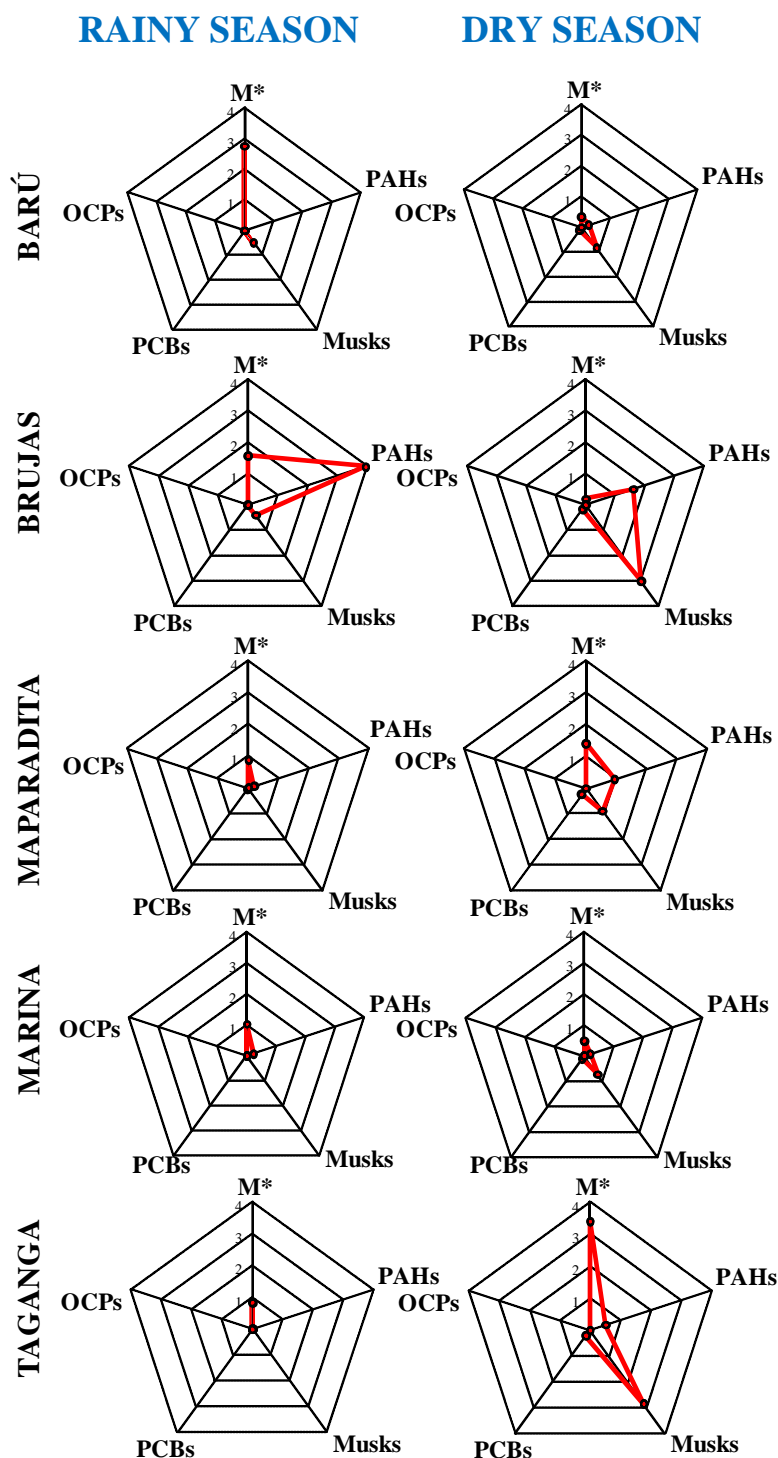
### 4.1. Metals

In Nicaragua, metal tissue concentrations in mangrove cupped oysters were low, according to proposed criteria for oysters and mussels (Silva et al., 2003, Kimbrough et al., 2008, Alfonso et al., 2013, Solaun et al., 2013), with some exceptions. Mercury was found at moderate levels in the dry season and in Punta Lora during the rainy season and at high levels in Half Way Cay and Pigeon Cay during the rainy season. This can be attributed to the presence of artisanal gold mining upstream the Escondido River (Dumailo, 2003; MARENA, 2010), which is a major source of Hg pollution (Cordy et al., 2011). Cadmium was found at intermediate levels in Punta Lora during the dry season, and Cu at intermediate levels in all the localities. Urban runoff and sewage are typical sources for these metals, especially when there is no sanitation system, as is the case (Silva et al., 2001). In addition, antifouling paints, widely used because navigation is the main transportation means in the area, are a potential source for Cu (Wallner-Kersanach et al., 2000). Overall, metal tissue concentrations were higher in Half Way Cay than in Punta Lora during the rainy season, which is consistent because Half Way Cay is located at the sediment deposition zone of the lagoon, whilst Punta Lora is along the outflow of the secondary channel of the lagoon. In Colombia, the tissue concentration of As, Cd, Cr, Cu and Zn in oysters was relatively high when compared with concentration reported in other Caribbean localities (Campos, 1990; Silva et al., 2001; Cogua et al., 2012). In addition, tissue concentration of Hg in Marina Santa Marta during the dry season, and the tissue concentration of Pb in Isla Barú and Isla Brujas in the rainy season and in Marina Santa Marta and Taganga at both seasons were moderate (Kimbrough et al., 2008). Extremely high Cd tissue concentration were recorded in Isla Barú and Isla Brujas during the rainy season and in

Isla Maparadita at the dry season, whilst moderate-to-high levels were found in Isla Brujas during the dry season and in Isla Maparadita during the rainy season, according to NOAA criteria for *C. virginica* (Kimbrough et al., 2008). The values greatly exceeded those reported for *C. corteziensis* from coastal lagoons in Mexico (Wider Caribbean Region) as indicative of polluted areas ( $5.34 \mu\text{g Cd/g dry-wt}$ ; Frias Espiricueta et al., 2009). The presence of high levels of Cd in Isla Brujas and Isla Maparadita can be easily explained by the industrial activities carried out in these localities as well as by the influence of sediment loads received from the Dique Channel. Conversely, the concentration of Pb, Cr and Cd in seawater was determined to be below detection limits (Vivas-Aguas et al., 2014), which suggests that these metals may be associated with sediments and particulate matter and therefore they could not be detected by seawater analysis. These findings highlight the need to use biomonitors for metal pollution assessment rather than seawater analysis, as established since the pioneering proposal of Goldberg, (1975). Likewise, the high levels of Cd recorded in the Isla Barú oysters' tissues at the rainy season suggest that sediments and water of the Magdalena River are an important source of metal pollution, since metals were not detectable in seawater (Vivas-Aguas et al., 2014) but are detected in oyster tissues as a result of bioaccumulation.

In Marina Santa Marta oysters, the tissue concentration of As, Cu and Zn can be considered moderate (Kimbrough et al., 2008), as well as Ni tissue concentrations in Taganga oysters in the dry season. The main source of metal pollution in this area are likely to be port activities, since local metal industries and mining are absent (Vivas-Aguas et al., 2014). Thus, antifouling paints and vessel repair activities in the marina constitute the most likely sources of Cu and Zn in Marina Santa Marta (Wallner-Kersanach et al., 2000). The medium tissue concentration of As in Marina Santa Marta oysters and, more specifically, the high concentration of this metal recorded in Taganga oysters during the dry season are difficult to explain. According to geochemical data, As is a major potential pollutant in Colombia, as it is widespread throughout the country in the form of arsenopyrite associated with widely disseminated gold ores (Alonso et al., 2013). However, specific measurements carried out in sediments of the Ciénaga Grande de Santa Marta swamp revealed very low As concentrations (Perdomo et al., 1998). Nevertheless, Taganga cannot be considered a pristine reference site because together with musk fragrances (see below) and the metal aforementioned, also the tissue levels of Cr were moderately high (e.g.,  $6.39 \mu\text{g Cr/g dry-wt}$  in *C. rhizophorae* from Taganga vs.  $1-1.8 \mu\text{g Cr/g dry-wt}$  in *C. rhizophorae* from the nearby central Venezuelan coast; legal limit for shellfish consumption is  $5 \mu\text{g Cr/g dry-wt}$ ). The most

probable source of As, musk fragrances and Cr are municipal wastewater discharges from Santa Marta that are delivered into Taganga Bay via a submarine outfall. On the other hand, Cd and Pb tissue concentration in oysters were low, in agreement with the low concentration of these metals detected in seawater during the study period (Vivas-Aguas et al., 2014).



**Fig. 6.** Radar plots obtained using the total concentration of metals (other than Al, Cu, Ti and Zn: M\*;  $\mu\text{g/g}$  dry-wt), the sum of the 16 USEPA priority PAHs (ng/g dry-wt), the sum of musks (ng/g dry-wt), the sum of the 7 ICES PCBs (ng/g dry-wt) and the sum of OCPs (ng/g dry-wt), as variables to depict the tissue pollutant profile (red lines) for each locality and each season in oysters collected from Colombia.



**Table 5.** Metal tissue concentration in *Crassostrea rhizophorae* ( $\mu\text{g/g}$ ) from intertidal roots/docks of Colombian mangrove swamps. The superscript letters (a, b and c) indicate significant differences between groups ( $p < 0.05$ ;  $p < 0.1$ , when shown between brackets). LOD, limit of detection.

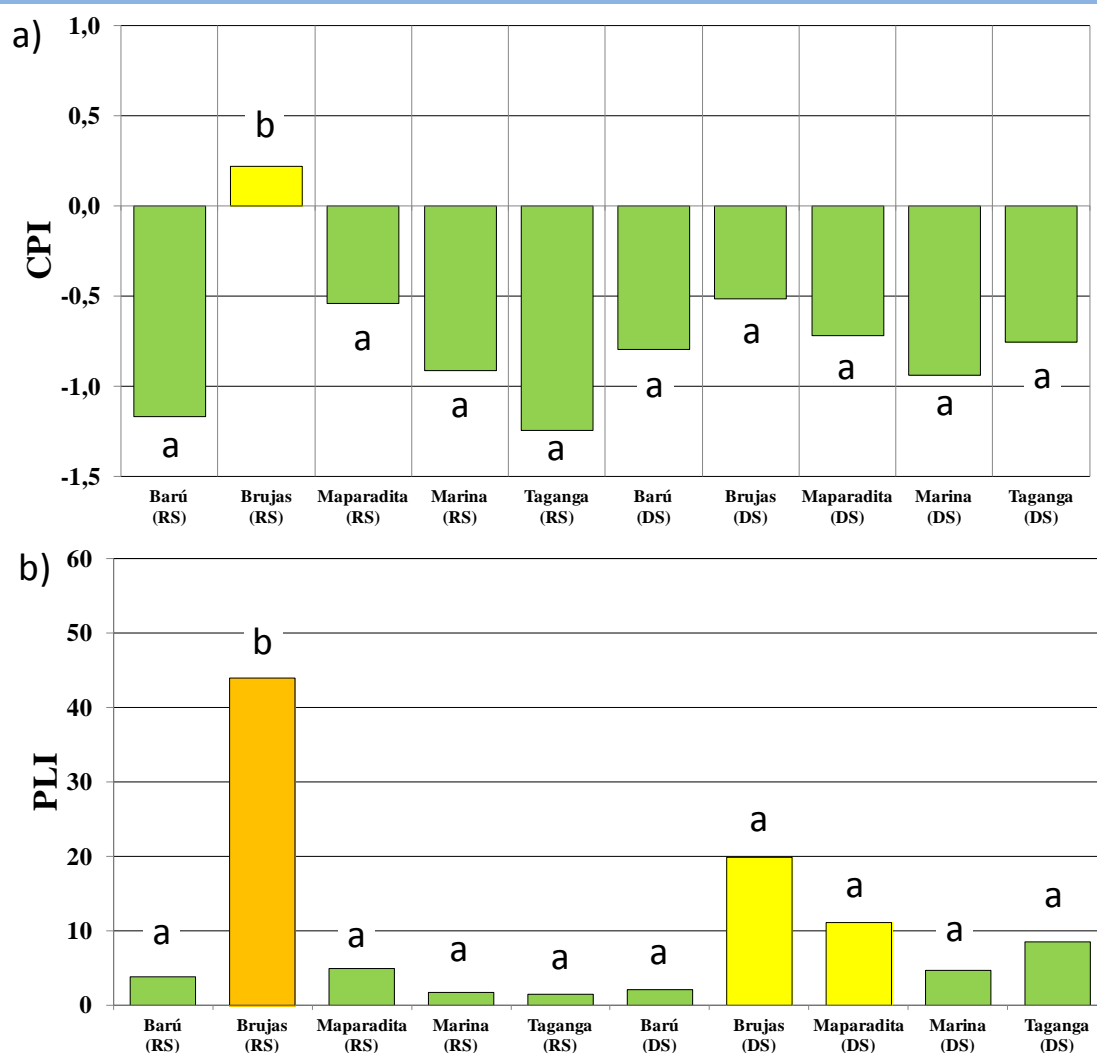
	LOD	RAINY SEASON						DRY SEASON					
		Isla Barú	Isla Brujas	Isla Maparadita	Marina	Taganga	Isla Barú	Isla Brujas	Isla Maparadita	Marina	Taganga		
<b>Ag</b>	0.0015	1.08 <sup>(a)</sup>	1.52 <sup>(b)</sup>	0.89 <sup>(a)</sup>	0.21 <sup>(a)</sup>	1.24 <sup>(a)</sup>	0.48 <sup>(a)</sup>	0.41 <sup>(a)</sup>	1.47 <sup>(c)</sup>	0.06 <sup>(d)</sup>	0.28 <sup>(a)</sup>		
<b>Al</b>	15.3	351.01 <sup>a</sup>	317.84 <sup>a</sup>	260.03 <sup>a</sup>	609.10 <sup>a</sup>	522.14 <sup>a</sup>	190.17 <sup>a</sup>	90.45 <sup>a</sup>	58.35 <sup>a</sup>	302.04 <sup>a</sup>	949.40 <sup>b</sup>		
<b>As</b>	0.01	8.17 <sup>a</sup>	4.97 <sup>a</sup>	4.67 <sup>a</sup>	13.06 <sup>a</sup>	7.82 <sup>a</sup>	6.87 <sup>a</sup>	6.48 <sup>a</sup>	7.04 <sup>a</sup>	10.60 <sup>a</sup>	33.03 <sup>b</sup>		
<b>Cd</b>	0	28.03 <sup>a</sup>	16.60 <sup>b</sup>	6.95 <sup>b</sup>	0.92 <sup>b</sup>	1.08 <sup>b</sup>	2.54 <sup>b</sup>	3.43 <sup>b</sup>	15.88 <sup>a</sup>	0.76 <sup>b</sup>	1.14 <sup>b</sup>		
<b>Cr</b>	0.22	0.79 <sup>a</sup>	1.40 <sup>a</sup>	4.71 <sup>a</sup>	3.21 <sup>a</sup>	4.44 <sup>a</sup>	2.11 <sup>a</sup>	0.28 <sup>a</sup>	0.23 <sup>a</sup>	1.22 <sup>a</sup>	6.39 <sup>b</sup>		
<b>Cu</b>	0.11	62.42 <sup>a</sup>	184.73 <sup>a</sup>	82.11 <sup>a</sup>	206.10 <sup>a</sup>	105.18 <sup>a</sup>	66.45 <sup>a</sup>	38.72 <sup>a</sup>	54.89 <sup>a</sup>	465.80 <sup>b</sup>	113.04 <sup>a</sup>		
<b>Hg</b>	0.004	0.09 <sup>(a)</sup>	0.06 <sup>(a)</sup>	0.06 <sup>(a)</sup>	0.11 <sup>(a)</sup>	0.09 <sup>(a)</sup>	0.04 <sup>(a)</sup>	0.03 <sup>(b)</sup>	0.07 <sup>(a)</sup>	0.13 <sup>(c)</sup>	0.11 <sup>(a)</sup>		
<b>Ni</b>	0.54	1.07 <sup>a</sup>	1.09 <sup>a</sup>	0.66 <sup>a</sup>	1.27 <sup>a</sup>	1.70 <sup>a</sup>	0.41 <sup>a</sup>	0.49 <sup>a</sup>	0.43 <sup>a</sup>	0.64 <sup>a</sup>	2.34 <sup>b</sup>		
<b>Pb</b>	0.015	0.60 <sup>(a)</sup>	0.54 <sup>(a)</sup>	0.41 <sup>(a)</sup>	0.69 <sup>(a)</sup>	0.56 <sup>(a)</sup>	0.37 <sup>(a)</sup>	0.22 <sup>(a)</sup>	0.15 <sup>(b)</sup>	0.64 <sup>(a)</sup>	0.79 <sup>(c)</sup>		
<b>Ti</b>	0.94	10.97 <sup>a</sup>	8.72 <sup>a</sup>	5.99 <sup>a</sup>	32.72 <sup>a</sup>	30.74 <sup>a</sup>	5.74 <sup>a</sup>	3.47 <sup>a</sup>	2.33 <sup>a</sup>	21.07 <sup>a</sup>	72.64 <sup>b</sup>		
<b>V</b>	0	1.25 <sup>a</sup>	1.23 <sup>a</sup>	1.25 <sup>a</sup>	1.82 <sup>a</sup>	2.29 <sup>a</sup>	0.76 <sup>a</sup>	0.63 <sup>a</sup>	0.38 <sup>a</sup>	1.14 <sup>a</sup>	4.16 <sup>b</sup>		
<b>Zn</b>	2.36	495.46 <sup>a</sup>	1951.81 <sup>a</sup>	1401.70 <sup>b</sup>	2302.36 <sup>a</sup>	2093.90 <sup>a</sup>	1271.46 <sup>a</sup>	718.69 <sup>a</sup>	488.58 <sup>a</sup>	3541.70 <sup>b</sup>	1900.18 <sup>a</sup>		
<b>ΣMetals</b>		<b>960.93<sup>a</sup></b>	<b>2490.53<sup>a</sup></b>	<b>1769.43<sup>a</sup></b>	<b>3171.57<sup>a</sup></b>	<b>2771.19<sup>a</sup></b>	<b>1547.40<sup>a</sup></b>	<b>863.30<sup>a</sup></b>	<b>629.80<sup>a</sup></b>	<b>4345.81<sup>b</sup></b>	<b>3083.50<sup>a</sup></b>		

**Table 6.** PAH tissue concentration in *Crassostrea rhizophorae* (ng/g) from intertidal roots/docks of Colombian mangrove swamps. The superscript letters (a, b and c) indicate significant differences between groups ( $p < 0.05$ ;  $p < 0.1$ , when shown between brackets). The following compounds were under detection limits in all the samples (superscript numbers refer to the sums and indices given in the table where they are integrated): [b]F+B[k]F (LOD=1)<sup>(2)</sup>; B[a]P (LOD=1)<sup>(2)</sup>; D[ah]A (LOD=2)<sup>(2)</sup>. LOD, limit of detection; udl, under detection limits; n/a, not applicable.

	LOD	RAINY SEASON						DRY SEASON						
		Isla Barú	Isla Brujas	Isla Maparadita	Marina	Taganga	Isla Barú	Isla Brujas	Isla Maparadita	Marina	Taganga			
Acy <sup>(1)</sup>	1	udl	udl	udl	udl	udl	udl	udl	udl	udl	udl	udl	udl	udl
Ace <sup>(1)</sup>	1	udl	udl	udl	udl	udl	udl	udl	udl	udl	udl	udl	udl	udl
Flu <sup>(1)</sup>	0.07	0.8 <sup>a</sup>	16.1 <sup>a</sup>	udl <sup>a</sup>	37.9 <sup>b</sup>	udl <sup>a</sup>	udl	udl	udl	udl	udl	udl	udl	udl
Phe <sup>(1)</sup>	0.49	udl <sup>a(a)</sup>	25.4 <sup>a(a)</sup>	udl <sup>a(a)</sup>	udl <sup>a(a)</sup>	udl <sup>a(a)</sup>	117.4 <sup>a(a)</sup>	333.4 <sup>b</sup>	106.2 <sup>a(a)</sup>	206.5 <sup>a(a)</sup>	290.2 <sup>a(b)</sup>	udl	udl	udl
Ant	1	40.2 <sup>a(a)</sup>	3.5 <sup>a(b)</sup>	1.5 <sup>a(b)</sup>	49.6 <sup>b</sup>	42.1 <sup>a(a)</sup>	udl <sup>a(b)</sup>	udl <sup>a(b)</sup>	udl <sup>a(b)</sup>	udl <sup>a(b)</sup>	udl <sup>a(b)</sup>	udl <sup>a(b)</sup>	udl <sup>a(b)</sup>	udl <sup>a(b)</sup>
Flr <sup>(1)</sup>	0.34	udl <sup>a</sup>	6.4 <sup>a</sup>	2.4 <sup>a</sup>	udl <sup>a</sup>	udl <sup>a</sup>	2.0 <sup>a</sup>	8.5 <sup>a</sup>	udl <sup>a</sup>	udl <sup>a</sup>	120.8 <sup>b</sup>	udl <sup>a</sup>	udl <sup>a</sup>	udl <sup>a</sup>
Pyr <sup>(1)</sup>	1	udl <sup>a</sup>	59.1 <sup>a</sup>	8.5 <sup>a</sup>	0.9 <sup>a</sup>	udl <sup>a</sup>	udl <sup>a</sup>	udl <sup>a</sup>	udl <sup>a</sup>	udl <sup>a</sup>	144.8 <sup>b</sup>	udl <sup>a</sup>	udl <sup>a</sup>	udl <sup>a</sup>
Benz[a]A <sup>(2)</sup>	1	udl <sup>a</sup>	101.8 <sup>b</sup>	42.6 <sup>a</sup>	2.7 <sup>a</sup>	udl <sup>a</sup>	udl <sup>a</sup>	udl <sup>a</sup>	udl <sup>a</sup>	udl <sup>a</sup>	udl <sup>a</sup>	udl <sup>a</sup>	udl <sup>a</sup>	udl <sup>a</sup>
Chr <sup>(2)</sup>	1	udl <sup>a</sup>	837.1 <sup>b</sup>	45.2 <sup>a</sup>	22.0 <sup>a</sup>	udl <sup>a</sup>	udl <sup>a</sup>	udl <sup>a</sup>	udl <sup>a</sup>	udl <sup>a</sup>	udl <sup>a</sup>	udl <sup>a</sup>	udl <sup>a</sup>	udl <sup>a</sup>
B[ghi]P	1	udl	202.8	udl	udl	udl	udl	udl	udl	udl	udl	udl	udl	udl
Ind <sup>(2)</sup>	2	udl	47.3	udl	udl	udl	udl	udl	udl	udl	udl	udl	udl	udl
∑PAHs (16; except Naph)		41.0 <sup>a</sup>	1299.5 <sup>b</sup>	100.3 <sup>a</sup>	113.1 <sup>a</sup>	42.1 <sup>a</sup>	119.4 <sup>a</sup>	341.9 <sup>a</sup>	106.2 <sup>a</sup>	206.5 <sup>a</sup>	555.8 <sup>a</sup>	341.9 <sup>a</sup>	106.2 <sup>a</sup>	206.5 <sup>a</sup>
∑ <sub>HMW</sub> PAHs ∑ <sup>(2)</sup> (carcinogenic)		n/a	986.3	87.8	24.7	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a
∑ <sub>LMW</sub> PAHs ∑ <sup>(1)</sup> (%∑PAHs)		0.8 (2%)	81.6 (6%)	10.9 (11%)	38.8 (34%)	n/a	n/a	8.5 (2%)	n/a	n/a	265.6 (48%)	8.5 (2%)	n/a	n/a
Ind / B[ghi]P		n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a
∑ <sub>LMW</sub> PAHs / ∑ <sub>HMW</sub> PAHs		n/a	0.1	0.1	1.6	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a
Ind / (Ind + B[ghi]P)		n/a	0.2	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a

**Table 7.** POP tissue concentration in *Crassostrea rhizophorae* (ng/g) from intertidal roots/docks of Colombian mangrove swamps. The superscript letters (a, b and c) indicate significant differences between groups ( $p < 0.05$ ;  $p < 0.1$ , when shown between brackets). The following compounds were under detection limits in all the samples (superscript numbers refer to the sums and indices given in the table where they are integrated):  $\alpha$ -HCH (LOD=50)<sup>(3)</sup>;  $\beta$ -HCH (LOD=25)<sup>(3)</sup>;  $\gamma$ -HCH (LOD=2)<sup>(3)</sup>;  $\delta$ -HCH (LOD=25)<sup>(3)</sup>; 4,4-DDE (LOD=2)<sup>(4)</sup>; 2,4-DDD (LOD=5)<sup>(4)</sup>; 2,4-DDT (LOD=25)<sup>(4)</sup>; 4,4-DDT (LOD=50)<sup>(4)</sup>; Chlorpyrifos (LOD=2); CB101 (LOD=1)<sup>(6)</sup>; CB118 (LOD=1)<sup>(6)</sup>; BDE153 (LOD=1)<sup>(6)</sup>; BDE28 (LOD=7.2)<sup>(7)</sup>; BDE47 (LOD=1.3)<sup>(7)</sup>; BDE66 (LOD=0.94)<sup>(7)</sup>; BDE85 (LOD=1)<sup>(7)</sup>; BDE99 (LOD=6.6)<sup>(7)</sup>; BDE100 (LOD=7.5)<sup>(7)</sup>; BDE153 (LOD=50)<sup>(7)</sup>; BDE154 (LOD=50)<sup>(7)</sup>. LOD, limit of detection; udl, under detection limits; n/a, not applicable.

LOD	RAINY SEASON						DRY SEASON					
	Isla Barú	Isla Brujas	Isla Maparadita	Marina	Taganga		Isla Barú	Isla Brujas	Isla Maparadita	Marina	Taganga	
$\Sigma$ HCHs <sup>(3)</sup>	0.0	0.0	0.0	0.0	0.0		0.0	0.0	0.0	0.0	0.0	0.0
$\Sigma$ DDTs <sup>(4)</sup>	0.0	0.0	0.0	0.0	0.0		0.0	0.0	0.0	0.0	0.0	0.0
$\Sigma$ OCPs	0.0	0.0	0.0	0.0	0.0		0.0	0.0	0.0	0.0	0.0	0.0
HHCB <sup>(5)</sup>	11.1 <sup>a</sup>	9.6 <sup>a</sup>	0.4 <sup>a</sup>	udl <sup>a</sup>	udl <sup>a</sup>		19.6 <sup>a</sup>	71.0 <sup>b</sup>	20.9 <sup>a</sup>	17.5 <sup>a</sup>	67.1 <sup>c</sup>	
AHTN <sup>(5)</sup>	0.2 <sup>(a)</sup>	udl <sup>(a)</sup>	udl <sup>(a)</sup>	udl <sup>(a)</sup>	udl <sup>(a)</sup>		29.1 <sup>(a)</sup>	48.7 <sup>(b)</sup>	34.2 <sup>(a)</sup>	24.6 <sup>(a)</sup>	37.8 <sup>(a)</sup>	
$\Sigma$ Musks <sup>(5)</sup>	11.3 <sup>a</sup>	9.6 <sup>a</sup>	0.4 <sup>a</sup>	0.0 <sup>a</sup>	0.0 <sup>a</sup>		48.6 <sup>a</sup>	119.6 <sup>b</sup>	55.0 <sup>a</sup>	42.1 <sup>a</sup>	104.8 <sup>a</sup>	
CB28 <sup>(6)</sup>	1	udl	3.7	5.7	udl		udl	udl	udl	udl	udl	udl
CB52 <sup>(6)</sup>	1	udl	udl	udl	udl		14.4	21.0	27.6	13.5	24.1	
CB138 <sup>(6)</sup>	1	udl	udl	udl	udl		udl	udl	udl	1.5	3.8	
CB180 <sup>(6)</sup>	1	udl	udl	udl	0.8		udl	udl	1.7	udl	udl	
$\Sigma$ PCBs <sup>(6)</sup> (PCB <sub>7</sub> )	0.0 <sup>(a)</sup>	3.7 <sup>(a)</sup>	5.7 <sup>(a)</sup>	0.6 <sup>(a)</sup>	0.8 <sup>(a)</sup>		14.4 <sup>(a)</sup>	21.0 <sup>(a)</sup>	29.3 <sup>(b)</sup>	15.0 <sup>(a)</sup>	27.9 <sup>(a)</sup>	
$\Sigma$ PBDEs <sup>(7)</sup>	0.0	0.0	0.0	0.0	0.0		0.0	0.0	0.0	0.0	0.0	
$\Sigma$ POPs	11.3 <sup>a(a)</sup>	13.3 <sup>a(a)</sup>	6.1 <sup>a(a)</sup>	0.6 <sup>a(a)</sup>	0.8 <sup>a(a)</sup>		63.0 <sup>a(a)</sup>	140.6 <sup>a(b)</sup>	84.4 <sup>a(a)</sup>	84.0 <sup>a(a)</sup>	158.3 <sup>b</sup>	



**Fig. 7.** (a) Chemical Pollution Index (CPI) in oysters from Nicaragua (a) and Colombia (b). CPI is categorized into three groups: ‘low pollution’ when  $CPI \leq 0$ , ‘moderate pollution’ when  $0 < CPI \leq 1$  and ‘high pollution’ when  $CPI > 1$  (according to Bellas et al., 2011; 2014). (b) Pollution Load Index (PLI) derived from the tissue concentrations of pollutants in oysters from Colombia (Tomlinson et al., 1980). A PLI of 100 correspond to very contaminated sites and a  $PLI > 50$  requires a potential risk and moderate contamination (Angulo, 1996). Letters (a and b) indicate significant differences between groups ( $p < 0.05$ ) after the Z-score test. RS, rainy season; DS, dry season.

*Seasonality.* Both in Nicaragua and Colombia, metal tissue concentrations were higher at the rainy season than at the dry season, except in Taganga where the tissue concentrations of Al, As, Cr, Ni, Ti and V were higher in the dry season than in the rainy season. In contrast, the concentrations of Cd, Cr and Pb in seawater from Cartagena Bay and Barbacoas Bay were lower in the rainy season in 2012 than in the dry season in 2013 (Vivas-Aguas et al., 2014). It is conceivable that greater loads of suspended matter during the rainy season contribute to enhanced metal uptake and accumulation (Rebelo et al., 2003). Thus, Rebelo et al., (2003) found that the tissue concentration of Cd and Zn were the highest during the rainy season in *C. rhizophorae* from Sepetiba Bay (Brazil) and Alfonso et al., (2013) reported that the tissue concentrations of Al, Cd

and Ni were highest during the rainy season in *C. rhizophorae* from Buche and Mochima estuaries in Venezuela. Likewise, the highest tissue concentration of Pb and Zn was recorded in the rainy season of *C. gigas* and *C. cortezienses* (Jara-Marini et al., 2008; Osuna-Martínez et al., 2011). However, contradictory patterns have been reported regarding seasonality of metal tissue concentrations in mangrove oysters. The Pb tissue concentration was higher in the dry season than in the rainy season in mangrove cupped oysters from Trinidad and Tobago (Kanhai et al., 2014) and in mangrove oysters *C. cortezienses* from the Gulf of California (Páez-Osuna and Osuna-Martínez, 2015); and Amado-Filho et al., (2008) did not find any significant difference in metal tissue concentrations between rainy and dry seasons in mangrove cupped oysters from Todos Santos Bay (Brazil). In these cases, seasonal trends would depend on the local variability in hydrodynamics of sediment particles, independently of the season (Rebelo et al., 2003). For instance, Páez-Osuna and Osuna-Martínez, (2015) concluded that for the majority of the metals the tissue concentration in mangrove oysters from the Gulf of California coastal lagoons was greater during the dry season than during the rainy season due to regionally relevant upwelling; whilst for Hg, high levels in the rainy season were associated with transport of materials from the watershed to the lagoon.

## 4.2. Polycyclic Aromatic Hydrocarbons (PAHs)

Tissue concentrations of PAHs reported in bivalves from Nicaragua within the framework of the International Mussel Watch are in the range of <100 ng/g for  $\Sigma$ PAHs (Sericano et al., 1995). In the present study, slightly higher concentrations were found for total PAHs except for Punta Lora in the rainy season. Nevertheless, the tissue levels of total PAHs recorded can be regarded as low (e.g., in *C. virginica*, values of  $\Sigma$ PAHs in the range of 47-828 ng/g are considered low; Kimbrough et al., 2008). In the case of Colombia, the values of  $\Sigma$ PAHs recorded in all the localities in the rainy season and in Isla Barú and Marina Santa Marta in the dry season were around or below the range of <100 ng/g (Sericano et al., 1995). As a striking exception, oysters from Isla Brujas had much higher PAH concentrations (presenting medium levels according to Kimbrough et al., 2008). Isla Brujas is located in the vicinity of an oil refinery and terminal, as well as the Mamonal complex and, most relevantly, it receives direct sediment input from the Dique Channel. In agreement, the same location has been reported to present the highest concentrations of dissolved and dispersed hydrocarbons in seawater during the study period, especially in the rainy season (Vivas-Aguas et al., 2014).  $\Sigma$ PAHs were >100 ng/g in all the localities in the dry season;

however, the values recorded can be considered low according to the criteria of Kimbrough et al., (2008). Similarly, total PAHs in *C. rhizophorae* tissues were in the range of 109-362 ng/g dry-wt in Trinidad and Tobago (Kanhai et al., 2015) and in the range of 66.8-240.7 ng/g dry-wt in Guadeloupe in the Lesser Antilles (Ramdine et al., 2012). However, a potential risk cannot be disregarded (Neff et al., 2005) neither in Nicaragua nor in Colombia; as potentially carcinogenic PAHs (PAH<sub>HMW</sub>; IARC, 1987) were recorded in Nicaragua (except in Punta Lora in the rainy season) and in Isla Maparadita and Marina Santa Marta and, most outstandingly, in Isla Brujas in the rainy season. On the other hand, PAH tissue concentrations were considerably greater in the dry season than in the rainy season, especially in Punta Lora for phenanthrene and pyrene, and in all the Colombian localities for phenanthrene.

Regarding the likely origin of the PAHs, the diagnostic ratios Phe/Ant and Flr/Pyr (Neff et al., 2005) could not be calculated as some of the compounds were below detection limits. Instead, the ratio of LMWPAHs to HMWPAHs was used as chemical indicator of the petrogenic vs pyrolytic origin the PAHs (Baumard et al., 1998; Soclo et al., 2000). The ratio was >1 in Punta Lora and in Marina Santa Marta in the rainy season, indicating a predominant petrogenic origin whilst it was <0.65 in Half Way Cay and Pigeon Cay at both seasons and, most markedly, in Isla Brujas and Isla Maparadita in the rainy season. These results revealed a pyrolytic origin of the PAHs in these localities. In Punta Lora in the dry season, both petrogenic and pyrolytic sources of PAHs seem to be superimposed, as the value of LMWPAHs/HMWPAHs was close to 1. PAH isomer pair ratios are indicative of whether PAHs are derived from biomass, coal, and petroleum combustion (Yunker et al., 2002; Oros and Ross 2005). Thus, Ind/(Ind+B[ghi]P) isomer pair ratio (Yunker et al., 2002; Oros and Ross 2005) showed that in mangrove cupped oysters from Punta Lora in the dry season and in Pigeon Cay and Half Way Cay the PAHs were derived primarily from biomass and coal combustion (Ind/(Ind+B[ghi]P)=0.56-0.58). Meanwhile, this ratio indicated that petroleum combustion was the main source of PAHs in Isla Brujas in the rainy season (Ind/(Ind+B[ghi]P)=0.20-0.50; Oros and Ross, 2005). The likely source for these high PAH concentrations is the nearby oil refinery and asphalt plant (Mamonal industrial zone). The same approach was used to conclude that petroleum combustion was a major source of PAHs in San Francisco Estuary sediments and mussels and that only minor amounts of the PAHs in bivalves were derived from biomass (e.g., grasses, wood, and wood soot) and coal combustion (Pereira et al., 1999; Oros and Ross, 2004; 2005).



*Seasonality.* In Nicaragua, LMWPAHs were dominant (60% of the total PAHs) in mangrove cupped oysters from Punta Lora at the rainy season whilst LMWPAH% values were below 35% in Half Way Cay and Pigeon Cay, and values in Punta Lora at the dry season reached 49%. Hellou et al., (1993) and Gaspare et al., (2009) associated the dominance of LMWPAHs to waterborne exposure, contrasting with dominance of HMWPAHs for exposure through sediment and suspended matter. According to these criteria, Punta Lora oysters were exposed predominantly to waterborne PAH fractions in the rainy season and those from Half Way Cay and Pigeon Cay in Nicaragua in both seasons. Interestingly, Punta Lora in the dry season seemingly constituted an intermediate case, with oysters exposed to a mixture of water-borne and sediment or suspended matter associated compounds (LMWPAHs = 48% of PAH<sub>total</sub>). In Colombia, LMWPAH% values were below 35% in all the localities in the rainy season (in Taganga it could not be calculated) and in Isla Maparadita in the dry season, indicating that oysters were exposed predominantly to waterborne PAH fractions, according to the criteria proposed by Hellou et al., (1993) and Gaspare et al., (2009). Conversely, in Isla Brujas in the dry season (LMWPAHs = 48% of PAH<sub>total</sub>) oysters were seemingly exposed to a mixture of waterborne and sediment or suspended matter associated PAH compounds.

### 4.3. Persistent Organic Pollutants (POPs)

*Organochlorine pesticides (OCPs).* HCHs and DDTs are common pollutants in coastal areas and estuaries resulting mainly from agricultural practices and insect control (de Brito et al., 2002), which seems to be the case of Nicaraguan Caribbean mangroves. Bluefields Lagoon receives water load from the Escondido River basin, where corn, banana, sugarcane, oil palm and coconut intensive agriculture has promoted the elevated application of pesticides for the last 50 years (GEF-REPCar, 2011; Ebanks-Mongalo et al., 2013). In agreement, dieldrin, DDTs and terbufos were recently found in water, sediment and mangrove cupped oysters from Bluefields at concentrations over environmental quality criteria (Ebanks-Mongalo et al., 2013). Likewise, high concentrations of HCHs and DDTs have been reported in water and sediments in other Nicaraguan Caribbean localities (GEF-REPCar, 2011). The use of DDTs is banned but public health institutions have permission to use DDT for sanitation purposes and DDT derivatives are released to the environment, especially in the rainy season, to fight against mosquitoes (Bodin et al., 2011). Thus,  $\Sigma$ DDT in *C. virginica* from Coastal Lagoons in the Gulf of Mexico was highest in rainy season, when runoff transports DDT previously applied to nearby crop fields and urban areas (Castañeda-



Chávez, 2011). The levels of total DDTs recorded herein are higher, especially in Punta Lora in the rainy season, than the  $\Sigma$ DDTs reported in bivalves from Nicaragua within the framework of the International Mussel Watch (<10 ng/g dry-wt; Sericano et al., 1995). Likewise, they are much higher than in crab eggs (*C. granulata*) from impacted mangroves in Guanabara Bay at Brazil (98 ng/g dry-wt; de Souza et al., 2009). However, the values of  $\Sigma$ DDTs recorded are lower than those recorded in other areas; e.g.  $\Sigma$ DDTs of 156.2 ng/g dry-wt were reported in mangrove cupped oysters from the vicinity of Paranaguá City (Liebezeit et al., 2011) and  $\Sigma$ DDT as high as 864 ng/g dry-wt was reported in freshwater snails from Hanoi City water canals (Nhan et al., 2001). The predominance of DDE amongst DDT and its derivatives indicates that exposure is chronic or at least long lasting (Castañeda-Chávez, 2011). In agreement, DDE was a major contributor to the DDT in oysters from Paranaguá City, as these were chronically affected by sewage discharge. Alike, DDE was the main POP compound found in tissues of oysters, *C. virginica* and *C. rhizophorae*, from Términos lagoon at the Gulf of Mexico (Carvalho et al., 2009a; 2009b) and in mussels, *Perna perna*, from Brazilian bays (Galvao et al., 2014). Therefore, the high levels of 4,4-DDE found in oysters from Half Way Cay and, most outstandingly, in those from Punta Lora, suggest that the source of DDTs is most likely long-lasting and uninterrupted. Likewise, significant levels of HCHs were recorded in Nicaraguan mangrove cupped oysters, which were of lower magnitude than the DDT levels, especially in Punta Lora in the rainy season. As pointed out by Nhan et al., (2001), who also found lower tissue concentrations of HCHs than of DDTs in freshwater snails from water canals in the region of Hanoi, there is no reason to believe that the amounts of HCHs used as pesticide have been lower than those of DDT. However, the solubility in water of HCHs is much higher and their half-lives much shorter than in the case of DDT and, therefore, the average environmental levels are lower in the long term. Nevertheless, the values of  $\Sigma$ HCHs were similar to those reported in *C. virginica* and *C. rhizophorae* from Mexico (3-32 ng/g dry-wt; Carvalho et al., 2009a) and in bivalves from the Wider Caribbean region (12 ng  $\gamma$ -HCH/g dry-wt; Van Lavieren et al., 2011) but higher than those found in tissues of oysters from Senegal (1 ng /g dry-wt; Bodin et al., 2011) and in freshwater snails, *Angulyagra* sp. from water canals in the region of Hanoi (0.51 ng /g dry-wt; Nhan et al., 2001).

On the other hand, OCPs were below detection levels in the tissues of oysters collected from the Colombian localities in both seasons. In the early 2000's, DDT and its metabolites, heptachlor, aldrin and HCHs were widespread pollutants in surface waters in Santa Marta Bay as the result of runoff from upstream plantations of coffee and banana, but in the recent decade these chemicals

have been below detection limits in tributary rivers as result of regulatory restrictions for the use of these OCPs (Vivas-Aguas et al., 2014). Similarly, a marked reduction in the OCP levels in seawater and sediments have been recorded in Cartagena Bay and Barbacoas Bay during the last decade (Vivas-Aguas et al., 2010). Thus, in the studied Colombian localities, OCP concentrations in surface waters were below detection limits in the 2012-2013 rainy and dry seasons (Vivas-Aguas et al., 2014), in agreement with our present records of OCP tissue levels in mangrove cupped oysters.

*Chlorpyrifos*. Chlorpyrifos is a broad spectrum organophosphate insecticide widely used on food crops; however few data are available about its concentration in marine biota. The range of chlorpyrifos in the tissues of marine bivalves along the US coast, including oysters (*C. rhizophorae* and other species) and mussels, ranges from "non-detected" to 53 ng/g dry-wt, with a regional average of 0.78 ng/g dry-wt (Wade et al., 1998). In the present study, this organophosphate pesticide was only detected in Nicaraguan mangrove cupped oysters (in Half Way Cay in the dry season and Pigeon Cay in the rainy season) in the range of 5-11 ng/g dry-wt and not in the Colombian localities. In agreement, chlorpyrifos was recorded in sediments and mangrove cupped oysters from Bluefields in the 2011 rainy season (Ebanks-Mongalo et al., 2013) but not in surface waters of Cartagena Bay in the 2012-2013 period (Vivas-Aguas et al., 2014). Thus, in Nicaraguan Caribbean mangroves, high levels of chlorpyrifos seem to be associated with currently ongoing agricultural practices. In Colombia, however, this organophosphate pesticide was detected in previous years at toxic levels in surface waters influenced by the Dique Channel input (intensive agriculture in the Magdalena River basin) and by agrochemical industry sited at the Harbour area (Vivas-Aguas et al., 2010); with very high levels in the 2011 rainy season but below detection limits in the 2012-2013 period (Vivas-Aguas et al., 2014). It seems that the environmental levels of this pesticide can be highly erratic with transient peaks after recent application or discharges followed by low levels after a while. Indeed, chlorpyrifos has low solubility in water (thus it is usually associated to suspended organic matter) and a relative short half-life (approx. 7 days), and is volatile in water and metabolised by aquatic animals (Serrano et al., 1997). Consequently, the absence of a seasonal or geographical pattern can be associated to changes in the application and timing, so that detectable tissue concentrations might correspond to samples collected just after recent applications of the pesticide, as suggested by Wade et al., (1998). Nevertheless, this should not be overlooked because short pulses of chlorpyrifos can exert long-term toxicity to marine invertebrates (CCME, 2008).

*Musk fragrances.* Synthetic musks are ubiquitous contaminants in the marine environment. Galaxolide (HHCB) and Tonalide (AHTN) are the major musk fragrances in sewage discharged into estuaries. The tissue concentration of musks recorded in Isla Barú, Isla Brujas and Isla Maparadita in the rainy season are within the range of those previously reported for marine molluscs (e.g., <12 ng/g dry-wt for HHCB and <17 ng/g dry-wt for AHTN in North Sea mussels; Rüdél et al., 2006). However, much higher concentrations were recorded in the dry season (interestingly, the top touristic season) in all the localities, in the range of 15-70 ng/g dry-wt for HHCB and 25-50 ng/g dry-wt for AHTN. Nevertheless, these values are still much below those recorded by for HHCB in mussels (1700 ng/g lipid = ~140 ng/g dry-wt; assuming 1% fat and 80% hydration) and clams (3000 ng/g lipid = ~240 ng/g dry-wt; assuming 1% fat and 80% hydration) from densely populated and industrialised zones of Canada (Gatermann et al., 1999). The high HHCB and AHTN concentrations in Taganga Bay and Isla Brujas during the dry season are most probably due to wastewater discharges from nearby sewage outfalls.

*Polychlorinated biphenyls (PCBs).* The levels of total PCBs recorded in Nicaraguan *C. rhizophorae* were lower than those recorded in crab eggs from impacted mangroves in Guanabara Bay in Brazil (570 ng/g dry-wt; de Souza et al., 2009) but higher than  $\Sigma$ PCBs reported in bivalves from Nicaragua within the framework of the International Mussel Watch (<10 ng/g dry-wt; Sericano et al., 1995) and for freshwater snails from the region of Hanoi (<60 ng/g dry-wt; Nhan et al., 2001). PCBs are essentially of industrial origin and therefore the tissue concentrations found in Nicaraguan mangrove cupped oysters are much lower than those found in harbors and industrial areas of industrialised countries (e.g., 2500 ng/g in mussels from Marseille harbor in France; Villeneuve et al., 1999). Nevertheless, remarkable tissue concentrations of CB28, CB118 and CB153 were recorded in all the Nicaraguan localities and seasons whilst CB52, CB101, CB138 and CB180 were found at lower concentrations that were highest at the dry season and were especially high in Pigeon Cay. All these PCB congeners have been recognised as non- or poorly metabolised in molluscs and therefore they are bioaccumulated (Kannan et al., 1995; Nhan et al., 2001). Similarly, CB28 and CB153 were the two individual PCBs recorded at highest tissue concentrations in brown mussels (*Perna perna*) from Southeastern Brazil bays (Galvao et al., 2014). Data on seasonality are not conclusive. In the present study, no seasonal trend was observed for CB28, CB118 and CB153 tissue concentrations, but CB52, CB101, CB138 and CB180 peaked up at the dry season. In contrast, *C. gasar* collected from the Saloum Delta (Senegal) had

systematically higher PCB levels (by a factor of 2–3) during the rainy season compared to individuals sampled at the dry season (Bodin et al., 2011).

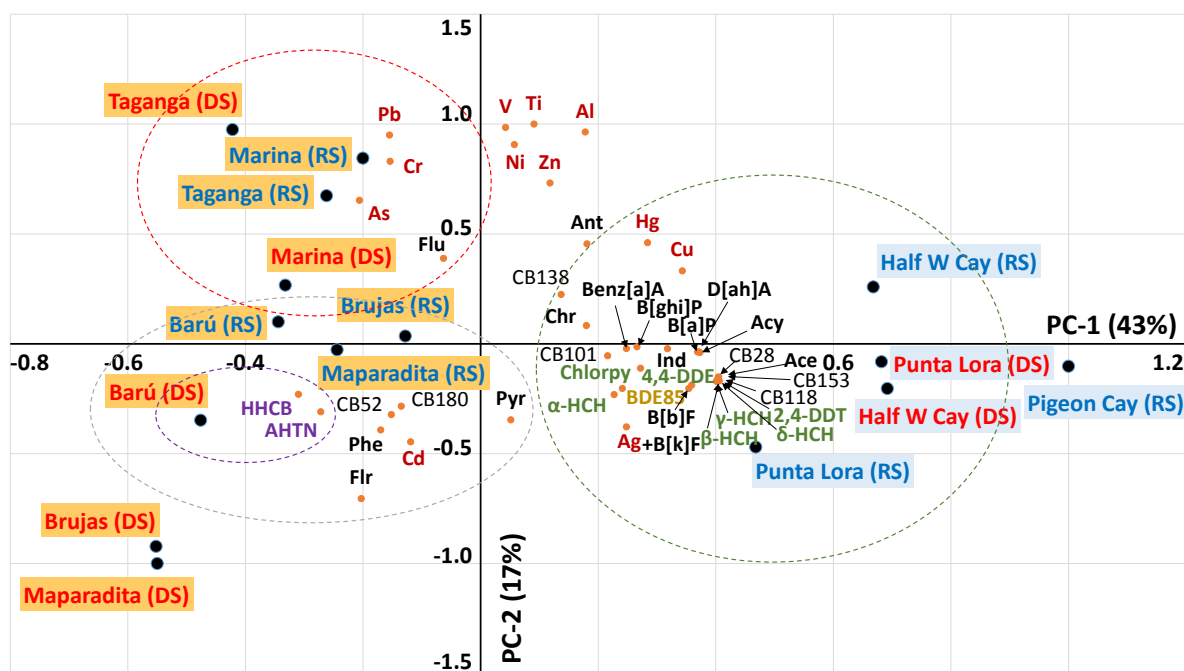
*Polybrominated diphenyl ethers (PBDEs).* PBDEs are bioaccumulative halogenated compounds used as flame retardants in automobile, textile and, mainly, electronics industries (Kimbrough et al., 2009) and are considered to be emerging environmental contaminants. BDE85 showed markedly high values in the rainy season in Pigeon Cay and Punta Lora, with values of total PBDEs in the range of 89–325 ng/g dry-wt. These values are much higher than previously reported in bivalves (Moon et al., 2007; Oros et al., 2007) and cannot be associated with a particular source at local scale.

*Seasonality.* The tissue concentrations of HCHs, DDTs, chlorpyrifos, PCBs and PBDEs in Nicaraguan mangrove cupped oysters were higher in the rainy season than in the dry season, especially for  $\Sigma$ OCPs in Punta Lora. In contrast, the levels of POPs in Colombian mangrove cupped oysters were higher during the dry season than during the rainy season, especially in Marina Santa Marta and Taganga. It seems, therefore, that the seasonal trend in the tissue concentration of POPs is not the same in Nicaraguan and Colombian oysters. In *C. gasar* from Senegal, high tissue concentrations of POPs in the rainy season were attributed to recent inputs from the surroundings through rainfall and river runoff (Bodin et al., 2011). Likewise, POP tissue concentrations in *C. virginica* (lipid base) from Coastal Lagoons in the Gulf of Mexico were also highest in rainy season (Castañeda-Chávez, 2011). It is conceivable that high POP tissue concentrations in the rainy season are the consequence of enhanced runoff that transports POPs from crop fields and urban areas to receiving waterways. This can be especially relevant in tropical countries where rainfall is intense during the rainy season and intensive agricultural practices lead to a high input of pesticides over watersheds (Daam and Van Den Brink, 2010), like in the Nicaraguan mangroves, and less relevant in more industrial areas such as the Colombian mangrove system studied herein.

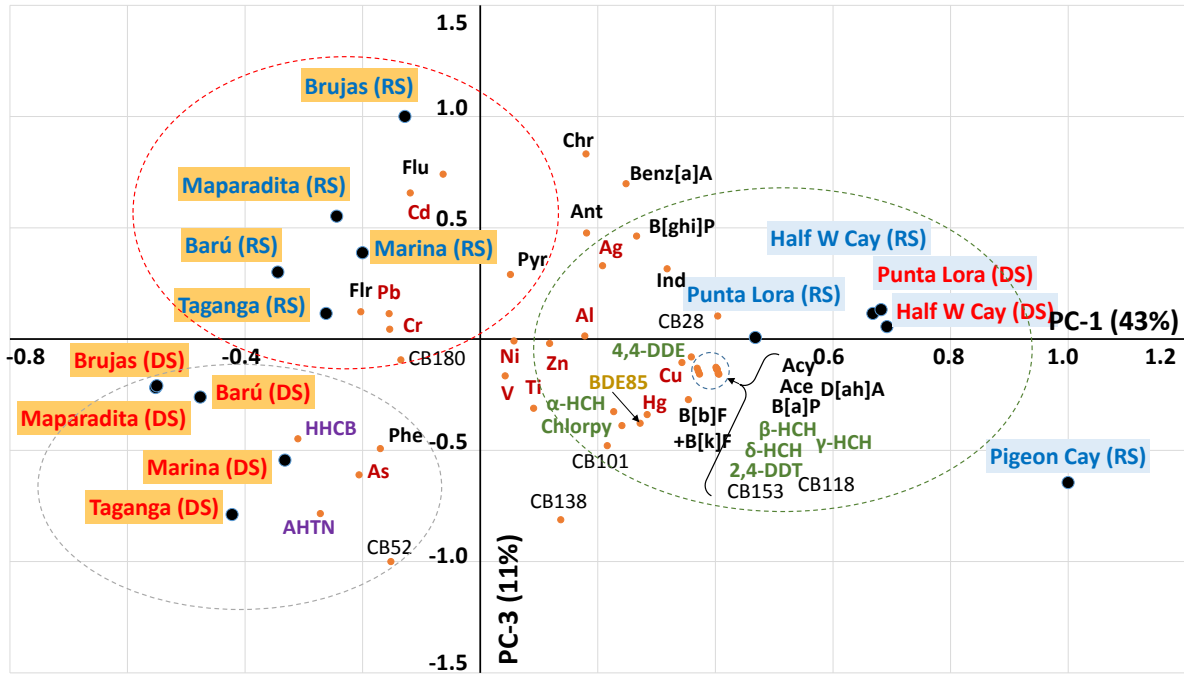
#### 4.4. Pollution biomonitoring and assessment

Principal Component Analysis of the tissue pollutant concentrations showed a 71% variance accounted for by 3 principal components (PC-1: 43%; PC-2: 17%; and PC-3: 11%; Fig. 8-10). Communalities were high (>74%) for all the items. PC-1 was interpreted in terms of rural vs. urban sources of pollution, with high agricultural activities, aquatic transport and rural wastewater disposal in the positive part of its axis (with low-to-moderate scores but high correlation loadings for Ace, Acy, B[a]P, B[b]F+B[k]F, D[ah]A, Ind,  $\gamma$ -HCH,  $\delta$ -HCH,  $\beta$ -HCH, 4,4-DDE, 2,4-DDT, CB28, CB118 and CB153) and urban pollutants in the negative part (with moderate scores and correlation loadings for Flr, AHTN and HHCB). PC-2 was interpreted in terms of metal pollution, essentially because scores for the tissue concentrations of Al, As, Cr, Ni, Pb, Ti, V and Zn were higher than the average (unlike for Cd and Ag), with high scores and high correlation loadings for Ni, Ti and V, and moderate scores and correlation loadings for Al, As, Cr, Pb, V and Zn. PC-3 was interpreted as seasonality. It was not strongly correlated with any pollutant tissue concentration and only low-to-moderate scores and correlation loadings were found for Hg, B[a]A, B[ghi]P, Chr, Ind, Chlorpyrifos, HHCB, AHTN, CB52 and CD138. However, the tissue concentrations of these pollutants exhibited the most marked seasonal variability, especially in Colombia, where the samples (localities) were clearly discriminated between the positive and the negative part of the PC-3 axis. Thus, at least 3 consistent clusters were outlined upon combining pairs of PCs (Fig. 8-10). In the PC-2 vs PC-1 biplot (Fig. 8): (a) samples corresponding to Nicaraguan localities at both seasons were clustered lying very markedly towards the positive part of PC-1, characterized by moderate loading (values) of the oyster tissue concentrations of PAHs, OCPs and PCBs (CB28, CB118 and CB153), (b) samples from Marina Santa Marta and Taganga at both seasons were clustered at the centre of the top left quadrant around moderate-to-high concentrations of metals such as As, Cr and Pb, and (c) samples from Isla Barú during the dry season were clustered nearby moderate levels of musk fragrances. In addition, samples from Isla Brujas and Isla Maparadita at the dry season were clearly discriminated from any other sample, being located towards the extreme bottom left quadrant (high levels of Flr and moderate-to-high of Cd, and Phe). In the PC-3 vs PC-1 biplot (Fig. 9), the Nicaraguan cluster remained as in the previous biplot but samples from Colombia appeared in two clusters: (a) rainy season samples in the top left quadrant, together with the highest loading values for Cd and Flu in Brujas and to a lesser extent in Isla Maparadita and Marina Santa Marta; and (b) dry season samples in the top left quadrant, together with the high loading values for AHTN and CB52, and moderate values for As,

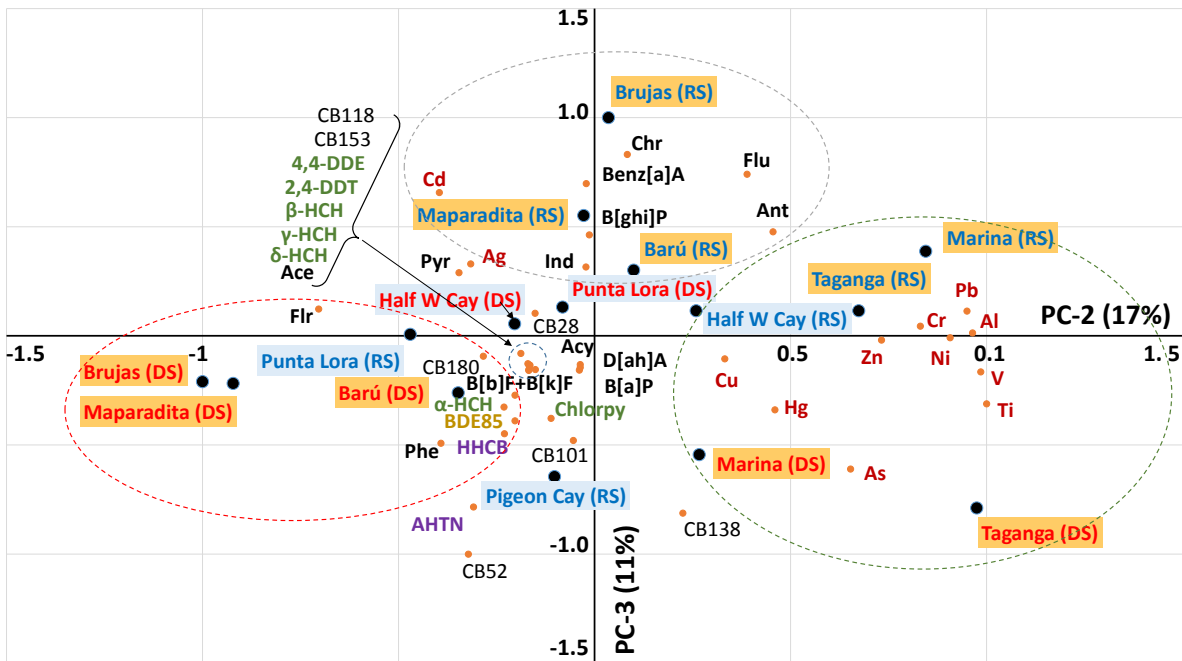
Phe and HHCB. Finally, the PC-3 vs PC-2 biplot (Fig. 10) discriminated all the samples both by location and season, although the loadings were in most of the cases low-to-moderate and only the 28% of the variance was accounted for. Samples from Marina Santa Marta and Taganga were clustered depending on the season, with moderate-to-high loadings for metals with less (e.g., Al, As, Cr, Ni, Pb, Ti, V and Zn) and more (e.g., As and Hg) marked seasonal trends. Samples from Barú, Brujas and Maparadita during the rainy season appeared in a cluster associated to Chr, B[a]A and B[ghi]P and to a lesser extent to Cd, Ant, Flu and Ind). Finally, samples from Isla Barú, Isla Brujas and Isla Maparadita during the dry season and Pigeon Cay during the rainy season were distributed in the bottom left quadrant, without a clear pollutant profile as, except for AHTN and CB52, correlation loadings are low-to-moderate.



**Fig. 8.** Principal Component Analysis of the tissue pollutant distributions for oysters, *C. rhizophorae*, sampled in the Nicaraguan and Colombian Caribbean in the rainy and the dry season. Samples and variables are shown in biplots of combinations of two principal components identified (PC-2 vs PC-1). Four clusters can be distinguished in biplot among the samples and are related to the tissue pollutant distributions in oysters. RS, rainy season; DS, dry season.



**Fig. 9.** Principal Component Analysis of the tissue pollutant distributions for oysters, *C. rhizophorae*, sampled in the Nicaraguan and Colombian Caribbean in the rainy and the dry season. Samples and variables are shown in biplots of combinations of two principal components identified PC-3 vs PC-1. Three clusters can be distinguished in biplot among the samples and are related to the tissue pollutant distributions in oysters. RS, rainy season; DS, dry season.

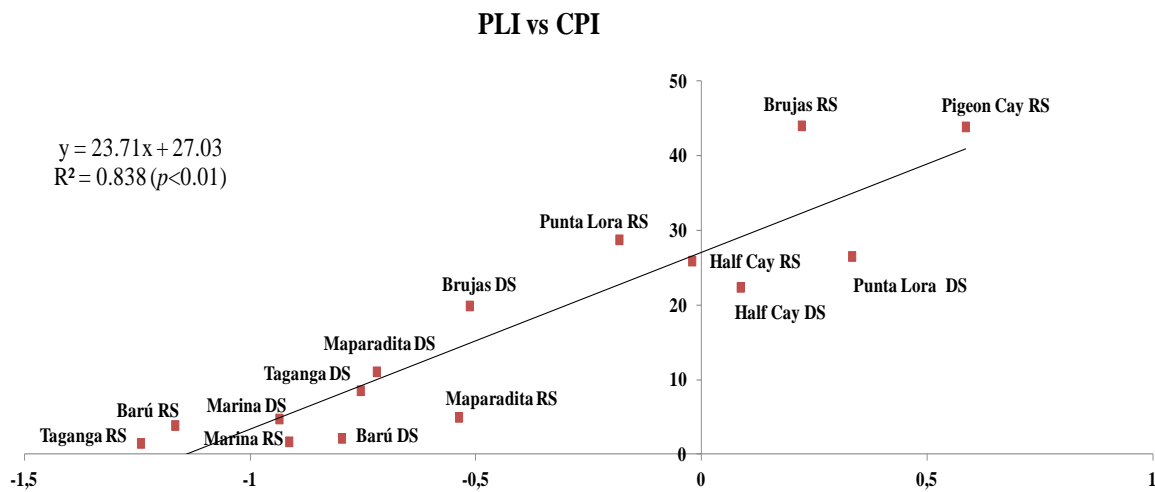


**Fig. 10.** Principal Component Analysis of the tissue pollutant distributions for oysters, *C. rhizophorae*, sampled in the Nicaraguan and Colombian Caribbean in the rainy and the dry season. Samples and variables are shown in biplots of combinations of two principal components identified PC-3 vs PC-2. Three clusters can be distinguished in biplot among the samples and are related to the tissue pollutant distributions in oysters. RS, rainy season; DS, dry season.

In summary, based on the determination of pollutant tissue levels in *C. rhizophorae*, the present study revealed that the pollution profile was different between the two studied geographical areas, Nicaragua and Colombia; as shown by both radar plot pollution profiles (Fig. 4 and 6) and PCA (Fig. 8-10). Likewise, the levels of pollutants were different, which was clearly reflected in the CPI and PLI values (Figs. 5 and 7), which were significantly correlated to each other following a linear regression model (Fig. 11). Therefore, CPI and PLI seem to be fully comparable integrative estimates of pollutants' levels. In addition, seasonality was a crucial factor affecting contamination profiles and concentrations and seemed to indicate seasonal variability in physicochemical properties of mangrove ecosystems as well as on diversification of human activities and practices (e.g., pest control, sanitation, tourism) between the rainy and the dry season, rather than the oysters' reproductive cycle (Chapter 2).

Overall, low tissue concentrations of metals (except Hg) and PAHs, moderate-to-high tissue concentrations of Hg, HCHs, DDTs, detectable levels of chlorpyrifos, PCBs (mainly CB28, CB118, CB138 and CB 153) and BDE85 (in Pigeon Cay) and negligible levels of musks were recorded in Nicaraguan oysters. Conversely, a distinct profile of POPs was identified in Colombia, where the tissue concentrations of HCHs and DDTs were practically negligible, chlorpyrifos and PBDEs were below detection limits, and the tissue levels of PCBs were low (CB28 in the rainy season in Isla Brujas, Isla Maparadita and Marina Santa Marta; and CB52 in all the localities at the dry season). In contrast, noticeable tissue concentrations of musk fragrances were recorded in all the localities in the dry season, and the levels of Ag, As, Cd, Pb, and PAHs in several localities and in particular seasons ranged from moderate to extremely high. In tissues of *C. rhizophorae* from the Wider Caribbean Region (including Mexico, Jamaica and Trinidad) mean values of 12 ng  $\gamma$ -HCH/g dry-wt, 4 ng PCBs/g dry-wt and 1 ng 4,4-DDE/g dry-wt have been reported (Van Lavieren et al., 2011). The values recorded in Nicaraguan mangrove cupped oysters largely exceeded these references, whereas only the levels of PCBs were occasionally surpassed in Colombia. These profiles and levels of pollutants are useful to identify potential pollution problems and pollutant sources in the studied regions but they do not provide reliable indication of the deleterious effects that these pollutants may exert to biota and ecosystems, as recommended for pollution monitoring programs (OSPAR Commission, 2013). For this reason, biological effects assessment was carried out in a parallel investigation aimed at relating oyster health condition to pollutant tissue levels (Chapter 2).





**Fig. 11.** Linear regression of PLI against CPI. RS, rainy season; DS, dry season.

In conclusion, mangrove cupped oysters, *C. rhizophorae*, are suitable biomonitors for assessing pollution levels in Caribbean mangroves, including diverse habitats such as lagoons and swamps and over a wide geographical coastal region from Nicaragua to Colombia. Nevertheless, seasonality is crucial to properly design and conduct pollution monitoring programmes. National and regional monitoring programmes in the WCR such as IMA in Trinidad and Tobago (Siung-Chang, 1997), GIWA in the WCR (UNEP, 2006) and REDCAM in Colombia (Vivas-Aguas et al., 2014) would be greatly improved by including measurement of tissue concentrations of chemical pollutants in mangrove cupped oysters in addition to measurements of the levels of pollutants in water and sediments, as regularly done in other regions of the world such as Pacific Asia, Europe, Canada and the USA (Monirith et al., 2003; Kimbrough et al., 2008; Zampoukas et al., 2014).

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## Supplementary Material

**SM 1.** CPI calculations for tissue concentrations of pollutants in mangrove cupped oysters, *C. rhizophorae*, from Nicaraguan mangrove lagoons and intertidal roots/docks of Colombian mangrove swamps, collected at both rainy (RS) and dry seasons (DS). Background tissue concentration values (BTC) were selected for calculations according to the following references (Ref.): BRC (Davies, 2004; OSPAR Commission, 2005); BAC (OSPAR Commission, 2013); HBC (Rimkus, 1999); LOD: limit of detection.

Ref.	BTC	Chemical Pollutant	Pigeon C (RS)	Half Way Cay (RS)	Punta Lora (RS)	Half Way Cay (DS)	Punta Lora (DS)	Isla Baru (RS)	Isla Brujas (RS)	Isla Maparadita (RS)	Marina (RS)	Taganga (RS)	Isla Baru (DS)	Isla Brujas (DS)	Isla Maparadita (DS)	Marina (DS)	Taganga (DS)
BRC	0.78	Ag	0.38	0.43	0.58	0.07	0.06	0.14	0.29	0.06	-0.57	0.20	-0.21	-0.28	0.28	-1.11	-0.44
BRC	21.00	As	2.05	1.85	1.69	1.86	1.88	2.13	1.92	1.89	2.34	2.12	2.06	2.03	2.07	2.25	2.74
BAC	0.66	Cd	1.36	1.62	1.47	1.47	1.78	2.67	2.44	2.06	1.19	1.26	1.63	1.76	2.42	1.10	1.28
BRC	1.80	Cr	1.12	1.24	0.78	0.95	0.81	1.12	1.37	1.89	1.73	1.87	1.55	0.67	0.58	1.31	2.03
BAC	0.06	Hg	0.43	0.48	0.30	0.12	0.34	0.18	0.00	0.00	0.26	0.18	-0.18	-0.30	0.07	0.34	0.26
BRC	2.40	Ni	1.22	1.30	0.95	1.10	1.36	1.25	1.26	1.04	1.33	1.45	0.83	0.91	0.86	1.03	1.59
BAC	1.20	Pb	0.62	0.74	0.54	0.64	0.81	1.00	0.95	0.83	1.06	0.97	0.79	0.56	0.40	1.03	1.12
BAC	11.00	Phe	-3.04	-3.04	-3.04	0.32	0.88	-3.04	0.36	-3.04	-3.04	-3.04	1.03	1.42	1.48	0.98	1.27
BAC	12.20	Flr	-3.09	-3.09	-3.09	-3.09	-3.09	-3.09	-0.28	-0.71	-3.09	-3.09	-0.79	1.00	-0.16	-3.09	-3.09
BAC	9.00	Pyr	0.51	-2.95	-2.95	-2.95	0.44	-2.95	0.82	-0.02	-1.00	-2.95	-2.95	1.21	-2.95	-2.95	-2.95
BAC	2.50	Benz[a]A	0.98	0.38	0.30	0.72	0.68	-2.40	1.61	1.23	0.03	-2.40	-2.40	-2.40	-2.40	-2.40	-2.40
BAC	8.10	Chr	0.47	-0.21	-0.06	0.17	0.13	-2.91	2.01	0.75	0.43	-2.91	-2.91	-2.91	-2.91	-2.91	-2.91
BAC	1.40	B[a]P	1.66	1.55	-2.15	1.51	1.59	-2.15	-2.15	-2.15	-2.15	-2.15	-2.15	-2.15	-2.15	-2.15	-2.15
BAC	2.50	B[ghi]P	0.88	0.90	-2.40	0.83	0.94	-2.40	1.91	-2.40	-2.40	-2.40	-2.40	-2.40	-2.40	-2.40	-2.40
BAC	2.40	Ind	1.03	1.03	-2.38	0.98	1.07	-2.38	1.29	-2.38	-2.38	-2.38	-2.38	-2.38	-2.38	-2.38	-2.38
BAC	0.60	$\alpha$ -HCH	2.00	-1.78	2.23	-1.78	-1.78	-1.78	-1.78	-1.78	-1.78	-1.78	-1.78	-1.78	-1.78	-1.78	-1.78
BAC	0.90	$\gamma$ -HCH	1.44	1.19	1.00	1.28	1.52	-1.95	-1.95	-1.95	-1.95	-1.95	-1.95	-1.95	-1.95	-1.95	-1.95
BAC	3.90	DDTs	1.58	1.90	2.44	1.56	1.54	-2.59	-2.59	-2.59	-2.59	-2.59	-2.59	-2.59	-2.59	-2.59	-2.59
HBC	0.50	HHCB	-1.70	-1.70	-1.70	-1.70	-1.70	1.35	1.28	-0.10	-1.70	-1.70	1.59	2.15	1.62	1.54	2.13
BAC	10.20	PCBs	1.52	1.39	1.37	1.43	1.40	-3.01	-0.44	-0.25	-1.23	-1.11	0.15	0.31	0.46	0.17	0.44
LOD	50.00	BDEs	0.81	-3.70	0.25	-3.70	-3.70	-3.70	-3.70	-3.70	-3.70	-3.70	-3.70	-3.70	-3.70	-3.70	-3.70

**SM 2.** PLI calculations for tissue concentrations of pollutants in mangrove cupped oysters, *C. rhizophorae*, from Nicaraguan mangrove lagoons and intertidal roots/docks of Colombian mangrove swamps, collected at both rainy (RS) and dry seasons (DS). Background tissue concentration values (BTC) were selected for calculations according to the following references (Ref. ): BRC (Davies, 2004; OSPAR Commission, 2005); BAC (OSPAR Commission, 2013); HBC (Rimkus, 1999); LOD: limit of detection.

Ref.	BTC	Chemical Pollutant	Pigeon C (RS)	Half Way Cay (RS)	Punta Lora (RS)	Isla Barú (RS)	Isla Brujas (RS)	Isla Maparaditá (RS)	Marina (RS)	Tagauga (RS)	Isla Barú (DS)	Isla Brujas (DS)	Isla Maparaditá (DS)	Marina (DS)	Tagauga (DS)
BRC	0.78	Ag				1.38		1.14		1.59			1.88		
BAC	0.66	Cd				42.47	25.15	10.53	1.39	1.64	3.85	5.20	24.06		
BRC	1.80	Cr				0.44		2.62	1.78	2.47	1.17				3.55
HLC	211.00	Cu												2.21	
BAC	0.06	Hg				1.50			1.83	1.50	0.67			2.17	1.83
BRC	2.40	Ni								0.71					
BAC	11.00	Phe													
BAC	12.20	Flr									10.67	26.38	30.31	9.65	18.77
BAC	9.00	Pyr										9.90			
BAC	2.50	Benz[a]A										16.09			
BAC	8.10	Chr													
BAC	1.40	B[a]P													
BAC	2.50	B[ghi]P													
BAC	2.40	Ind													
BAC	0.60	α-HCH	100.00												
BAC	0.90	γ-HCH	27.78	15.56	10.00										
BCR	3.90	DDTs	38.21	78.72	277.44										
HBC	0.50	HHCb													
BAC	10.20	PCBs	33.43	24.51	23.33	22.20	19.20	0.56			39.20	142.00	41.80	35.00	134.20
LOD	50.00	BDE85			1.78						1.41		2.87	1.47	2.74

**Chapter 2. Assessment of ecosystem health disturbance in mangrove-lined Caribbean coastal systems using the oyster *Crassostrea rhizophorae* as sentinel species**



### Scientific Contributions:

**Aguirre-Rubí J, Garmendia L, Etxebarria N, Ortiz-Zarragoitia M, Luna-Acosta A, Soto M, Zaldibar B, Izagirre U, Espinoza F, Ahrens MJ, Marigómez I.** 2013. Combined biomarker and chemical analysis as a tool to assess coastal ecosystem health in Caribbean mangroves: a pollution biological effects monitoring pilot program. *9th Iberian & 6th Iberoamerican Congress on Environmental Contamination & Toxicology CICTA, Valencia, July 1-4 2013*. Oral presentation.

**Aguirre-Rubí J, Luna-Acosta A, Ortiz-Zarragoitia M, Zaldibar B, Izagirre U, Villamil L, Marigómez I.** submitted. Assessment of ecosystem health disturbance in mangrove-lined Caribbean coastal systems using the oyster *Crassostrea rhizophorae* as sentinel species. SCI TOT ENVIR.

**Keywords:** mangrove cupped oyster, biomarkers, condition, reproduction, histopathology, ecosystem health, monitoring

**Abstract:** This investigation was aimed at contributing to develop a suitable multi-biomarker approach for pollution monitoring in Caribbean mangroves and coastal zones using as sentinel species the mangrove cupped oyster, *Crassostrea rhizophorae*. A pilot field study was carried out in 8 localities (3 in Nicaragua and 5 in Colombia) subjected to different levels and type of pollution. Samples were collected in the rainy and dry seasons in 2012-2013. The biological effects at different levels of biological complexity (stress on stress response, reproduction, condition index, tissue-level biomarkers and histopathological condition) were determined as indicators of health disturbance, integrated as IBR/n index, and compared with tissue burden of chemical pollutants in order to achieve an integrative biomonitoring approach. Though modulated by natural variables and confounding factors, different indicators of health disturbance alone and in combination were related to the presence of different profiles and levels of chemical pollutants present at low-to-moderate levels. Different mixtures of a variety of persistent (e.g., As, Cd, PAHs) and emerging chemical pollutants (e.g. musk fragrances) in combination with different levels of organic and particulate matter resulting from upwelling and sewage discharges, and environmental factors (salinity, temperature) elicited a different degree of disturbance in ecosystem health condition in mangrove-lined Caribbean coastal ecosystems, as reflected in sentinel *C. rhizophorae*. As a result, IBR/n was correlated with pollution indices such as PLI and CPI, even though the levels of biological anomalies used as indicators of health disturbance and the levels of pollutants were in general terms low-to-moderate in a real field situation influenced and modulated by biological variables and environmental factors that varied seasonally. Our study supports the use of simple methodological approaches to diagnose anomalies in the health status of mangrove cupped oysters from different localities and to identify potential causing agents and reflect disturbances in ecosystem health. Consequently, the easy methodological approach used herein is useful for the assessment of health disturbance in a variety Caribbean coastal ecosystems using mangrove cupped oysters as sentinel species.

**Resumen:** Esta investigación tuvo como objetivo contribuir a desarrollar un enfoque de multi-biomarcadores apropiado para el monitoreo de la contaminación en manglares y zonas costeras del Caribe, usando como especie centinela la ostra de manglar *Crassostrea rhizophorae*. Se llevó a cabo un estudio piloto de campo en ocho localidades (tres en Nicaragua y cinco en Colombia) sujetas a diferentes niveles y tipos de contaminación. Se recolectaron muestras en las épocas de lluvia y seca del 2012 y 2013. Se determinaron efectos biológicos a diferentes niveles de complejidad biológica (respuesta de estrés-sobreestrés, reproducción, índice de condición, biomarcadores tisulares y condición histopatológica) como indicadores de perturbaciones en la salud, y se integraron en el índice IBR/n. Seguidamente dichos efectos biológicos se compararon con la carga tisular de contaminantes químicos con la finalidad de lograr un enfoque integral de biomonitoreo. Aunque modulados por variables naturales y factores de confusión, se relacionaron, por separado y en conjunto, diferentes indicadores de alteraciones de salud con los diferentes perfiles y niveles de contaminantes químicos, que estaban presentes a niveles entre bajos y medios. Diferentes mezclas de diversos contaminantes químicos persistentes (ejemplo: As, Cd, PAHs) y emergentes (ejemplo: almizcle sintéticos) en combinación con diferentes niveles de materia orgánica y particulada (proveniente de efluentes de aguas residuales, afloramientos) y diferentes factores ambientales (salinidad, temperatura), provocaron diferente grado de alteración en la condición de la salud de los ecosistemas costeros de manglares del Caribe, según se reflejó en la especie centinela *C. rhizophorae*. Como resultado, aunque los niveles de anomalía biológica usados como indicadores de la alteración de la salud y los niveles de contaminantes fueron, en términos generales, de bajos a medios en una situación real de campo y, además, estaban influenciados y modulados por variables biológicas y factores ambientales que variaban con la época, el IBR/n se correlacionó con los índices de contaminación PLI y CPI. Nuestra investigación confirma que pueden usarse enfoques metodológicos sencillos para diagnosticar anomalías en el estado de salud de la ostra de manglar, lo que sirve para identificar potenciales agentes causantes y reflejar perturbaciones en la salud del ecosistema. En consecuencia, este enfoque metodológico sencillo es útil para evaluar perturbaciones de la salud de diversos ecosistemas costeros del Caribe, usando la ostra de manglar como especie centinela.

## 1. Introduction

Mangrove ecosystems are threatened by a combination of natural disasters, tourism, aquaculture, deforestation and chemical pollution (Ellison, 2004; Defew et al., 2005; Fernandez et al., 2007; Polidoro et al., 2010; Lewis et al., 2011; Bayen 2012). In mangroves of the Wider Caribbean Region (WCR, UNEP Regional Seas Programme), pollution monitoring programmes have been carried out since the 1970's to determine the concentrations of pesticides and other persistent organic pollutants (POPs), PAHs and metals in seawater, sediments, seafood and biomonitor species (Sericano et al., 1995; Rojas de Astudillo et al., 2005; Fernandez et al., 2007; Carvalho et al., 2009; Castañeda-Chávez 2011; Alfonso et al., 2013; Kanhai et al., 2014; 2015). Particularly, mangrove cupped oysters (*Crassostrea rhizophorae*) have been proposed as biomonitors for pollution monitoring in mangrove ecosystems (Nascimento et al., 1998; Wallner-Kersanach et al., 2000; Rebelo et al., 2005; Silva et al., 2003; 2006; da Silva et al., 2005; Zanette et al., 2006; Valdez Domingos et al., 2007; Torres et al., 2012; Chapter 1).

Nowadays, it is accepted that marine pollution monitoring cannot be sustained only by chemical data because these do not provide any indication of the deleterious effects exerted to biota and ecosystems by cocktails of pollutants in multiple stress scenarios (Cajaraville et al., 2000; Allan et al., 2006); consequently, it is recommended to include both chemical and biological effects endpoints in pollution monitoring programs (ICES, 2011; OSPAR Commission, 2013a). Amongst the most used biological effects endpoints, biomarkers are “early warning signals” useful to assess the biological effects exerted by mixtures of chemicals on sentinel species in complex environmental conditions (Cajaraville et al., 1993; Marigómez et al., 2006; 2013). Thus, biomarkers have recently become an integral component of environmental monitoring programmes in several countries (Schettino et al., 2012).

To our knowledge, "biological effects" monitoring based on the biomarker approach is not currently being carried out in the Caribbean coastal zone. Although information about the biological effects exerted by chemical pollutants on mangrove oysters is gaining attention (Chung, 1980; Alves et al., 2002; Rebelo et al., 2003; Zanette et al., 2006; 2008; Paixão et al., 2007; Maranhão et al., 2012; Catharino et al., 2015), the monitoring capacities and facilities can be in many WCR countries far away from those required to conduct sophisticated and most-advanced biomarker-based monitoring using oysters as sentinels (Van Lavieren et al., 2011). However, as very recently stated by Blaise et al., (2016) the application of biomarkers is not necessarily inhibited by monetary and logistic constraints because effortless biomarkers can provide basic

knowledge on animal health and water quality and are technically achievable everywhere. Accordingly, and aware of the technical and logistic limitations (e.g., dealing with limited accessibility and difficulties for secure sample transportation and quality *in situ* processing), a toolbox of non-sophisticated and reliable biological effects endpoints was selected to assess the potential of the mangrove cupped oyster, *C. rhizophorae*, as sentinel for pollution monitoring in Caribbean mangroves and coastal zones. This toolbox included responses easy to measure at population and individual level and histopathological analyses; these latter allow scoring responses at systemic and tissue levels on the basis of cheap, solid and straightforward technology.

The Stress-on-Stress (SoS) response has been recommended by ICES (2012) for monitoring programmes as an indicator of mussel health status (Hellou and Law, 2003; Pampanin et al., 2005). Further on, the successful application of SoS response as biomarker for environmental monitoring has been extended to other bivalve species, especially in sub-Arctic and temperate regions (Blaise et al., 2016). SoS response is a cost-effective test, in which the capacity of bivalves to survive on air is scored as a measure of resilience (Smaal et al., 1991; Veldhuizen-Tsoerkan et al., 1991; Viarengo et al., 1995; 2007; de Zwaan and Eertman 1996). It has been applied in the field to detect effects of urban discharges to estuarine and coastal waters using both native (Hellou and Law, 2003; Pampanin et al., 2005) and transplanted mussels (Moles and Hale, 2003; de los Ríos et al., 2013), as well as for assessing oil spill impact (Thomas et al., 1999) and in laboratory experiments (Veldhuizen-Tsoerkan et al., 1991; Eertman et al., 1995; Viarengo et al., 1995). It is not as sensitive as some core biomarkers of general stress (e.g. lysosomal membrane stability) but is more sensitive than others and its methodology is simple, rapid and low-cost (Viarengo et al., 1995).

Flesh Condition Index (FCI) reflects the physiological status of bivalves (Orban et al., 2002; Stroglyoudi et al., 2012) and it has been reported that it is reduced on exposure to metals and organic chemicals (Andral et al., 2004; Ivanković et al., 2005; Mubiana et al., 2006). SoS response and FCI were recognised amongst those effortless biomarkers easy to be applied without major monetary or logistic constrains (Blaise et al., 2016).

Gamete development and gonad histopathology were included in the toolbox of biological effects endpoints because the gametogenic cycle is a backbone reference to understand health condition and the biological effects of pollutants, and because changes in normal gametogenic cycle and reproduction disturbances (e.g. intersex) are well-known biological effects of chemical pollutants (Wintermyer and Cooper, 2007; Ortiz-Zarragoitia and Cajaraville, 2010; 2011; Baussant et al., 2011). Finally, digestive gland histopathology was also incorporated into the

toolbox, as a wide range of contaminants, including metals, pesticides and PAHs, is known to provoke histopathological alterations in this tissue (Au 2004; Usheva et al., 2006; Aarab et al., 2008; Kim and Powell, 2007; Kim et al., 2008; Bignell et al., 2011; Garmendia et al., 2011; Khan et al., 2015).

Aimed at contributing to develop a suitable multi-biomarker approach for pollution monitoring in Caribbean mangroves and coastal zones using as sentinel species the mangrove cupped oyster, *C. rhizophorae*, a pilot field study was carried out in 8 localities (3 in Nicaragua and 5 in Colombia) subjected to different levels and type of pollution (Chapter 1). Samples were collected in the rainy and dry seasons in 2012-2013. Results dealing with the tissue levels of pollutants were published in a preceding paper (Chapter 1), in which the suitability of mangrove cupped oysters as biomonitors for the Caribbean mangroves and coastal zones was confirmed. In the present investigation, the biological effects at different levels of biological complexity (stress on stress response, reproduction, condition index, tissue-level biomarkers and histopathological condition) were determined, integrated as IBR/n index (Belaieff and Burgeout, 2002; Broeg and Lehtonen, 2006; Marigómez et al., 2013), and compared with tissue burden of chemical pollutants (Chapter 1) in order to achieve an integrative biomonitoring approach.

## 2. Material and Methods

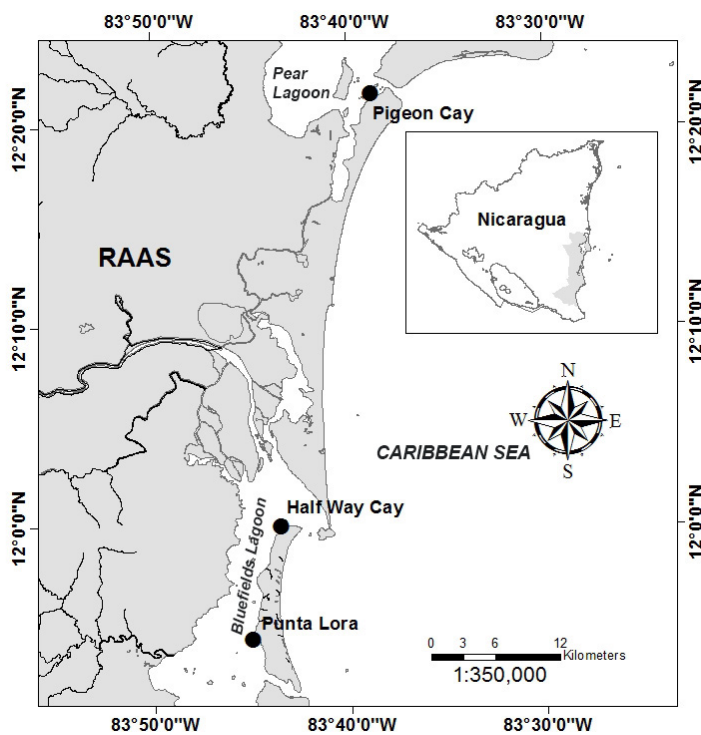
### 2.1. Sampling sites and sample collection

Different representative scenarios of mangrove ecosystems from the Caribbean were selected. In Nicaragua, subtidal (<1 m depth) oyster reefs were studied in two localities (Fig. 1): Bluefields (sampling sites: Punta Lora and Half Way Cay) and Pearl Lagoon (sampling site: Pigeon Cay). Punta Lora was considered as a prospective reference site (far away from urban settlements) whilst Half Way Cay and Pigeon Cay were selected as potentially polluted areas influenced by aquatic transport and urban discharges (GEF-REPCar, 2011; Ebanks-Mongalo et al., 2013). In Colombia, intertidal prop roots of mangrove trees were studied in Cartagena Bay and Barbacoas Bay (Fig. 2) and intertidal rocky shores in Santa Marta Bay (Fig. 3).

In Cartagena Bay, a mangle islet 200 m north of Isla Maparadita (0.5 Km offshore the Terminal of Cartagena Port) and Isla Brujas were selected as seemingly polluted sites, as shown in previous studies (Vivas-Aguas et al., 2010; 2014). Isla Brujas is an islet adjacent to the industrial zone of Mamonal (oil refineries, petrochemicals, and asphalt, cement and smelting plants) that

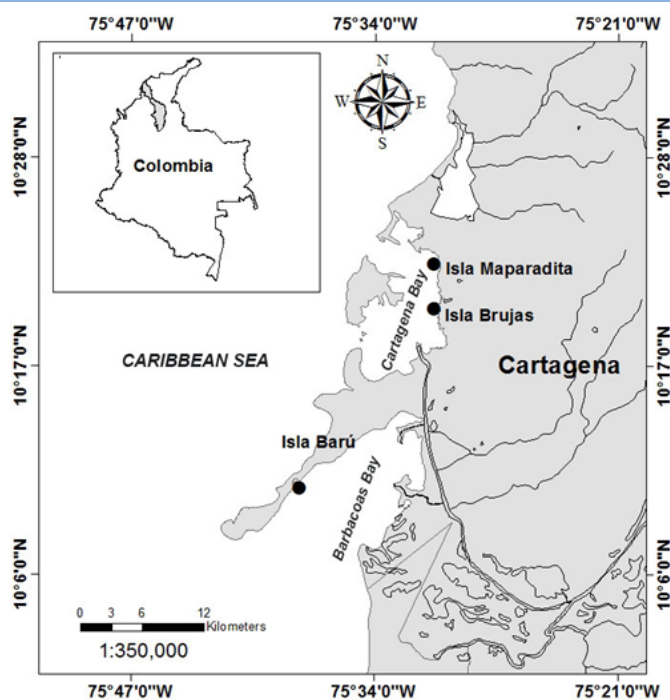
also receives the direct impact of the Dique Channel. This channel was open 5 centuries ago to connect the Magdalena River with the Cartagena Bay for navigation and constitutes a major source of sediments and chemical pollution in the Cartagena Bay (Vivas-Aguas et al., 2014). In addition, Isla Barú in Barbacoas Bay was selected as a potential reference site; however, it also may be influenced by the Magdalena River to which it communicates by smaller channels via the Dique Channel since the 1950's (Gómez-Giraldo et al., 2009).

In Santa Marta Bay, the Marina Santa Marta was selected as a sampling site subject to strong anthropogenic influence (Garcia et al., 2012), whereas the nearby Taganga Harbour was a priori considered as a reference site. Sampling was carried out over one year (2012-2013) in the rainy season (October 2012) and in the dry season (March 2013).

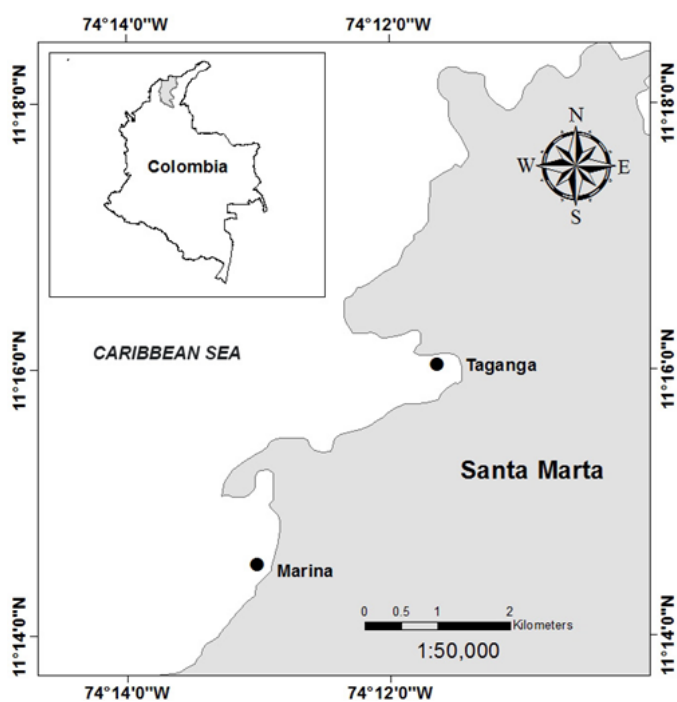


**Fig. 1.** Caribbean coastal maps from Nicaragua, showing the localities where oysters *Crassostrea rhizophorae* were collected in 2012-2013. Nicaraguan coast: Pigeon Cay ( $12^{\circ}21'42.50''\text{N}$ - $83^{\circ}38'32.11''\text{W}$ ); Half Way Cay ( $11^{\circ}59'58.73''\text{N}$ - $83^{\circ}43'28.24''\text{W}$ ) and Punta Lora ( $11^{\circ}54'21.02''\text{N}$ - $83^{\circ}44'58.68''\text{W}$ ).

**Fig. 2.** Caribbean coastal maps from Cartagena-Colombia, showing the localities where oysters *Crassostrea rhizophorae* were collected in 2012-2013. Isla Barú ( $10^{\circ}10'56.02''\text{N}$ - $75^{\circ}38'21.74''\text{W}$ ); Isla Brujas ( $10^{\circ}19'59.07''\text{N}$ - $75^{\circ}30'47.24''\text{W}$ ) and Isla Maparadita ( $10^{\circ}22'21.09''\text{N}$ - $75^{\circ}30'48.65''\text{W}$ ) in Cartagena Bays.



**Fig. 3.** Caribbean coastal maps from Santa Marta-Colombia, showing the localities where oysters *Crassostrea rhizophorae* were collected in 2012-2013. Marina Santa Marta ( $11^{\circ}14'31.59''\text{N}$ - $74^{\circ}13'05.24''\text{W}$ ) and Taganga ( $11^{\circ}16'07.68''\text{N}$ - $74^{\circ}11'37.37''\text{W}$ ) in Santa Marta Bay.



Environmental conditions and anthropogenic impact and contamination were different between Nicaraguan and Colombian coastal areas, as well as among localities within each study area (Table 1). Further on, the levels of pollutants in each locality were determined in a parallel study (Chapter 1). Briefly, low tissue concentrations of metals (other than Hg) and PAHs, moderate-to-high tissue concentrations of Hg, HCHs, DDTs, detectable levels of chlorpyrifos, PCBs and BDE85 (in Pigeon Cay) and negligible levels of musks were recorded in Nicaraguan oysters. The profile of POPs was different in the oysters from the Colombian localities where (a) the tissue concentration of HCHs, chlorpyrifos, PCBs and PBDEs were in the range of non-



detected to low; (b) noticeable tissue concentrations of musk fragrances were recorded in the dry season; and (c) the levels of Ag, As, Cd, Pb, and PAHs in several localities ranged from moderate to extremely high.

Up to 85 mangrove cupped oysters (*Crassostrea rhizophorae*) were collected per sampling site, of which 25 were used for the chemical assessment (Chapter 2) and the remaining 60 for biological effects analyses presented herein. Upon collection, oysters were placed in 15 L plastic boxes (2 individuals /L) in seawater at ambient temperature and transported to the laboratory (for 3-6 h) before processing. Due to logistic problems, sampling could not be conducted in Pigeon Cay during the dry season.

**Table 1.** Environmental conditions of Nicaraguan and Colombian coastal areas studied herein, including general traits of anthropogenic impact and pollution (Dumailo, 2003; Vivas-Aguas et al., 2013; Mancera-Pineda et al., 2013; Chapter 1). SST, seawater surface temperature; DO, dissolved oxygen; SOM, suspended organic matter; SSP Suspended Solid Particles; HDD, dissolved hydrocarbons; OCP, organochlorate pesticides; NDA, no data available; (sed), in sediments; (wb); waterborne.

		Pigeon Cay <sup>(1)</sup>	Half Way Cay <sup>(1)</sup>	Punta Lora <sup>(1)</sup>	Isla Barú <sup>(2)</sup>	Isla Brujas <sup>(2)</sup>	Isla Mapar. <sup>(2)</sup>	Marina <sup>(2) (3)</sup>	Taganga <sup>(2) (3)</sup>
SST (°C)	RS	29	>30	>30	32	33	33	29-30	29-30
	DS	26	29	27	31	29	30	25-26	25-26
Salinity (‰)	RS	2	6	2	15	17	10	35	35
	DS	26	26	12	34	35	33	37	37
pH	RS	NDA	NDA	NDA	8.7	8.2	8.2	8.2	8.3
	DS	NDA	8.7	8.7	8.2	8.1	8.1	8.1	8.2
DO (mg/L)	RS	MIN	MIN	MIN	7	11	11	4.5	6.5
	DS	MAX	6	8	6	9	9	8	6
SOM/SSP (mg/L)	RS	HIGH	NDA	NDA	>250	<10	<10	20	10
	DS	LOW	NDA	NDA	>250	<10	<10	20	10
Turbidity (m)	RS	HIGH	HIGH	HIGH	HIGH+	HIGH	MID	MID+	MID+
	DS	LOW	(0.7)	(0.7)	LOW	LOW	LOW	LOW	LOW
NH <sub>4</sub> (µg/L)	RS	NDA	NDA	NDA	250	150	200	7	18
	DS	NDA	NDA	NDA	200	100	100	2	0
Faecal Bacteria	RS	NDA	++	+	++	++	++	++	+
	DS	NDA			+	-	-	-	-
HDD (µg/L)	RS	NDA	+ (sed)	-	8	13	4	4-6	<1
	DS	NDA		-	-	11	6	<1	<1
OCP (ng/L)	RS	NDA	>150 (sed)	>150 (sed)	<30 (wb)	<30 (wb)	<30 (wb)	<30 (wb)	<30 (wb)
	DS	NDA			-	-	-	-	-
Pb (wb)(µg/L)	RS	NDA	NDA	NDA	10	200	200	4.5	-
	DS	NDA	NDA	NDA	30	50	100	-	-

<sup>(1)</sup> Dumailo (2003), <sup>(2)</sup> Vivas-Aguas et al., (2013) and <sup>(3)</sup> Mancera-Pineda et al., (2013)

## 2.2. Condition and histopathology

### 2.2.1. *Stress on Stress (SoS) test*

LT<sub>50</sub> was calculated after the "survival-in-air" SoS test (Veldhuizen-Tsoerkan et al., 1991; Viarengo et al., 1995) performed on 30 oysters from each site and sampling period that were placed over wet paper on plastic trays at constant room temperature (34°C) and 100% humidity. Survival was assessed daily and oysters were considered to be dead when their valves gaped and failed to close when they were physically stimulated, or simply presented a bad smell (Pampanin et al., 2005).

### 2.2.2. *Flesh Condition Index*

Two different methods were carried out to estimate the flesh condition index (FCI). In Nicaraguan oysters, shell cavity volume (SCV) was determined according to Rebelo et al., (2005). Briefly, moulds of the shell internal cavity were made using plasticine and introduced into a water-filled glass column to verify water displacement. Displaced water dripped through a tap in the column and was collected in a Petri dish over a balance; through the water weight, SCV was calculated in ml. Soft parts from each individual were dried at 105°C and weighed to the nearest 0.1 mg on an analytical balance in order to calculate flesh dry-wt (FDW; Lobel and Wright 1982). Then, FCI was calculated (Scott and Lawrence 1982) as  $FDW/SCV = 100 \times FDW (g)/SCV (ml)$ . In Colombian oysters, FCI was determined as  $FDW/SDW$ , where SDW is the shell dry weight (dried at room temperature) according to Crosby and Gale, (1990). SDW was measured in g and shell length (L) was determined as the length in mm of the largest valve (the long axis measured to the nearest 0.1 mm with Vernier callipers; Dame, 1972). SDW and L were not determined in Nicaraguan oysters. However, estimates of these parameters were calculated on the basis of SCV values upon applying model functions (Cabrera-Peña et al., 1983; Crosby and Gale, 1990) adjusted to local population traits, as derived from direct SDW and L measurements carried out only in oysters collected in the three Nicaraguan localities in October 2013. As FCI was calculated by distinct approaches in Nicaraguan and Colombian oysters, it was decided to calculate  $FDW/L$  as an oyster FCI suitable for inter-regional comparisons. Moreover, using L as the reference to construct condition indices in oysters (e.g.  $FDW/L$  or  $FDW/L^3$ ; Hellou et al., 2003) overcomes uncertainties associated to unpredictable variations in SDW and difficulties resulting from inaccurate SCV determinations (Blaise et al., 2016).

### 2.2.3. Histology and histopathology

Upon dissection of their soft body (N=20 oysters/sample), central cross-sectioned slices (3–5 mm thick, including digestive gland, gonad (mantle) and additional tissues) were fixed in Davidson's fixative for 48 h and paraffin embedded. Microtome sections (5  $\mu$ m) were obtained using an automated rotary Leica RM 2255 microtome (Leica Microsystems, Nussloch, Germany), stained with haematoxylin-eosin and examined under the light microscope (Olympus BX61; Tokyo, Japan) for gamete development determination and histopathological diagnosis (Kim et al., 2006).

*Gamete development and reproductive disturbance.* Gamete developmental stages were examined microscopically. Slides of 20 oysters per sample were examined individually under the light microscope using 10 $\times$  and 20 $\times$  objective lenses. The sex of each animal was recorded and the total number of female and male oysters was calculated for each sampling time and locality, and with these data, the sex ratio was calculated for each sampling time and locality (Ortiz-Zarragoitia et al., 2011). Further on, the sex ratio index (SRI) was calculated as the G value of females-to-males ratio (F:M) upon the gross assumption of theoretical F:M ratios (after Vélez, 1982) of 2.3:1 in Nicaragua rainy season, 1:1 in Nicaragua dry season and Colombia rainy season and 0.75 in Colombia dry season. Intersex index (IXI) was calculated as the prevalence of intersex individuals at each locality and season.

The prevalence of gamete developmental stages was determined upon scoring the maturity of the follicles and gametes according to Kim et al., (2006); and also a gonad index (GI) value was assigned (modified after Ortiz-Zarragoitia and Cajaraville 2010): 1 = undifferentiated (GI = 0); 2 = early development (GI = 1); 3 = mid development (GI = 2); 4 = late development (GI = 4); 5 = full development (GI = 5); 6 = spawning (GI = 3); 7 = spawned (GI = 2) and 8 = spawned (GI = 1). Gamete mass index (GMI) was calculated as the log ratio of the sum of the prevalence of developmental stages 2-5 (gonad tissue growth) to the sum of the prevalence of stages 6-8 (gonad tissue loss). Undifferentiated index (UDI) was estimated as the prevalence of stage 1. Oocyte atresia prevalence was recorded in female oysters and its intensity was scored (0-4) individually according to the scale for abnormal gonad development proposed by Kim et al., (2006) for mussels. Oocyte atresia index (OAI) was calculated by multiplying prevalence  $\times$  intensity. Reproductive Anomalies Index (RAI) was calculated as weighted average of the deviation from the theoretical maximum anomalies of the specific indices of reproductive disturbance, according to the following formula:

$$RAI = \frac{1}{5} \times \left( \frac{CF \times SRI}{SRI_{50}} + \frac{CF \times IXI}{IXI_{50}} + \frac{CF \times GMI}{GMI_{max}} + \frac{CF \times UDI}{UDI_{max}} + \frac{CF \times OAI}{OAI_{50}} \right)$$

where  $CF = \times 100 / 1.6$  (thus RAI changes between 0 and 100);  $SRI_{50} = 27.7$  for a 50% deviation from the theoretical sex ratio;  $IXI_{50} = 50$ , when a 50% prevalence of intersex individuals occurs; (c) where  $GMI_{max} = 2$ , when 100% of the gametes are mature in absence of any sign of spawning; (d)  $UDI_{max} = 100$ , when 100% of the individuals do not present differentiated gametes; and  $OAI_{50} = 2$ , the median value of the scale for atresia scoring after Kim et al., (2006).

*Digestive gland histopathology.* Slides of 20 oysters per sample were examined individually under the light microscope using 10×, 20× or 40× objective lenses. Parasites and histopathological alterations were scored using either quantitative or presence/absence scales (Kim et al., 2006). Intracellular ciliates, unidentified intracellular protists, *Nematopsis* sp., metazoans (trematodes, cestodes), *Rickettsia/Clamidia*-like organisms (R/CLO) and granulocytomas were recorded quantitatively following procedures previously described (Kim et al., 2006; Kim and Powell, 2007; Garmendia et al., 2010; 2011). Quantitative scores were made by keeping a running count of the incidences as the slide was scanned to avoid re-examination of each slide multiple times for each category. Haemocytic infiltration (without distinction between focal and diffuse), brown cell aggregates and disseminated neoplasia were scored as present/absent for each individual oyster (Zaroogian and Yevich, 1993; Kim and Powell, 2004). Prevalence and intensity of histopathological lesions were determined in Nicaragua, and prevalence in Colombia, according to Kim and Powell (2007) and Garmendia et al., (2011), as follows:

$$Prevalence = \frac{N. \text{affected individuals} \times 100}{N. \text{examined individuals}}$$

$$Intensity = \frac{\sum_{i=1}^n (N. \text{occurrences of parasite or pathology per individual})}{N. \text{affected individuals}}$$

The inflammatory response index (IRI) was calculated as the sum of the prevalence values of oedema, granulocytomas and disseminated neoplasia. The parasitic infestation index (PII) was calculated as the sum of the prevalence values calculated individually for each of the 5 groups of parasites aforementioned. The digestive gland atrophy index (DGAI) was estimated according to Kim and Powell (2007).

### 2.3. Integrated Biological Response (IBR)

IBR index was based on the integration of five biological responses from cellular to community levels (DGAI, IRI, FDW/L, RAI and  $1/LT_{50}$ ) according to Beliaeff and Burgeot (2002), Broeg and Lehtonen (2006), and Marigómez et al., (2013). Calculations were based on a multivariate graphic method, according to the following procedure: (1) calculation of the mean and standard deviation for each sample; (2) standardization of data for each sample:  $x_i' = (x_i - \bar{x})/s$ ; where,  $x_i'$  = standardised value of the biomarker;  $x_i$  = mean value of a biomarker from each sample;  $\bar{x}$  = general mean value of  $x_i$  calculated from all compared samples (data set);  $s$  = standard deviation of  $x_i$  calculated from all samples; (3) addition of the standardised value obtained for each sample to the absolute standardised value of the minimum value in the data set:  $y_i = x_i' + |x_{\min}'|$ ; (4) calculation of the radar plot triangular areas as  $A_i = (y_i \times y_{i+1})/2$ , where “ $y_i$ ” and “ $y_{i+1}$ ” are the standardised values of each biomarker and its next one in the radar plot, respectively; and (5) calculation of the IBR index which is the summing-up of all the radar plot triangular areas ( $IBR = \sum A_i$ ) (Beliaeff and Burgeot, 2002). Since the IBR value is directly dependent on the number of biomarker in the data set, the obtained IBR value was divided by the number of biomarkers used to calculate  $IBR/n$  (Broeg and Lehtonen, 2006).

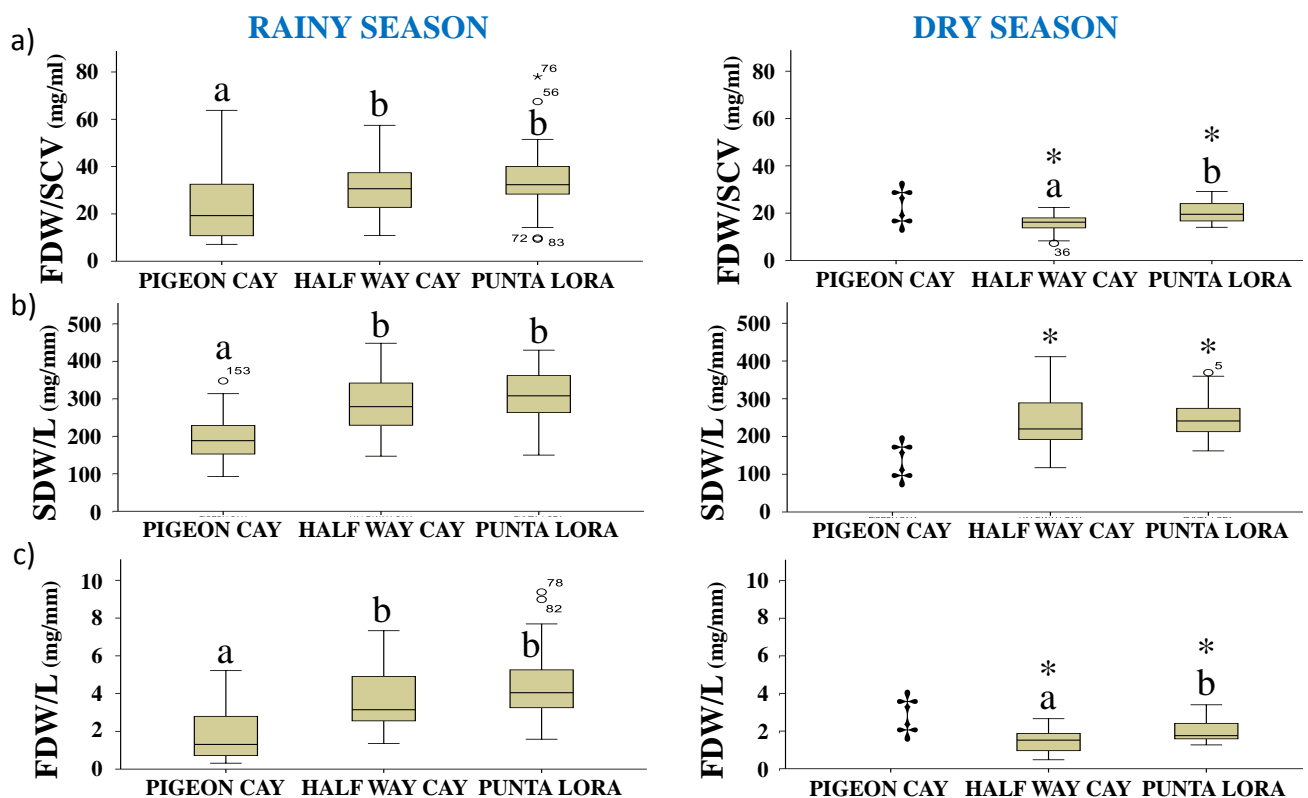
### 2.4. Statistical analyses

Statistical analyses were carried out with the aid of SPSS version 22 statistical package (IBM SPSS, Armonk, NY, USA). The normality distribution (Shapiro-Wilk's test) and homogeneity of variance (Levene's test) of data were determined before proceeding with subsequent analyses. Due to the high variability between individuals, even after normal distribution transformation of the data, non-parametric analyses were carried out. Significant differences in the prevalence of inflammatory responses and parasitic lesions, and in health disturbance (anomalies) indices were analysed by the Z score test. Survival values of SoS test were analysed with the non-parametric Kaplan-Meier analysis, followed by the Tarone-Ware post hoc test for comparisons between localities for each season and between seasons for each locality. Differences in SCV, L, SDW, FCI (FDW/SCV and FDW/SDW), DGAI and GI were determined by applying Kruskal–Wallis followed by Dunn's post hoc test. Sex ratio bias was studied using the G test of association, comparing total number of female and male mussels and normalizing for theoretical gender bias. In all the tests used herein, significant differences were established at  $p < 0.05$ .

### 3. Results

#### 3.1. Nicaragua

Shell L, FDW, SCV and SDW were smaller ( $p < 0.05$ ) in Pigeon Cay than in Half Way Cay and Punta Lora in the rainy season; likewise, all these biometric parameters presented lower values ( $p < 0.05$ ) in the dry season than in the rainy season in the latter two localities (Table 2). Moreover, FDW was smaller in Half Way Cay than in Punta Lora at both seasons. A similar pattern was observed regarding condition indices. FSW/SCV, SDW/L and FDW/L values were lower in Pigeon Cay than in Half Way Cay and Punta Lora during the rainy season, lower in the dry season than in the rainy season in the latter two localities and smaller in Half Way Cay than in Punta Lora in the rainy season (Figs. 4a to 4c).



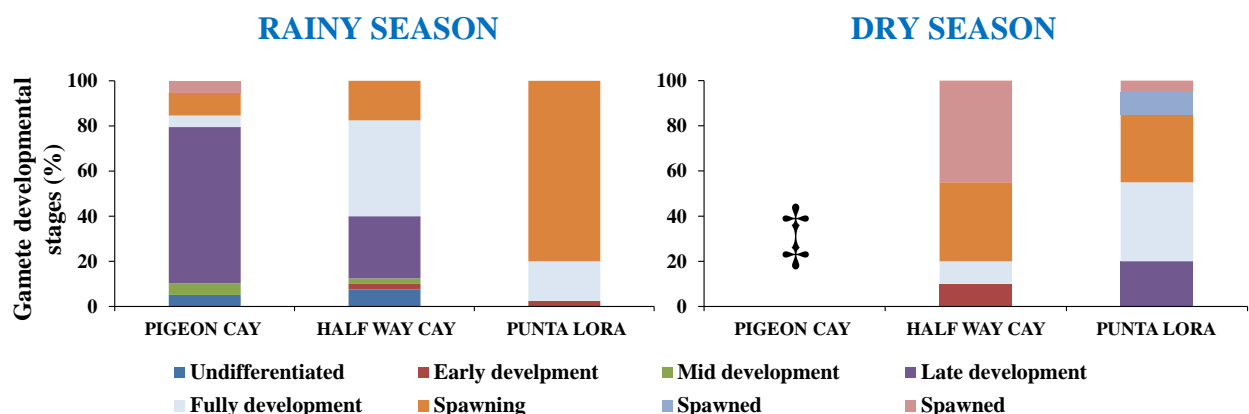
**Fig. 4.** Biomarkers of anomalies in oyster health indicative of disturbance in ecosystem health for the rainy and the dry seasons in Nicaraguan mangrove lagoons (Pigeon Cay, Half Way Cay and Punta Lora). Different letters denote statistically significant differences between localities and asterisk (\*) indicate seasonal differences for a locality ( $p < 0.05$ ). SDW/L, shell growth index; FDW/SCV and FDW/L, condition indices. †, no data.

**Table 2.** Condition and histopathology of *Crassostrea rhizophorae* from shallow subtidal oyster reefs of Nicaraguan mangrove lagoons. Shell cavity volume, condition index and atrophy their values are mean  $\pm$  standard error (n = 20). Sex Ratio Index = SRI's G value. The superscript letters (a, and b) indicate significant differences between groups ( $p < 0.05$ ). Asterisks (\*) indicate seasonal difference for a locality ( $p < 0.05$ ); #, significantly different from the theoretical gender bias (Vélez, 1982) according to the G test ( $p < 0.05$ ). <sup>1</sup>, estimated based on model functions.

	RAINY SEASON			DRY SEASON	
	Pigeon Cay	Half Way Cay	Punta Lora	Half Way Cay	Punta Lora
Shell Length (L; mm) <sup>1</sup>	59.7 $\pm$ 2.1 <sup>a</sup>	79.9 $\pm$ 2.6 <sup>b</sup>	84.8 $\pm$ 2.4 <sup>b</sup>	70.4 $\pm$ 4.0*	72.4 $\pm$ 2.6*
Flesh dry-wt (FDW; mg)	118.4 $\pm$ 16.3 <sup>a</sup>	296.1 $\pm$ 22.6 <sup>b</sup>	370.3 $\pm$ 26.1 <sup>b</sup>	113.3 $\pm$ 16.3 <sup>a*</sup>	149.5 $\pm$ 13.9 <sup>b*</sup>
Shell Cavity Vol (SCV; ml)	4.9 $\pm$ 2.5 <sup>a</sup>	9.6 $\pm$ 4.4 <sup>b</sup>	10.9 $\pm$ 4.3 <sup>b</sup>	7.3 $\pm$ 4.4*	7.4 $\pm$ 2.8*
Shell dry-wt (SDW; g) <sup>1</sup>	11.2 $\pm$ 1.1 <sup>a</sup>	24.0 $\pm$ 1.8 <sup>b</sup>	27.2 $\pm$ 1.7 <sup>b</sup>	18.4 $\pm$ 2.5 <sup>a*</sup>	18.6 $\pm$ 1.6 <sup>a*</sup>
Sex ratio (Sex Ratio Index)	5.2:1 <sup>#</sup> (3.91)	6.4:1 <sup>#</sup> (5.74)	2.1:1 <sup>#</sup> (0.09)	1:1.4* (0.01)	1.7:1* (2.16)
Intersex Index	0	0	0	0	0
Gonad Index	3.5 $\pm$ 0.2 <sup>b</sup>	3.8 $\pm$ 0.2 <sup>b</sup>	3.3 $\pm$ 0.1 <sup>a</sup>	2.1 $\pm$ 0.4 <sup>a*</sup>	3.7 $\pm$ 0.3 <sup>b</sup>
Gametogenic Mass Index	0.77	0.70	0.10	0.10	0.34
Undifferentiated Index	0.05	0.08	0.00	0.00	0.00
Oocyte Atresia Prevalence	0.387	0.406	0.222	0.000	0.333
Oocyte Atresia Intensity	0.806 $\pm$ 1.327	0.594 $\pm$ 0.979	0.407 $\pm$ 0.971	0.000 <sup>a*</sup>	0.417 $\pm$ 0.669 <sup>b</sup>
Oocyte Atresia Index	0.312	0.241	0.090	0.000	0.139
Prevalence (oedema) %	18.5	35	2.5	89	44
Prevalence (BCA) %	95	100	97.5	89	100
Preval. (granulocytomas) %	5	0	0	0	0
Preval. (diss. neoplasia) %	5	5	0	0	0
Prevalence (ciliates) %	29	23.5	0	17	33
Preval. (Underted. Prot.) %	2.5	10	0	11	17
Prevalence (Total Prot.) %	31.5	33.5	0	28	50
Preval. (Nematopsis) % <sup>(1)</sup>	97.5	76	25.5	89	39
Prevalence (Metazoan) %	0	0	0	11	0
Prevalence (R/CLO) %	0	0	0	0	10

<sup>(1)</sup> Intensity (individuals per section) = 62.5 (PC-RS); 12.5 (HC-RS); 9 (HC-DS); 2.5 (PL-RS); 2 (PL-DS)

Sex ratio values for each sampling location at each sampling time are shown in Table 2. Statistically significant bias in the sex ratio towards female condition (2.82:1; G value=8.65,  $p<0.05$ ) was detected for the whole Nicaraguan population of mangrove cupped oysters in comparison with the theoretical sex ratio of 1.65:1 (resulting from the average between 2.3:1 (rainy season) and 1:1 (dry season) sex ratios). This bias towards female condition was also found in the rainy season (3.75:1; G value = 4.95,  $p=0.03$ ) but not in the dry season (1.21:1; G value = 5.08,  $p=0.18$ ), when a 22.5% of the oysters presented undifferentiated gonad. Indeed, the three studied localities showed a significant bias to females in the rainy season (Table 2). No intersex was recorded. Different gamete development stages were observed depending on the season and the locality (Figs. 5 and 6). In the rainy season, gamete development was delayed in Pigeon Cay in comparison with the other two localities, and most advanced in Punta Lora (Fig. 5). In the dry season, gamete development went more ahead in Half Way Cay than in Punta Lora (Fig. 5). Accordingly, significant differences ( $p<0.05$ ) were observed in GI between seasons in Half Way Cay and between Half Way Cay and Punta Lora in both seasons (Table 2). In contrast, no differences in GMI and UDI were recorded (Table 2). The levels of oocyte atresia (Fig. 6c) recorded in both seasons in all the localities were similar except in Half Way Cay in the dry season, when no oocyte atresia was recorded (Table 2). As a whole, RAI reflects only a low-to-moderate (<10) reproductive disturbance in Pigeon Cay and Half Way Cay, mainly featured by deviations in the expected SRI and GMI values (Fig. 7a).

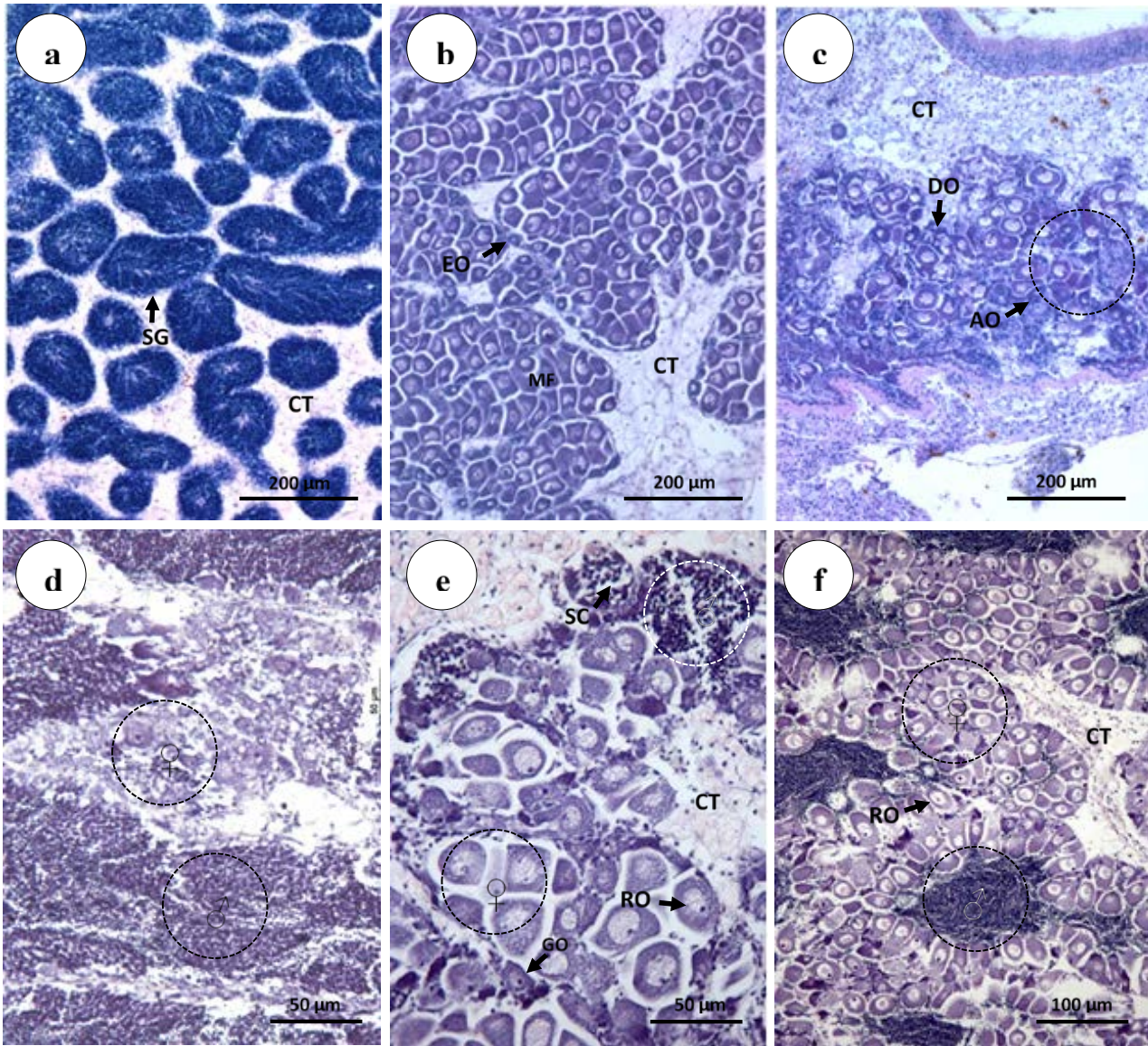


**Fig. 5.** Gamete development stages of oyster *Crassostrea rhizophorae* collected from Nicaragua at the rainy and the dry seasons. ‡, no data.

Histopathological examination revealed the presence of a variety of parasites such as intracellular ciliates, undetermined intracellular protists, *Nematopsis* sp., trematodes and *Rickettsia/Clamydia*-like organisms (R/CLO) (Fig. 8). Some parasites had a local impact such as



metazoans (Half Cay in dry season) and R/CLO (Punta Lora in dry season) (Fig. 8g and i). Other parasites such as the unidentified intracellular protists were found sporadically (Fig. 8e). Ostensibly, parasite prevalence was low in Punta Lora in the rainy season and PI was higher in Half Way Cay than in Punta Lora all over the year (Table 2).



**Fig. 6.** Light micrographs of gonad sections and intersex individuals of *Crassostrea rhizophorae*. a) normal gonad of a male; b) normal gonad of a female; c) female from Half Way Cay with atretic oocytes; d) male from Brujas with intersex; e) female from Marina with intersex; f) female from Barú with intersex. AO, atretic oocytes; EO, early oocyte; GO, growing oocyte; DO, degenerated ovum; RO, ripe ovum; SG, spermatogonia; SC, spermatocytes; CT, connective tissue; MF, mature follicles; ♀, female germ cells; ♂, male germ cells.

Nevertheless the intensity of parasitic infestations was generally low. It is worth noting that intracellular ciliates (Fig. 8f) and *Nematopsis* sp. (Fig. 8h) presented the highest prevalence values

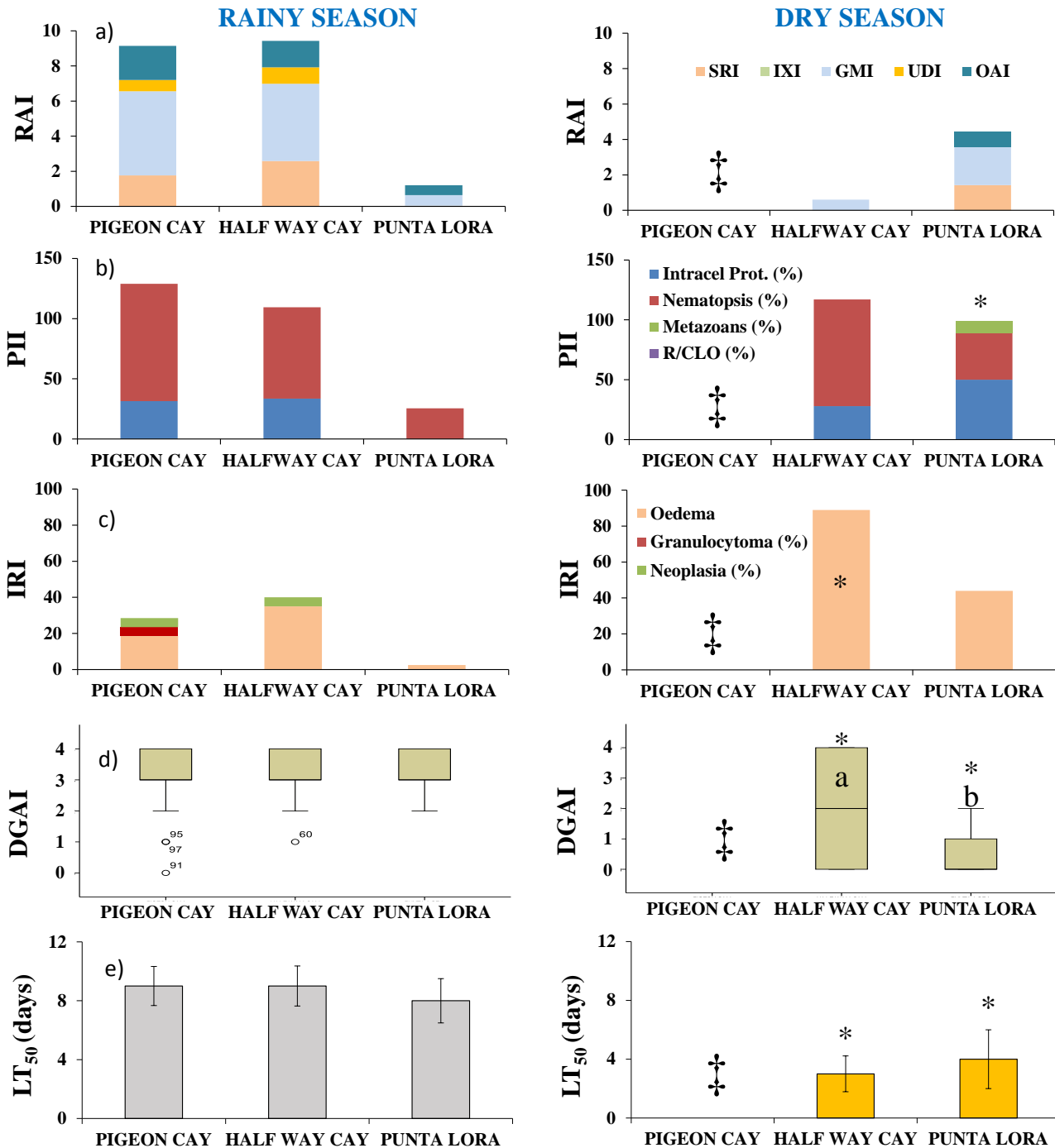
all along the study period; whilst the highest intensities were seemingly recorded for *Nematopsis* sp. and unidentified intracellular protists (Table 2) in the rainy season. PII values are higher during the rainy season in Pigeon Cay and Half Way Cay than in Punta Lora, where PII values raised in the dry season (Fig. 7b). Unlike in the dry season, the histological integrity of the digestive gland tissue was seemingly altered in all the studied localities in the rainy season (Figs. 8a and b). A large part of the digestive gland tissue was occupied by disorganized ICT with haemocytic infiltration (Fig. 8b and d) and the caliber of digestive ducts was particularly undersized (Fig. 8b and f). Inflammatory responses such as massive haemocytic infiltrations of ICT (oedema), brown cell aggregates, granulocytomas and disseminated neoplasia were observed (Fig. 8b to 8d). Prevalence of inflammatory responses was apparently higher in the dry season than in the rainy season and in Half Way Cay than in Punta Lora; however, significant differences after applying the Z score test could only be established at  $p < 0.1$  (Table 2). Prevalence of brown cell aggregates was always high whereas only one granulocytoma was found in one oyster from Pigeon Cay in the rainy season (Fig. 8d). Two isolated cases of oysters with disseminated neoplasia were recorded in the rainy season (Table 2; Fig. 8c). Unlike for the case of PII, IRI was higher in the dry season than in the rainy season (Fig. 7b and c); however, its values were also always higher in Pigeon Cay and Half Way Cay than in Punta Lora.

Digestive alveoli presenting severe atrophy (with a wide lumen and thin epithelium) and separated by ample areas of ICT (Figs. 8b, d and f) were observed all over the year but their incidence was particularly high in the rainy season. In addition, digestive cells vacuolisation (Fig. 8e) was recorded in a few specimens (<10%) from half way Cay at both seasons. Thus, in the rainy season DGAI was similar in the three localities and always higher than in the dry season ( $p < 0.05$ ; Fig. 7d). In contrast, in the dry season DGAI was different between localities, with higher values in Half Way Cay than in Punta Lora ( $p < 0.05$ ; Fig. 7d).

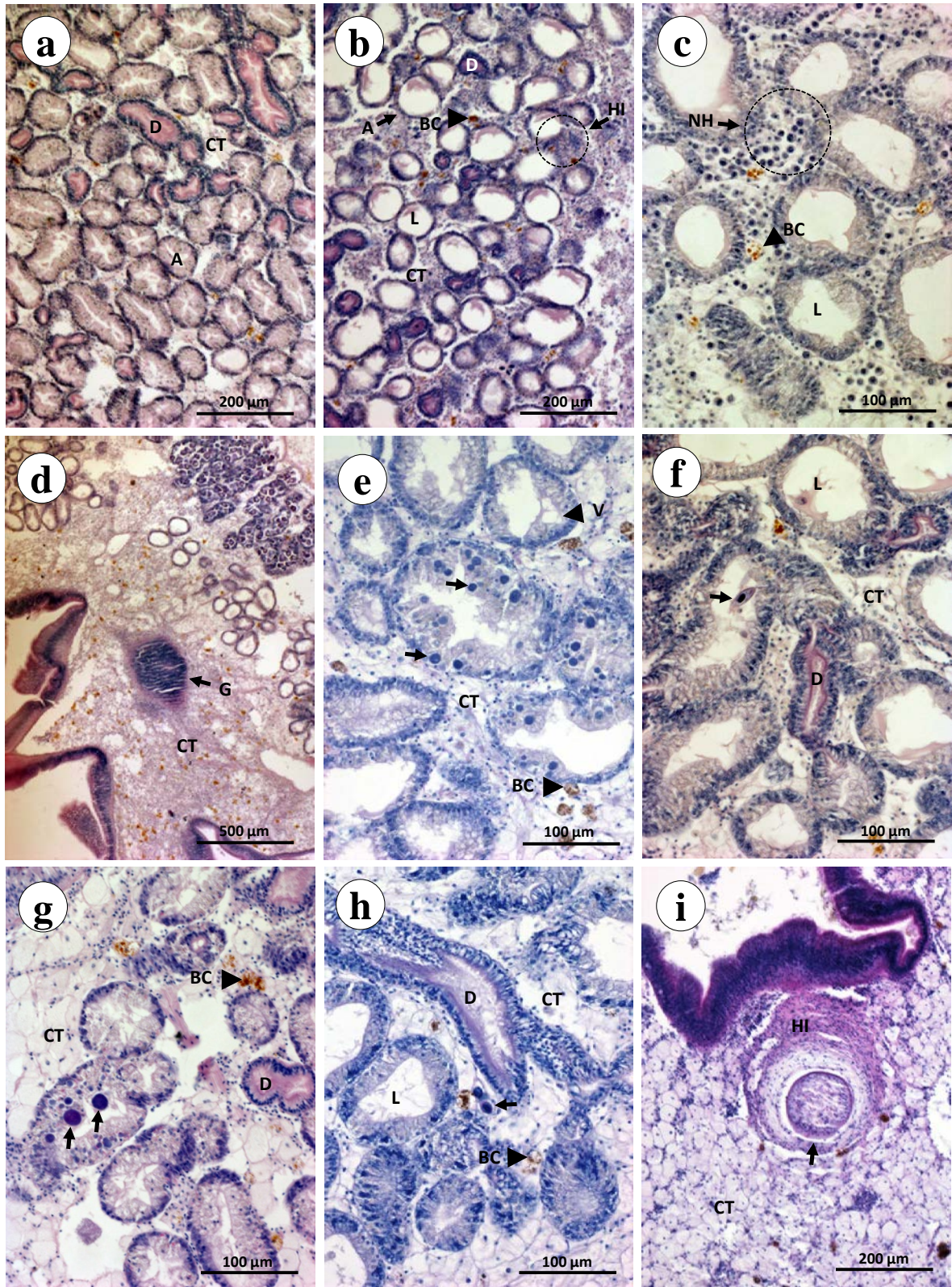
LT<sub>50</sub> was significantly different between seasons for Half Way Cay and Punta Lora (Tarone-Ware test,  $p < 0.05$ ) but not between localities at each season (Fig. 7e). The highest LT<sub>50</sub> values were observed in the rainy season, whilst 2-3 fold lower values were recorded in the dry season (Fig. 7e).

Five indices of biological response (DGAI, IRI, FDW/L, RAI, LT<sub>50</sub>) were represented in radar plots (Fig. 9). The depicted profiles revealed that in the rainy season low levels of biological complexity (DGAI and IRI) and reproduction (RAI) were responsive in Half Way Cay and Punta

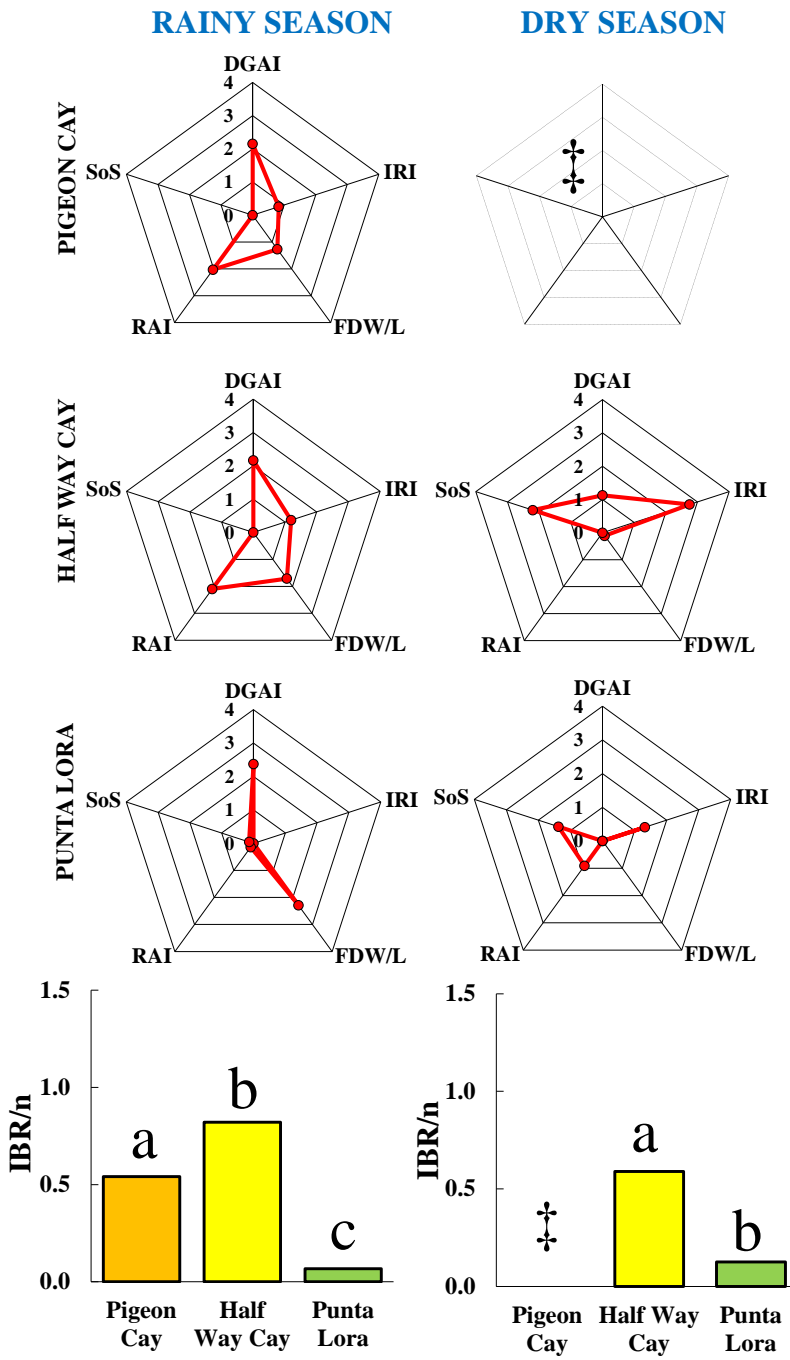
Lora whilst all the indices but  $LT_{50}$  (SoS) responded in Pigeon Cay. Conversely, quite different profiles were envisaged in the dry season, with responses at more complex biological levels and especially in SoS.



**Fig. 7.** Biomarkers of anomalies in oyster health indicative of disturbance in ecosystem health for the rainy and the dry seasons in Nicaraguan mangrove lagoons (Pigeon Cay, Half Way Cay and Punta Lora). Different letters denote statistically significant differences between localities and asterisk (\*) indicate seasonal differences for a locality ( $p < 0.05$ ). RAI, reproductive anomalies index; PII, parasitic infestation index; IRI, inflammatory response index; DGAI, digestive gland atrophy index;  $LT_{50}$ , median survival time after SOS test. ‡, no data.



**Fig.8.** Paraffin sections of the digestive gland of *Crassotrea rhizophorae* stained with haematoxylin-eosin: a) normal digestive gland b) digestive gland with diffuse infiltration haemocyte and atrophied alveoli; c) disseminated neoplasia; d) granulocytoma and diffuse infiltration haemocytic; e) unidentified protists; f) ciliate; g) R/CLO; h) *Nematopsis* sp.; i) metazoan. Abbreviations: A, alveoli; D, duct; CT, connective tissue; HI, haemocytic infiltration; L, lumen; NH, neoplastic haemocytes. Arrows, tissue alterations; arrowheads indicate the presence of brown cell (BC) aggregates and vacuolization (V).



**Fig. 9.** Radar plots for biomarkers and the corresponding IBR/n index for the rainy and the dry seasons in Nicaraguan mangrove lagoons (A). Different letters denote statistically significant differences between pairs of means according to the Z-score test ( $p < 0.05$ ). DGAI, digestive gland atrophy index; IRI, inflammation index; FDW/L, condition index; RAI, reproductive anomalies index;  $LT_{50}$ , median survival time after SOS test. ‡, no data.

Thus, significant differences were found between localities in the IBR/n index in the rainy season after applying the Z score test, IBR/n values being lower in Punta Lora than in Half Way Cay and in this latter lower than in Pigeon Cay (Fig. 9). In the dry season, IBR/n values in Punta Lora and Half Way Cay were higher than the rainy season, without differences between localities.

### 3.2. Colombia

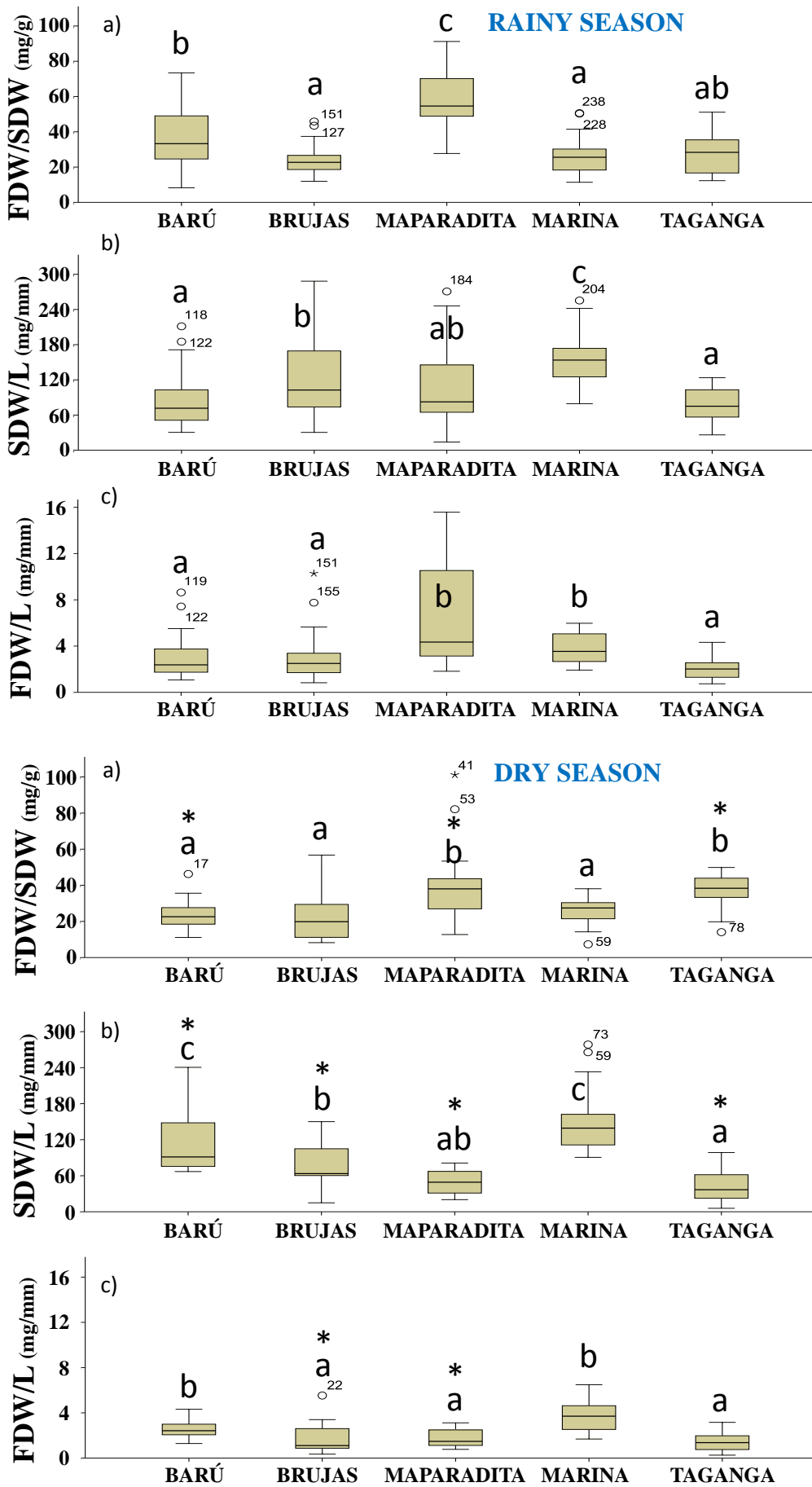
Shell L showed the highest values in the Marina Santa Marta and the lowest in Isla Brujas in both seasons, and no seasonal variability was observed (Table 3). The highest FDW values were

recorded in Isla Maparadita and Marina Santa Marta in the rainy season, whilst values were much lower in all the localities except Marina Santa Marta in the dry season (Table 3). The highest SDW values were found in Marina Santa Marta in both seasons, while SDW was significantly lower in the dry season than in the rainy season in Isla Brujas, Isla Maparadita and Taganga ( $p < 0.05$ , Table 3). The highest FDW/SDW was recorded in Isla Maparadita followed by values in Taganga and Isla Barú, with lower values in the dry season than in the rainy season in Isla Maparadita and Isla Barú ( $p < 0.05$ ; Fig. 10a).

The highest SDW/L was recorded in Marina Santa Marta in both seasons, with lower values in the dry season than in the rainy season in Isla Brujas, Isla Maparadita and Taganga, and higher values in the dry season than in the rainy season in Isla Barú ( $p < 0.05$ , Fig. 10b). The highest FDW/L values were recorded in Isla Maparadita and Marina Santa Marta in the rainy season and in Isla Barú and in Marina Santa Marta in the dry season ( $p < 0.05$ , Fig. 10c).

Sex ratio values for each sampling location at each sampling time are shown in Table 3. No statistically significant bias in the sex ratio of the whole studied population (1.14:1; G value=2.55,  $p=0.11$ ) was detected in comparison with the theoretical sex ratio of 1:1.14 resulting from the average between 1:1 (rainy season) and 1:1.33 (dry season) sex ratios. Accordingly, no bias was found in any season (rainy season: 1.16:1; G value = 0.80,  $p=0.37$ ; dry season: 1:1.06; G value = 0.925,  $p=0.34$ ). Among the studied localities, only Taganga showed a significant bias to female condition in both seasons (Table 3). Intersex cases were sporadically found: one female from Marina Santa Marta in the rainy season, and one female from Isla Barú and one from Marina Santa Marta and one male from Isla Brujas in the dry season (Table 3). All cases showed separate male and female gonad follicles (Figs. 6d-f).

Most oysters were in advanced gamete development stages (e.g., spawning and spawned; Fig. 11). In the rainy season, Marina Santa Marta and Taganga showed the most advanced gamete development stages; however, the opposite was found in the dry season. Significant differences in GI were found between seasons in Isla Brujas and Taganga and between localities for both seasons (Fig. 11). Likewise, differences in GMI and UDI were recorded between localities but no between seasons (Table 3). Atretic oocytes were only observed in one oyster from Marina Santa Marta in the rainy season. As a whole, RAI reflects only a low-to-moderate (<10) reproductive disturbance, mainly featured by deviations in the expected SRI, GMI and UDI values (Fig. 12a).



**Fig. 10.** Biomarkers of anomalies in oyster health indicative of disturbance in ecosystem health for the rainy and the dry seasons in Colombian mangrove swamps (Isla Barú, Isla Brujas, Isla Maparadita, Marina and Taganga). Different letters denote statistically significant differences between localities and asterisk (\*) indicate seasonal differences for a locality ( $p < 0.05$ ). SDW/L, shell growth index; FDW/SDW and FDW/L, condition indices.

**Table 3.** Condition and histopathology of *Crassostrea rhizophorae* from intertidal roots/docks of Colombian mangrove swamps. Shell cavity volume, condition index and atrophy their values are mean  $\pm$  standard error (n=20). Sex Ratio Index = SRI's G value. The superscript letters (a, b and c) indicate significant differences between pairs of localities ( $p < 0.05$ ). Asterisk (\*) indicate seasonal difference for a locality ( $p < 0.05$ ); #, significantly different from the theoretical gender bias (Vélez, 1982) according to the G test ( $p < 0.05$ ).

	RAINY SEASON					DRY SEASON				
	I. Barú	I. Brujas	I. Mapar.	Marina	Taganga	I. Barú	I. Brujas	I. Mapar.	Marina	Taganga
Shell Length (L; mm)	39.3 $\pm 1.7^{bc}$	33.3 $\pm 1.1^a$	34.1 $\pm 0.8^{ab}$	41.5 $\pm 1.9^c$	33.7 $\pm 1.3^a$	35.6 $\pm 1.6^a$	34.1 $\pm 1.9^a$	35.7 $\pm 0.9^a$	41.1 $\pm 1.6^b$	39.3 $\pm 3.1^{ab}$
Flesh dry-wt (FDW; mg)	103.1 $\pm 7.6^a$	95.3 $\pm 8.3^a$	231.1 $\pm 26.1^b$	153.7 $\pm 9.6^b$	70.7 $\pm 6.0^a$	91.7 $\pm 8.1^b$	56.7 $\pm 8.9^{a*}$	62.7 $\pm 6.6^{a*}$	155.4 $\pm 17.3^c$	53.5 $\pm 6.3^a$
Shell dry-wt (SDW; g)	3.2 $\pm 1.7^a$	4.3 $\pm 2.5^b$	3.7 $\pm 2.2^{ab}$	6.5 $\pm 2.9^c$	2.7 $\pm 1.2^a$	4.1 $\pm 2.0^c$	2.6 $\pm 1.2^{b*}$	1.7 $\pm 0.6^{ab*}$	6.3 $\pm 2.8^d$	1.4 $\pm 0.7^{a*}$
Sex ratio (Sex Ratio Ind)	1.2:1 (0.40)	1:1.1 (0.11)	1.2:1 (0.4)	1.4:1 (0.76)	2:1 <sup>#</sup> (4.08)	1.3:1 (1.17)	1:2.3 <sup>(1)</sup> (0.80)*	1.5:1 (2.37)	1:1.4 (0.00)	2.5:1 <sup>(1)</sup> (4.67)
Intersex Index	0	0	0	2.5	0	5	5	0	5	0
Gonad Index	3.6 $\pm 0.2^b$	3.3 $\pm 0.2^b$	3.4 $\pm 0.2^b$	2.6 $\pm 0.2^a$	2.4 $\pm 0.2^a$	3.2 $\pm 0.3^b$	1.6 $\pm 0.3^{a*}$	3.0 $\pm 0.3^b$	3.3 $\pm 0.3^b$	3.4 $\pm 0.4^{b*}$
Gamet. Mass Index	0.26 <sup>(a)</sup>	0.40 <sup>(b)</sup>	0.19 <sup>(a)</sup>	0.07 <sup>(a)</sup>	0.02 <sup>(a)</sup>	0.16 <sup>(a)</sup>	0.00 <sup>(b)</sup>	0.10 <sup>(a)</sup>	0.30 <sup>(a)</sup>	0.30 <sup>(a)</sup>
Undifferent. Index	0.00	0.05	0.0	0.03	0.05	0.05 <sup>a</sup>	0.35 <sup>b</sup>	0.00 <sup>a</sup>	0.00 <sup>a</sup>	0.07 <sup>a</sup>
Oocyte Atresia Prevalence	0 <sup>a</sup>	0 <sup>a</sup>	0 <sup>a</sup>	0.053 <sup>b</sup>	0 <sup>a</sup>	0	0	0	0	0
Oocyte Atresia Intensity	0 <sup>a</sup>	0 <sup>a</sup>	0 <sup>a</sup>	0.053 $\pm$ 0.101 <sup>b</sup>	0 <sup>a</sup>	0	0	0	0	0
Oocyte Atresia Index	0 <sup>a</sup>	0 <sup>a</sup>	0 <sup>a</sup>	0.003 <sup>b</sup>	0 <sup>a</sup>	0	0	0	0	0
Prevalence (oedema) %	2.5 <sup>(a)</sup>	15 <sup>(a)</sup>	30 <sup>(b)</sup>	0 <sup>(a)</sup>	0 <sup>(a)</sup>	85 <sup>(b)</sup>	55 <sup>(a)</sup>	45 <sup>(a)</sup>	70 <sup>(a)</sup>	40 <sup>(a)</sup>
Prevalence (BCA) %	0 <sup>a</sup>	0 <sup>a</sup>	2.5 <sup>a</sup>	22.5 <sup>b</sup>	2.5 <sup>a</sup>	10 <sup>a</sup>	5 <sup>a</sup>	0 <sup>a</sup>	45 <sup>b</sup>	5 <sup>a</sup>
Prevalence (granuloc) %	0	0	0	0	0	0	0	0	0	0
Preval. (diss neoplasia) %	0	0	0	0	0	0	0	0	0	0
Prevalence (ciliates) %	2.5 <sup>(a)</sup>	7.5 <sup>(b)</sup>	2.5 <sup>(a)</sup>	0 <sup>(a)</sup>	0 <sup>(a)</sup>	10 <sup>(a)</sup>	15 <sup>(b)</sup>	0 <sup>(a)</sup>	5 <sup>(a)</sup>	5 <sup>(a)</sup>
Preval (Undert. Prot.) %	0	0	0	0	0	0	0	0	0	0
Preval. (Total Prot.) %	2.5 <sup>(a)</sup>	7.5 <sup>(b)</sup>	2.5 <sup>(a)</sup>	0 <sup>(a)</sup>	0 <sup>(a)</sup>	10 <sup>(a)</sup>	15 <sup>(b)</sup>	0 <sup>(a)</sup>	5 <sup>(a)</sup>	5 <sup>(a)</sup>
Prevalence (Nematopsis) %	0	2.5	2.5	0	0	0 <sup>a</sup>	30 <sup>b</sup>	0 <sup>a</sup>	0 <sup>a</sup>	0 <sup>a</sup>
Prevalence (Metazoan) %	0	2.5	0	0	2.5	0 <sup>a</sup>	0 <sup>a</sup>	10 <sup>b</sup>	0 <sup>a</sup>	0 <sup>a</sup>
Prevalence (R/CLO) %	0 <sup>a</sup>	5 <sup>b</sup>	0 <sup>a</sup>	0 <sup>a</sup>	0 <sup>a</sup>	10 <sup>b</sup>	0 <sup>a</sup>	10 <sup>b</sup>	0 <sup>a</sup>	5 <sup>a</sup>

<sup>(1)</sup> >30% of oysters with undifferentiated gonad.

A variety of parasites such as intracellular ciliates, *Nematopsis* sp., metazoan and R/CLO were found although, in general terms, both prevalence and intensity were low all along the study



(Table 3; Figs. 8 and 12b). The highest PII values were found in Isla Brujas, where in addition PII was significantly higher in the dry season than in the rainy season (most likely due to the moderate-to-high prevalence of *Nematopsis* sp.); likewise, PII tended to be higher in the dry season than in the rainy season in all the localities (Fig. 12b).

Overall, no major alteration in the histological integrity of the digestive gland tissue was recorded. However, in the dry season the prevalence of oedema in digestive gland tissue in all the localities was high, especially in Isla Barú and Marina Santa Marta, and the prevalence of brown cell aggregates in Marina Santa Marta was moderately high (Table 3). Granulocytomas and disseminated neoplasia were not recorded. Prevalence of inflammatory responses were apparently higher in the dry season than in the rainy season (Table 3). IRI values were higher in Isla Barú than in the other localities in the dry season, when they were seemingly higher in all the localities; although seasonal differences were not significant in Isla Maparadita and Isla Brujas because IRI values were also conspicuous in the rainy season (Fig. 12c). Medium values of DGAI (score ~1-2) were recorded in all the localities all along the study. Nevertheless, DGAI values were significantly higher in the dry season than in the rainy season in Isla Brujas and, *vice versa*, higher in the rainy season than in the dry season in Taganga (Fig. 12d). Besides, DGAI was significantly different amongst localities in the dry season, with highest values in Isla Brujas followed by Isla Maparadita (Fig. 12d).

LT<sub>50</sub> values were significantly different between seasons, especially in Barú, Isla Brujas and Isla Maparadita ( $p < 0.05$ , Fig. 12e); the lowest LT<sub>50</sub> values being recorded in these localities in the rainy season and less markedly in the dry season, although still LT<sub>50</sub> values recorded in Isla Brujas were the lowest (Fig. 12e).

The profiles depicted by the 5 indices of biological response varied with season (radar plots in Fig. 13), except in Marina and Taranga where FDW/L and RAI were equally responsive at both seasons. In the rainy season, LT<sub>50</sub> was particularly sensitive in Isla Barú, Isla Brujas and Isla Maparadita, whilst in the dry season responses at mid-to-high level of biological complexity (FDW/L and RAI) were elicited in Isla Barú and at low-to-mid level (DGAI, IRI and FDW/L) in Isla Maparadita and, especially, in Isla Brujas (Fig. 13). As a result, IBR/n index showed significant differences in both seasons (Fig. 13): Isla Brujas and Isla Maparadita showed higher IBR/n values than Isla Barú, Marina Santa Marta and Taganga in the rainy season, Isla Brujas being the locality with the highest IBR/n values in the dry season.

## 4. Discussion

The habitats and environmental conditions of Nicaragua and Colombia were different, so the anthropogenic impact and the profile and levels of pollutants were as well (Dumailo, 2003; Vivas-Aguas et al., 2013; Mancera-Pineda et al., 2013; Chapter 1). Thus, shallow subtidal oyster reefs were investigated in Nicaragua whilst the study in Colombia was addressed to intertidal prop roots of mangrove trees and intertidal rocky shores. Moreover, SST and salinity were higher in Colombia than in Nicaragua, with extreme high SST ( $>33^{\circ}\text{C}$ ) in the former and extreme low salinities ( $<2\text{‰}$ ) in the latter in the rainy season (Table 1).

As a result, a main variable to keep in mind for inter-regional comparisons is that oysters from Nicaragua (Shell  $L_{\text{max}}=7.3\pm 1.7$  cm) and Colombia (Shell  $L_{\text{max}}=3.7\pm 0.9$  cm) corresponded to different size classes, which were the most abundant ones at each corresponding sampling site.

### 4.1. Nicaragua

Size varied with season, with the largest oysters being collected in Half Way Cay and Punta Lora in the rainy season. Accordingly, FDW, L and SCV were higher in Half Way Cay and Punta Lora than in Pigeon Cay in the rainy season, which suggests that growth was reduced in Pigeon Cay oysters.

Generally speaking, stress is associated with a decrease in flesh condition, whereas a higher abundance of food and hormonally active substances will increase flesh condition (Smaal and Van Stralen, 1990). Different indices are available to determine flesh condition in oysters (Crosby and Gale, 1990). In Nicaragua, FDW/SCV and FDW/L were clearly lower in the dry season than in the rainy season, and lower in Pigeon Cay than in the other two localities. Seasonal changes in FCI have been previously reported in mangrove oysters (Nascimento and Pereira, 1980; Nascimento et al., 1980; Meyer et al., 1998; Rebelo et al., 2005). However, the seasonal pattern may vary depending on locally relevant differences in nutritional and reproductive status, as well as on environmental constrains (e.g. the presence of pollutants). Thus, unlike in the present study, in *C. gigas* and *C. corteziensis* from the Gulf of California lagoons, FDW/SCV was higher in the dry season than in the rainy season (Osuna-Martínez et al., 2010). Likewise, high FDW/SCV and FDW/L values have been reported in *C. rhizophorae* both in the dry season, associated to high levels of nutrients in the water (Meyer et al., 1998; Rebelo et al., 2005), but also in the rainy season, concomitantly with full gonad maturation (Nascimento and Pereira, 1980; Nascimento et al.,

1980). Presently, high FDW/L values would be expected together with not spawning advanced gametogenic stages; this seems to apply when the rainy and the dry seasons are compared in Half Way Cay, and to a lesser extent in Punta Lora, where a higher incidence of spawning stages in the dry season than in the rainy season coincides with lower FDW/L values. However, the lowest FDW/L was recorded in Pigeon Cay, where gamete development was seemingly arrested in the rainy season (late gamete development stage was dominant resulting in high GI values) and the highest in Punta Lora in the rainy season were spawning stages were dominant. Therefore, reproduction was not the main factor influencing FDW/L in the rainy season.

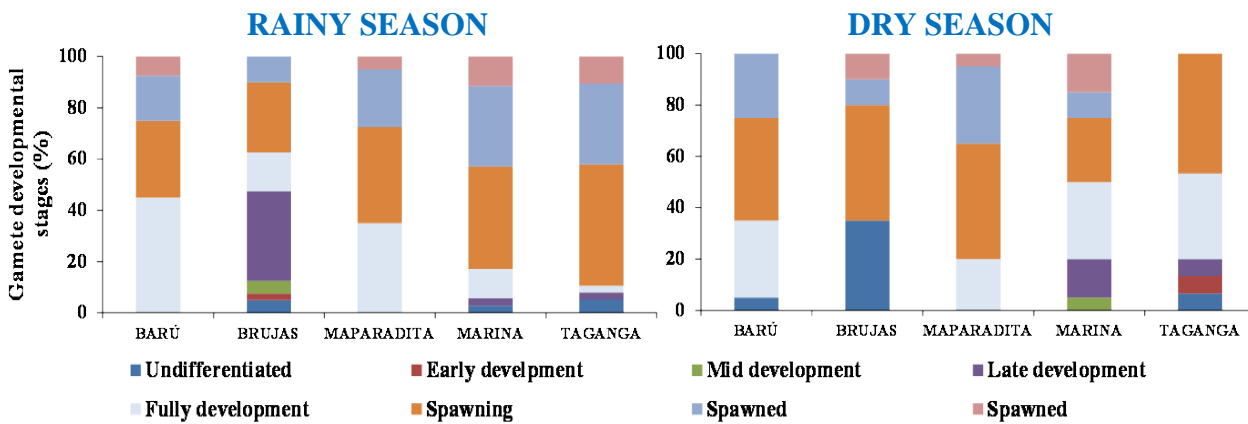
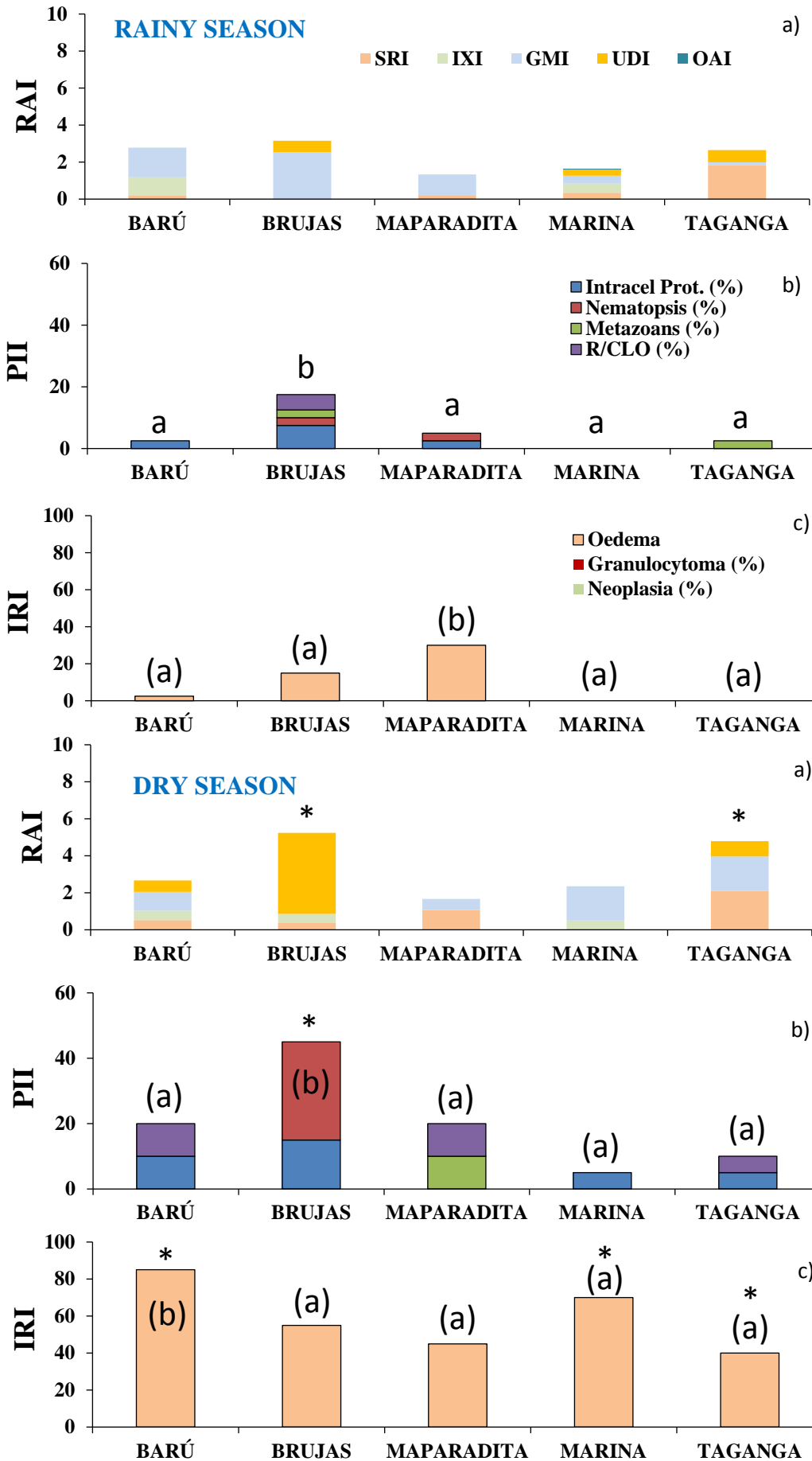
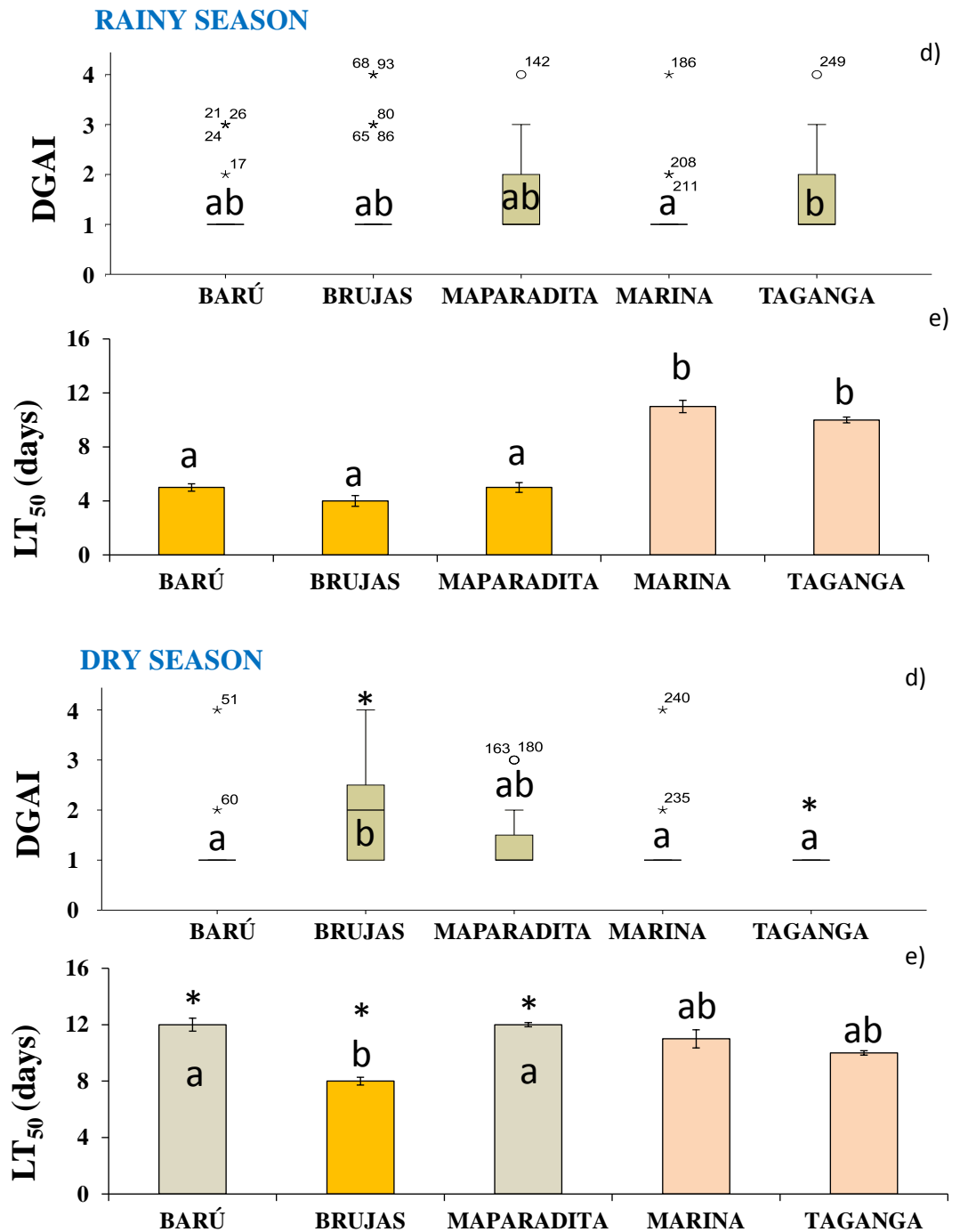


Fig. 11. Gamete development stages of oyster *Crassostrea rhizophorae* collected from Colombia at the rainy and the dry seasons.

Conversely, the low FDW/SCV and FDW/L values found in Pigeon Cay in comparison with Half Way Cay and Punta Lora might be attributed to the presence of pollutants (e.g., PAHs, PCBs and PBDEs), as reflected in pollution indices such as the Pollution Load Index (PLI; Tomlinson et al., 1980) and the Chemical pollution index (CPI; Bellas et al., 2011), according to Chapter 1. Indeed, FDW/SCV was proposed as an inexpensive, quick, representative and responsive tool for monitoring pollution (Scott and Lawrence 1982), commonly used to estimate growth differences among oysters living in different environmental conditions (Austin et al., 1993). Likewise, FDW/SCV provides indication of the nutritive status of oysters and of whether they are subject to stress conditions (Crosby and Gale, 1990). For example, in *C. virginica*, exposure to metals such as Cu and Cr is directly related with a decrease in FDW/SCV (Aguilar et al., 2012); and in a field study in Brazil, FDW/SCV was found to be different in *C. rhizophorae* from estuaries with different levels of PAHs and PCBs (Valdez Domingos et al., 2007).

Reproduction anomalies were recorded and scored and were also more relevant in the rainy season than in the dry season and more marked in Pigeon Cay and Half Way Cay than in Punta Lora where, conversely, anomalies were most evident in the dry season. It is worth noting that the so-called reproductive anomalies do not necessarily mean deleterious or pathological effects but simply deviations from the expected values that might be indicating potential disturbances in oysters' reproduction. The main anomalies recorded in this study included alterations in sex ratio, gamete development delay/arrest and oocyte atresia. *Crassostrea* oysters are protandrous hermaphrodites and sex ratio values can vary depending on the species, age, size and local conditions (Dove and O'Connor, 2012; Park et al., 2012). Sex appears to be determined by a single gene with a dominant male allele M and a recessive protandrous allele F, such that FF animals are protandrous and MF animals are permanent males (Powell et al., 2011). In *C. rhizophorae*, females predominate and can reach up to 90% of the population although their prevalence may vary with size and season (Vélez, 1982). In raft cultivated *C. rhizophorae* (>20 mm shell length), females were predominant (male/female ratio = 0.2-0.6) during the rainy season and especially in the largest size classes (Gordon, 1988). Accordingly, sex ratio indicated a dominance of females in Nicaragua; however, feminisation went beyond the expected values (according to criteria by Vélez, 1982) in the rainy season, especially in Pigeon Cay and Half Way Cay but also in Punta Lora. Sex ratio in Punta Lora oysters was comparable to those reported for *C. rhizophorae* in the Laguna Grande de Obispo, in Venezuela (F:M, 2.42:1; Montes et al., 2007), and in Guaratuba Bay (F:M, 1.6:1) and in Camanu Bay (F:M, 1.9:1 y 2.1:1) in Brazil (Christo and Absher, 2006; Lenz and Boehs, 2011). A predominance of females was also observed in *Crassostrea brasiliiana* from Brazil (Castilho-Westphal et al., 2015). Sex ratio values in Pigeon Cay and Half Way Cay, however, were much higher. This apparent anomaly could be due to the large size of the oysters ( $L_{max} > 80$  mm), or caused by exposure to oestrogenic compounds in the rainy season. Skewed sex ratios have been reported in bivalve populations from sites polluted with tributyltin, metals and PAHs (Gagne et al., 2002; 2003; Gauthier- Clerc et al., 2002). Likewise, oestrogenic effects have been reported in bivalves exposed to sewage effluents and to urban and agricultural runoff waters (Blaise et al., 1999; 2003; Gagne et al., 2001; Quinn et al., 2004; Ortiz-Zarragoitia and Cajaraville 2010). Their exposure would be favoured by either the enhanced input of chemicals with endocrine disruption capacity (e.g. pesticides) in the rainy season. In contrast, sex ratio skew towards males was recorded in Half Way Cay in the dry season. In agreement, a similar masculinisation was reported in summer for *C. rhizophorae* by Lenz and Boehs, (2011).





**Fig. 12.** Biomarkers of anomalies in oyster health indicative of disturbance in ecosystem health for the rainy and the dry seasons in Colombian mangrove swamps (Barú, Brujas, Maparadita, Marina and Taganga). Different letters denote statistically significant differences between localities and asterisk (\*) indicate seasonal differences for a locality ( $p < 0.05$ ;  $p < 0.1$ , when shown between brackets). RAI, reproductive anomalies index; PII, parasitic infestation index; IRI, inflammatory response index; DGAI, digestive gland atrophy index; LT<sub>50</sub>, median survival time after SOS test.

Mangrove cupped oysters reproduce continuously throughout the year with peaks of seasonal spawning associated to temperature and salinity; however, spawning and spatfall are continuous in lagoons with temperatures higher than 25°C (Vélez, 1982), like Bluefields and Pearl Lagoon. As seen in diverse species of *Crassostrea* from different regions of the world, GI is correlated with seawater temperature, salinity and quantity and quality of the food supply (Ren et al., 2003; Christo and Absher, 2006; Paixão et al., 2013). At least 2-3 spawning peaks per reproductive cycle have been reported in *C. rhizophorae* from different regions, but the main one seems to be in the rainy season (Nascimento et al., 1978; Villarroel et al., 2004; Lenz and Boehs, 2011). However, our results suggest that, as reported for many other tropical bivalves (Pouvreau et al., 2000), wild mangrove oysters from Nicaraguan Caribbean seem to follow a continuous reproductive cycle, albeit certain peaks of more intense spawning activity could not be disregarded. Similarly, *C. virginica* from Mecoacan was reported to spawn all along the year (George-Zamora et al., 2003). More detailed studies on wild mangrove oyster populations would be required in this respect. Nevertheless, gamete development was seemingly delayed in Pigeon Cay in comparison with Punta Lora in the rainy season, with Half Way Cay in between. In the dry season, however, gamete development was delayed in Punta Lora in comparison with Half Way Cay. In bivalves, exposure to chemicals such as oils, PAHs, DDTs, and alkylphenols causes alterations in gamete development and enhances oocyte atresia (Aarab et al., 2004; Binelli et al., 2001; Lowe, 1988; Lowe and Pipe, 1987; Ortiz-Zarragoitia and Cajaraville, 2006; 2011). Delayed gametogenesis have been reported in bivalve populations from sites polluted with tributyltin, metals and PAHs (Gagne et al., 2002; 2003; Gauthier- Clerc et al., 2002). The occurrence of gamete development arrest in Pigeon Cay and Half Way Cay but not in Punta Lora may be therefore due to pollutant specific effects. Interestingly, the highest tissue levels of PAHs were recorded in oysters from Pigeon Cay, followed by oysters from Half Way Cay; as well as the highest levels of PBDEs (Chapter 1). Conversely, OCPs do not seem to be related to effects on gamete development, as the highest tissue levels were recorded in oysters from Punta Lora in the rainy season (Chapter 1) in absence of apparent effects on gametogenesis.

Oocyte atresia is a normal process in advanced gametogenesis and post spawning stages, as described in e.g. *C. brasiliana* (Castilho-Westphal et al., 2015). Herein, atresia was recorded at higher levels in Pigeon Cay and Half Way Cay than in Punta Lora in the rainy season and its intensity values were particularly high in the two former localities resulting in significantly higher OAI values. Enhanced oocyte atresia could be a sign of gonad resorption and spawning abortion

aimed at re-directing energy resources from reproduction to counteract stressors (e.g. pollutants). Exposure to chemical pollutants is known to enhance oocyte atresia (Aarab et al., 2004; Binelli et al., 2001; Lowe, 1988; Lowe and Pipe, 1987; Ortiz-Zarragoitia and Cajaraville, 2006; 2011). In agreement, the levels of oocyte atresia can be related to the PLI and CPI values recorded in the studied localities (Chapter 1).

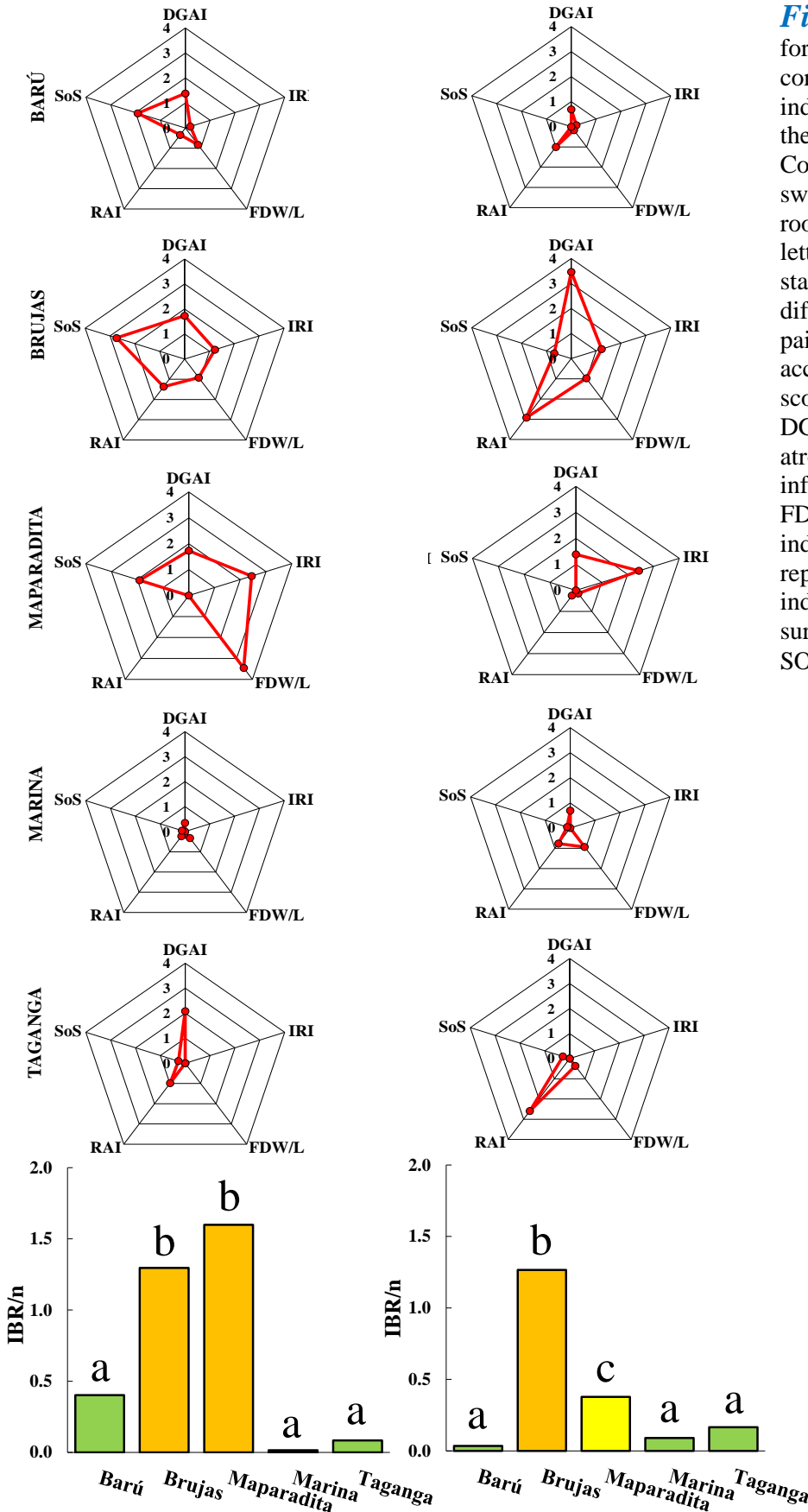
RAI incorporated the above discussed reproductive anomalies and showed that these were most relevant in Pigeon Cay and Half Way Cay in the rainy season and to a lesser extent in Punta Lora in the dry season. Though these reproductive anomalies might have result from "natural causes" (e.g. old large sized oysters might tend to be female with atretic oocytes) the observed inter-site differences suggested that additional stress sources might be acting (e.g., pollutants, nutrient availability, hypoxia or extreme salinity).

Although the intensity of parasitic infestations was generally low, it was higher in Half Way Cay than in Punta Lora all over the year; parasite prevalence being especially low in the rainy season in Punta Lora. Most frequently recorded parasites were *Nematopsis* sp. and ciliates, whilst neither metazoans (except a few in Half Way Cay in the rainy season) nor R/CLO were recorded. *Nematopsis* sp. seems to be a common parasite in Caribbean *C. rhizophorae* (Nascimento et al., 1986; Sabry et al., 2007; 2011, 2013; Brandão et al., 2013), mussels (Boehs et al., 2010; Garmendia et al., 2011; Ceuta and Boehs, 2012) and other oyster species (Aguirre-Macedo et al., 2007; Afsar et al., 2014). No seasonal trend was envisaged in the prevalence of *Nematopsis* sp., which was much lower in Punta Lora than in Half Way Cay in both seasons and in Pigeon Cay. *Nematopsis* has been recorded all throughout the year in *Mytella guyanensis* (Boehs et al., 2010; Ceuta and Boehs, 2012) but a marked seasonality in the prevalence of *Nematopsis* in *C. rhizophorae* has also been reported (Nascimento et al., 1986; Sabry et al., 2007; Brandão et al., 2013). The prevalence values recorded in Pigeon Cay, and Half Way Cay can be considered high according to previous criteria (Brandão et al., 2013). At low infection intensities this protist only causes inflammatory responses although at high intensities can be harmful, especially in the gills (Bower et al., 1994). Likewise, ciliates were recorded all over the year at similarly moderate prevalence values in all the localities except in Punta Lora in the rainy season where it was absent.



**RAINY SEASON**

**DRY SEASON**



**Fig. 13.** Radar plots for biomarkers and the corresponding IBR/n index for the rainy and the dry seasons in Colombian mangrove swamps at intertidal roots/docks. Different letters denote statistically significant differences between pairs of means according to the Z-score test ( $p < 0.05$ ). DGAI, digestive gland atrophy index; IRI, inflammation index; FDW/L, condition index; RAI, reproductive anomalies index; LT<sub>50</sub>, median survival time after SOS test.

As a result, PII was high in Half Way Cay in both seasons and in Pigeon Cay, and moderate in Punta Lora in the dry season. The differences do not seem to be related to size (or age) because oysters from Pigeon Cay are the smallest but present the highest PII value; whilst usually large and aged individuals exhibit more severe parasitisation. Likewise, FCI does not seem to be relevant because oysters with similar FDW/L values show different parasite load. It might happen that environmental stressors or pollution exposure provokes immunodeficiency or that high turbidity and levels of suspended particulate or organic matter in the water column might facilitate parasitic infestation. In presence of high levels of suspended organic matter, growth of bacteria and algae and then of protozoans, their predators, is enhanced (La Rosa et al., 2001). Thus, the abundance of ciliates has been proposed as an indicator of the host health and the level of eutrophication (Palm and Dobberstein, 1999). The presence of ciliates depends on environmental factors such as temperature, salinity and suspended matter (e.g., turbidity) and has been suggested to be indicative of organic pollution resulting from e.g., domestic sewage (Marcogliese and Cone, 1997; Brandão et al., 2013). They are believed to be commensals (Lauckner, 1983) that only may result harmful at high intensities and not always, as reported in *C. rhizophorae* in Brazil (Nascimento et al., 1986; Boehs et al., 2009; da Silva et al., 2011; Brandão et al., 2013)

Disorganized ICT with haemocyte infiltration and shrinkage of digestive diverticula, digestive alveoli atrophy and digestive cell vacuolisation were observed in all the studied Nicaraguan localities, mainly in the rainy season. Comparable histopathological alterations were interpreted as a stress response to pollution enhancement in the rainy season (Valdez Domingos et al., 2007). Granulocytomas and disseminated neoplasia were only found, at low prevalence, in Pigeon Cay and Half Way Cay. These inflammatory lesions have been associated to the presence of pollutants but also to pathogens (Villalba et al., 2001; Kim et al., 2008; Bignell et al., 2011; Garmendia et al., 2011; De Vico and Carella, 2012). Oedema and brown cell aggregates were observed all along the year. Whilst the prevalence of brown cell accumulates was close to 100% in all the cases, the prevalence of oedema was higher in the dry season than in the rainy one and higher in Half Way Cay than in Punta Lora and Pigeon Cay, with highest values in Half Way Cay in the dry season. However, intensity values were low except in Pigeon Cay that exceeded the scores in other localities by a factor of 5 to 30 times. Elevated levels of haemocytic infiltration and oedema are general responses to chemical pollutants in molluscs (Au, 2004). These inflammatory responses were shown to be related to the tissue concentration of Cd and hydrocarbons in *C. virginica* from Mexican coastal ecosystem (Gold-Bouchot et al., 1995) and therefore they were suggested as indicators of pollution-induced stress for native oysters in coastal lagoons (Gold-Bouchot et al.,

2007). The prevalence of oedema seems to be related to PII and was especially high in Half Way Cay in the dry season, when the prevalence of intracellular parasites was highest.

Accordingly, IRI runs in parallel with PII suggesting that most inflammatory responses were associated to the presence of parasites and pathogens, in agreement with Carella et al., (2015). Nevertheless other aetiologies cannot be fully disregarded because granulocytomas, disseminated neoplasia, induced immunodepression can be caused by e.g. chemical agents (Au, 2004), and because the prevalence of the two former and the intensity of oedemas were higher in the Pigeon cay and Half Way cay in the rain season, where oysters presented the highest pollutant tissue levels (Chapter 1). Moreover, according to the recorded DGAI values (Couch 1985; Bodin et al., 2004; Kim and Powell, 2007; Garmendia et al., 2011; Apeti et al., 2014; Cuevas et al., 2015), general stress seems to be higher in the rainy season than in the dry one, with some signals of moderate stress in Half Way Cay in the dry season. Pollution by chemicals in mixture is a potential factor activating latent infection in oysters (Chu et al., 2002). Thus, in mussels collected from the Lanesundfjord in Norway following a contaminant gradient, non-parasitic tissue abnormalities were related to chemical contamination; however, dose-response relationships could not be directly defined (Auffret, 1988).

LT<sub>50</sub> showed a very different profile in comparison with other general stress biomarkers such as DGAI. It was in the range of 3-9 d, the lowest being recorded in the dry season. This might indicate that oysters are more resistant to additional stress in the rainy season than in the dry season, which would not be expected from a stressed organism. Certainly, a better individual condition could explain these inconsistent results which corresponds to the cases of Half Way Cay and Punta Lora in the rainy season (high FDW/L and high LT<sub>50</sub>) but unfortunately it does not apply for the case of Pigeon Cay (low FDW/L and high LT<sub>50</sub>). On the other hand, the LT<sub>50</sub> recorded in Nicaragua are not really high in comparison with e.g. Colombia oysters and therefore the resilience of oysters could be considered affected at regional scale and especially in the dry season. According to our records there is no previous data on SoS response in *C. rhizophorae* so that LT<sub>50</sub> values can be only interpreted in comparative terms. Thus, LT<sub>50</sub> in Nicaragua (~8 d) does not reach the values of 12 d recorded in some localities in Colombia in this study, which a more marked decrease than those reported in other species to discriminate polluted and reference sites (Blaise et al., 2016). In contrast, the low LT<sub>50</sub> values recorded in Nicaragua in comparison with Colombian oysters could also be explained because Nicaraguan oysters were larger, as concluded for other species. For instance, small mussels present higher LT<sub>50</sub> values than large mussels both under

welfare and stressed conditions (Thomas et al., 1999). More detailed research is needed to characterise SoS response in mangrove cupped oysters in the Caribbean region, though the present results are challenging. In any case, the same reasoning could be applied locally for the case of Nicaraguan oysters, with expected higher  $LT_{50}$  in small than in large oysters if environmental conditions are not dissimilar. However, Pigeon Cay oysters are smaller than in Half Way Cay and Punta Lorain the rainy season but  $LT_{50}$  values are not higher than in the two latter localities, which might suggest some effect on SoS in this locality. The low  $LT_{50}$  values recorded in the dry season can be explained by different, not necessarily opposing, causes known to lead to reduced survival-in-air in bivalves (Viarengo et al., 1995; Thomas et al., 1999; Hellou and Law, 2003; Pampanin et al., 2005), say: poor individual condition (low CI and FDW/L), reproductive stress during spawning, unfavourable environmental conditions (hypoxia and high turbidity and salinity) and seasonal sources of pollutants (i.e., pesticides, etc.). In mussels from the Halifax Harbor low  $LT_{50}$  values were associated with bioaccumulation of polycyclic aromatic compounds (Hellou and Law, 2003). In mussels caged in contaminated estuaries for 6 wk, survival-in-air (ranging between 5.5–8 d, depending on the pollution levels) was correlated with the sum of CB44 and CD52 but not with other pollutants present (Smaal et al., 1991). Moreover, SoS response has been proposed to be an excellent means of valuating the effect of long-term chronic exposures (e.g. to crude oil; Thomas et al., 1999). For example, 4 years after the Exxon Valdez oil spill, *M. trossulus* from impacted sites survived in air only for 10–14 d whilst neighbouring reference mussels survived for 13–24 d; accordingly, they still presented high body burdens of PAHs (Thomas et al., 1999).

The present approach thus suggests that oysters are not equally healthy in the different localities and seasons investigated herein, which is directly evidenced by the IBR index; this provides an integrated view on the health status of sentinel organisms even though seasonal variability could act as a confounding factor, as previously suggested for bivalves from temperate regions subject to pollution (Leiniö and Lehtonen, 2005; Pytharopoulou et al., 2008; Serafim et al., 2012; Marigómez et al., 2013). Integrating these anomalies in the oysters' health status into the IBR/n index provided a general indication of the ecosystem health disturbance. The worst situation was that recorded in Half Way Cay followed by Pigeon Cay, both with comparable health profiles, whilst ecosystem health was less disturbed in Punta Lora, where the signal provided by DGAI in the rainy season might be indicative of a recent distressing episode, unlike the situation in other localities that seems to be more pervasive. In summary, complex multi-factorial sources of health disturbance seem to co-occur over a regional background of low-to-moderate pollution (e.g. by pesticides and domestic sewage; e.g. as shown in Table 1) in Nicaraguan Caribbean coast and

therefore correlation between IBR/n and pollution indices such as PLI and CPI was not significant. Though individual biological responses at each locality and season was to a large extent consistent, the lack of correlation may be due to the strong influence of the physiological state (modulated by multi-factorial drivers and seasonal variation under natural field conditions) of the oysters on these measurements.

## 4.2. Colombia

Shell length, in the range of 33-42 mm, was quite variable amongst localities, oysters from Marina Santa Marta being the largest. Moreover, SDW values were exceptionally high in Marina Santa Marta in both seasons, and SDW/L in Marina Santa Marta (both seasons), Isla Brujas (rainy season) and, to a lesser extent, in Isla Barú (dry season). Abnormal shell growth, including e.g. hypertrophy and chambering, has been associated to endocrine disruptors such as TBTs, which are characteristic of marinas and dockyards (Chagot et al., 1990); which could be the case of Marina Santa Marta.

The highest FDW/SDW was recorded in Isla Maparadita, with lower values in the dry season than in the rainy season. Although it is commonly used in the case of mussels as an indicator of individual condition (Lobel and Wright 1982; Smaal and van Stralen, 1990), FDW/SDW is known to have a limited value as index of nutritive status or recent stress in oysters. On the one hand, both flesh and shell growth are disparately variable throughout the year, especially in tropical oysters; on the other hand, unpredictable variations in SDW result from natural mineral incrustations and normally occurring shell break-offs (Crosby and Gale, 1990; Blaise et al., 2016). Indeed, the aforementioned differences in SDW (e.g. potential alterations in shell growth) may render FDW/SDW even less valuable in this particular study. In contrast, FDW/L overcomes uncertainties associated to unpredictable variations in SDW (Hellou et al., 2003; Blaise et al., 2016). Herein, together with high FDW/L values recorded in Isla Maparadita during the rainy season and to a lesser extent in Marina Santa Marta in both seasons the lowest were recorded in Taganga in both seasons, with overall higher values in all the localities in the rainy season than in the dry season. Cyclicity in condition index has been related to periods of post-spawning occurring towards the end of each rainy season (Gordon, 1988); which does not seem to be the present case, as spawning is not discontinued and, in any case, the productive cycle effects should have been the other way round and no relationship was perceived between FDW/L and gamete development. For instance, as they were at a non-spawning stage high FDW/L values would be expected in Isla

Brujas and Taganga oysters in the dry season but, quite the reverse, FDW/L was minimal. On the other hand, the high FDW/L values recorded in the rainy season can be also attributed to seasonal increases in suspended organic matter. Interestingly, seasonal increase in FCI in oysters is positively correlated with in microbial load whereas decreases in FCI have been related with high microbial tissue loads due to sewage pollution, which causes lower assimilation efficiency (Jana et al., 2014). Interestingly, in the present study, the faecal bacteria load is higher in the rainy season than in the dry season, which will coincide with high FWD/L values, and Taganga, with the lowest FDW/L values, receives sewage directly from the Santa Marta marine outfall; especially during the dry season, which is the high touristic season in Santa Marta. Finally, seasonal cyclic fluctuations in FCI could be explained partially by changes in salinity and number of days within various temperature regimes, which may result in decreased feeding activity or diet quality abundance (Austin et al., 1993). The salinity in Isla Maparadita during the rainy season is more extremely reduced than in any other locality studied. As a whole, in Santa Marta Bay, upwelling in the dry season leads to increased salinity, decreased temperature and turbidity and enrichment of inorganic nutrients due to the contribution of deep marine waters. In the rainy season, outwelling occurs, mediated by tropical rainfall and relevant river loads of sediment, organic matter and domestic sewage to the Santa Marta Bay; this results in decreased salinity and dissolved oxygen, and augmented temperature, turbidity and phosphorus and chlorophyll concentrations (Mancera-Pineda et al., 2013). The Gaira and Manzanares rivers contribute with freshwater and sediments to the bay during the rainy season, together with inorganic nutrients, domestic sewage and litter. Besides, mineral coal transportation, a major port activity, contributes to enhanced sedimentation and sediment re-suspension thus leading to elevated levels of suspended particulate matter. Therefore, FDW/L would be governed by the nutritional status of by the influence of stressors or specific contamination sources (e.g. domestic sewage) rather than by seasonality in gamete development and spawning.

According to the SRI, a spot feminisation could be envisaged in Taganga oysters in both seasons. This locality is influenced by discharges from the nearby marine outfall of Santa Marta and could therefore be recipient of potentially oestrogenic chemical pollutants. In contrast, intersex oysters were found in Marina Santa Marta (both seasons) and Isla Brujas (dry season). Intersex has been reported in the vicinity of sewage discharge locations in a variety of species from copepods to fish (Moore and Stevenson, 1994; Ortiz-Zarragoitia and Cajaraville, 2010; 2011; Puy-Azurmendi et al., 2010; 2013); however, intersex gonads are normally found at small numbers

(0.3-1.6%) in *C. rhizophorae* (Vélez, 1982) and herein intersex cases, with separate male and female gonad follicles, were only sporadically. Nevertheless, the intersex cases presently recorded coincide with the cases of oversized shell, which has been related to the presence of anti-oestrogenic compounds such as TBT (Chagot et al., 1990). In any case, the presence of endocrine disrupting chemicals seems to be a question of concern; these chemicals include oestrogens, anti-oestrogens, anti-androgens, toxicants that reduce steroid hormone levels, toxicants that affect the CNS, and toxicants that affect hormonal status (Depledge and Billinghamurst, 1999), which typically occur in mixtures in domestic sewage effluents and industrial wastes. Moreover, a certain arrest in gametogenesis was envisaged in Isla Brujas in the dry season, with a high prevalence of oysters with undifferentiated follicles. In the rainy season, Marina Santa Marta and Taganga showed the most advanced gamete development stages; however, the opposite was found in the dry season. Oocyte atresia was practically negligible and therefore RAI was mainly influenced by altered sex ratios and arrest/delay of the gametogenic cycle, with the highest scores recorded in Isla Brujas and Taganga, followed by Isla Barú. The observed low-to-moderate reproduction anomalies seem to be related to pollutant exposure rather than to nutritional or environmental conditions. Components of domestic sewage and a wide range of industrial chemicals are capable of disrupting the endocrine systems of a wide range of wildlife species (Depledge and Billinghamurst, 1999).

The prevalence of parasites (such as *Nematopsis* sp, ciliates, intracellular protists, RCL/Os, and metazoans) was low in all the samples; though seemingly higher in the dry season than in the rainy season. These parasites are common in *C. rhizophorae* and related species and their seasonal variations and their relation to environmental conditions have been previously reported. The presence of *Nematopsis* sp and ciliates seems to be indicative of organic pollution (Marcogliese and Cone, 1997; Brandão et al., 2013), and unlike in Colombia, it has been recorded at high prevalence in Nicaraguan mangrove cupped oysters (present study) and, overall, in tropical bivalves (Nascimento et al., 1986; Aguirre-Macedo et al., 2007; Sabry et al., 2007; 2011, 2013; Boehs et al., 2010; Ceuta and Boehs, 2012; Brandão et al., 2013). RCL/Os have been previously recorded in the digestive gland cells of *C. rhizophorae* (da Silva et al., 2011; Zeidan et al., 2012; Brandão et al., 2013; Sabry et al., 2013) with low prevalence usually below 30% and absence of pathological effects others than hypertrophy of infected cells. In *C. rhizophorae* from Maraú River in Brazil, low prevalence (3.3%) of metazoan and R/CLO was also reported in the rainy season in comparison with the dry season (10-13.3%; Brandão et al., 2013). Likewise, low prevalence (1.76%) of the metazoan *Tylocephalum* was found in *C. rhizophorae* in the dry season, associated

with high water temperature (Sabry et al., 2007), as well as for R/CLO in the dry season in *Mytella guyanensis* (Ceuta and Boehs, 2012). Isla Brujas, especially in the dry season, exhibited moderate prevalence values for *Nematopsis* sp. and ciliates. As a result, PII was higher in Isla Brujas (both seasons) than in the other localities, followed by Isla Barú and Isla Maparadita in the dry season. Pollution by chemicals in mixture is a potential factor activating latent infection in oysters (Chu et al., 2002). Isla Brujas received the input from the Dique Channel, which is a main source of sediments and chemical pollution in the Cartagena Bay, both in the rainy and the dry seasons; indeed, metals and PAHs in sediments are at concentrations that pose environmental risk, according to international policies (Vivas-Aguas et al., 2010). Thus, some degree of immunodepression might be envisaged in Isla Brujas oysters but this cannot be confirmed with the present data and in any case it seems to be of minor entity.

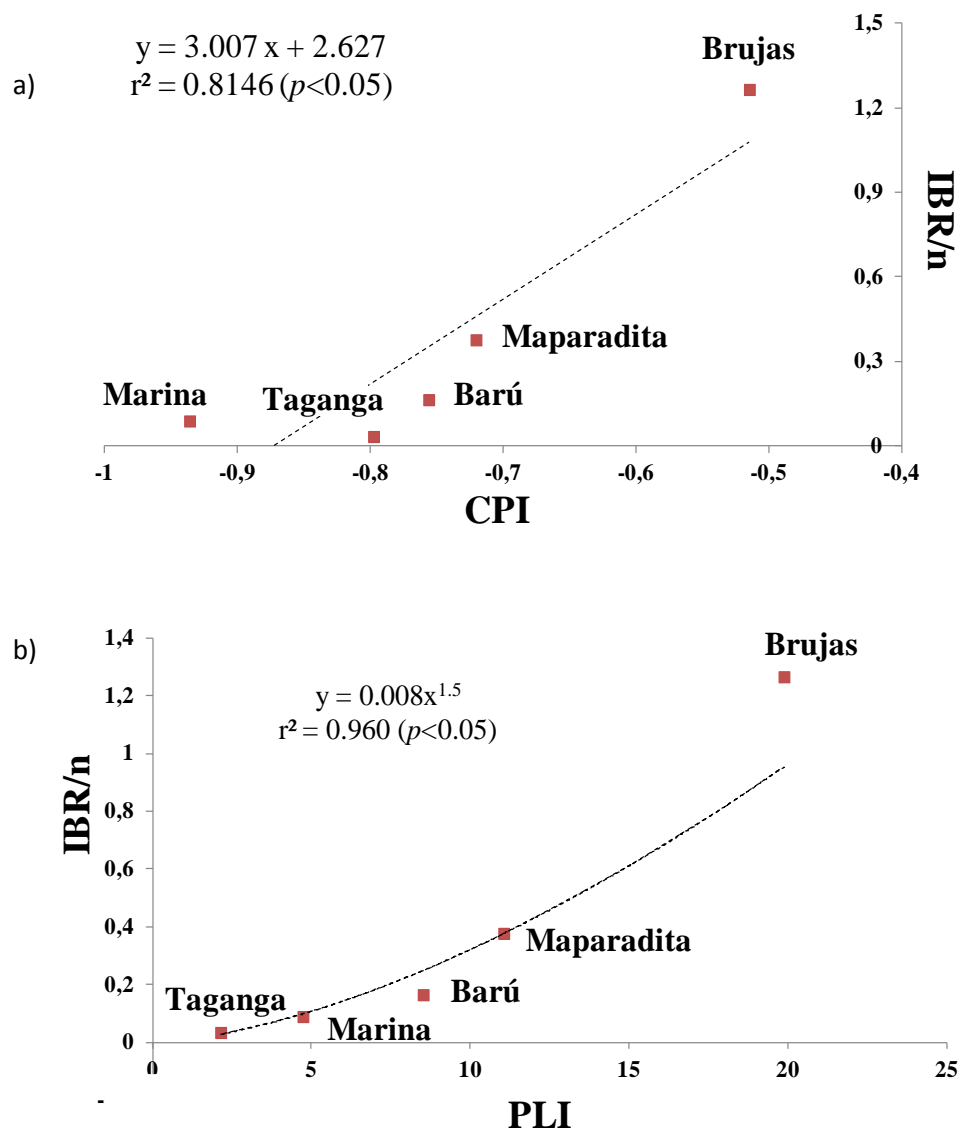
Regarding inflammatory responses only oedema and brown cell accumulation (this latter at much lower levels than in Nicaraguan oysters) were recorded, particularly high in the dry season. At this season there might be some common causing agent everywhere, but most especially in Barú and Marina, be this a particular pollutant, sewage contamination or environmental conditions. Inflammatory responses in bivalves are generally considered to be indicative of stress, linked to severe lesions caused by parasitosis (Cochennec-Laureau et al., 2003; Garmendia et al., 2011; Comesaña et al., 2012) or xenobiotics compounds (Rojas. et al., 1999; Villalba et al., 2001; Sheir and Handy, 2010). Elevated levels of haemocytic infiltration and oedema are general responses to chemical pollutants in molluscs (Au, 2004). These inflammatory responses were shown to be related to the tissue concentration of Cd and hydrocarbons in *C. virginica* from Mexican coastal ecosystem (Gold-Bouchot et al., 1995) and therefore they were suggested as indicators of pollution-induced stress for native oysters in coastal lagoons (Gold-Bouchot et al., 2007). Thus, IRI exhibited the same trends than PII in the rainy season but not at all in the dry season. DGAI, which represents a fast and enduring biological response to environmental stressors (Garmendia et al., 2011; Marigómez et al., 2013), only exhibited a low-to-moderate raise in Isla Brujas in the dry season and therefore it did not provide any clear evidence of health impairment.

LT<sub>50</sub> was in the range of 4-12 d. Oysters from Isla Brujas in the dry season and, most markedly, those from Isla Barú, Isla Brujas and Isla Maparadita in the rainy season exhibited very low LT<sub>50</sub> values. Unlike in Nicaraguan mangrove cupped oysters, in which the lowest LT<sub>50</sub> values were recorded in the dry season, Colombian oysters seem to be more resistant to additional stress in the dry season than in the rainy season, as it would be expected from a stressed organism. Moreover,



LT<sub>50</sub> values were in general terms higher than in Nicaragua, which might be related to the smaller size of the Colombian oysters or to the poorer health condition of the Nicaraguan oysters at regional scale, as above discussed. Overall, the SoS response profile in the dry season resembled the one recorded for DGAI but, conversely, these biological responses were apparently not at all related in the rainy season, nor related to other observed health and growth parameters, neither with gamete development. Likewise, LT<sub>50</sub> values could not be related to the pollutant tissue burdens reported in the oysters (Chapter 1). In the case of intertidal mussels from temperate regions, LT<sub>50</sub> values around 3-6 d have been reported on exposure to Cu, Cd, PCB congeners, Aroclor 1254, individual PAHs (e.g., 9,10-dimethyl 1,2-benzanthracene, fluoranthene or benzo[a]pyrene), in comparison with >10 d recorded in control and reference mussels (Veldhuizen-Tsoerkan et al., 1991; Eertman et al., 1995; Viarengo et al., 1995). Thus, as a general rule, LT<sub>50</sub> values >10 d are indicative of healthy condition whereas values <6 d are found in stressed mussels (Viarengo et al., 1995; Davies and Vethaak, 2012; Martínez-Gómez et al., 2017). These critical values, however, can vary depending on the nutritional, reproductive and physiological status of the organisms and on environmental factors; thus, for instance, small mussels seem to be more tolerant to survival-in-air than larger ones, both in the polluted and non-polluted sites (Thomas et al., 1999). Likewise they can be different for different species, e.g. even if they are sympatric *Mytilus* spp. (Hellou and Law, 2003), and therefore the present results obtained for mangrove cupped oysters must be interpreted cautiously. It seems that SoS response is the result of multiple agents interacting (pollution, environmental factors).

As previously reported in mussels from temperate regions (Hellou and Law, 2003; Koukousika and Dimitriadis, 2005; Nesto et al., 2007; Pampanin et al., 2005), a strong seasonal variability in SoS, which followed opposite patterns in Nicaraguan and Colombian oysters, was envisaged. This intricate response pattern may be attributed to region-specific factors that may influence SoS response, such as ambient temperature, reproductive stage and size (Martínez-Gómez et al., 2017). Moreover, survival in air is known to be more limited for subtidal bivalves than for intertidal ones (Babarro and de Zwaan, 2008), and oysters from Nicaragua inhabited shallow subtidal reefs in mangrove lagoons whilst those from Colombia were collected from intertidal roots/docks in mangrove swamps. Thus, we must be cautious to interpret the present LT<sub>50</sub> values alone, though they can be useful for comparative purposes and to understand the battery of biomarkers in an integrative manner.



**Fig. 14.** Regression models and correlation between IBR/n and CPI (a) and PLI (b) in Colombian mangrove swamps in the dry season. CPI and PLI data obtained in Chapter 1. CPI, Chemical Pollution Index (Bellás et al., 2011; 2014); PLI, Pollution Load Index (Tomlinson et al., 1980).

Though modulated by natural variables and confounding factors, different indicators of health disturbance alone and in combination were related to the presence of different profiles and levels of chemical pollutants present at low-to-moderate levels. Thus, IBR/n discriminated Isla Brujas and Isla Maparadita from the other localities in both seasons as well as Isla Brujas from Isla Maparadita in the dry season. Considering altogether the present results and the pollutant tissue levels reported for these mangrove cupped oysters (Chapter 1), it can be concluded that different mixtures of a variety of persistent (e.g., As, Cd, PAHs) and emerging chemical pollutants (e.g. musk fragrances) in combination with different levels of organic and particulate matter resulting from upwelling and sewage discharges, and environmental factors (salinity, temperature) elicit a different degree of disturbance in ecosystem health condition in mangrove-lined Caribbean coastal

ecosystems, as reflected in sentinel *C. rhizophorae*. As a result, IBR/n was correlated with pollution indices such as PLI and CPI (Fig. 14), even though the levels of biological anomalies used as indicators of health disturbance and the levels of pollutants were in general terms low-to-moderate in a real field situation influenced and modulated by biological variables and environmental factors that varied seasonally.

In conclusion, integrative approaches for marine and coastal pollution monitoring involve the use of methodological standards in both sampling, analysis of samples and interpretation of results (ICES 2011; OSPAR Commission 2013b). Assessment of ecosystem health disturbance for the monitoring of pollution and its biological consequences in tropical and subtropical coastal regions is constrained by (a) difficulties for systematic routine sampling (e.g. accessibility to sampling sites is expensive and complicated, and may be hampered by natural phenomena such as hurricanes, cyclones and earthquakes); (b) the limited knowledge on the biology and ecology of local/regional target species; (c) the lack of adequate sample transportation to the laboratory (this may take long time under non-optimal conditions for sample quality preservation); and (d) technological hurdles (e.g. cryo-processing and cryo-sample transportation) that impede the application of core endpoints elsewhere applied in coastal biomonitoring. Moreover, quantifying a suite of biomarkers often requires purchasing dedicated equipment, special wares, and expensive reagents not always easy to afford by developing countries (Blaise et al., 2016). As previously established by Blaise et al. (2016) for mussels of sub-Arctic and temperate regions, our study supports the use of simple methodological approaches to diagnose anomalies in the health status of mangrove cupped oysters from different localities and to identify potential causing agents. These anomalies may reflect disturbances in ecosystem health that can be associated to chemical pollutants, eutrophication (e.g. from domestic sewage) as well as to naturally or human-driven anomalies and extremes in environmental factors such as salinity, dissolved oxygen, suspended matter, etc. Consequently, the easy methodological approach used herein is useful for the assessment of health disturbance in a variety Caribbean coastal ecosystems using mangrove cupped oysters as sentinel species. Likewise, this approach is useful to identify presumptive sources of health disturbance, be these chemical pollutants or other stress sources, which can further on be identified following specific and more complicated and expensive analytical procedures.

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## **Chapter 3. Comparison of potential biomonitor and sentinel bivalve species suitable for biomonitoring of pollutants and ecosystem health disturbance in mangrove-lined Nicaraguan coastal systems**

### **Scientific Contributions:**

**Aguirre-Rubí J, Ortiz-Zarragoitia M, Zaldibar B, Izagirre U, Etxebarria N, Espinoza F, Marigómez I.** in prep. Comparison of potential biomonitor and sentinel bivalve species suitable for biomonitoring of pollutants and ecosystem health disturbance in mangrove-lined Nicaraguan coastal systems.

**Keywords:** mangrove, bioaccumulation, biomarkers, ecosystem health, monitoring



**Abstract:** This investigation aims at contributing to the use of the slender marsh clams (*Polymesoda arctata*), pustulose arks (*Anadara tuberculosa*), and ark shell clams (*Larkinia grandis*) as potential biomonitors and sentinels for pollution biomonitoring in mangrove-lined coastal systems in Nicaragua, based on previous experience acquired with mangrove cupped oysters, *Crassostrea rhizophorae* (Chapter 1 and 2). For this purpose, localities with different contaminant sources were selected in the Nicaraguan coastline. In the Caribbean, *P. arctata* was collected in Pigeon Cay (Pearl Lagoon) and Punta San Gabriel (Bluefields Lagoon). In the Pacific, *A. tuberculosa* was collected in Padre Ramos Reserve and Corinto, and *L. grandis* in Padre Ramos Reserve. Samples were collected in the rainy and dry seasons during 2012-2013. The tissue concentration of metals, PAHs and POPs were determined and integrated into pollution indices (CPI and PLI). In parallel, biological endpoints at different levels of biological complexity (condition indices, reproduction parameters, histopathology, and stress-on-stress response) were determined as biomarkers of ecosystem health disturbance. In the Caribbean, the tissue concentration of contaminants in *P. arctata* was, in general terms, low with some exceptions. Moreover, Ag, As, Cd, Hg, Ni and V were mainly recorded during the dry season, whilst PAHs and POPs, such as HCHs, DDTs, AHTN, PCBs and BDE85, were mainly recorded during the rainy season. As whole, the highest CPI and PLI values were recorded in Punta San Gabriel, which is consistent because this locality is located at the sediment deposition zone of Bluefields Lagoon. Nevertheless, it seems that metals and PAHs are not a matter of major concern in these Caribbean lagoons; in contrast, high levels of HCHs and DDTs and low-to-moderate levels of musk fragrances and PBDEs were recorded. Although only minor differences were found in biological parameters between localities and between seasons, low LT<sub>50</sub> values were recorded in Punta San Gabriel during the rainy season, associated to the highest PLI and CPI values. In the Pacific coast, the main pollutants recorded in *A. tuberculosa* and *L. grandis* were HCHs, DDTs, AHTN and PDBEs in the rainy season and Cd in the dry season. In these two Pacific species, biological endpoints comparable to those used in other sentinel bivalves appear to be suitable as biomarkers of health disturbance; however, more basic research is needed to understand their general biology, ecology and disease. Overall, our study supports that slender marsh clams in the Caribbean, and pustulose arks and ark shell clams in the Pacific coast could be used as biomonitors and sentinels for assessing pollution and ecosystem health disturbance in mangrove-lined coastal systems in Nicaragua. Besides, seasonality has been found to be crucial to properly design and conduct pollution monitoring programmes using the three species investigated herein.

**Resume:** Esta investigación tiene como objetivo contribuir al uso del guacuco de marjal esbelto (*Polymesoda arctata*), la concha negra (*Anadara tuberculosa*), y el casco de burro (*Larkinia grandis*) como potenciales especies biomonitoras y centinelas para el biomonitoreo de contaminación en los sistemas costeros de manglar en Nicaragua, a partir de la experiencia previa adquirida con ostras de manglar *Crassostrea rhizophorae* (Capítulo 1 y 2). Para ello, se seleccionaron localidades con diferentes fuentes de contaminantes en las costas Nicaragüenses. En el Caribe, se recolectó *P. arctata* in Pigeon Cay (Laguna de Perla) y en Punta San Gabriel (Laguna de Bluefields). En el Pacífico, se recolectó *A. tuberculosa* en la Reserva Padre Ramos y Corinto, y *L. grandis* en la Reserva Padre Ramos. Se recolectaron las muestras en las épocas de lluvia y seca durante 2012-2013. Se determinaron las concentraciones tisulares de metales, PAHs y POPs, y se integraron en índices de contaminación (CPI y PLI). Paralelamente, se determinaron parámetros biológicos a diferentes niveles de complejidad biológica (índices de condición, parámetros de reproducción, histopatología y respuesta de estrés sobre estrés) como biomarcadores de perturbación de la salud del ecosistema. En el Caribe, las concentraciones tisulares de contaminantes en *P. arctata* fueron bajas, en general, con algunas excepciones. Por otro lado, Ag, As, Cd, Hg, Ni y V se registraron principalmente en la época seca, mientras que PAHs y POPs (tales como HCHs, DDTs, AHTN, PCBs y BDE85) se registraron principalmente en la época de lluvia. En general, los valores más altos de CPI y PLI se registraron en Punta San Gabriel, lo que es consistente, porque esta localidad se ubica en la zona de sedimentación de la Laguna de Bluefields. Sin embargo, parece que los metales y PAHs no son motivo de gran preocupación en estas lagunas Caribeñas. Por el contrario, se registraron altos niveles de HCHs y DDTs, y niveles de bajos a medios de almizcles sintéticos. Aunque se encontraron mínimas diferencias entre localidades y entre épocas en los parámetros biológicos estudiados, se registraron valores bajos de  $LT_{50}$  en Punta San Gabriel durante la época de lluvia, asociados a valores más altos de CPI y PLI. En la costa del Pacífico, los principales contaminantes registrados en *A. tuberculosa* y *L. grandis* fueron HCHs, DDTs, AHTN y PDBEs en la época de lluvia y Cd en la época seca. En estas dos especies, parámetros biológicos comparables a los utilizados en otros bivalvos centinelas parecen ser adecuados como biomarcadores de perturbación de la salud; sin embargo, se necesita más investigaciones básicas para entender su biología general, ecología y enfermedad. En general, nuestra investigación sustenta que el guacuco de marjal esbelto en el Caribe, y la concha negra y el casco de burro en el Pacífico podrían ser usadas como especies biomonitoras y centinelas para evaluar la contaminación y las perturbaciones de la salud del ecosistema en los sistemas costeros de manglar en Nicaragua. Además, se ha comprobado que la estacionalidad es crucial para diseñar y llevar a cabo adecuadamente programas de monitoreo de contaminación usando las tres especies estudiadas en éste trabajo.



# 1. Introduction

The mangrove forest from Nicaragua extends throughout 69050 ha and represents one of the main coastal ecosystems, which is approximately equally distributed between the Pacific and the Caribbean coasts (Jiménez, 1999; FAO, 2007). Overall, mangrove ecosystems are threatened by a combination of natural disasters, tourism, aquaculture, deforestation and chemical pollution (Ellison, 2004; Defew et al., 2005; Fernandez et al., 2007; Polidoro et al., 2010; Lewis et al., 2011; Bayen, 2012). Concretely, Nicaraguan mangroves are influenced by 21 watersheds, 13 of them drain in the Caribbean and 8 in the Pacific Coast (González, 1997). Consequently, the Caribbean coastal area is a major sink for contaminants transported along the watersheds as it receives the 90% of the Nicaragua's territory catchment (UNEP, 2002). Nevertheless, maritime traffic and ports, urban settlements, shellfish farming and intensive agriculture are also potential sources of pollutants in the Pacific coast (Spongberg and Davies, 1998; Gener, 2009; Spongberg and Witter, 2008; Vargas et al., 2015).

Thus, there exists a need to carry out pollution monitoring programmes using bivalve molluscs as biomonitors and sentinels, following the Mussel-Watch approach (Goldberg, 1975; Sericano et al., 1995; Monirith et al., 2003; Kimbrough et al., 2008; 2009; Garmendia et al., 2011; Marigómez et al., 2013). However, to our knowledge, such a kind of pollution monitoring activities in Nicaragua are based on sporadic studies carried out by academics and research groups (GEF-REPCar, 2011; Ebanks-Mongalo et al., 2013; Chapters 1 and 2), as well as by international organisations such as the International Mussel Watch and the UNEP Caribbean Environment Programme (Farrington and Tripp, 1995; Siung-Chang, 1997; UNEP, 2006).

The mangrove cupped oysters (*Crassostrea rhizophorae*) have been proposed as biomonitors and sentinels for pollution monitoring programmes in mangrove ecosystems (Nascimento et al., 1998; Wallner-Kersanach et al., 2000; Rebelo et al., 2005; Silva et al., 2003; 2006; da Silva et al., 2005; Zanette et al., 2006; Valdez Domingos et al., 2007; Torres et al., 2012; Chapters 1 and 2). However, their distribution in Nicaragua is restricted to the Caribbean coast and therefore they cannot be used in pollution monitoring programmes in Pacific Coast mangrove-lined coastal systems. Thus, we need additional potential biomonitor and sentinel species, suitable for biomonitoring of pollutants and ecosystem health disturbance in Nicaraguan mangrove-lined coastal systems, both in the Caribbean and in the Pacific Coasts. Using more than one biomonitor/sentinel species in the same pollution monitoring programme is not

exceptional. Pollution monitoring programmes carried out from North to South America (The National Status & Trends NS&T, 1986-1993; and the International Mussel Watch, IMW, 1991-1992) use different bivalve species, such as oysters, clams and cockles, depending on their availability at each particular geographical region (Farrington and Tripp, 1995; Sericano et al., 1995; Kimbrough et al., 2008). Moreover, the sensitivity against pollutants may vary amongst species and therefore usually more than one species are simultaneously used to assess pollution and its biological consequences in marine biomonitoring programmes (Fernández-Tajes et al., 2011; Pereira et al., 2011).

Within this framework, the slender marsh clam, *Polymesoda artacta* from the Caribbean Sea, and mangrove cockles, *Anadara tuberculosa* and *Larkinia grandis* from the Pacific coast were selected as potential biomonitor/sentinel species for Nicaraguan mangrove ecosystems to be used either in parallel or as alternative to mangrove cupped oysters. These three species had been used as biomonitors in previous reports (Farrington and Tripp, 1995; Pérez-Cruz et al., 2013; Leal et al., 2014; Ziarrusta et al., 2015). *P. artacta* (Deshayes, 1854; syn., *P. solida* (Deshayes, 1854)<sup>1</sup>, according to Bouchet, 2015) is a benthic mollusc with a biogeographical range extending from Belize to the Orinoco River in Venezuela (Severeyn et al., 1994). It inhabits fine, sandy sediments of the low-to-medium salinity estuarine zone (high organic content, salinity: 3-20 ppt; Severeyn et al., 1994). In Nicaragua, this species has been recorded in the Caribbean coast from Pearl Lagoon (North) to Monkey Point (South), as *P. solida*, *Polymesoda sp* or simply marsh clam (Mackenzie and Stehlik, 2001; MARENA, 2004; GTR-K, 2007; Ziarrusta et al., 2015). Mangrove cockles, *A. tuberculosa* (GB Sowerby I, 1833) and *L. grandis*, (Broderip & GB Sowerby I, 1829; syn., *A. grandis* (Broderip & GB Sowerby I, 1829)<sup>2</sup>, according to Huber, 2015) are found along an ample biogeographical range from Baja California in Mexico to Bahia de Tumbes in Perú (Mora Sanchez, 1990; Cruz and Jimenez, 1994). In general, *A. tuberculosa* is more abundant than *L. grandis* (Mackenzie, 2001). *A. tuberculosa* inhabits level mud sediments in mangrove swamps that occur along the main-lands and islands of lagoons. These cockles occur among the aerial prop roots and under the canopies of the mangrove trees; most are about 15 cm deep in the mud (Mackenzie, 2001; Stern-Pirlot and

<sup>1</sup> According to the World Register of Marine Species (<http://www.marinespecies.org/index.php>), the currently accepted name of *P. solida* is *Polymesoda arctata* (Deshayes, 1854), Bivalvia: Cyrenidae (Bouchet 2015).

<sup>2</sup> On the other hand, taxonomic name of *Anadara grandis* is not accepted according to the World Register of Marine Species (<http://www.marinespecies.org/index.php>), the currently accepted name is *Larkinia grandis* (Broderip & GB Sowerby I, 1829), Bivalvia: Arcidae (Huber, 2015).

Wolff, 2006). In Nicaragua, the pustulose ark, *A. tuberculosa* (commonly named "black shell") has been recorded along the Pacific coast (Pérez et al., 2003; Gener et al., 2009; USAID, 2012). *L. grandis* lives in intertidal mudflats and some subtidal areas beyond the edges of mangrove swamps (Mackenzie, 2001). Unlike in neighbouring countries such as Honduras and El Salvador where it is commercially exploited (Galdámez et al., 2007), in Nicaragua this mangrove cockle (commonly known as "casco de burro" -donkey's hoof-) is endangered by overharvest (Gener et al., 2009), except in the Padre Ramos reserve, where it is under community management for recovery (Manzanares L, personal comm.).

Both chemical and biological effects endpoints are included in pollution monitoring programs (ICES, 2011; OSPAR Commission, 2013), because the chemical data alone do not provide any indication of the deleterious effects exerted to biota and ecosystems by cocktails of pollutants in multiple stress scenarios (Cajaraville et al., 2000; Allan et al., 2006). Within this context, in a previous study, both pollutant bioaccumulation and biological effects were investigated in mangrove cupped oysters *C. rhizophorae* (Chapters 1 and 2). However, in slender marsh clams, pustulose arks and casco de burro ark shell clams there are only few bioaccumulation studies (Farrington and Tripp, 1995; Pérez-Cruz et al., 2013; Leal et al., 2014; Ziarrusta et al., 2015) and practically no biological effects studies, to our knowledge.

Moreover, as elsewhere discussed (Van Lavieren et al., 2011; Blaise et al., 2016; Chapter 2) although developing countries, such as Nicaragua, may lack the monitoring capacities and facilities required to conduct most-advanced biomarker-based monitoring using bivalves as sentinels, effortless biomarkers can provide basic knowledge on animal health and water quality and are technically achievable (Blaise et al., 2016; Chapter 2). For this purpose, a toolbox of non-sophisticated and reliable biological effects endpoints was selected to assess the potential of the mangrove cupped oyster, *C. rhizophorae*, as sentinel for pollution monitoring in Caribbean mangroves and coastal zones from Nicaragua (Chapter 2). This toolbox included responses easy to measure at population and individual level and histopathological analyses; these latter allow scoring responses at systemic and tissue levels on the basis of cheap, solid and straightforward technology.

This is a preliminary investigation that aims at contributing to the future use of the slender marsh clams (*P. arctata*), pustulose arks (*A. tuberculosa*), and casco de burro ark shell clams (*L. grandis*) as potential biomonitors and sentinels species for pollution biomonitoring in mangrove-lined coastal systems in Nicaragua, after applying the experience and toolbox developed

previously for mangrove cupped oysters (Chapter 1 and 2). For this purpose, a pilot field study was carried out in 4 localities (2 in the Caribbean and 2 in the Pacific coast) subjected to different types and levels of pollution, in two sampling campaigns during 2012-2013. Samples were collected in the rainy and dry seasons and the tissue concentration of metals, PAHs, POPs and biological effects in slender marsh clam and mangrove cockles were recorded.

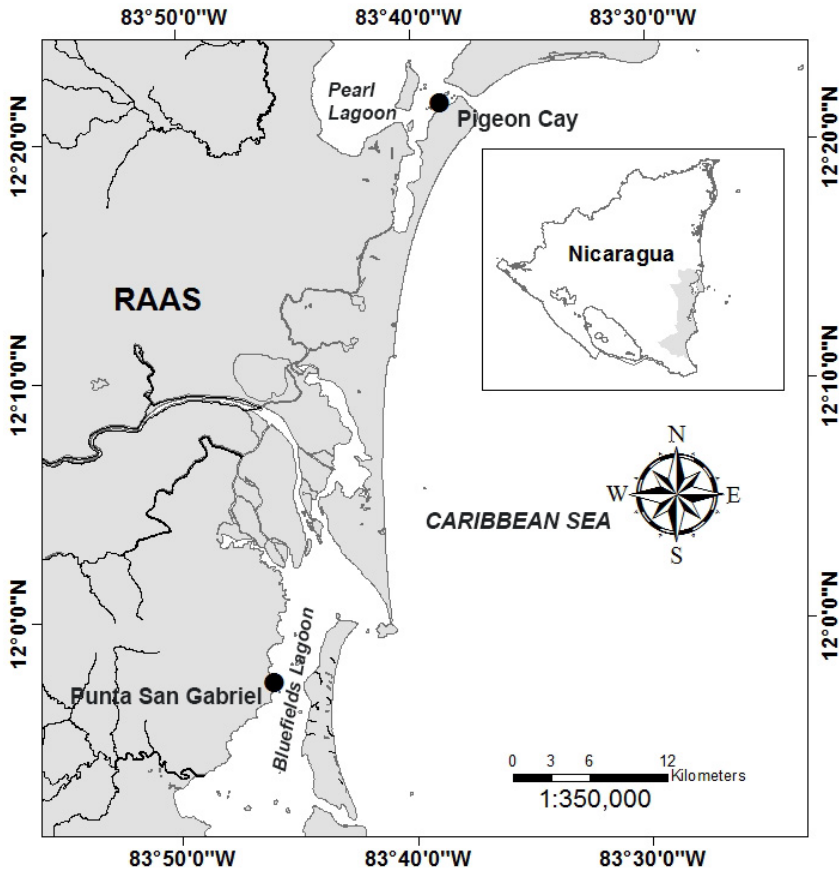
## 2. Material and Methods

### 2.1. Sampling sites and sample collection

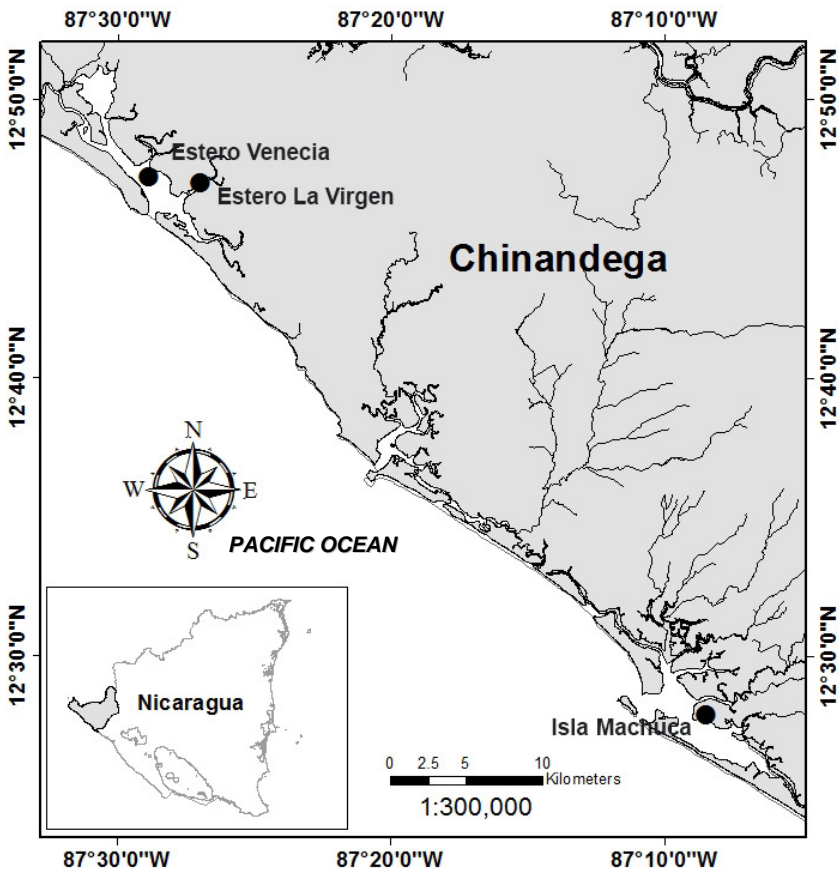
Three species of bivalves were collected along one year (2012-2013) in the rainy season (October) and in the dry season (March); seawater surface temperature was in the range of 30-32°C at both seasons.

Subtidal (<1 m depth) slender marsh clams (*Polymesoda arctata*) were collected from two localities of the Caribbean coast (Fig. 1): Bluefields (sampling site: Punta San Gabriel) and Pearl Lagoon (sampling site: Pigeon Cay). Punta San Gabriel was considered as a prospective reference site (far away from urban settlements, ~6 Km) whilst Pigeon Cay was selected as a potentially polluted site influenced by aquatic transport and urban discharges (GEF-REPCar, 2011; Ebanks-Mongalo et al., 2013). Intertidal pustulose arks (*Anadara tuberculosa*) were studied in two localities (mangrove swamp) in the Pacific coast (Fig. 2): Corinto (sampling site: Isla Machuca) and the Natural Reserve Padre Ramos (sampling site: Estero La Virgen). Isla Machuca was selected as seemingly polluted sites because it is subject to strong anthropogenic influence (UICN / ORMA, 2001) and the Corinto port. Beside, Estero La Virgen was selected a priori as reference site because it is a Natural reserve. Intertidal casco de burro ark shell clams (*Larkinia grandis*) were studied in one locality (mudflat) of the Pacific coast (Fig. 2); the Natural Reserve Padre Ramos (sampling site: Estero Venecia), considered as a reference clean site.

Up to 85 individuals were collected per locality/sample; up to 25 of them were used for the chemical analyses and the remaining 60 were used for biological effect assessment. Upon collection, the bivalves were put in 15 L plastic boxes (2 individuals / L) in seawater at ambient temperature and transported to the laboratory (for 3h) before processing. Due to logistic problems, sampling could not be conducted in Pigeon Cay at the dry season.



**Fig. 1.** Caribbean coastal maps from Nicaragua, showing the localities where slender marsh clam *Polymesoda arctata* were collected in 2012-2013. Nicaraguan coast: Pigeon Cay (12°21'42.50"N-83°38'32.11"W) and Punta San Gabriel (11°57'24.40"N-83°46'19.90"W).



**Fig. 2.** Pacific coastal maps from Nicaragua, showing the localities where mangrove cockles *Anadara tuberculosa* and *Larkinia grandis* were collected in 2012-2013. Nicaraguan coast: Isla La Virgen (12°46'59.40"N-87°26'59.60"W); Estero Venecia (12°47'10.70"N-87°28'53.20"W) and Isla Machuca (12°27'52.70"N-87°08'27.40"W).

## 2.2. Tissue concentrations of contaminants

Pools of 25 individuals were homogenised and freeze-dried before being stored (at -20°C) and analysed. For the analysis of metals (Ag, Al, As, Cd, Cr, Cu, Hg, Ni, Pb, Ti, V and Zn), 100 mg of freeze-dried samples were digested in a microwave oven (Multi-wave 3000, Anton Paar, Austria) and the extracts were first filtered and then measured in an Inductively Coupled Plasma - Mass Spectrometer (ICP-MS; NexION 300 Perkin Elmer, USA) (Bartolomé et al., 2010; Navarro et al., 2010). For the analysis of the organic contaminants, 0.3 g of freeze-dried samples and 0.3 g of Florisil dispersant were manually blended in a glass mortar and were transferred to a 10-mL glass syringe containing 0.6 g of deactivated silica and 4.0 g of activated silica. The target analytes were eluted with 25 mL of dichloromethane, and the eluate was evaporated to dryness using N<sub>2</sub> blowdown and reconstituted to a final volume of 140 L of n-hexane. All these extracts were analysed by Gas Chromatography - Mass Spectrometry (GC-MS) using an Agilent 7890A gas chromatograph coupled to an Agilent 7000 triple quadrupole mass spectrometer and an Agilent 7693 autosampler (Agilent Technologies). The MassHunter WorkStation Acquisition Software (Version B.05.02/Build 5.2.365.0, Agilent Technologies, 2008) was used for data acquisition and automatic integration and quantification of the results. This method was designed to measure up to 40 non-polar or slightly non-polar organic pollutants such as PAHs, PCBs, PBDEs, organochlorine pesticides (OCPs), organophosphorus pesticides and musk fragrances (Ziarrusta et al., 2015).

## 2.3. Chemical pollution indices

Pollutant concentrations in the tissues of slender marsh clams, pustulose arks and casco de burro ark shell clams were compared with available environmental quality criteria for individual pollutants (OSPAR Commission, 2005; 2009; 2013; Kimbrough et al., 2008; Green et al., 2012): Background/Reference Concentrations (BRCs); Background Assessment Criteria (BACs), Highest Background Concentrations (HBCs) and Highest Low Concentrations (HLC). BRCs are intended to provide baseline or reference concentrations, and to describe environmental conditions under which there is no anthropogenic influence on the concentrations of the target substances in the environment (Davies, 2004; OSPAR Commission, 2005). BACs describe the threshold value for the background level, using data from reference sites (OSPAR Commission, 2005; 2009; 2013). HBCs correspond to the upper limit to Class I in the Norwegian marine pollution monitoring approach, which recognises five classes from Class I, insignificantly

polluted, to Class V, extremely polluted (Green et al., 2012). HLCs correspond to the upper limit of the Low Concentration Range in the NOAA's mussel watch pollution monitoring; this recognises three concentration ranges (low, medium and high) for the tissue concentration of pollutants in oysters (Kimbrough et al., 2008).

The chemical pollution index (CPI; Bellas et al., 2011; 2014; Beiras et al., 2012) was calculated for each site and season. For this purpose, Concentration Factors (CF) were calculated for each pollutant by dividing the tissue pollutant concentrations ( $C_{tiss}$ ) by the corresponding environmental quality criterion ( $C_{eqc}$ ):  $CF = C_{tiss} / C_{eqc}$ . Then, the following formula was applied:  $CPI = \sum_i [\log(CF_i)]$ . Likewise, the Pollution Load Index (PLI; Tomlinson et al., 1980) was computed for each site and season by obtaining the n-root from the n-CFs that were obtained for all the pollutants, according to the following equation:

$$PLI = \sqrt[n]{CF_1 \times CF_2 \dots \dots CF_n}$$

## 2.4. Condition and histopathology

### 2.4.1. Stress on Stress (SoS) test

LT<sub>50</sub> was calculated after the "survival-in-air" SoS test (Veldhuizen-Tsoerkan et al., 1991; Viarengo et al., 1995) performed on 30 individuals from each site and sampling period that were placed over wet paper on plastic trays at constant room temperature (34°C) and 100% humidity. Survival was assessed daily and individuals were considered to be dead when their valves gaped and failed to close when they were physically stimulated, or simply presented a bad smell (Pampanin et al., 2005).

### 2.4.2. Flesh Condition Index

Soft parts from each individual were dried at 105°C and weighed to the nearest 0.1 mg on an analytical balance in order to calculate flesh dry-wt (FDW; Lobel and Wright, 1982). Then the flesh condition Index (FCI) was as FDW/SDW, where SDW is the shell dry weight (dried at room temperature) according to Crosby and Gale, (1990). SDW was measured in g and shell length (L) was determined as the length in mm of the largest valve (the long axis measured to the nearest 0.1 mm with Vernier callipers; Dame, 1972). FDW/L was calculated and used to as an indication of bivalves flesh condition suitable for a regional comparison (Hellou et al., 2003; Blaise et al., 2016).

### 2.4.3. Histology and histopathology

Upon dissection of their soft body (N=20 individuals/sample), central cross-sectioned slices (3–5 mm thick, including digestive gland, gonad (mantle) and additional tissues) were fixed in Davidson's fixative for 48 h and paraffin embedded. Microtome sections (5  $\mu$ m) were obtained using an automated rotary Leica RM 2255 microtome (Leica Microsystems, Nussloch, Germany), stained with haematoxylin-eosin and examined under the light microscope (Olympus BX61; Tokyo, Japan) for gamete development determination and histopathological diagnosis (Kim et al., 2006).

*Gamete development and reproductive disturbance.* Gamete developmental stages were examined microscopically. Slides of 20 individuals per sample were examined one-by-one under the light microscope using 10 $\times$  and 20 $\times$  objective lenses. The sex of each animal was recorded and the total number of female and male individuals was calculated for each sampling time and locality, and with these data, the sex ratio was calculated for each sampling time and locality (Ortiz-Zarragoitia et al., 2011). Further on, the sex ratio index (SRI) was calculated as the G value of females-to-males ratio (F:M) upon the gross assumption of theoretical F:M ratios of 1:1 in *P. arctata* (no referecne available; arbitrarily decided as a fist approach); *A. tuberculosa* (Gener et al., 2009, Silva-Benavides and Bonilla 2015) and *L. grandis* (Galdámez et al., 2007). Intersex index (IXI) was calculated as the prevalence of intersex individuals at each locality and season. The prevalence of gamete developmental stages was determined upon scoring the maturity of the follicles and gametes, as well as a gonad index (GI) value (Table 1) according to Kim et al., (2006); Ortiz-Zarragoitia and Cajaraville, (2010).

Gamete mass index (GMI) was calculated as the log ratio of the sum of the prevalence of developmental stages 2-4 (gonad tissue growth) to the sum of the prevalence of stages 5-6 (gonad tissue loss), in logarithmic scale. Undifferentiated index (UDI) was estimated as the prevalence of stage 1. Oocyte atresia prevalence was recorded in females and its intensity was scored (0-4) individually according to the scale for abnormal gonad development proposed by Kim et al., (2006) for mussels. Oocyte atresia index (OAI) was calculated by multiplying prevalence  $\times$  intensity. Reproductive Anomalies Index (RAI) was calculated as weighted average of the deviation from the theoretical maximum anomalies of the specific indices of reproductive disturbance, according to the following formula:



$$RAI = \frac{1}{5} \times \left( \frac{CF \times SRI}{SRI_{50}} + \frac{CF \times IXI}{IXI_{50}} + \frac{CF \times GMI}{GMI_{max}} + \frac{CF \times UDI}{UDI_{max}} + \frac{CF \times OAI}{OAI_{50}} \right)$$

where  $CF = \times 100/1.6$  (thus RAI changes between 0 and 100);  $SRI_{50} = 27.7$  for a 50% deviation from the theoretical sex ratio;  $IXI_{50} = 50$ , when a 50% prevalence of intersex individuals occurs; (c) where  $GMI_{max} = 2$ , when 100% of the gametes are mature in absence of any sign of spawning; (d)  $UDI_{max} = 100$ , when 100% of the individuals do not present differentiated gametes; and  $OAI_{50} = 2$ , the median value of the scale for atresia scoring after Kim et al., (2006).

**Table 1.** Gametogenic phases and gonad index applied in slender marsh clam (*Polymesoda arctata*) and mangrove cockles (*Anadara tuberculosa* and *Larkinia grandis*), modified from mytilid and dreissenid development stages (Kim et al., 2006; Ortiz-Zarragoitia and Cajaraville, 2010).

Gonad Index	Gametogenic phase	Description
0	Resting/spent gonad (I)	Inactive or undifferentiated
1	Early development (II)	Gametogenesis has begun; no ripe gametes visible
2		Ripe gametes present; gonad developed to about one-third of its final size
3	Advanced development (III)	Gonad increased in mass to about half the fully ripe condition; each follicle contains, in area, about equal proportions of ripe and developing gametes
4		Gametogenesis still progressing, follicles contain mainly ripe gametes
5	Mature (IV)	Gonad fully ripe, early stages of gametogenesis rare; follicles distended with ripe gametes; ova compacted into polygonal configurations; sperm with visible tails
4	Spawning (V)	Active emission has begun; sperm density reduced; ova rounded off as pressure within follicles is reduced
3		Gonad about half empty
2	Post-spawning (VI)	Gonadal area reduced; follicles about one-third full of ripe gametes
1		Only residual gametes remain; some may be undergoing cytolysis

**Digestive gland histopathology.** Parasites, tissue lesions and inflammatory responses were examined microscopically. Slides of 20 individuals per sample were examined individually under the light microscope using 10×, 20× or 40× objective lenses. Parasites and histopathological alterations were scored using either quantitative or presence/absence scales (Kim et al., 2006). Intracellular ciliates, unidentified intracellular protists, *Nematopsis* sp., metazoans (trematodes, cestodes) and *Rickettsia/Chlamydia*-like organisms (R/CLO) were

recorded quantitatively following procedures previously described (Kim et al., 2006; Kim and Powell, 2007; Garmendia et al., 2010; 2011). Quantitative scores were made by keeping a running count of the incidences as the slide was scanned to avoid re-examination of each slide multiple times for each category. Haemocytic infiltration, without distinction between focal and diffuse and brown cell aggregates were scored as present/absent for each individual bivalve, except to granulocytomas that it was quantified (Zarogian and Yevich, 1993; Kim and Powell, 2004). Prevalence and intensity of histopathological lesions were determined, according to Kim and Powell, (2007) and Garmendia et al., (2011), as follows:

$$Prevalence = \frac{N.affected\ individuals \times 100}{N.examined\ individuals}$$

$$Intensity = \frac{\sum_{i=1}^n (N.occurrences\ of\ parasite\ or\ pathology\ per\ individual)}{N.affected\ individuals}$$

The inflammatory response index (IRI) was calculated as the sum of the prevalence values of oedema, granulocytomas and disseminated neoplasia. The parasitic infestation index (PII) was calculated as the sum of the prevalence values calculated individually for each of the 5 groups of parasites aforementioned. The digestive gland atrophy index (DGAI) was estimated according to Kim and Powell (2007).

## 2.5. Statistical analyses

Statistical analyses were carried out with the aid of SPSS version 22 statistical package (IBM SPSS, Armonk, NY, USA). The normality distribution (Shapiro-Wilk's test) and homogeneity of variance (Levene's test) of data were determined before proceeding with subsequent analyses. Due to the high variability between individuals, even after normal distribution transformation of the data, non-parametric analyses were carried out. Significant differences in the prevalence of inflammatory responses and parasitic lesions and in health condition indices were analysed by the Z score test. Survival values of SoS test were analysed with the non-parametric Kaplan-Meier analysis, followed by the Tarone-Ware post hoc test for comparisons between localities for each season and between seasons for each locality. Differences in L, SDW, FDW/SDW, DGAI and GI were determined by applying Kruskal–Wallis followed by Dunn's post hoc test. Sex ratio bias was studied using the G test of association, comparing total number of female and male mussels and normalizing for theoretical gender bias. In all the tests used herein, significant differences were established at  $p < 0.05$ .

### 3. Results

**Table 2.** Metal tissue concentrations in *P. arctata* ( $\mu\text{g/g}$ ) from Caribbean mangrove lagoons. LOD, limit of detection.

	LOD	RAINY SEASON		DRY SEASON
		Pigeon Cay	Punta San Gabriel	Punta San Gabriel
<b>Ag</b>	0.0015	0.06	1.00	1.46
<b>Al</b>	15.3	285.54	631.98	606.05
<b>As</b>	0.01	10.24	9.69	26.33
<b>Cd</b>	0	0.24	0.25	1.00
<b>Cr</b>	0.22	0.63	0.53	0.68
<b>Cu</b>	0.11	11.48	11.12	8.52
<b>Hg</b>	0.004	0.15	0.16	0.24
<b>Ni</b>	0.54	2.67	0.87	1.25
<b>Pb</b>	0.015	0.89	0.27	0.40
<b>Ti</b>	0.94	11.89	14.73	8.34
<b>V</b>	0	0.78	1.80	2.49
<b>Zn</b>	2.36	91.76	99.45	104.23
<b><math>\Sigma</math>Metals</b>		<b>416.35</b>	<b>771.86</b>	<b>761.00</b>

#### 3.1. *Polymesoda arctata*

*Tissue concentrations of contaminants.* Overall, metal tissue concentrations were low, although the tissue concentrations of As and Hg were seemingly higher in Punta San Gabriel in the dry season than in the rainy season, when no differences between localities were apparent (Table 2). The tissue concentrations of PAHs were apparently higher in the rainy season than in the dry season (Table 3). In the rainy season, higher PAHs values were recorded in Punta San Gabriel than in Pigeon Cay (Table 3). The most relevant PAHs found were phenanthrene, fluoranthene, pyrene, and benzo[b]fluoranthene+benzo[k]fluoranthene in Punta San Gabriel and chrysene in Pigeon Cay, in the rainy season (Table 3). The sum of the 16 USEPA PAHs (except naphthalene) was higher in Punta San Gabriel during the rainy season than in Pigeon Cay. Pigeon Cay showed high values of  $\Sigma_{\text{HMWPAHs}}$  and low  $\Sigma_{\text{LMWPAHs}}$  and a low  $\Sigma_{\text{LMWPAHs}}/\Sigma_{\text{HMWPAHs}}$  ratio, whilst the opposite effect was observed in Punta San Gabriel (Table 3). The tissue concentrations of POPs were apparently higher in the rainy season than in the dry season (Table 4). HCHs and DDTs and their derivatives were recorded especially in the rainy season; the tissue

concentration of HCHs being higher in Pigeon Cay than in Punta San Gabriel and the opposite as regards the DDT tissue concentrations, which was higher in Punta San Gabriel than in Pigeon Cay (Table 4). Musk fragrances were only detected in Punta San Gabriel in the rainy season. PCBs were only detected in Pigeon Cay in the rainy season, CB28 and CB118 showing the highest values (Table 4). Only two of the eight analysed PBDEs (BDE85 and BDE100) were found at measurable levels; BDE85 showing markedly high values in Pigeon Cay in the rainy season and in Punta San Gabriel in the dry season (Table 4).

The highest CPI and PLI values were obtained in the rainy season (Figs. 3a and b), Punta San Gabriel being the locality with positive CPI values (<1) and high PLI values (69.58). Overall, the main pollutants contributing to these CPI and PLI values were Hg, HCHs, DDTs, AHTN, PCBs and BDE85 (SM 1 and 2).

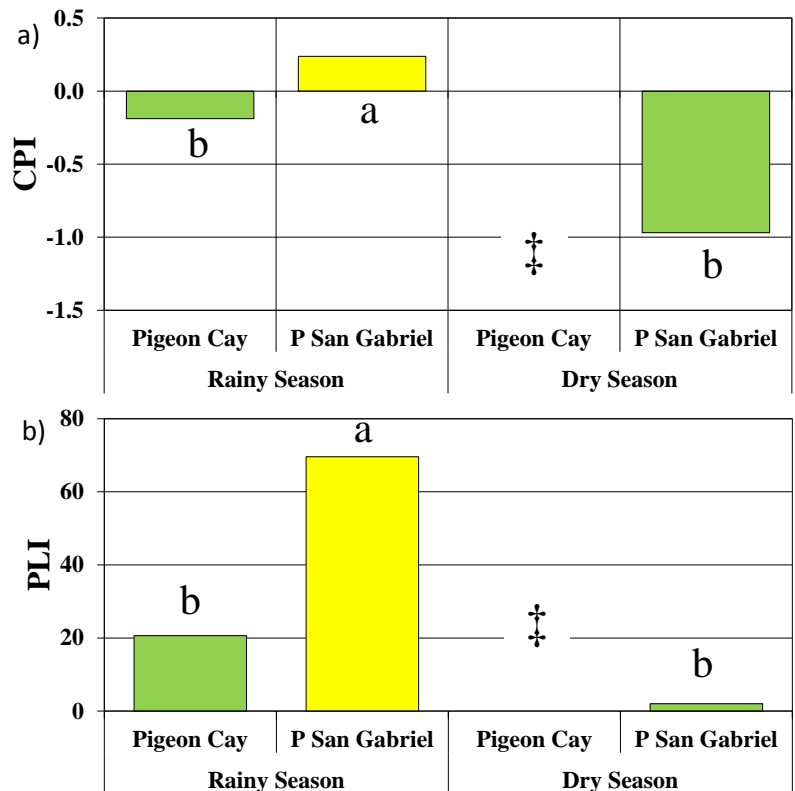
**Table 3.** PAH tissue concentrations in *Polymesoda arctata* (ng/g) from Caribbean mangrove lagoons. The following compounds were under detection limits in all the samples (superscript numbers refer to the sums and indices given in the table where they are integrated): B[ghi]P (LOD=1); Ind (LOD=2)<sup>(2)</sup>. LOD, limit of detection; udl, under detection limits; n/a, not applicable.

	LOD	RAINY SEASON		DRY SEASON
		Pigeon Cay	Punta San Gabriel	Punta San Gabriel
<b>Acy</b> <sup>(1)</sup>	1	udl	udl	udl
<b>Ace</b> <sup>(1)</sup>	1	17	udl	udl
<b>Flu</b> <sup>(1)</sup>	0.07	udl	17	udl
<b>Phe</b> <sup>(1)</sup>	0.49	udl	173	udl
<b>Ant</b>	1	18	udl	udl
<b>Flr</b> <sup>(1)</sup>	0.34	udl	64	udl
<b>Pyr</b> <sup>(1)</sup>	1	4	33	udl
<b>Benz[a]A</b> <sup>(2)</sup>	1	20	udl	udl
<b>Chr</b> <sup>(2)</sup>	1	40	7	udl
<b>B[b]F + B[k]F</b> <sup>(2)</sup>	1	22	27	3
<b>B[a]P</b> <sup>(2)</sup>	1	udl	6	udl
<b>D[ah]A</b> <sup>(2)</sup>	2	udl	13	udl
<b>∑PAHs (16; except Naph)</b>		<b>120</b>	<b>339</b>	<b>3</b>
<b>∑<sub>HMW</sub>PAHs</b> <sup>∑(2)</sup> (carcinogenic)		<b>60</b>	<b>7</b>	<b>3</b>
<b>∑<sub>LMW</sub>PAHs</b> <sup>∑(1)</sup> (%∑PAHs)		<b>21 (17%)</b>	<b>287 (85%)</b>	<b>n/a</b>
<b>∑<sub>LMW</sub>PAHs / ∑<sub>HMW</sub>PAHs</b>		<b>0.34</b>	<b>43.00</b>	<b>0</b>
<b>Ind/(Ind+B[ghi]P)</b>		<b>n/a</b>	<b>n/a</b>	<b>n/a</b>

**Table 4.** POP tissue concentrations in *P. arctata* (ng/g) from Caribbean mangrove lagoons. The following compounds were under detection limits in all the samples (superscript numbers refer to the sums and indices given in the table where they are integrated): 2,4-DDD (LOD=5)<sup>(3)</sup>; HHCB (LOD=9)<sup>(4)</sup>; BDE28 (LOD=7.2)<sup>(5)</sup>; BDE47 (LOD=1.3)<sup>(5)</sup>; BDE66 (LOD=0.94)<sup>(5)</sup>; BDE99 (LOD=6.6)<sup>(5)</sup>; BDE153 (LOD=50)<sup>(5)</sup>. LOD, limit of detection; udl, under detection limits; n/a, not applicable.

	LOD	RAINY SEASON		DRYSEASON
		Pigeon Cay	Punta San Gabriel	Punta San Gabriel
$\alpha$ -HCH	50	90	29	udl
$\beta$ -HCH	25	81	udl	udl
$\gamma$ -HCH	2	15	85	udl
$\delta$ -HCH	25	87	udl	udl
$\Sigma$ HCHs		<b>273</b>	<b>114</b>	<b>0</b>
4,4-DDE <sup>(3)</sup>	2	278	udl	udl
2,4-DDT <sup>(3)</sup>	25	96	8	udl
4,4-DDT <sup>(3)</sup>	50	udl	750	udl
$\Sigma$ DDTs <sup>(3)</sup>		<b>373</b>	<b>758</b>	<b>0</b>
4,4-DDT/4,4-DDE		<b>0</b>	n/a	<b>0</b>
2,4-DDD/4,4-DDE		<b>0</b>	n/a	<b>0</b>
(2,4-DDD+4,4-DDE)/ $\Sigma$ DDTs		<b>0.74</b>	n/a	<b>0</b>
$\Sigma$ OCPs		<b>649</b>	<b>873</b>	<b>0</b>
Chlorpyrifos	2	7	udl	udl
AHTN <sup>(4)</sup>	10	udl	115	udl
$\Sigma$ Musks $\Sigma$ <sup>(4)</sup>		<b>0</b>	<b>115</b>	<b>0</b>
CB28	1	80	udl	udl
CB52	1	7	udl	udl
CB101	1	17	udl	udl
CB118	1	87	udl	udl
CB138	1	18	udl	udl
CB153	1	16	udl	udl
CB180	1	5	udl	udl
$\Sigma$ PCBs $\Sigma$ (PCB <sub>7</sub> )		<b>230</b>	<b>0</b>	<b>0</b>
BDE85 <sup>(5)</sup>	1	227	22	115
BDE100 <sup>(5)</sup>	1	udl	8	udl
$\Sigma$ PBDEs $\Sigma$ <sup>(5)</sup>		<b>227</b>	<b>30</b>	<b>115</b>
$\Sigma$ POPs		<b>1113</b>	<b>1018</b>	<b>119</b>

**Fig. 3.** (a) Chemical Pollution Index (CPI) in *P. arctata* from Caribbean mangrove lagoons. CPI is categorized into three groups: ‘low pollution’ when  $CPI \leq 0$ , ‘moderate pollution’ when  $0 < CPI \leq 1$  and ‘high pollution’ when  $CPI > 1$  (according to Bellas et al., 2011; 2014). (b) Pollution Load Index (PLI) derived from the tissue concentrations of pollutants (Tomlinson et al., 1980). A PLI of 100 correspond to very contaminated sites and a  $PLI > 50$  requires a potential risk and moderate contamination (Angulo 1996). ‡, not data.



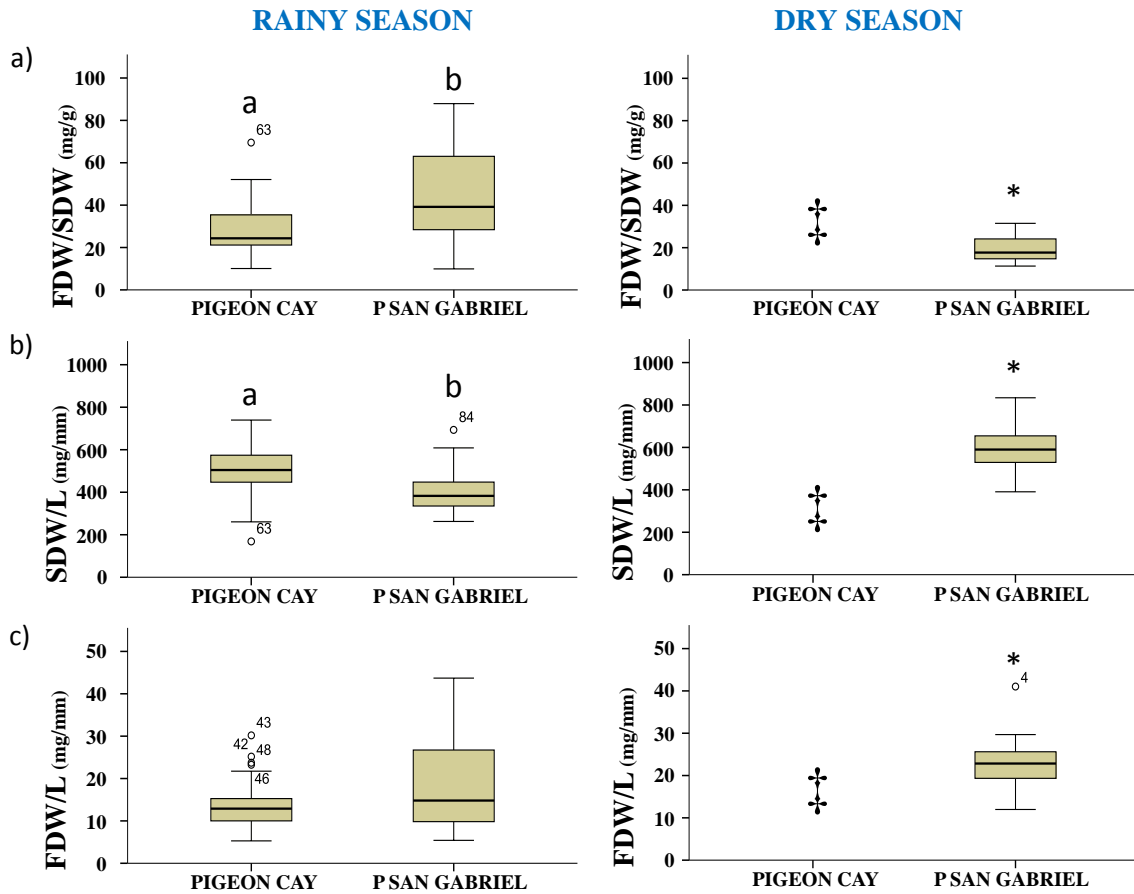
**Health condition.** L, SDW, SDW/L and FDW/L showed the highest values in Punta San Gabriel in the dry season, but in the rainy season no statistical differences were recorded between localities in L, FDW and FDW/L (Table 5 and Fig. 4b-c). FDW/SDW in clams from Punta San Gabriel were the highest values recorded (Table 5 and Fig. 4a). Sex ratio values for each sampling locality and time are shown in Table 5. No significant difference was detected in the sex ratio (F:M, 1.2:1; G value=0.82,  $p > 0.05$ ) for the investigated slender marsh clams as a whole in comparison with the theoretical sex ratio of 1:1; this population sex ratio resulted from the average between seasonal sex ratios (1.4:1 in the rainy season and 1:1.5 in the dry season). The sex ratio values were similar between localities in rainy season (Table 5). Undifferentiated gonad was only found in Punta San Gabriel in the rainy season with a prevalence value of 2%. No intersex was recorded.

Minor differences in gamete development stages were observed between seasons and localities. The dominant gamete development stages were spawning and post-spawning (>90 %) in both localities (Fig. 5 and 6a-d). Gametogenic development was seemingly more advanced in the dry season than in the rainy season (Fig. 5). Punta San Gabriel showed gamete development stages more advanced than Pigeon Cay in the rainy season (Fig. 5). However, significant differences ( $p < 0.05$ ) were not observed in GI between localities and seasons (Table 5).

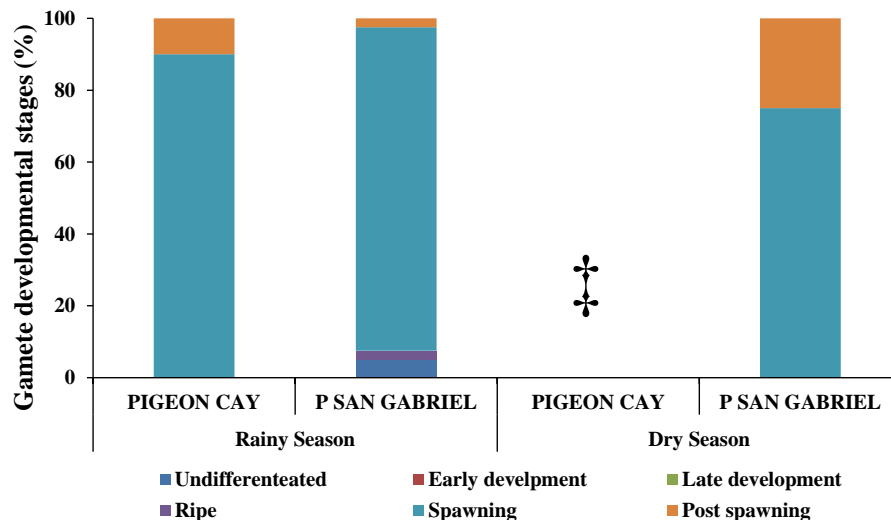
Likewise, no differences in GMI and UDI were recorded (Table 5). No oocyte atresia were recorded. As a whole, RAI did reflect only a low-to-moderate reproductive disturbance in Punta San Gabriel in the rainy season (Fig. 7a). Only one clam from Pigeon Cay was found to be infested by germinal cells of *Bucephalus sp* in the rainy season (Fig. 6e).

**Table 5.** Biometry and histopathology of *P. arctata* from Caribbean mangrove lagoons. Shell length, flesh dry weight, shell dry weight and gonad index values are mean  $\pm$  standard error (n = 20). Sex Ratio Index = SRI G value. The superscript letters (a, b and c) indicate significant differences between groups ( $p < 0.05$ ;  $p < 0.1$ , when shown between brackets). Asterisks (\*) indicate significant differences between seasons for a given locality ( $p < 0.05$ ); #, significantly different from the theoretical gender bias (1:1) according to the G test ( $p < 0.05$ ). n/a, not applicable.

	RAINY SEASON		DRY SEASON
	Pigeon Cay	P San Gabriel	P San Gabriel
Shell Length (L; mm)	35.2 $\pm$ 3.7	35.86 $\pm$ 3.12	39.6 $\pm$ 6.65*
Flesh dry-wt (FDW; mg)	0.43 $\pm$ 0.19	0.52 $\pm$ 0.34	0.47 $\pm$ 0.13
Shell dry-wt (SDW; g)	18 $\pm$ 3.93 <sup>a</sup>	13.74 $\pm$ 3.03 <sup>b</sup>	23.45 $\pm$ 5.59*
Sex ratio (Sex Ratio Index)	1.5:1 (1.61)	1.3:1 (0.64)	1:1.5 (0.85)
Intersex Index	0	0	0
Gonad Index	2.88 $\pm$ 1	2.95 $\pm$ 1.32	3.08 $\pm$ 1.23
Gametogenic Mass Index	n/a	1.57	n/a
Undifferentiated Index	0	0.05	0
Oocyte Atresia Prevalence	0	0	0
Oocyte Atresia Intensity	0	0	0
Oocyte Atresia Index	0	0	0
Prevalence (oedema) %	70	80	80
Prevalence (BCA) %	100	100	80
Prevalence (granulocytomas) %	0	0	0
Preval. (dissem. neoplasia) %	0	0	0
Prevalence (ciliates) %	6	0	10
Preval. (Un/aert. Intra. Prot.) %	0	0	10
Prevalence (Total Intr. Prot.) %	6	0	20
Preval. ( <i>Nematopsis</i> sp) % <sup>(1)</sup>	10	0	0
Prevalence (R/CLO) %	9	13	20



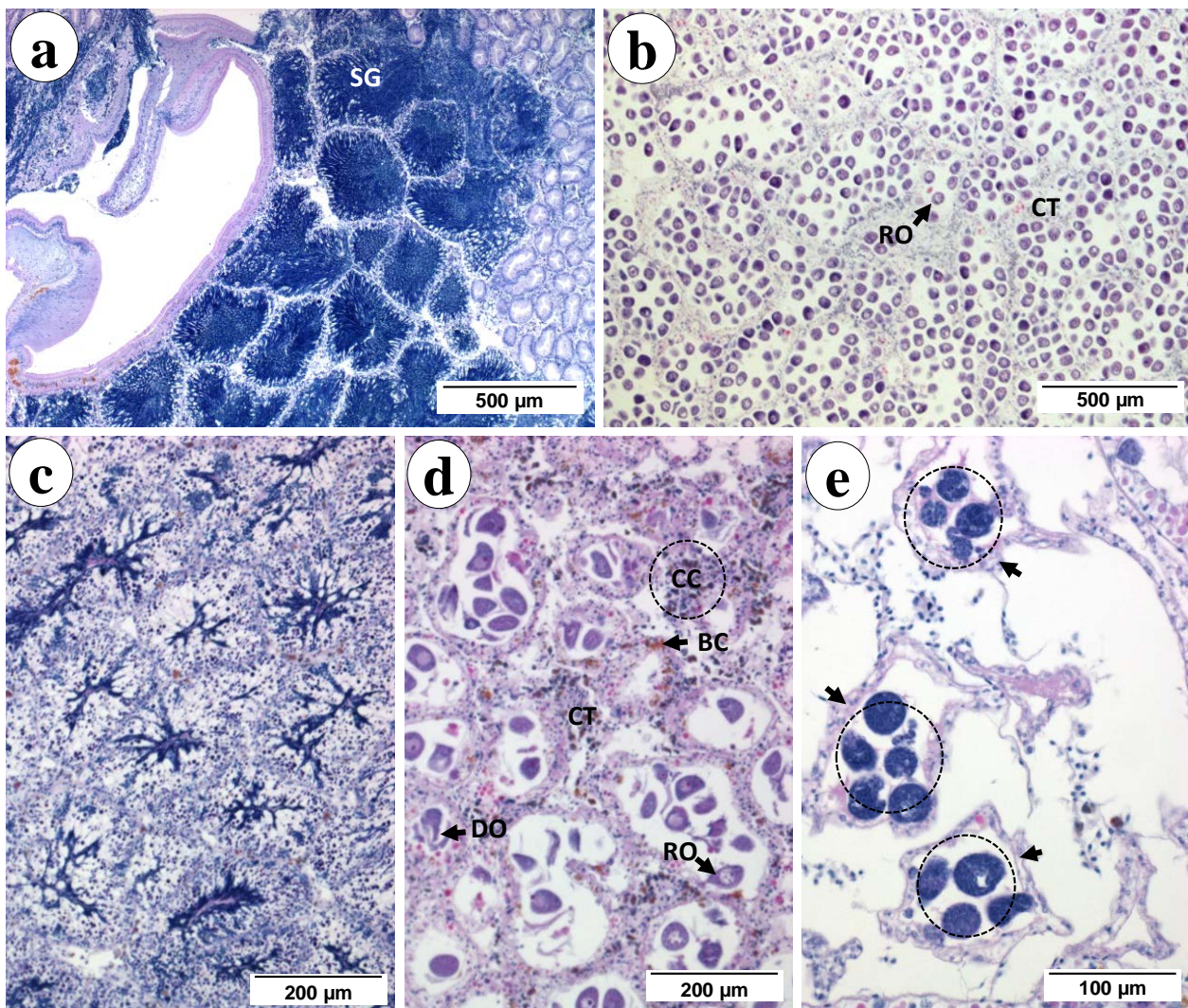
**Fig. 4.** Biometry and condition of *P. arctata* from Caribbean mangrove lagoons during the rainy and the dry seasons. Different letters denote statistically significant differences between localities and asterisks (\*) indicate significant differences between seasons for a given locality ( $p < 0.05$ ). SDW/L, shell growth index; FDW/SDW and FDW/L, condition indices. ‡, not data.



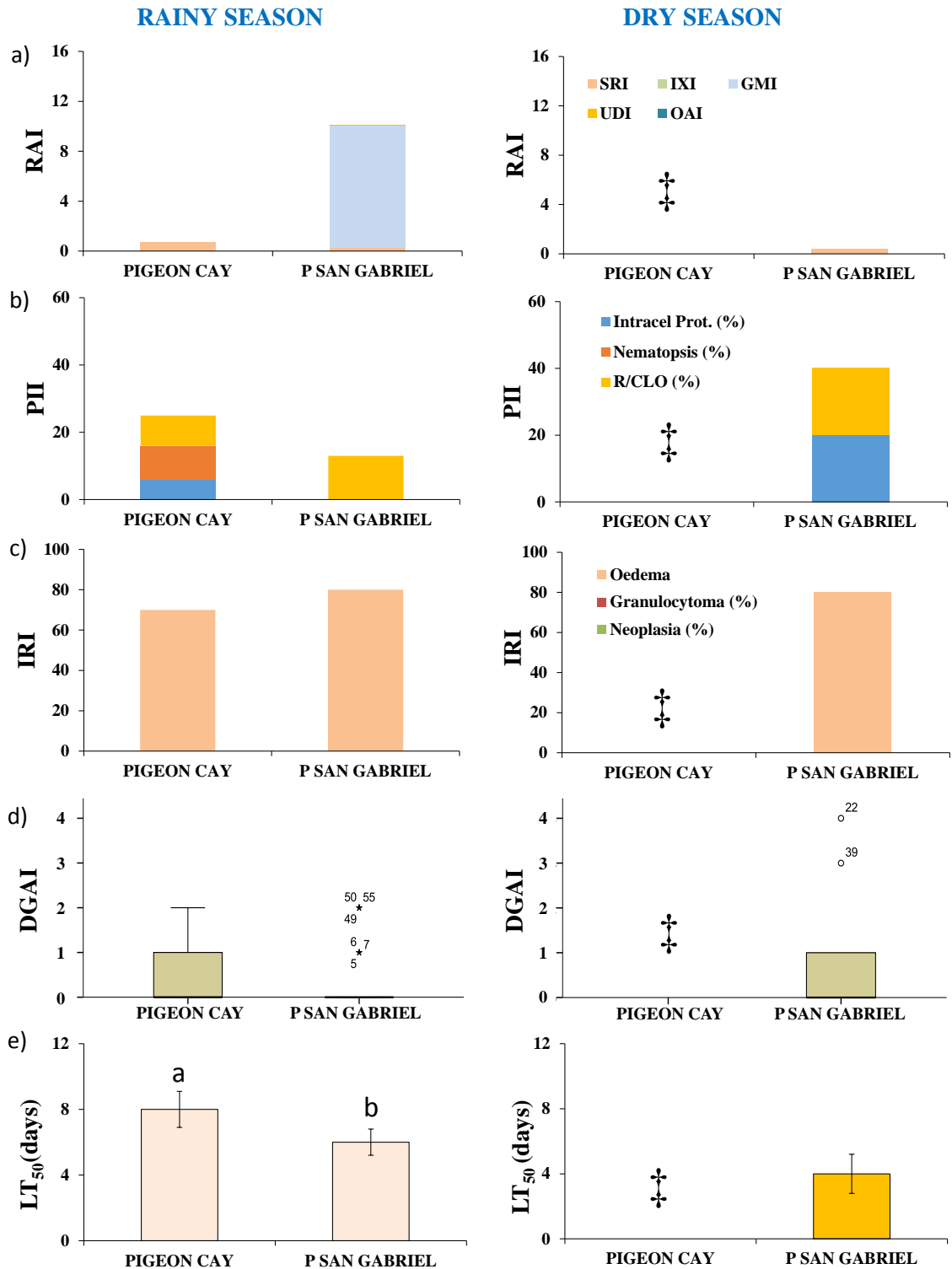
**Fig. 5.** Gamete development stages of slender marsh clam *P. arctata* from Caribbean mangrove lagoons. ‡, not data.



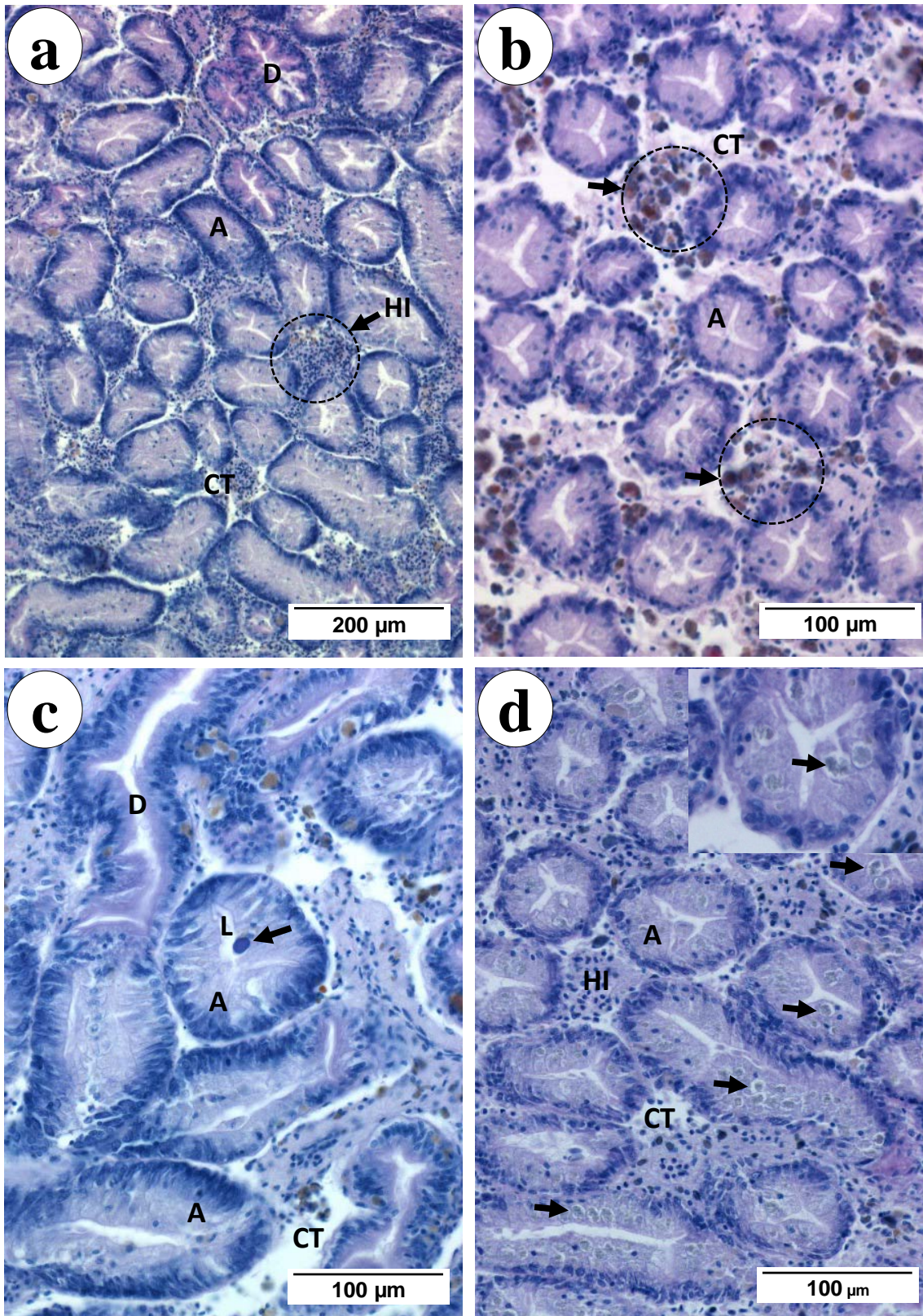
Histopathological examination in the digestive gland revealed the presence of a variety of parasites such as intracellular ciliates, undetermined intracellular protists, *Nematopsis* sp. and *Rickettsia/Chlamydia*-like organisms (R/CLO) (Fig. 8c and d). Some parasites had a local impact such as undetermined intracellular protists in Punta San Gabriel in the dry season and *Nematopsis* sp. in Pigeon Cay in the rainy season (Table 5). Seemingly, parasite prevalence was low in both localities and seasons. PII was higher in dry season than in rainy season (Table 5 and Fig. 7b), which was principally attributed to the incidence of R/CLO. Nevertheless, the intensity of parasitic infestations was generally low (<5 parasites/clam). R/CLO presented the highest intensity values (4-5) all along the study period.



**Fig. 6.** Light micrographs of gonad sections of *P. arctata* from Caribbean mangrove lagoons. a) spawning gonad of a male; b) spawning gonad of a female; c) post-spawning gonad of a male; d) post-spawning gonad of a female with atretic oocyte; e) germinal cell of *Bucephalus* sp in gonad of a female from Pigeon Cay. Abbreviations: BC, brown cell aggregate; DO, degenerated oocyte; CC, cellular cytolysis; RO, ripe oocyte; SG, spermatogonia; CT, connective tissue. Arrow, change or tissue alteration.



**Fig. 7.** Biomarkers of anomalies in slender marsh clam health indicative of disturbance in ecosystem health for the rainy and the dry seasons in Caribbean mangrove lagoons. Different letters denote statistically significant differences between localities and asterisk (\*) indicate seasonal differences for a locality ( $p < 0.05$ ). RAI, reproductive anomalies index; PII, parasitic infestation index; IRI, inflammatory response index; DGAI, digestive gland atrophy index and  $LT_{50}$ , median survival time after SOS test. ‡, not data.



**Fig. 8.** Paraffin sections of the digestive gland of *P. arctata* stained with haematoxylin-eosin: a) digestive gland with diffuse haemocytic infiltration; b) brown cell aggregates; c) R/CLO; d) undetermined protists in alveoli of digestive gland. Abbreviations: A, alveoli; D, duct; CT, connective tissue; HI, haemocytic infiltration; L, lumen. Arrows, parasites or tissue alterations.

The histological integrity of the digestive gland tissue was seemingly unaltered in all the studied localities in both seasons (Figs. 8a). Inflammatory responses such as massive haemocytic infiltrations of ICT (oedema) and brown cell aggregates were observed (Fig. 8a and b). The prevalence of inflammatory responses and brown cell aggregates was apparently high in both seasons, as the IRI was as well (Table 5 and Fig. 8c). Digestive alveoli presented low degree of atrophy, resulting in low DGAI values; indeed, the lumen was nearly occluded by a high epithelium (Table 5 and Fig. 8a-d).

LT<sub>50</sub> values were higher in the rainy season than in the dry season (Fig. 5e). In the rainy season, the highest LT<sub>50</sub> values (Tarone-Ware test,  $p < 0.05$ ) were recorded in Pigeon Cay, whilst no statistical differences were observed between seasons (Fig. 5e).

### 3.2. *Anadara tuberculosa*

*Tissue concentrations of contaminants.* Metal tissue concentrations were low, except for As and Cd (Table 6). The highest tissue concentration of Cd, and to a lesser in As, was found in Estero La Virgen in the dry season (Table 6). PAH tissue concentration was low and restrained to the rainy season; the most relevant PAHs found being phenanthrene in the both localities (Table 7). The sum of the 16 USEPA PAHs (except naphthalene) and  $\sum_{\text{LMWPAHs}}$  was seemingly equal in Isla Machuca and Estero La Virgen. The  $\sum_{\text{LMWPAH}}/\sum_{\text{HMWPAHs}}$  ratio, could not be calculated (Table 7). POPs appeared to be higher in rainy season than in the dry season (Table 8).  $\gamma$ -HCH presented higher values in Estero La Virgen than in Isla Machuca in both seasons, with the highest values being recorded in the rainy season (Table 8). The only DDTs metabolite recorded was 4,4-DDE, found in Estero La Virgen in the rainy season and Isla Machuca in the dry season (Table 8). Musk fragrances were recorded only in the rainy season with the highest value in Estero La Virgen (Table 8). PCBs were under detected limit in all localities and seasons and only three of the eight analysed PBDEs (BDE85, BDE100 and BDE154) were found in pustulose ark tissues. BDE154 showed the highest value in the rainy season and BDE85 in the dry season, both in Isla Machuca (Table 8). CPI and PLI values were low in both seasons (CPI < 0 and PLI << 50; Figs. 9a and b); the main pollutants contributing to these CPI and PLI values being Cd, Phe, HCHs, DDTs, AHTN and BDE85 (SM 1 and 2).

**Table 6.** Metal tissue concentration in *A. tuberculosa* ( $\mu\text{g/g}$ ) from Pacific mangrove swamps. Ni was under detection limits in all the samples (LOD=0.54). LOD, limit of detection.

	LOD	RAINY SEASON		DRY SEASON	
		Estero La Virgen	Isla Machuca	Estero La Virgen	Isla Machuca
Ag	0.0015	0.01	0.05	0.03	0.01
Al	15.3	78.97	188.91	105.67	136.86
As	0.01	9.97	6.42	12.72	7.34
Cd	0	2.94	3.11	13.17	0.52
Cr	0.22	0.78	0.65	1.38	0.57
Cu	0.11	3.34	3.74	3.52	5.22
Hg	0.004	0.09	0.07	0	0
Pb	0.015	0.05	0.06	0	0
Ti	0.94	4.22	9.91	5.74	7.89
V	0	0.84	0.72	0.79	0.61
Zn	2.36	63.77	53.37	68.38	95.91
$\Sigma$ Metals		<b>165.22</b>	<b>267.24</b>	<b>211.72</b>	<b>255.05</b>

**Table 7.** PAH tissue concentration in *A. tuberculosa* ( $\text{ng/g}$ ) from Pacific mangrove swamps. The superscript lyrics (a, b and c) indicate significant differences between groups ( $p < 0.05$ ;  $p < 0.1$ , when shown between brackets). The following compounds were under detection limits in all the samples (superscript numbers refer to the sums and indices given in the table where they are integrated): Acy (LOD=1)<sup>(1)</sup>; Ace (LOD=1)<sup>(1)</sup>; Ant (LOD=1); Benz[a]A (LOD=1)<sup>(2)</sup>; Chr (LOD=1)<sup>(2)</sup>; B[b]F+B[k]F (LOD=1)<sup>(2)</sup>; B[a]P (LOD=1)<sup>(2)</sup>; B[ghi]P (LOD=1); Ind (LOD=2)<sup>(2)</sup>; D[ah]A (LOD=2)<sup>(2)</sup>. LOD, limit of detection; udl, under detection limits; n/a, not applicable.

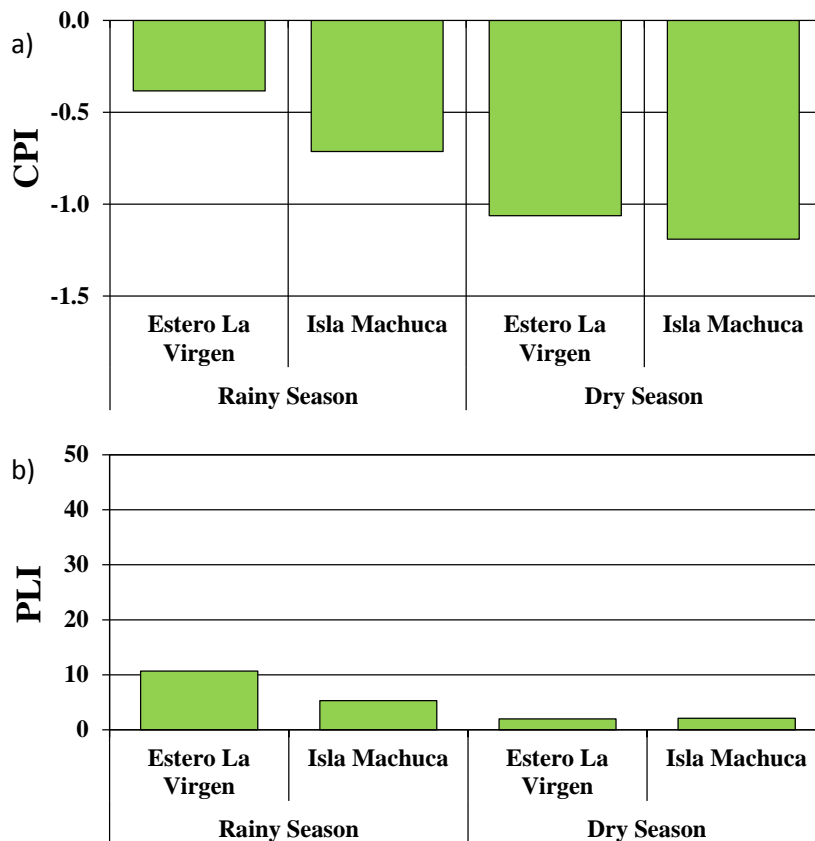
	LOD	RAINY SEASON		DRY SEASON	
		Estero La Virgen	Isla Machuca	Estero La Virgen	Isla Machuca
Flu <sup>(1)</sup>	0.07	3	1	udl	udl
Phe <sup>(1)</sup>	0.49	60	66	udl	udl
Flr <sup>(1)</sup>	0.34	25	udl	udl	udl
Pyr <sup>(1)</sup>	1	3	4	udl	udl
$\Sigma$ PAHs (16; except Naph)		<b>91</b>	<b>70</b>	<b>0</b>	<b>0</b>
$\Sigma$ HMWPAHs $\Sigma$ <sup>(2)</sup> (carcinogenic)		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
$\Sigma$ LMWPAHs $\Sigma$ <sup>(1)</sup> (% $\Sigma$ PAHs)		<b>91 (100%)</b>	<b>70 (100%)</b>	<b>0</b>	<b>0</b>
$\Sigma$ LMWPAHs $\Sigma$ <sup>(1)</sup> (% $\Sigma$ PAHs)		n/a	n/a	n/a	n/a
$\Sigma$ LMWPAHs / $\Sigma$ HMWPAHs		n/a	n/a	n/a	n/a
Ind/(Ind+B[ghi]P)		n/a	n/a	n/a	n/a

**Table 8.** POP tissue concentration in *Anadara tuberculosa* (ng/g) from Pacific mangrove swamps. The superscript lyrics (a, b and c) indicate significant differences between groups ( $p < 0.05$ ;  $p < 0.1$ , when shown between brackets). The following compounds were under detection limits in all the samples (superscript numbers refer to the sums and indices given in the table where they are integrated):  $\alpha$ -HCH (LOD=50)<sup>(3)</sup>;  $\beta$ -HCH (LOD=25)<sup>(3)</sup>;  $\delta$ -HCH (LOD=25)<sup>(3)</sup>; 2,4-DDD (LOD=5)<sup>(4)</sup>; 2,4-DDT (LOD=25)<sup>(4)</sup>; 4,4-DDT (LOD=50)<sup>(4)</sup>; Chlorpyrifos (LOD=2); HCHB (LOD=9)<sup>(5)</sup>; CB28 (LOD=1)<sup>(6)</sup>; CB52 (LOD=1)<sup>(6)</sup>; CB101 (LOD=1)<sup>(6)</sup>; CB118 (LOD=1)<sup>(6)</sup>; CB138 (LOD=1)<sup>(6)</sup>; CB153 (LOD=1)<sup>(6)</sup>; CB180 (LOD=1)<sup>(6)</sup>; BDE28 (LOD=7.2)<sup>(7)</sup>; BDE47 (LOD=1.3)<sup>(7)</sup>; BDE66 (LOD=0.94)<sup>(7)</sup>; BDE99 (LOD=6.6)<sup>(7)</sup>; BDE153 (LOD=50)<sup>(7)</sup>. LOD, limit of detection; udl, under detection limits; n/a, not applicable.

	LOD	RAINY SEASON		DRYSEASON	
		Estero La Virgen	Isla Machuca	Estero La Virgen	Isla Machuca
$\gamma$ -HCH <sup>(3)</sup>	2	76	7	25	udl
$\Sigma$ HCHs $\Sigma$ <sup>(3)</sup>		76	7	25	0
4,4-DDE <sup>(4)</sup>	2	28	udl	udl	46
$\Sigma$ DDTs $\Sigma$ <sup>(4)</sup>		28	0	0	46
4,4-DDT/4,4-DDE		0	n/a	n/a	0
2,4-DDD/4,4-DDE		0	n/a	n/a	0
(2,4-DDD+4,4-DDE)/ $\Sigma$ DDTs		1.00	n/a	n/a	1.00
$\Sigma$ OCPs		104	7	25	46
AHTN <sup>(5)</sup>	10	59	12	udl	udl
$\Sigma$ Musks $\Sigma$ <sup>(5)</sup>		59	12	0	0
$\Sigma$ PCBs $\Sigma$ <sup>(6)</sup> (PCB <sub>7</sub> )		0	0	0	0
BDE85 <sup>(7)</sup>	1	49	23	87	170
BDE100 <sup>(7)</sup>	1	udl	14	udl	udl
BDE154 <sup>(7)</sup>	1	udl	272	udl	udl
$\Sigma$ PBDEs $\Sigma$ <sup>(7)</sup>		49	309	87	170
$\Sigma$ POPs		212	328	112	216

**Health condition.** Overall, L, FDW, SDW, FDW/SDW, SDW/L and FDW/L values showed to be statistically higher in the dry season than in the rainy season in pustulose arks (Figs. 10a-c and Table 9). Low values were observed in Estero La Virgen in the rainy season, except for L (Figs. 10a-c and Table 9). However, in the dry season no significant differences were observed between localities. Sex ratio values for each sampling location at each sampling time are shown in Table 9. Statistically significant bias in the sex ratio towards female condition (F:M, 4.9:1; G value=42.64,  $p < 0.05$ ) was found for the whole set of studied pustulose arks in comparison with the theoretical sex ratio of 1:1 (Gener et al., 2009, Silva-Benavides and Bonilla, 2015); this overall sex ratio results from the average between 5.3:1 (rainy season) and 4.3:1 (dry season) sex

ratios. This bias towards female condition was found in at both seasons (Table 9). Undifferentiated gonad was only observed in Estero La Virgen, with a 5.2% of prevalence in the rainy season, and in Isla Machuca, with a 5.9% in the dry season (Table 9). Intersex cases were sporadically found: one female from Estero La Virgen in the rainy season, and one male and female from Estero La Virgen and one female from Isla Machuca in the dry season (Table 9); always with separate male and female gonad follicles (Fig. 11e). Gamete development was more advanced in the rainy season than in the dry season, and in Estero La Virgen than in Isla Machuca in both seasons (Figs. 11a-d and 12); however, significant differences not were observed in GI between localities (Table 9). Oocyte atresia was recorded all along the study period, mainly in Isla Machuca (Fig. 11f and Table 9). RAI reflected only a low-to-moderate (<18) reproductive disturbance in both localities and seasons, mainly featured by deviations in the expected SRI, GMI and OAI values (Fig. 13a). No parasite was observed in the gonad during the study period.

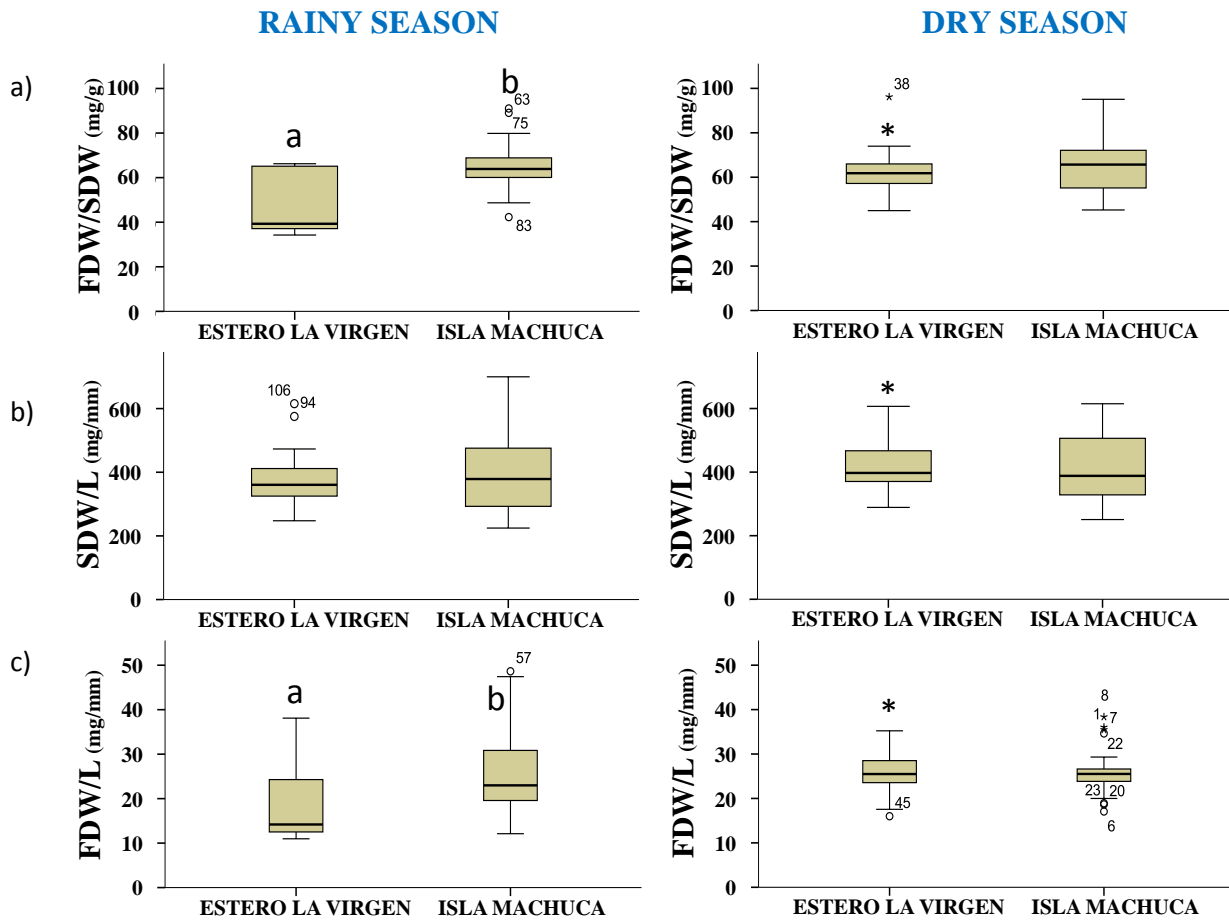


**Fig. 9.** (a) Chemical Pollution Index (CPI) in *A. tuberculosa* from Pacific mangrove swamps. CPI is categorized into three groups: ‘low pollution’ when  $CPI \leq 0$ , ‘moderate pollution’ when  $0 < CPI \leq 1$  and ‘high pollution’ when  $CPI > 1$  (according to Bellas et al., 2011; 2014). (b) Pollution Load Index (PLI) derived from the tissue concentrations of pollutants (Tomlinson et al., 1980). A PLI of 100 correspond to very contaminated sites and a  $PLI > 50$  requires a potential risk and moderate contamination (Angulo 1996).

**Table 9.** Biometry and histopathology of *A. tuberculosa* from Pacific mangrove swamps. Shell length, flesh dry weight and shell dry weight their values are mean  $\pm$  standard error (n = 20). Sex Ratio Index = SRI G value. The superscript letters (a, b and c) indicate significant differences between groups ( $p < 0.05$ ). Asterisks (\*) indicate seasonal difference for a locality ( $p < 0.05$ ); ‡, significantly different from the theoretical gender (Gener et al., 2009; Silva-Benavides and Bonilla, 2015) bias (1:1) according to the G test ( $p < 0.05$ ).

	RAINY SEASON		DRY SEASON	
	Estero La Virgen	Isla Machuca	Estero La Virgen	Isla Machuca
Shell Length (L; mm)	49.1 $\pm$ 3.0	49.1 $\pm$ 6.7	51.3 $\pm$ 3.0*	50.7 $\pm$ 6.7*
Flesh dry-wt (FDW; mg)	0.9 $\pm$ 0.4 <sup>a</sup>	1.3 $\pm$ 0.5 <sup>b</sup>	1.3 $\pm$ 0.3*	1.3 $\pm$ 0.3
Shell dry-wt (SDW; g)	18.2 $\pm$ 4.2	20 $\pm$ 6.9	21.7 $\pm$ 5.2*	21.2 $\pm$ 7.0
Sex ratio <sup>1</sup> (Sex Ratio Index)	6:1 <sup>‡</sup> (19.8)	4.5:1 <sup>‡</sup> (9.7)	7.5:1 <sup>‡*</sup> (11.2)	2.8:1* (3.4)
Intersex Index	2.6	0	10.5	5.9
Gonad Index	3.3 $\pm$ 1.2	3.7 $\pm$ 0.8	3.7 $\pm$ 1.6*	3.8 $\pm$ 1.3
Gametogenic Mass Index	0.36	0.80	0.73	0.05
Undifferentiated Index	5.2	0	0	5.9
Oocyte Atresia Prevalence	26.7	55.6	73.3	63.6
Oocyte Atresia Intensity	0.5	1.4	1.1	1.2
Oocyte Atresia Index	12.4	80.2	83.1	86.8
Prevalence (oedema) %	10.7	13	0.7	10
Prevalence (BCA) %	93	63	100	80
Prevalence (granulocytomas) %	0	0	0	5
Preval. (dissem. neoplasia) %	0	0	0	0
Preval. ( <i>Nematopsis</i> sp) %	53.3	27.2	39	85
Preval. ( <i>Bucephalus</i> sp) %	0	3.1	1.1	0
Preval. (Metacercariae) %	0	3.1	0	5
Prevalence (Copepods) %	3.3	0	0	5



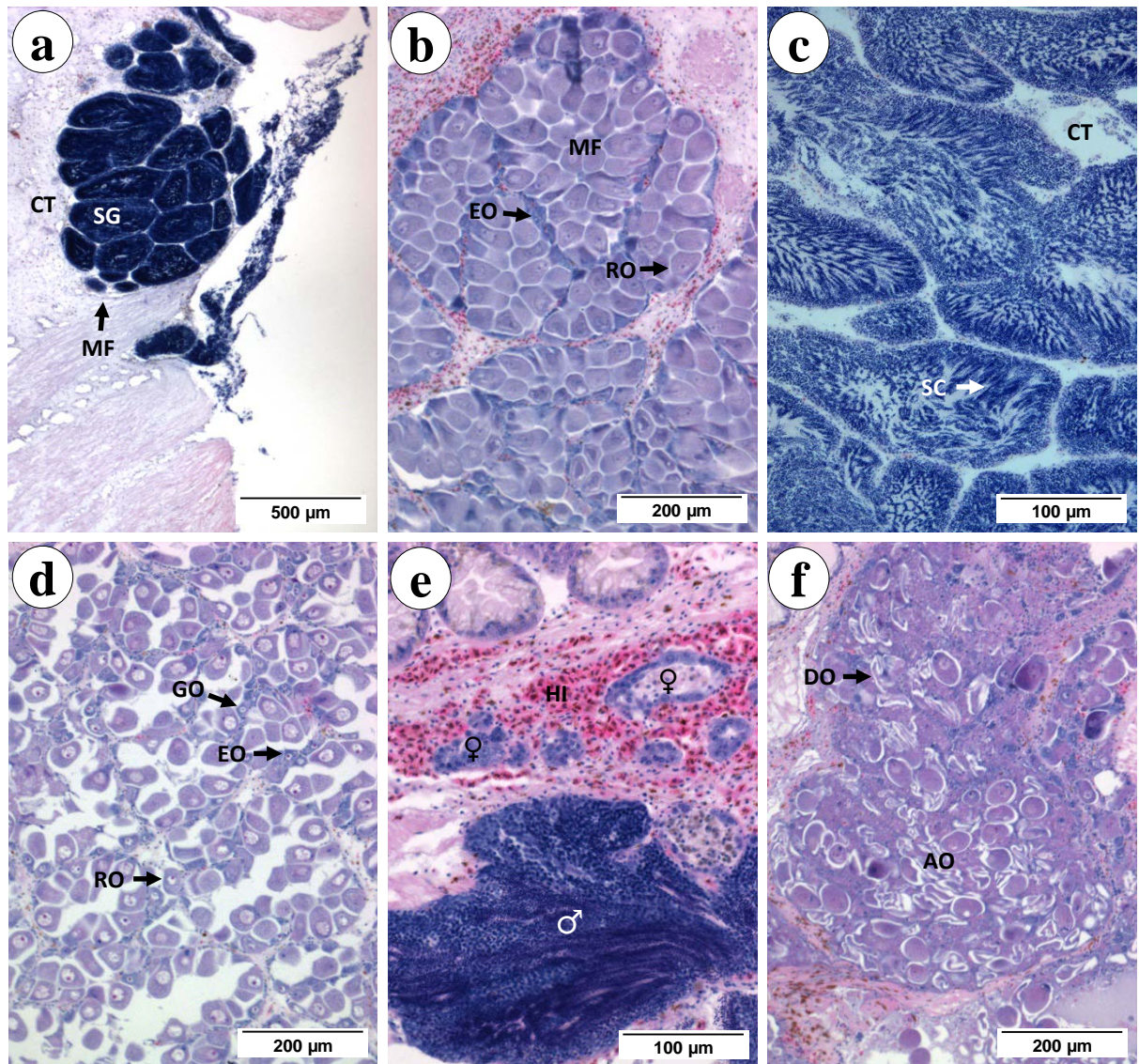


**Fig. 10.** Biometry and condition of *A. tuberculosa* from Pacific mangrove swamps during the rainy and the dry seasons. Different letters denote statistically significant differences between localities and asterisks (\*) indicate significant differences between seasons for a given locality ( $p < 0.05$ ). SDW/L, shell growth index; FDW/SDW and FDW/L, condition indices.

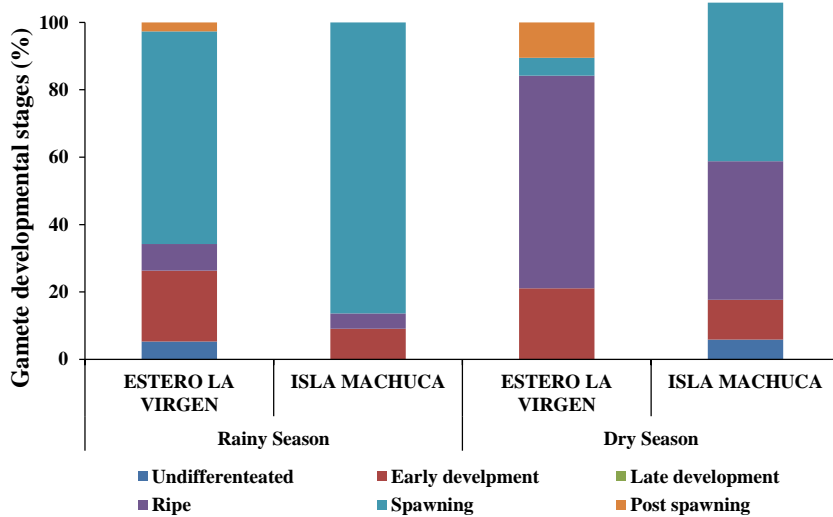
Histopathological examination of the digestive gland revealed the presence of a variety of parasites such as *Nematopsis* sp., *Bucephalus* sp., metacercariae and copepods (Table 9). *Nematopsis* sp. was the parasite more commonly recorded, and with the highest prevalence in all the study period. *Bucephalus* sp., metacercariae and copepods had a local and seasonal impact (Figs. 14e and f and Table 9). Seemingly, parasite prevalence was low in both localities and seasons, except for *Nematopsis* sp. (Table 9). Overall, PII was higher in dry season than in rainy season (Fig. 13b and Table 9), which can be mainly attributed to the incidence of *Nematopsis* sp. Except for the case of *Nematopsis* sp. (intensity < 70), the intensity of parasitic infestations was generally low (intensity < 2). Inflammatory responses such as oedema, granulocytomas and brown cell aggregates were observed (Figs. 14a-c and Table 9): (a) prevalence of brown cell aggregates was slightly higher in Estero La Virgen than in Isla Machuca; (b) oedema was more relevant in the dry season than in the rainy season; and (c) only one case of granulocytoma was found in Isla Machuca in the dry season. Consequently, IRI was apparently the highest in the dry season (Fig.

13c). DGAI was highly variable amongst individuals and therefore no significant differences were found between seasons and between localities; however, some seasonal trends might be envisaged (Figs. 13 d and 14a-d). Digestive alveoli presented the lowest atrophy and DGAI with a lumen nearly occluded and a high epithelium frequently in the dry season (Table 9, Fig. 13d and Fig. 14a-c).

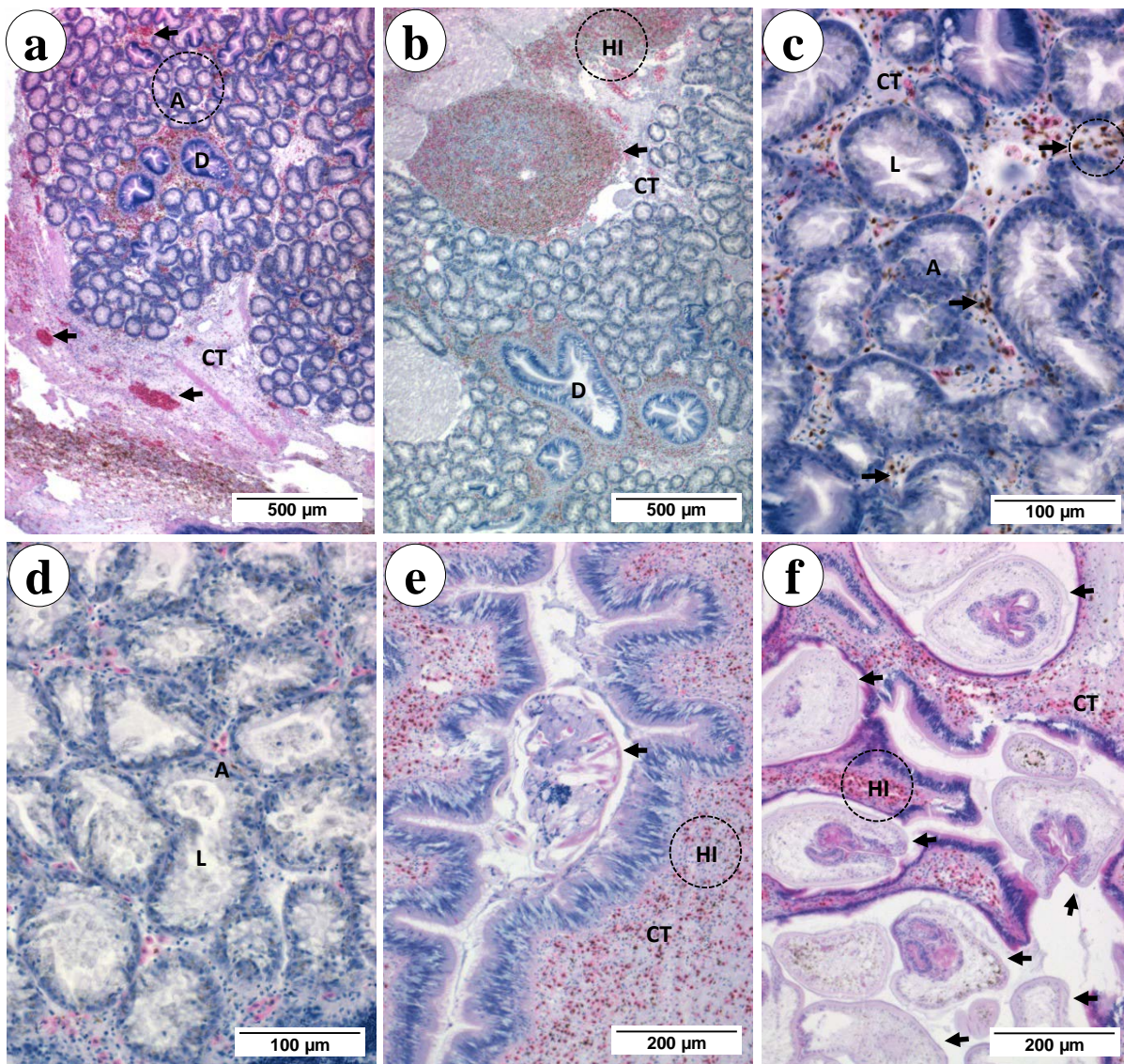
LT<sub>50</sub> values tended to be higher in the rainy season than in the dry season; nevertheless, statistical differences were only observed between seasons in Isla Machuca (Fig. 13e).



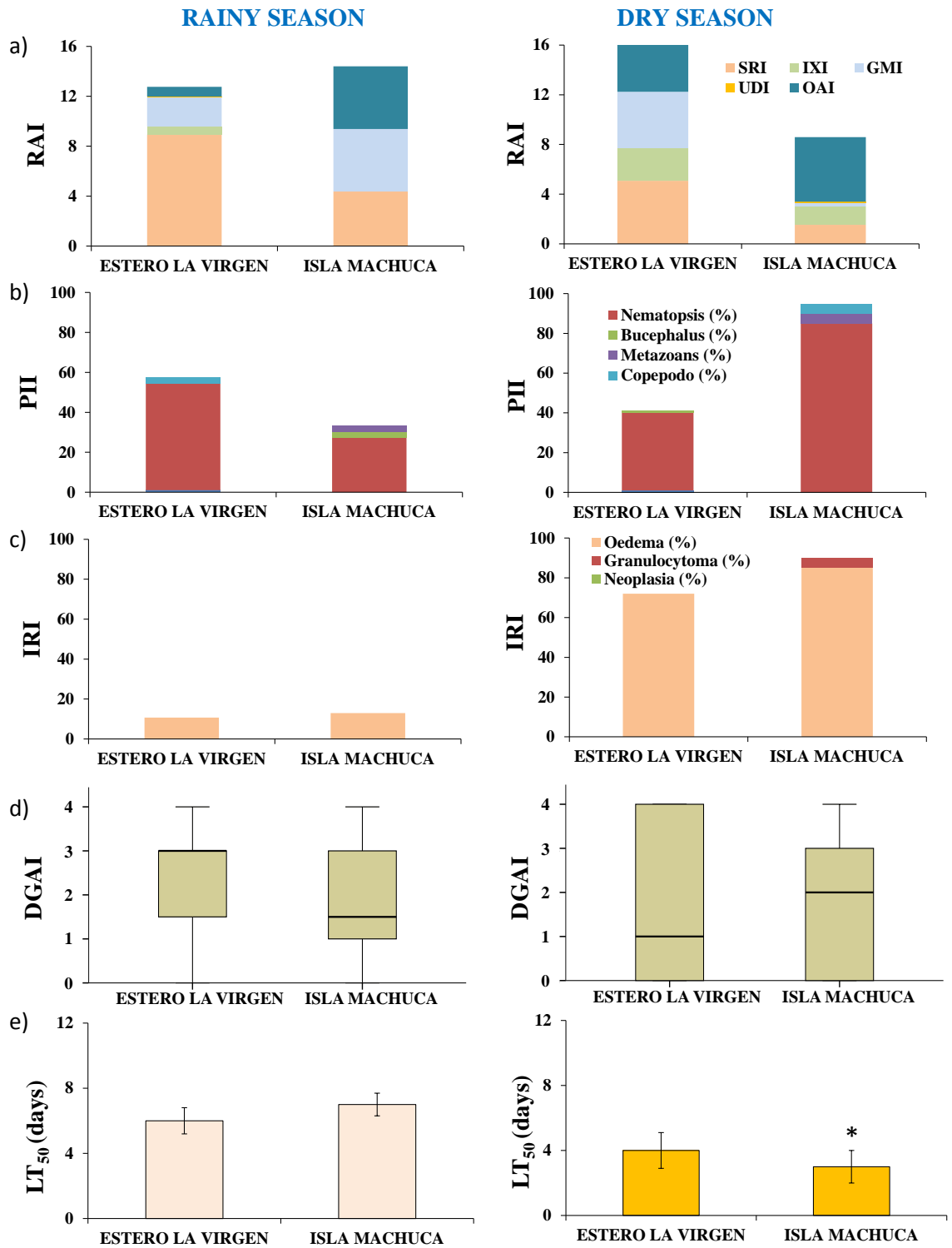
**Fig. 11.** Light micrographs of gonad sections and intersex individuals of *A. tuberculosa*. a) normal and ripe gonad of a male; b) normal and ripe gonad of a female; c) spawning gonad of a male; d) spawning gonad of a female; e) male from Estero La Virgen with intersex; f) female from Isla Machuca with atretic oocytes. Abbreviations: AO, atretic oocytes; EO, early oocyte; GO, growing oocyte; DO, degenerated ovum; HI, haemocyte infiltration; RO, ripe ovum; SG, spermatogonia; SC, spermatocytes; CT, connective tissue; MF, mature follicles; ♀, female germ cells; ♂, male germ cells. Arrows, change or tissue alterations.



**Fig. 12.** Gamete development stages of pustulose ark, *Anadara tuberculosa* collected from Pacific mangrove swamps.



**Fig.14.** Paraffin sections of the digestive gland of *A. tuberculosa* stained with haematoxylin-eosin: a) normal digestive gland with diffuse infiltration haemocyte b) granulocytoma and diffuse infiltration haemocytic; c) brown cell aggregate in connective tissue; d) atrophied alveoli with a wide lumen; e) copepod in to storage ; f) trematode in duct of digestive gland. Abbreviations: A, alveoli; D, duct; CT, connective tissue; HI, haemocytic infiltration; L, lumen. Arrows, tissue alterations.



**Fig. 13.** Biomarkers of anomalies in cockle health indicative of disturbance in ecosystem health for the rainy and the dry seasons in the Pacific mangrove swamps. Asterisk (\*) indicate seasonal differences for a locality ( $p < 0.05$ ). RAI, reproductive anomalies index; PII, parasitic infestation index; IRI, inflammatory response index; DGAI, digestive gland atrophy index and  $LT_{50}$ , median survival time after SOS test.

**Table 10.** Metal tissue concentration in *Larkinia grandis* ( $\mu\text{g/g}$ ) from Pacific mangrove mudflat. The nickel compounds was under detection limits in all the samples (LOD=0.54). LOD, limit of detection.

	LOD	RAINY SEASON	DRY SEASON
		Estero Venecia	Estero Venecia
Ag	0.0015	0.03	0.03
Al	15.3	295.25	535.72
As	0.01	10.48	15.35
Cd	0	3.14	14.22
Cr	0.22	3.00	1.20
Cu	0.11	3.85	4.00
Hg	0.004	0.08	0.10
Pb	0.015	7.87	0.19
Ti	0.94	15.13	27.30
V	0	0.90	1.37
Zn	2.36	43.98	52.55
$\Sigma$ Metals		<b>383.71</b>	<b>652.02</b>

**Table 11.** PAH tissue concentration in *Larkinia grandis* ( $\text{ng/g}$ ) from Pacific mangrove mudflat. The following compounds were under detection limits in all the samples (superscript numbers refer to the sums and indices given in the table where they are integrated): Acy (LOD=1)<sup>(1)</sup>; Ace (LOD=1)<sup>(1)</sup>; Ant (LOD=1); Benz[a]A (LOD=1)<sup>(2)</sup>; B[b]F+B[k]F (LOD=1)<sup>(2)</sup>; B[ghi]P (LOD=1); Ind (LOD=2)<sup>(2)</sup>; D[ah]A (LOD=2)<sup>(2)</sup>. LOD, limit of detection; udl, under detection limits; n/a, not applicable.

	LOD	RAINY SEASON	DRY SEASON
		Estero Venecia	Estero Venecia
Flu <sup>(1)</sup>	0.07	14	udl
Phe <sup>(1)</sup>	0.49	74	udl
Flr <sup>(1)</sup>	0.34	37	udl
Pyr <sup>(1)</sup>	1	8	udl
Chr <sup>(2)</sup>	1	4	udl
B[a]P <sup>(2)</sup>	1	2	7
$\Sigma$ PAHs (16; except Naph)		<b>138</b>	<b>7</b>
$\Sigma$ HMWPAHs $\Sigma$ <sup>(2)</sup> (carcinogenic)		<b>4</b>	<b>7</b>
$\Sigma$ LMWPAHs $\Sigma$ <sup>(1)</sup> (% $\Sigma$ PAHs)		<b>96 (70%)</b>	<b>0 (0%)</b>
$\Sigma$ LMWPAHs / $\Sigma$ HMWPAHs		24.8	n/a
$\Sigma$ LMWPAHs $\Sigma$ <sup>(1)</sup> (% $\Sigma$ PAHs)		n/a	n/a
$\Sigma$ LMWPAHs / $\Sigma$ HMWPAHs		n/a	n/a
Ind/(Ind+B[ghi]P)		n/a	n/a

### 3.3. *Larkinia grandis*

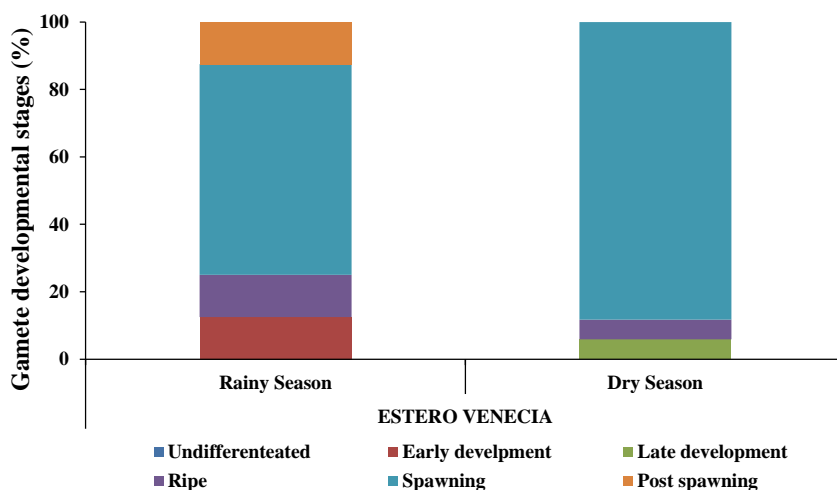
**Tissue concentrations of contaminants.** Metal tissue concentrations were the highest in the dry season, especially for As, Cd and Ti (Table 10). PAH tissue concentration was low and practically restrained to the rainy season; the most relevant PAHs found being phenanthrene and fluoranthene (Table 11). POP tissue levels were low and varied between seasons, with higher tissue concentrations of  $\gamma$ -HCHs and AHTN in the rainy season than in the dry season and higher tissue concentrations of two PBDEs (especially BDE85 but also BDE100) in the dry season than in the rainy season (Table 12). Overall, CPI and PLI were low in both seasons. CPI values were 0.02 in the rainy season and -0.84 in the dry season. PLI values were 11.49 in the rainy season and 4.03 in the dry season. The main pollutants contributing to these CPI and PLI values were Cd, Phe, HCHs, AHTN and BDE85 (SM 1 and 2).

**Table 12.** POP tissue concentration in *Larkinia grandis* (ng/g) from Pacific mangrove mudflats. The following compounds were under detection limits in all the samples (superscript numbers refer to the sums and indices given in the table where they are integrated):  $\alpha$ -HCH (LOD=50)<sup>(3)</sup>;  $\beta$ -HCH (LOD=25)<sup>(3)</sup>;  $\delta$ -HCH (LOD=25)<sup>(3)</sup>; 4,4-DDE (LOD=5)<sup>(4)</sup>; 2,4-DDD (LOD=5)<sup>(4)</sup>; 2,4-DDT (LOD=25)<sup>(4)</sup>; 4,4-DDT (LOD=50)<sup>(4)</sup>; Chlorpyrifos (LOD=2); HCHB (LOD=9)<sup>(5)</sup>; CB28 (LOD=1)<sup>(6)</sup>; CB52 (LOD=1)<sup>(6)</sup>; CB101 (LOD=1)<sup>(6)</sup>; CB118 (LOD=1)<sup>(6)</sup>; CB138 (LOD=1)<sup>(6)</sup>; CB153 (LOD=1)<sup>(6)</sup>; CB180 (LOD=1)<sup>(6)</sup>; BDE28 (LOD=7.2)<sup>(7)</sup>; BDE47 (LOD=1.3)<sup>(7)</sup>; BDE66 (LOD=0.94)<sup>(7)</sup>; BDE99 (LOD=6.6)<sup>(7)</sup>; BDE153 (LOD=50)<sup>(7)</sup>; BDE154 (LOD=50)<sup>(7)</sup>. LOD, limit of detection; udl, under detection limits; n/a, not applicable.

	LOD	RAINY SEASON	DRY SEASON
		Estero Venecia	Estero Venecia
$\gamma$ -HCH <sup>(3)</sup>	2	23	udl
$\Sigma$ HCHs <sup>(3)</sup>		23	0
$\Sigma$ DDTs <sup>(4)</sup>		0	0
4,4-DDT/4,4-DDE		n/a	n/a
2,4-DDD/4,4-DDE		n/a	n/a
(2,4-DDD+4,4-DDE)/ $\Sigma$ DDTs		n/a	n/a
$\Sigma$ OCPs		23	0
AHTN <sup>(5)</sup>	10	75	udl
$\Sigma$ Musks $\Sigma$ <sup>(5)</sup>		75	0
$\Sigma$ PCBs $\Sigma$ <sup>(6)</sup> (PCB <sub>7</sub> )		0	0
BDE85 <sup>(7)</sup>	1	19	170
BDE100 <sup>(7)</sup>	8	udl	10
$\Sigma$ PBDEs $\Sigma$ <sup>(7)</sup>		19	180
$\Sigma$ POPs		117	180

**Health condition.** L, FDW, SDW and SDW/L values were statistically higher in the rainy season than in the dry season; FDW/SDW and FDW/L showed the same trend but this was not significant (Table 13). Sex ratio values for each sampling time are shown in Table 13. The studied set of casco de burro ark shell clams did not deviate from the theoretical sex ratio (after Galdámez et al., 2007), showing a F:M value of 1.2:1 (G value=0.33,  $p>0.05$ ; Table 13). Undifferentiated gonad was not observed in any season (Table 13). Only one female with intersex was recorded in the dry season (Table 13). The gamete development was more advanced in the rainy season than in the dry season, though spawning was always the most prevalent gamete development stage (Figs. 15, 16d and e). Significant differences were not observed in GI between seasons (Table 13). Oocyte atresia was recorded in both seasons (Table 13). RAI reflected only a low-to-moderate (<13) reproductive disturbance in both seasons, mainly featured by the OAI values (Table 13). No parasite was observed in gonad.

Histopathological examination in the digestive gland revealed the presence of a variety of parasites including undetermined intracellular protists, *Nematopsis* sp. and metacercariae (Table 13). *Nematopsis* sp. was the parasite with the highest prevalence, followed by metacercariae and undetermined intracellular protists (Table 13). The prevalence of parasitic infestations was always low (Table 13); likewise, the intensity was as well (intensity<7). The histological integrity of the digestive gland tissue seemingly medium (Figs. 16a-c). Inflammatory responses such as oedema and brown cell aggregates were observed (Figs. 16b and c; Table 13). IRI was the highest in the dry season because the highest prevalence of oedema was found at this season (Table 13). Digestive alveoli presented a large lumen and a thin epithelium in both seasons, which revealed a remarkable atrophy (high DGAI) (Figs. 16b and c; Table 13). LT<sub>50</sub> values were higher in the rainy season than in the dry season (Table 13).

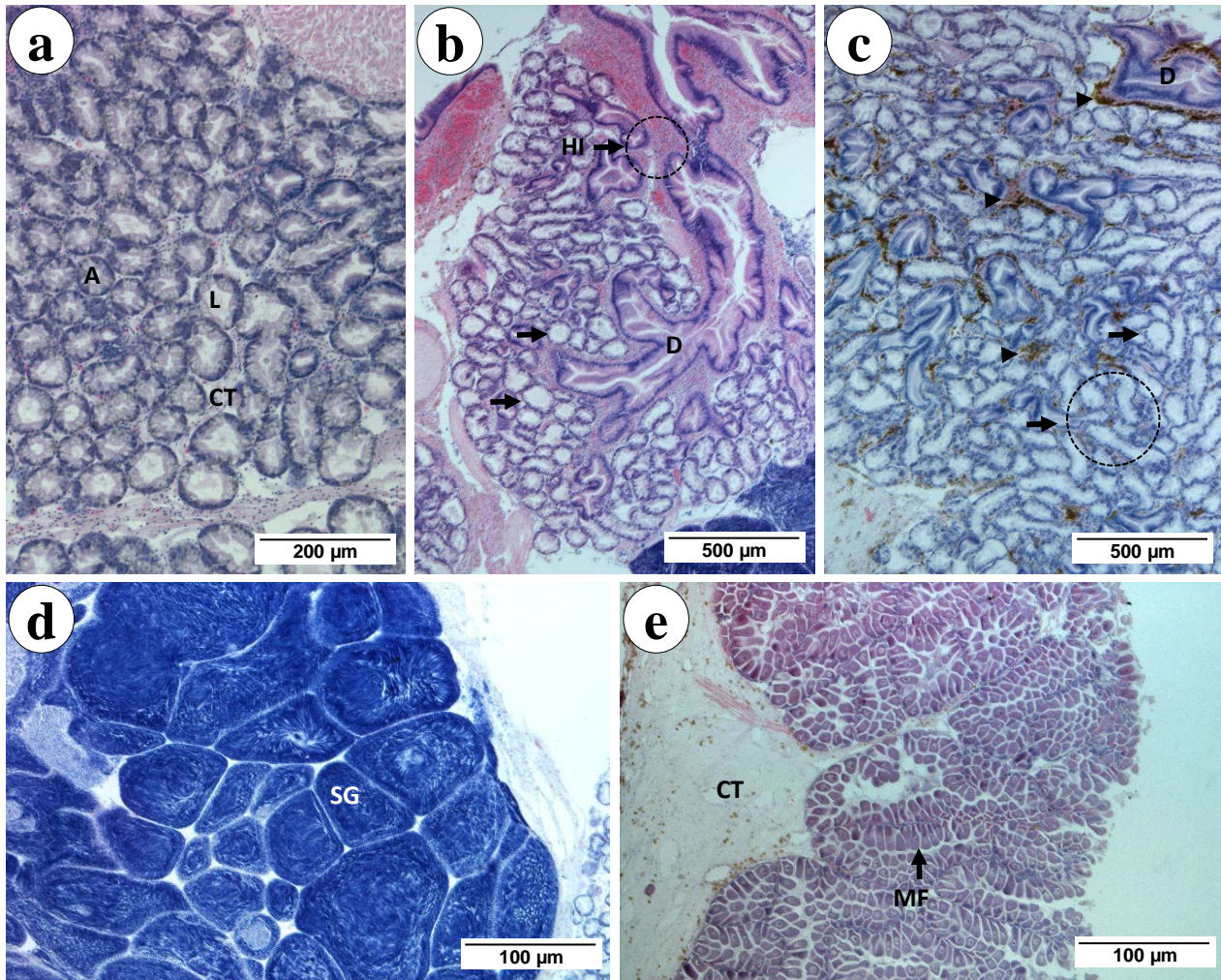


**Fig. 15.** Gamete development stages of casco de burro ark shell clams, *Larkinia grandis* collected from Pacific mangrove mudflat.

**Table 13.** Biometry and histopathology of *Larkinia grandis* from Pacific mangrove mudflats. Shell length, flesh dry weight and shell dry weight their values are mean  $\pm$  standard error (n = 20). Sex Ratio Index = SRI G value. Asterisks (\*) indicate seasonal ( $p < 0.05$ ); #, significantly different from the theoretical gender (Galdámez et al., 2007) bias (1:1) according to the G test ( $p < 0.05$ ). <sup>1</sup>, estimated based on model functions.

	RAINY SEASON	DRY SEASON
	Estero Venecia	Estero Venecia
Shell Length (L; mm)	56.9 $\pm$ 6.5	51.3 $\pm$ 3.7*
Flesh dry-wt (FDW; mg)	2 $\pm$ 1	1.2 $\pm$ 0.3*
Shell dry-wt (SDW; g)	78.9 $\pm$ 26.1	53.3 $\pm$ 13*
FDW/SDW (mg/g)	29.6 $\pm$ 4.24	22.9 $\pm$ 0.72
SDW/L (mg/mm)	1367.9 $\pm$ 92.32	1031.8 $\pm$ 36.52*
FDW/L (mg/mm)	3.6 $\pm$ 0.44	2.3 $\pm$ 0.08
Sex ratio (Sex Ratio Index)	1.5:1 (1.2)	1:1.1 (0.05)
Intersex Index	0	5.9
Reproductive Anomalous Index (RAI)	12.3	10.8
Gonad Index	4 $\pm$ 0	3.3 $\pm$ 1.3
Gametogenic Mass Index	0.47	0.05
Undifferentiated Index	0	0
Oocyte Atresia Prevalence	0.67	0.75
Oocyte Atresia Intensity	1.9	2.2
Oocyte Atresia Index	1.4	1.44
Prevalence (oedema) %	4	71
Prevalence (BCA) %	96	89
Prevalence (granulocytomas) %	0	0
Preval. (dissem. neoplasia) %	0	0
Inflammatory Response Index (IRI)	4	71
Prevalence (ciliates) %	0	0
Preval. (Undert. Intra. Prot.) %	0	5.5
Prevalence (Total Intr. Prot.) %	0	5.5
Preval. ( <i>Nematopsis</i> sp) % <sup>(1)</sup>	18.5	0
Prevalence (Metacercariae) %	4	11
Prevalence (R/CLO) %	0	0
Parasitic Infestation Index (PII)	22.5	16.5
DGAI	2.8 $\pm$ 1	3.1 $\pm$ 1.1
LT <sup>50</sup>	6 $\pm$ 0.9	4 $\pm$ 1.1*





**Fig. 16.** Paraffin sections of *Larkinia grandis* stained with haematoxylin-eosin: a) normal digestive gland b) digestive gland with diffuse infiltration haemocyte and atrophied alveoli; c) brown cell aggregate and atrophied alveoli; d) gill with diffuse infiltration haemocyte; e) mature gamete of male; f) mature gamete of female. Abbreviations: A, alveoli; D, duct; CT, connective tissue; HI, haemocytic infiltration; L, lumen; MF, mature follicles. Arrows, tissue alterations; arrowheads indicate the presence of brown cell aggregates.

## 4. Discussion

### 4.1. Caribbean Coast

**Metals.** In the Caribbean coast from Nicaragua, metal tissue concentrations in *P. arctata* were low except for As and Hg, according to proposed criteria for oysters and mussels (Kimbrough et al., 2008; Solaun et al., 2013) and existing data in neighbouring regions (Valette-Silver et al., 1999). The sources of As can be discharges of untreated municipal wastes and sediments contaminated by mining activities, industrial processes or the application of pesticides (Smedley and Kinniburgh, 2002). The presence of Hg can be attributed to artisanal gold mining

carried out along the Escondido River, which opens into the Bluefields Lagoon (Dumailo, 2003; MARENA, 2010; Cordy et al., 2011). The tissue concentration of Ag, As, Cd, Hg, Ni and V was higher during the dry season than during the rainy season in Punta San Gabriel; and higher for Ag and V but lower for Ni and Pb in Punta San Gabriel in comparison with Pigeon Cay in the rainy season. These findings are consistent because Punta San Gabriel is located at the sediment deposition zone of Bluefields Lagoon, whilst Pigeon Cay is along the outflow of the principal channel in the Pearl Lagoon. In the rainy season in Pigeon Cay, the results were to a large extent comparable to those obtained with sympatric mangrove cupped oysters, in which the metal tissue concentrations were overall low as well (Chapter 1). Nevertheless, *P. arctata* accumulated a little bit less Ag, As, Cd, Ti and V and a little bit more Ni and Pb than *C. rhizophorae*, with equitable tissue levels of Cr and Hg. Doubtless, the most striking difference was the much lower tissue levels of Cu and Zn recorded in *P. arctata* in comparison with *C. rhizophorae*; which is fully justified because unlike other molluscs, oysters (and especially those of the genus *Crassostrea*) are known to be hyperaccumulators of these two metals (Tan et al., 2015). On the other hand, few data are available regarding metal accumulation in slender march clams. The tissue concentrations of Cd, Cu, Pb and V in *P. arctata* from Lake Maracaibo and El Tablazo Bay (Venezuela) were similar to those reported in the present study, whilst those of As and Zn were lower (Sarria-Panasá et al., 2016). In contrast, the tissue concentration of Cd, Pb, Zn and Ni recorded in the rainy and dry seasons in slender marsh clams from Moin River (Costa Rica) and Lake Maracaibo (Venezuela) were higher than those recorded herein (Leal et al., 2014; Vargas et al., 2015). Likewise, the tissue concentrations of Cr, Ni and Pb recorded in *P. arctata* from the “Pantanos de Centla” Reserve of the Biosphere (Tabasco, México) in the dry season were higher than in the present study (Pérez-Cruz et al., 2013). In any case, it seems that metal pollution is not a matter of major concern in these lagoons of the Nicaraguan Caribbean coast.

**PAHs.** The tissue concentrations of PAHs in *P. arctata* were generally low although they were slightly higher in Punta San Gabriel in the rainy season than in any other case. Similarly, in *C. rhizophorae* from the Pigeon Cay the  $\sum$ PAHs values recorded were also low, always according to Kimbrough et al., (2008) who concluded that in *C. virginica*, values of  $\sum$ PAHs in the range of 47-828 ng/g must be considered low. Few data are available on the tissue levels of PAHs and related contaminants in *Polymesoda*. For example, total petroleum hydrocarbons were determined in mud clams (*Polymesoda erosa*) from the coastal area of Sabah (Malaysia; Mohd Ali and Yep, 2016). Likewise, mangrove clam *Polymesoda erosa* was found to present

significant tissue concentrations of alkylated and parent PAHs in the mangrove-fringed Segara Anakan Lagoon (Java, Indonesia; Dsikowitzky et al., 2011). In the present study, the most relevant PAHs found in *P. arctata* were phenanthrene, fluoranthene, pyrene, and benzo[b]fluoranthene+benzo[k]fluoranthene in Punta San Gabriel and chrysene in Pigeon Cay, in the rainy season. Likewise, phenanthrene and pyrene were the most relevant PAHs found in sympatric *C. rhizophorae*, though this was observed in the dry season instead (Chapter 1). As a whole, carcinogenic PAHs (PAH<sub>HMW</sub>; IARC, 1987) were relevant in *P. arctata* (present results) and *C. rhizophorae* (Chapter 1) from Pigeon Cay in the rainy season. A chemical indicator of the petrogenic vs. pyrolytic origin of PAHs was calculated based on the LMWPAHs/HMWPAHs ratio (Baumard et al., 1998; Soclo et al., 2000). A LMWPAHs/HMWPAHs ratio of 43 (>>1) was found in slender march clams from Punta San Gabriel, indicating a predominant petrogenic origin of the PAHs, whilst a LMWPAHs/HMWPAHs ratio of 0.34 was found in Pigeon Cay slender marsh clams, revealing a pyrolytic origin of the PAHs in this locality; in agreement with the results obtained on the basis of PAH tissue concentrations recorded in sympatric mangrove cupped oysters from Pigeon Cay (LMWPAHs/HMWPAHs<sub>oysters</sub>=0.58; Chapter 1).

**POPs.** To our knowledge few reports deal with tissue concentrations of POPs in *Polymesoda* clams. Bayen et al., (2005) carried out an exhaustive analysis of POPs in a variety of mangrove species in Singapore that included the Lokan clams, *Polymesoda expansa*, in which they reported significant tissue concentrations of HCHs, PBDEs (mainly PBDE47 and PBDE100) and most relevantly a dominance of DDTs and certain PCBs (tetra-, penta-, hexa-, and heptachlorinated). In our study, HCHs, DDTs, musk fragrances, PCBs (CB28 and CB118) and PBDEs (BDE85 and BDE100) were found at measurable concentrations in the soft tissues of *P. arctata*. The highest tissue concentrations of POPs were recorded in the rainy season: DDTs, musk fragrances and PCBs in Punta San Gabriel; and HCHs in Pigeon Cay. In agreement with previous results obtained with *C. rhizophorae* from the same locality (Chapter 1), high levels of HCHs and DDTs were recorded in the tissues of slender march clams from Pigeon Cay; however, whilst  $\alpha$ -HCH and 4,4,-DDE were dominant in the case of the clams,  $\gamma$ -HCH and 2,4-DDT were more relevant in the case of sympatric oysters. It is conceivable that these two species of bivalves may represent either different environmental compartments or different metabolic strategies. Interestingly,  $\gamma$ -HCH and 4,4-DDT tissue levels in *P. arctata* are higher in Punta San Gabriel than in Pigeon Cay during the rainy season. In any case, HCHs and DDTs are at detectable levels both in *P. arctata* and *C. rhizophorae*, which would clearly indicate the

presence of these POPs in these mangrove-lined coastal systems, most likely associated to agricultural and insect control practices (de Brito et al., 2002; Bodin et al., 2011). Indeed, Punta San Gabriel is the main sink area in Bluefields Lagoon, which receives water load from the Escondido River basin where intensive agriculture has been promoted for the last decades (GEF-REPCar, 2011). In agreement, high concentrations of HCHs and DDTs have been reported in water and sediments in other Nicaraguan Caribbean localities, where these chemicals may constitute a serious environmental hazard (GEF-REPCar, 2011; Ebanks-Mongalo et al., 2013). Detectable tissue levels of chlorpyrifos were determined in the tissues of *P. arctata* from Pigeon Cay, exactly as it had been previously determined in sympatric *C. rhizophorae* (Chapter 1). Chlorpyrifos is a broad spectrum organophosphate insecticide widely used on food crops that has been reported in the tissues of oysters and mussels in the range of 0-53 ng/g dry-wt, with a regional average for the USA coastline of 0.78 ng/g dry-wt (Wade et al., 1998). In Nicaraguan mangrove cupped oysters was reported at a tissue concentration of in the range of 5-11 ng/g dry-wt (Chapter 1; Ebanks-Mongalo et al., 2013), similar to that recorded herein in slender march clams. Although musk fragrances were not detected in mangrove cupped oysters from Nicaraguan mangrove-lined Caribbean coastal systems, in the present study AHTN was revealed in the tissues of slender marsh clams from Punta San Gabriel at concentrations higher than those reported in *C. rhizophorae* from Colombia (Chapter 1), but still lower than those reported in mussels and clams from industrialised areas of Canada (Gatermann et al., 1999). The source of this contaminant would be wastewater discharges from nearby sewage outfalls, since musk fragrances are known to be discharged into estuaries through sewage (Wade et al., 1998). PCBs congeners are non- or poorly metabolised in molluscs and therefore they are bioaccumulated (Kannan et al., 1995; Nhan et al., 2001).  $\Sigma$ PCBs reported in bivalves from Nicaragua within the framework of the International Mussel Watch were <10 ng/g dry-wt (Sericano et al., 1995). BDE85 showed markedly high values in the rainy season in Pigeon Cay, both in slender march clams and mangrove cupped oysters, with values of total PBDEs in the range of 227-325 ng/g dry-wt. These values are much higher than previously reported in bivalves (Moon et al., 2007; Oros et al., 2007) and cannot be associated with a particular source at local scale.

**Biological effects assessment.** Only minor and less-consistent differences were recorded between localities in biometry and flesh condition of slender march clams, although a higher flesh condition seemed to be envisaged in the rainy season. A similar seasonal trend was reported in *C. rhizophorae* from our study area (Chapter 2) and, as a general rule, seasonal

changes in flesh condition appear to be normal in mangrove bivalves (Nascimento and Pereira 1980; Nascimento et al., 1980; Meyer et al., 1998; Rebelo et al., 2005). Likewise, reproductive disturbance was seemingly minimal and, though a variety of parasites (intracellular ciliates, undetermined intracellular protists, *Nematopsis* sp. and R/CLOs) were identified in *P. arctata*, their prevalence was low in both localities and seasons. In contrast, RAI, PII and IRI reflected a low-to-moderate disturbance in reproduction, disease and immune response in *C. rhizophorae* from Pigeon Cay (Chapter 2) in the rainy season. In parallel, the histological integrity of the digestive gland tissue was similar in both localities and seasons in *P. arctata*, and atrophy of digestive alveoli was stumpy; unlike in *C. rhizophorae* from Pigeon Cay in which disorganised ICT, shrinkage of digestive diverticula, digestive alveoli atrophy and digestive cell vacuolisation were observed and associated to enhanced pollution (Chapter 2; Valdez Domingos et al., 2007). These results might suggest that slender marsh clams are less responsive to environmental insult than mangrove cupped oysters; however, IRI was very high in *P. arctata* from both Pigeon Cay (only rainy season data) and Punta San Gabriel (at both seasons). Thus, it is conceivable that the high prevalence of oedema and brown cell aggregates might be indicating that slender marsh clams, unlike mangrove cupped oysters, possess a highly active immune defence system able to cope with the extreme environmental conditions of the shallow muddy flats (deposition sinks of coastal lagoons) where they inhabit. However, the lower LT<sub>50</sub> values recorded in *P. arctata* from Punta San Gabriel during the rainy season in comparison with the dry season might be associated to the highest levels of environmental contaminants recorded during that season at that locality, as reflected in the PLI and CPI values.

***P. artacta* vs *C. rhizophorae*.** Although the tissue concentration of contaminants was, in general terms, slightly lower in *P. arctata* than in sympatric *C. rhizophorae*, the slender march clam appears to be a suitable biomonitor for chemical pollution assessment in the Caribbean that could be used as alternative biomonitor species; e.g., when *C. rhizophorae* is not available. Moreover, although these are preliminary observations that deserve more specific research in order to be confirmed, it seems that for some specific chemicals, such as for instance musk fragrances and PBDEs, *P. arctata* exhibited a greater bioaccumulation capacity than *C. rhizophorae*. Thus, whilst the main contaminants contributing to CPI and PLI in *P. artacta* were Hg, HCHs, DDTs, AHTN, PCBs and BDE85, in *C. rhizophorae* (Chapter 1), these were As, Cd, benzo[a]pyrene, HCHs, DDTs and PCBs for CPI and benzo[a]pyrene HCHs and DDTs for PLI. In agreement with previous results obtained using mangrove cupped oysters, the highest CPI and

PLI values were obtained in the rainy season also in slender marsh clams. CPI and PLI were higher in Punta San Gabriel than in Pigeon Cay, which suggests a higher bioavailability of pollutants in the former locality. Based on the use of oysters as biomonitors Pigeon Cay was determined to be more polluted than Half Way Cay and Punta Lora (Chapter 1) and therefore the present results would indicate that the greatest bioavailability of pollutants in the study area occurs in Punta San Gabriel (where oysters were absent). Altogether, these findings suggest that *P. arctata* would be complementary rather than alternative to *C. rhizophorae* as biomonitor for the mangrove-lined Caribbean coastal systems in Nicaragua. Thus, by using both species as biomonitors in combination we could conclude the following decreasing gradient of contamination in the studied mangrove-lined coastal systems in the Nicaraguan Caribbean area: Punta San Gabriel > Pigeon Cay > Half Way Cay > Punta Lora. Moreover, one could hypothesise that mangrove cupped oysters, absent in Punta San Gabriel, are more sensitive to environmental deterioration than slender marsh clams; however, it rather appears that the sedimentation zone of the lagoon, which would be the natural habitat for *P. arctata* but not for oysters, is also a locally relevant contamination hot spot.

Likewise, it seems that *P. arctata* would be complementary to *C. rhizophorae* as sentinel for biological-effects assessment in mangrove-lined Caribbean coastal systems in Nicaragua. Based on the use of both species as sentinels we could conclude the following decreasing gradient of ecosystem health disturbance in the studied mangrove-lined coastal systems in the Nicaraguan Caribbean area: Punta San Gabriel > Pigeon Cay > Half Way Cay > Punta Lora. Nevertheless, mangrove cupped oysters, appeared to be more responsive to environmental insult than slender marsh clams.

## 4.2. Pacific Coast

**Contaminants.** Tissue metal concentrations in *A. tuberculosa* and *L. grandis* were low according to previous records (Tu et al., 2011; Vargas et al., 2015) and to background levels reported for oysters and mussels, except for As and Cd (Kimbrough et al., 2008; Solaun et al., 2013). The values recorded for Cd were higher than in other studies carried out with *A. tuberculosa* as biomonitor (Joiris and Azokwu, 1999; Otchere, 2003; Tu et al., 2011), especially in Estero La Virgen during the dry season. Moreover, the tissue concentration of metals such as As and Cd varied with season, with higher values during the dry season than during the rainy one. Similarly, the tissue concentration of Cd and Hg was higher in the dry season than in the

rainy season in *Anadara (Senilia) senilis* from Ghana (Joiris et al., 1998; Otchere, 2003). Both in *A. tuberculosa* and *L. grandis*, PAH tissue concentration was low and restrained to the rainy season; the most relevant PAHs found being phenanthrene in pustulose arks and phenanthrene and fluoranthene in shell ark clams. POP tissue levels appeared to be higher in rainy season than in the dry season in both species. In *A. tuberculosa*,  $\gamma$ -HCH presented higher values in Estero La Virgen than in Isla Machuca in both seasons, and 4,4-DDE and musk fragrances were found in Estero La Virgen in the rainy season. In *L. grandis*,  $\gamma$ -HCHs and AHTN tissue concentrations were higher in the rainy season than in the dry season. PCBs were under detection limits in all localities and seasons; however, PBDEs (BDE85, BDE100 and BDE154) were found in pustulose ark tissues, especially in Isla Machuca, and in shell ark clams, especially in the dry season. CPI and PLI values were low in both seasons and the main pollutants contributing to these CPI and PLI values were Cd, phenanthrene, HCHs, DDTs, AHTN, PCBs and BDE85 in *A. tuberculosa* and Cd, B[a]P, HCHs, AHTN and BDE85 in *L. grandis*.

**Health status.** Biometry and flesh condition parameters exhibited somehow opposite seasonal trends in *A. tuberculosa* and *L. grandis*; these parameters were overall higher in the dry season than in the rainy season in pustulose arks and *vice versa* in shell ark clams. In agreement, in shell ark clams,  $LT_{50}$  values were higher in the rainy season than in the dry season. Whilst certain bias towards female condition was envisaged in the sex ratio of pustulose arks in comparison with the theoretical sex ratio of 1:1 (Gener et al., 2009, Silva-Benavides and Bonilla, 2015), ark shell clams did not deviate from the theoretical sex ratio (after Galdámez et al., 2007). Intersex cases were sporadically found in both species, always with separate male and female gonad follicles. Gamete development was more advanced in the rainy season than in the dry season, and in Estero La Virgen than in Isla Machuca in both seasons; however, significant differences were not observed in GI between localities. Oocyte atresia was recorded all along the study period, mainly in Isla Machuca. In *A. tuberculosa*, RAI reflected only a low-to-moderate reproductive disturbance in both localities and seasons. A variety of parasites such as *Nematopsis* sp., *Bucephalus* sp., metacercariae and copepods were identified in *A. tuberculosa*, although, parasite prevalence was low, except for *Nematopsis* sp., especially during the dry season. In *L. grandis*, RAI also reflected only a low-to-moderate reproductive disturbance in both localities and seasons. The parasites recorded in *L. grandis* include *Nematopsis* sp. and to a lesser extent undetermined intracellular protists and metacercariae. Inflammatory responses such as oedema and brown cell aggregates were observed in both species (granulocytomas only in *A.*

*tuberculosa*); however, whereas digestive gland atrophy was negligible in *A. tuberculosa*, remarkable atrophy was recorded in *L. grandis* at both seasons.

***A. tuberculosa* and *L. grandis* as biomonitors and sentinels.** The present data are preliminary and in absence of previous records and of any comparison with recognised biomonitor and sentinel species from the area are difficult to interpret. However, it seems that the two studied species have the capacity to bioaccumulate metals, PAHs and POPs in their tissues, like regionally recognised biomonitors such as *C. rhizophorae* do (Chapter 1), and therefore they open an opportunity to develop further studies dealing with the use of these species (*A. tuberculosa* and *L. grandis*) as biomonitors in tropical and subtropical Pacific mangrove ecosystems (e.g. from Mexico to Peru). Likewise, biological endpoints comparable to those used in sentinel *C. rhizophorae* (Chapter 2) appear to be suitable to be used as biomarkers of health disturbance in these two species from the Nicaraguan Pacific coast. However, more basic research is needed on the general biology, ecology and disease of these species.

Slender marsh clam *P. arctata* (in the Caribbean), and pustulose ark *A. tuberculosa* and ark shell clams *L. grandis* (in the Pacific) are putative biomonitor and sentinel species for assessing chemical pollution and ecosystem health disturbance in the diverse mangrove-lined coastal systems that can be found in Nicaragua. These species bioaccumulate metals, PAHs and POPs in their tissues at measurable levels that are seemingly related with the environmental levels of these contaminants and with the potential sources of contamination. Thus, they can be used as biomonitor species either alternative or complementary to the more widely recognised mangrove cupped oyster *C. rhizophorae* (Chapter 1). On the other hand, a toolbox of low-cost effortless biomarkers and biological endpoints including resilience, reproduction, growth and disease (histopathology), exactly as previously applied in the case of sentinel mangrove cupped oysters (Chapter 2), has been shown be useful for the case of using *P. arctata*, *A. tuberculosa* and *L. grandis* as sentinel species covering the whole geographical area of Nicaragua. Besides, as also reported in the case of mangrove cupped oysters from the same region (Chapters 1 and 2), seasonality is crucial to properly design and conduct pollution monitoring programmes for mangrove-lined coastal systems in Nicaragua using the three alternative/complementary species investigated herein.



## 5. References

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## Supplementary Material

**SM 1.** CPI calculations for tissue concentrations of pollutants in slender marsh clam (*P. arctata*), pustulose Arks (*A. tuberculosa*) and ark shell clams (*L. grandis*) from Nicaraguan mangrove lagoons and intertidal roots/docks of Colombian mangrove swamps, collected at both rainy (RS) and dry seasons (DS). Background tissue concentration values (BTC) were selected for calculations according to the following references (Ref. ): BRC (Davies 2004; OSPAR 2005); BAC (OSPAR Commission, 2013); LOD: limit of detection.

Ref.	BTC	Chemical Pollutant	<i>P. arctata</i>			<i>A. tuberculosa</i>				<i>L. grandis</i>	
			Pigeon Cay (RS)	P San Gabriel (RS)	P San Gabriel (DS)	Estero Virgen (RS)	Isla Machuca (RS)	Estero Virgen (DS)	Isla Machuca (DS)	Estero Venecia (RS)	Estero Venecia (DS)
BRC	0.78	Ag	-1.09	0.11	0.27	-1.82	-1.17	-1.35	-1.75	-1.36	-1.36
BRC	21.00	As	-0.31	-0.34	0.10	-0.32	-0.51	-0.22	-0.46	-0.30	-0.14
BAC	0.66	Cd	-0.44	-0.41	0.18	0.65	0.67	1.29	-0.11	0.68	1.33
BRC	1.80	Cr	-0.46	-0.53	-0.42	-0.36	-0.44	-0.07	-0.50	0.22	-0.18
BAC	0.06	Hg	0.41	0.43	0.60	0.20	0.04	-0.30	-0.30	0.15	0.20
BRC	2.40	Ni	0.05	-0.44	-0.28	-0.99	-1.02	-0.85	-1.31	-0.90	-0.66
BAC	1.2	Pb	-0.13	-0.65	-0.47	-1.37	-1.28	-2.08	-2.08	0.82	-0.80
BAC	11.00	Phe	-3.04	1.20	-3.04	0.74	0.77	-3.04	-3.04	0.83	-3.04
BAC	12.20	Flr	-3.09	0.72	-3.09	0.31	-3.09	-3.09	-3.09	0.48	-3.09
BAC	9.00	Pyr	-0.38	0.56	-2.95	-0.47	-0.34	-2.95	-2.95	-0.05	-2.95
BAC	2.50	Benz[a]A	0.90	-2.40	-2.40	-2.40	-2.40	-2.40	-2.40	-2.40	-2.40
BAC	8.10	Chr	0.69	-0.08	-2.91	-2.91	-2.91	-2.91	-2.91	-0.32	-2.91
BAC	1.40	B[a]P	-2.15	0.63	-2.15	-2.15	-2.15	-2.15	-2.15	0.07	0.70
LOD	3.50	HCHs	1.89	1.51	-0.24	1.34	0.34	0.87	-0.01	1.10	-0.07
BCR	6.90	DDTs	1.73	2.04	-0.04	0.64	-0.36	-0.36	0.84	-0.36	-0.50
LOD	1.00	AHTN	0.00	2.06	0.00	1.77	1.09	0.00	0.00	1.88	0.00
BAC	10.20	PCBS	1.35								
LOD	50.00	BDE85	0.66	-0.36	0.36	-0.01	-0.34	0.24	0.53	-0.42	0.53

**SM 2.** PLI calculations for tissue concentrations of pollutants in slender marsh clam (*P. arctata*), pustulose Arks (*A. tuberculosa*) and ark shell clams (*L. grandis*) from Nicaraguan mangrove lagoons and intertidal roots/docks of Colombian mangrove swamps, collected at both rainy (RS) and dry seasons (DS). Background tissue concentration values (BTC) were selected for calculations according to the following references (Ref.): BRC (Davies 2004; OSPAR 2005); BAC (OSPAR Commission, 2013); LOD: limit of detection.

Ref.	BTC	Chemical Pollutant	<i>P. arctata</i>			<i>A. tuberculosa</i>				<i>L. grandis</i>		
			Pigeon C (RS)	P San Gabriel (RS)	P San Gabriel (DS)	Estero La Virgen (RS)	Isla Machuca (RS)	Estero La Virgen (DS)	Isla Machuca (DS)	Estero Venecia (RS)	Estero Venecia (DS)	
BRC	0.78	Ag	0.08	1.28	1.87	0.02	0.07	0.04	0.02	0.04	0.04	0.04
BRC	21.00	As	0.49	0.46	1.25	0.47	0.31	0.60	0.35	0.50	0.73	0.73
BAC	0.66	Cd	0.36	0.39	1.51	4.45	4.72	19.31	0.78	4.76	21.54	21.54
BRC	1.80	Cr	0.35	0.29	0.38	0.43	0.36	0.85	0.32	1.67	0.66	0.66
BAC	0.06	Hg	2.58	2.72	4.03	1.58	1.09	0.50	0.50	1.41	1.60	1.60
BRC	2.40	Ni	1.11	0.36	0.52	0.10	0.09	0.14	0.05	0.13	0.22	0.22
BAC	1.20	Pb	0.74	0.22	0.34	0.04	0.05	0.01	0.01	6.56	0.16	0.16
BAC	11.00	Phe		15.71		5.48	5.96			6.77		
BAC	12.20	Flr		5.25		2.06				3.01		
BAC	9.00	Pyr	0.42	3.62		0.34	0.46			0.90		
BAC	2.50	Benz[a]A	7.91									
BAC	8.10	Chr	4.92	0.82						0.48		
BAC	1.40	B[a]P	0.01	4.27	0.01	0.01	0.01	0.01	0.01	1.18	5.05	5.05
LOD	3.50	HCHs	78.14	32.65	0.58	22.02	2.19	7.40	0.98	12.64	0.86	0.86
BCR	6.90	DDTs	54.25	110.04	0.91	4.39	0.43	0.43	6.94	0.43	0.32	0.32
LOD	1.00	AHTN	1.00	115.47	1.00	59.26	12.33	1.00	1.00	75.12	1.00	1.00
BAC	10.20	PCBS	22.57									
LOD	50.00	BDE85	4.55	0.44	2.30	0.97	0.46	1.74	3.40	0.38	3.41	3.41
LOD	50.00	BDE154					5.44					



**Chapter 4. Needs analysis and  
recommendations for biomonitoring chemical  
pollution and ecosystem health disturbance in  
mangrove-lined coastal systems using bivalves as  
biomonitors and sentinels**





Amongst others, chemical pollution is a major problem in mangrove-lined coastal ecosystems in the Wider Caribbean Region (WCR) and other neighbouring tropical and subtropical regions. Whilst pollution monitoring programmes carried out in the Caribbean are mainly based on the chemical analysis of water, sediment and biota (these latter as biomonitors), pollution biomonitoring programs worldwide are increasingly including biological effects as endpoints; for which they employ early warning signals of ecosystem health disturbance recorded in sentinel species, mainly bivalves. Within this context, a novel initiative emerged from a consortium comprised of (a) the Cell Biology & Environmental Toxicology Consolidated Res Grp and (b) the Research Centre for Experimental Marine Biology & Biotechnology (Plentzia Marine Station) from the University of the Basque Country (UPV/EHU), (c) the Infectious Disease Centre of the National Autonomous University of Nicaragua-León (UNAN- León) and (d) the Department of Biological & Environmental Sciences of the University Jorge Tadeo Lozano (UJTL; Bogotá). As a result, a pilot biomonitoring project known as Caribbean Biomonitoring of Pollution (CARIBIOPOL) was carried out along 2012 and 2013, aimed at implementing a science-based, non-sophisticated and low-cost methodology (details in Annexes 1-5) suitable for biomonitoring of chemical pollution and associated ecosystem health disturbance in mangrove-lined Caribbean coastal systems from Nicaragua and Colombia, using bivalves as biomonitor and sentinel species.

Two different working scenarios were conceived: (a) the first one at regional level, using *Crassostrea rhizophorae* as biomonitor and sentinel species in the Caribbean coast from Nicaragua and Colombia in an attempt to contribute to harmonised biomonitoring approaches at the WCR scale; and (b) a second one at national level in Nicaragua, using four species of bivalves (*C. rhizophorae*, *Polymesoda arctata*, *Anadara tuberculosa* and *Larkinia grandis*) as biomonitor and sentinel species in the entire Nicaraguan coastline, both in the Caribbean and the Pacific coasts.

## ***1. C. rhizophorae as biomonitor and sentinel in WCR***

The first challenge was to determine the suitability of mangrove cupped oysters, *C. rhizophorae*, as biomonitor and sentinel species for pollution biomonitoring in different types of mangrove-lined coastal systems in the Caribbean. For this purpose, an integrated approach was applied, including both contaminant tissue concentrations (Chapter 1) and low-cost and effortless biomarkers of health condition in oysters (Chapter 2). The biomonitoring programme was carried out in 8 localities (3 in Nicaragua and 5 in Colombia) subjected to different types and levels of pollution. Oysters were collected during the rainy and the dry seasons in 2012-2013. The tissue

concentration of chemical pollutants such as metals, PAHs and POPs were measured in parallel with biological effects at different levels of biological complexity (condition index, reproduction, tissue-level biomarkers, histopathological condition and stress-on-stress response). The present study revealed that the pollution profile was different between the two studied geographical areas, Nicaragua and Colombia; as shown by radar plot pollution profiles. Likewise, the levels of pollutants were different, which was clearly reflected in the CPI and PLI values, which were significantly correlated to each other following a linear regression model. Therefore, CPI and PLI seem to be fully comparable integrative estimates of pollutants' levels.

In Nicaraguan oysters, low tissue concentrations of metals (except Hg) and PAHs, moderate-to-high tissue concentrations of Hg, HCHs, DDTs, detectable levels of chlorpyrifos, PCBs and BDE85 and negligible levels of musk fragrances were recorded. The values recorded for HCHs, DDTs and PCBs greatly exceeded the reference values in tissues of *C. rhizophorae* from the WCR. In Colombia, a distinct profile of POPs was identified; thus, the tissue concentrations of HCHs and DDTs were practically negligible, chlorpyrifos and PBDEs were below detection limits, the tissue levels of PCBs were low, the tissue concentrations of synthetic musk fragrances were remarkable in the dry season, and the tissue concentrations of Ag, As, Cd, Pb and PAHs ranged from moderate to extremely high. The values recorded for PCBs occasionally surpassed the average values recorded in tissues of *C. rhizophorae* from the WCR. The different contaminant profiles for oysters from Nicaragua and Colombia were evidenced in radar plots and principal component analysis, as well as in integrated pollution indices. Oyster tissue contaminant profiles varied with season. However this seasonality seemingly did not depend on the oysters' reproductive cycle but on the seasonal variability in physicochemical properties of mangrove ecosystems as well as on the diversification of human activities and practices between the rainy and the dry season (e.g., pest control, sanitation and tourism).

Considering the geographical and environmental diversity of the studied scenarios (which included diverse habitats such as lagoons and swamps and over a wide geographical coastal region from Nicaragua to Colombia), the present results confirm the suitability of *C. rhizophorae* as biomonitor species at Caribbean regional scale for pollution monitoring programmes in mangrove-lined coastal systems, where seasonal variability is a major factor controlling pollutant mobility and bioavailability. National and regional monitoring programmes in the WCR would be greatly improved by including the measurement of tissue concentrations of chemical pollutants in

mangrove cupped oysters in addition to measurements of the levels of pollutants in water and sediments, as it is regularly done in other regions of the world such as Pacific Asia, Europe, Canada and the USA.

These tissue concentrations of contaminants are useful to identify potential pollution problems and pollutant sources in the studied regions but they do not provide reliable indication of the deleterious effects that these pollutants may exert to biota and ecosystems, as recommended for pollution monitoring programs. For this reason, biological effects assessment was carried out in a parallel investigation aimed at relating oyster health condition to pollutant tissue levels (Chapter 2). In order to contribute to develop a suitable multi-biomarker approach for pollution monitoring using *C. rhizophorae* as sentinel species, biological effects were determined at different levels of biological complexity as indicators of health disturbance. These biological endpoints were integrated in IBR/n indices and compared with the tissue burden of chemical pollutants (recorded in Chapter 1).

Our results indicated that oysters were not equally healthy in the different localities and seasons investigated, which was directly evidenced by the IBR/n index, which provided an integrated view on the health status of the mangrove cupped oysters and a general indication of the ecosystem health disturbance. Different indicators of health disturbance alone and in combination were related to the presence of different profiles and levels of chemical pollutants present at low-to-moderate levels. As a result, IBR/n was correlated with pollution indices such as PLI and CPI, even though the levels of biological anomalies used as indicators of health disturbance and the levels of pollutants were in general terms low-to-moderate. Moreover, it is worth noting that it was a real field situation influenced and modulated by biological variables and environmental factors that varied seasonally.

Consequently, the simple methodological approach used herein is useful for the assessment of health disturbance in a variety Caribbean coastal ecosystems using mangrove cupped oysters as sentinel species, which would be a suitable sentinel for biological effects assessment in pollution biomonitoring along mangrove-lined coastal systems in the WCR.

## 2. A national approach for Nicaragua

The second challenge was to determine the suitability of three potential biomonitor and sentinel species as either alternative or complementary options to mangrove cupped oysters in

order to apply the same approach for biomonitoring chemical pollution and ecosystem health disturbance in mangrove-lined coastal systems at national scale in Nicaragua. *C. rhizophorae* distribution is restricted to the Caribbean coast and this species is not present in muddy areas of coastal lagoons. Therefore, we investigated the suitability of slender marsh clams, *Polymesoda arctata*, as biomonitor and sentinel for muddy areas of Caribbean coastal lagoons; and the suitability of pustulose arks, *Anadara tuberculosa*, and ark shell clams, *Larkinia grandis*, to be used in Pacific Coast mangrove-lined coastal systems. Based on the acquired experience with *C. rhizophorae* and applying the same analytical chemical approach and the same biological effects toolbox, biomonitoring was carried out in 5 localities with different contaminant sources, which represented diverse scenarios of the mangrove-lined coastal systems at national scale in Nicaragua.

In the Caribbean, *P. arctata* was collected in Pigeon Cay (Pearl Lagoon) and Punta San Gabriel (Bluefields Lagoon). In the Pacific, *A. tuberculosa* was collected in Padre Ramos Reserve and Isla Corinto Port, and *L. grandis* in Padre Ramos Reserve. Samples were collected in the rainy and dry seasons during 2012-2013. The tissue concentration of metals, PAHs and POPs were determined and integrated into pollution indices (CPI and PLI). In parallel, biological endpoints at different levels of biological complexity (condition indices, reproduction parameters, histopathology, and stress-on-stress response) were determined as biomarkers of ecosystem health disturbance.

In the Caribbean, the tissue concentration of contaminants in *P. arctata* was, in general terms, low with some exceptions: metals such as Ag, As, Cd, Hg, Ni and V were mainly recorded during the dry season, and PAHs, HCHs, DDTs, musk fragrances, PCBs and PBDEs during the rainy season. The highest levels of contaminants (high CPI and PLI) were recorded in Punta San Gabriel, located at the sediment deposition zone of Bluefields Lagoon. In the rainy season in Pigeon Cay, the profile of the tissue concentrations of contaminants were comparable to those obtained with sympatric mangrove cupped oysters (Chapter 1); although *P. arctata* accumulated much less Cu and Zn, a little bit less Ag, As and Cd, and a little bit more Pb than *C. rhizophorae*. The tissue concentrations of PAHs in *P. arctata* were generally low, as they were in *C. rhizophorae* from the Pigeon Cay. The LMWPAHs/HMWPAHs ratio recorded in slender march clams suggested a predominant petrogenic origin of the PAHs in Punta San Gabriel and a pyrolytic origin in Pigeon Cay (in agreement with the results obtained in this latter locality with sympatric mangrove cupped oysters). Thus, in agreement with the results obtained using mangrove cupped oysters as

biomonitors (Chapter 1), slender marsh clams also revealed that metals and PAHs were less relevant contaminants in these Caribbean lagoons, whilst HCHs, DDTs, musk fragrances and PBDEs could be of concern.

On the other hand, our results might suggest that slender marsh clams are less responsive to environmental insult than mangrove cupped oysters. In any case, the high prevalence of oedema and brown cell aggregates might be indicating that slender marsh clams, unlike mangrove cupped oysters, possess a highly active immune defence system able to cope with the extreme environmental conditions of the shallow muddy flats (deposition sinks of coastal lagoons) where they inhabit. More research is needed to better understand *P. arctata* biomarkers of health status and its responsiveness to environmental insult; which would help in getting an adapted toolbox to measure biological effects in this species. Meanwhile, some biomarkers such as reduction in LT50 were shown to respond and were associated to low-to-moderately high PLI and CPI values in Punta San Gabriel during the rainy season. Our findings suggest that *P. arctata* would be complementary rather than alternative to *C. rhizophorae* as biomonitor and sentinel for the mangrove-lined Caribbean coastal systems in Nicaragua. Thus, if we consider altogether both species as biomonitors and sentinels we could conclude a decreasing gradient of contamination and ecosystem health disturbance in the studied mangrove-lined coastal systems in the Nicaraguan Caribbean area, say: Punta San Gabriel > Pigeon Cay / Half Way Cay > Punta Lora.

In the Pacific coast, significant tissue levels of HCHs, DDTs, musk fragrances and PDBEs were recorded in *A. tuberculosa* and *L. grandis*; which could not be related to the analysed biological endpoints because we had few localities to compare and, mainly, because the general biology, ecology and disease of these species is hitherto unknown and, therefore, we lacked reference data to compare. Nevertheless, our study supports that pustulose arks and ark shell clams in the Pacific coast could be used as biomonitors and sentinels for assessing pollution and ecosystem health disturbance in mangrove-lined coastal systems in Nicaragua. As in the case of mangrove cupped oysters, seasonality was also found to be crucial to properly design and conduct pollution monitoring programmes using these two species. Moreover, the present results constitute a pioneering study to establish baseline of tissue concentrations of contaminants in these species, as well as to characterise their general and reproductive biology, their growth and physiological/nutritional condition, and their health status (disease; including the identification of parasites and pathologies); all these parameters being susceptible to be used as low-cost, effortless

and reliable toolbox of biomarkers, indicative of ecosystem health disturbance in tropical and subtropical regions. The present data are preliminary; however, the two studied species bioaccumulate metals, PAHs and POPs in their tissues and therefore they open an opportunity to develop further studies dealing with the use of these species as biomonitors in tropical and subtropical Pacific mangrove ecosystems from Mexico to Peru. Finally, biological endpoints comparable to those used in sentinel *C. rhizophorae* (Chapter 2) appear to be suitable biomarkers of health disturbance but this cannot be corroborated until more basic research carried out to improve our knowledge of the general biology, ecology and disease of these species.

As a whole, slender marsh clams, *P. arctata*, in the Caribbean, and pustulose arks *A. tuberculosa*, and ark shell clams, *L. grandis*, in the Pacific are putative biomonitor and sentinel species for assessing chemical pollution and ecosystem health disturbance in the diverse mangrove-lined coastal systems that can be found in Nicaragua.

### 3. Needs analysis and recommendations

Mangrove cupped oysters, *C. rhizophorae*, are suitable biomonitors for pollution monitoring and assessment in Caribbean mangroves, including diverse habitats such as lagoons and swamps and over a wide geographical coastal region from Nicaragua to Colombia. The biomonitoring can be improved by using complementary species such as slender marsh clams, *P. arctata*, in combination; thus covering other neighbouring habitats of interest such as e.g. muddy flats. Likewise, the same conceptual and methodological approaches can be applied to neighbouring coastal areas of the tropical and subtropical regions, such as for instance the Pacific coast. It is worth noting that integrative approaches for marine and coastal pollution monitoring require the application of methodological standards in sampling and analysis of samples, as well as in the interpretation of results.

Regarding the WCR, in view of the present results it is highly recommended that national and regional monitoring programmes such as IMA in Trinidad and Tobago, GIWA in the whole WCR and REDCAM in Colombia would be greatly improved by including measurement of tissue concentrations of chemical pollutants in mangrove cupped oysters (or other biomonitor species) in addition to measurements of the levels of pollutants in water and sediments, as it is regularly done in other regions of the world such as Pacific Asia, Europe, Canada and the USA. Also, it is

relevant to emphasise that seasonality is crucial to properly design and conduct pollution monitoring programmes.

The determination of biological effect biomarkers for the assessment of ecosystem health disturbance often requires delicate equipment and instrumentation, special wares and expensive reagents, not always easy to afford by developing countries. Our study supports that simple methodological approaches (low-cost and effortless biomarkers) can be used to diagnose anomalies in the health status of mangrove cupped oysters (and slender marsh clams, pustulose arks, and ark shell clams), which would be indicative of disturbances in ecosystem health associated to chemical pollution, eutrophication (e.g. from domestic sewage) and other sources of environmental distress. Even if this cost-effective methodological approach is used, the use of biomarkers in tropical and subtropical coastal regions could be constrained by: (a) the difficulties for systematic routine sampling because accessibility to sampling sites is expensive and complicated, and because it is often hampered by natural phenomena such as hurricanes, cyclones and earthquakes (systematic biomonitoring or time-series are a difficult challenge); (b) the limited knowledge on the biology and ecology of target species of local or regional interest, as presently discussed for the case of *P. arctata* and more markedly for *A. tuberculosa* and *L. grandis*; (c) the difficulties to achieve adequate transportation of samples to the laboratory (transportation may take long time under non-optimal conditions for sample quality preservation); and (d) some technological hurdles (e.g. for in situ cryo-processing and further cryo-sample transportation) that hamper the application (at least occasionally for comparison and calibration purposes) of core biomarkers elsewhere applied in coastal biomonitoring such as e.g. lysosomal biomarkers (not applied in the present study).

After the experience acquired during the sampling campaigns and sample processing and in view of the present and other complementary results, the CARIBIOPOL consortium agreed the following recommendations in order overcome the aforementioned constrains: (a) research efforts must be addressed to extend and deepen the knowledge about the biology, ecology and disease of target species (especially *C. rhizophorae*, but also *P. arctata*, *A. tuberculosa* and *L. grandis*, amongst others of local/regional interest) in order facilitate a better interpretation of the biological results and their relationship with chemical pollution; (b) ad-hoc means, local assistance (this implies also than environmental awareness and education are a must) and networking are crucial to overcome existing logistic difficulties for routine or systematic sampling (e.g., thus facilitating



accessibility to sampling sites, and timely forecasting natural phenomena such as hurricanes, cyclones and earthquakes); (c) realistic consensus procedures (or alternative options) and contact-points must be agreed in order to secure the adequate transportation of samples to the laboratory; and (d) research policies (e.g. special permits for cold-ice transportation for research purposes), reference labs or institutional agreements (e.g. for researchers' mobility and exchanges or for using neighbouring hospital facilities and services) should be developed in order to overcome technological hurdles for applying more sensitive and sophisticated biomarkers.

Aimed at contributing to stimulate these actions in Nicaragua and Colombia, we identified partners/headquarters in the Caribbean Coast, their technical capabilities and their capacity to support accessibility to sampling localities. These were the Dept. of Biology of the Bluefields Indian Caribbean University (BICU) in the Nicaraguan Caribbean coast, the Infectious Disease Center from UNAN-León in the Nicaraguan Pacific coast and the Dept. of Biological and Environmental Sciences from UJTL, with headquarters in Bogotá and Santa Marta.

## **IV. Conclusions and Thesis**



## 1. Conclusions

- 1) Mangrove cupped oyster, *Crassostrea rhizophorae*, is a suitable biomonitor for assessing pollution in mangrove-lined coastal systems in the Caribbean, including diverse habitats such as lagoons and swamps and a geographically wide coastal region from Nicaragua to Colombia. Based on the determination of pollutant tissue levels in this species, the present study revealed that the pollution profile was different between Nicaragua and Colombia; as evidenced in both radar plot pollution profiles and PCA. Likewise, the levels of pollutants were different, which was clearly reflected in the CPI and PLI values. National and regional monitoring programmes in the WCR would be greatly improved by including measurements of tissue concentrations of chemical pollutants in mangrove cupped oysters in addition to measurements of the levels of pollutants in water and sediments.
- 2) The profiles and levels of pollutants recorded in mangrove cupped oyster tissues were useful to identify potential pollution problems and pollutant sources in the studied regions. Overall, low tissue concentrations of metals (except Hg) and PAHs, moderate-to-high tissue concentrations of Hg, HCHs, DDTs, and low levels of chlorpyrifos, PCBs and BDE85 were recorded in Nicaraguan oysters. A distinct profile of POPs was identified in Colombia, where the tissue concentrations of HCHs, DDTs and PCBs were negligible-to-low, noticeable tissue concentrations of synthetic musk fragrances were recorded and the levels of Ag, As, Cd, Pb, and PAHs ranged from moderate to extremely high.
- 3) Seasonality was a crucial factor affecting contamination profiles and concentrations and was seemingly related to seasonal variability in physicochemical properties of mangrove ecosystems as well as on diversification of human activities and practices (e.g., pest control, sanitation, tourism) between the rainy and the dry season, rather than the oysters' reproductive cycle.
- 4) Mangrove cupped oysters were not equally healthy in the different localities and seasons investigated herein, as evidenced by the IBR/n index. Complex multi-factorial sources of health disturbance seemed to co-occur over a regional background of low-to-moderate pollution (e.g. by pesticides and domestic sewage) in the Nicaraguan Caribbean coast and therefore correlation between IBR/n and pollution indices (PLI and CPI) was not significant. In contrast, different indicators of health disturbance were related to the presence of different

profiles and levels of chemical pollutants present at low-to-moderate levels, and IBR/n was correlated with pollution indices (PLI and CPI) in a real field situation influenced and modulated by biological variables and environmental factors that varied seasonally.

- 5) The assessment of ecosystem health disturbance by means of biomarkers in tropical and subtropical coastal regions may be constrained by difficulties for systematic routine sampling, the limited knowledge on the biology and ecology of local/regional target species, and the lack of adequate sample transportation to the laboratory; as well as by technological hurdles for applying core biomarkers elsewhere applied in coastal biomonitoring. However, the present study supports that simple methodological approaches can be used to diagnose anomalies in the health status of mangrove cupped oysters and to identify potential causing agents; these anomalies reflecting disturbances in ecosystem health associated to chemical pollutants, eutrophication (e.g. from domestic sewage) and other environmental stressors. Consequently, based on the low-cost, effortless and non-sophisticated biomarker toolbox used herein, mangrove cupped oysters can be used as sentinel species for the assessment of health disturbance in a variety Caribbean coastal ecosystems.
- 6) Although the tissue concentration of contaminants was, in general terms, slightly lower in *Polymesoda arctata* than in sympatric *C. rhizophorae*, the slender marsh clam appears to be a suitable biomonitor for chemical pollution assessment in the Caribbean. For synthetic musk fragrances and PBDEs, *P. arctata* exhibited a greater bioaccumulation capacity than *C. rhizophorae*, whilst this latter species exhibited a greater bioaccumulation for As, Cd, benzo[a]pyrene, and PCBs. These findings suggest that *P. arctata* would be complementary rather than alternative to *C. rhizophorae* as biomonitor for the mangrove-lined Caribbean coastal systems in Nicaragua. Likewise, *P. arctata* would be complementary to *C. rhizophorae* as sentinel organism for biological effects assessment.
- 7) Regarding the suitability of Pacific species, *Anadara tuberculosa* and *Larkinia grandis* as biomonitors and sentinels, our data are preliminary and in absence of previous records and of any comparison with recognised biomonitor and sentinel species from the area are difficult to interpret. However, it seems that the two studied species have the capacity to bioaccumulate metals, PAHs and POPs in their tissues and therefore they open an opportunity to develop further studies dealing with the use of these species as biomonitors in tropical and subtropical Pacific mangrove ecosystems from Mexico to Peru. Likewise, biological endpoints

comparable to those used in sentinel *C. rhizophorae* appear to be suitable to be used as biomarkers of health disturbance in these two species from the Nicaraguan Pacific coast. However, more basic research is needed on the general biology, ecology and disease of these species.

- 8) A toolbox of low-cost effortless biomarkers and biological endpoints including resilience, reproduction, growth and disease (histopathology), exactly as previously applied in the case of sentinel mangrove cupped oysters, has been shown to be useful for the case of using *P. arctata*, *A. tuberculosa* and *L. grandis* as sentinel species covering the whole geographical area of Nicaragua. Besides, as in the case of *C. rhizophorae*, seasonality is crucial to properly design and conduct pollution monitoring programmes using *P. arctata*; *A. tuberculosa* and *L. grandis* as biomonitors and sentinels.

## 2. Thesis

**Assessment of chemical pollution and ecosystem health disturbance in mangrove-lined coastal systems in the Pacific region of Nicaragua and in the Caribbean regions of Nicaragua and Colombia, are successfully achieved by using mangrove cupped oysters, *Crassostrea rhizophorae*, and other alternative and locally relevant bivalve species, such as *Polymesoda arctata*, *Anadara tuberculosa* and *Larkinia grandis*, as biomonitors and sentinels. For this purpose, the combination of pollutant tissue burdens together with low-cost and effortless biomarkers in an integrated approach must take into account seasonality and other sources of natural variability and confounding factors, as well as the occurrence of multiple stressors.**



## **V. Annexes**





**Anexo 1. Protocolo de muestreo**

**CARIBIOPOL 2012-2013**

Planificación Muestreo: / / / /

Nombre proyecto	Muestreo	País	Especie bivalvo	Sitio de muestreo
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**CB 1 N 0 1**

**PUNTOS DE MUESTREO**

Localidad	Sitio de muestreo	Etiqueta por especie			

**MAREAS**

Día	Hora tabla	Altura (mts)

**TÉCNICAS Y ANIMALES A USAR**

Técnicas a usar	Nº animales	Etiqueta	Envase-Procesado
Histología	20	H	20 corte transversal EN Casetes, <b>DAVIDSON</b>
	5		Órganos separados: 5 Manto (M), 5 Glándula Digestiva (GD) 5 Branquia (Br). Casetes, <b>FORMALDEHIDO</b>
BIOLOGIA MOLECULAR	5	BM	5 Manto (M) 5 Glándula Digestiva (GD) 5 Branquia(Br), todos los crioviales deben de llevar 0.5 ml de rNA Later
Stress on stress	30	SOS	Enteros, vivos en agua o lodo según especie
Biometry	25	Flesh condition	Enteros, vivos en agua o lodo según especie
QCA-PAHs, Plaguicidas y Metales pesados	25	PAHs	Enteros, vivos, bolsa QMCA
QCA-PAHs, Plaguicidas y Metales pesados	1 L	Agua química	Dos envases de ½ L

**PLANIFICACIÓN GENERAL: / / / /****Participantes:****Material muestreos:****Por punto de muestreo y especie:**

- 15 Crioviales etiquetados:
  - o 15 para BIOLOGIA MOLECULAR (BM) de los cuales 5-GD 5-Br 5-M
- 25 casetes etiquetados para HISTOLOGIA, de los cuales:
  - o 20 para cortes transversales.
  - o 5 para GD+M+Br, órganos separados.
- 1 bolsa de plástico etiquetada para QMCA.
- 1 bolsa de plástico etiquetada con trozos de papel aluminio numerados para guardar las conchas.
- 3 cajas de 15L etiquetadas con el nombre del sitio para coleccionar los bivalvos.

### **Material de disección**

- 1 caja poliespán con acumuladores de frío
- Material de disección (tijera, pinza y bisturí por persona)
- 2 cuchillos para ostras
- Lápiz y sacapuntas
- 2 Botes con fijador por especie (DAVIDSON, FORMALDEHIDO)
- 1 marcador permanente
- 1 regla
- espátula o cuchillo
- papel de filtro
- 3 bandejas
- etiquetas extra
- Guantes
- rNA later
- Bolsa para basura
- Balanza (g)

### **CAMBIOS A TENER EN CUENTA**

- 1- Utilizaremos 2 fijadores: DAVIDSON, FORMALDEHIDO
- 2- Se guardan muestras para Metales, PHA y Plaguicidas a -40 hasta su proceso de liofilización.
- 3- Todas las conchas de todos los individuos se guardan.

### **¿QUÉ HAY QUE HACER?**

**1.-** Es importante señalar que aún no están decididas las técnicas que se van a llevar a cabo sobre las muestras recogidas. Por eso las etiquetas se dividen en grupos: HISTOLOGIA, BIOLOGIA MOLECULAR y QUIMICA.

**2.-** En cada punto de muestreo se cogerán 85-110 individuos. En el caso de que los animales estén llenos de arena o fango procurar pasarlos por agua y quitar la mayor parte posible de arena.

**3.- 85 bivalvos** se meten en una caja de 15 L con agua de la estación de muestreo y se llevan al laboratorio. Al llegar al laboratorio, **30** de estos bivalvos se pondrán al aire en el lugar indicado para **stres on stres**, **25** se diseccionan se miden el peso total, concha y carne, todo el tejido blando del bivalvo se guarda -40°C para su **liofilización** y posteriormente su análisis de **metales, PAHs, POPs** y cálculo de **índice de condición**, en el caso de que el proceso de liofilización y análisis químico se realice en otro centro, se deben seleccionar otros 25 bivalvos para el cálculo de índice de condición y secar a 105°C por 24 hr.

#### **4.- Para histología:**

-Utilizaremos **20** bivalvos a los que haremos un corte transversal, que contenga la glándula digestiva, parte de la branquia y el manto (bivalvos 1-20). Los meteremos directamente en un casete para fijar en DAVIDSON.

-Disecionaremos **5** bivalvos más. Meter la glándula digestiva, el manto y la branquia en un casete para fijar en FORMALDEHIDO (bivalvos 20-25).

-En total se utilizan 25 bivalvos para histología (1-25).

**5.- Biología molecular**

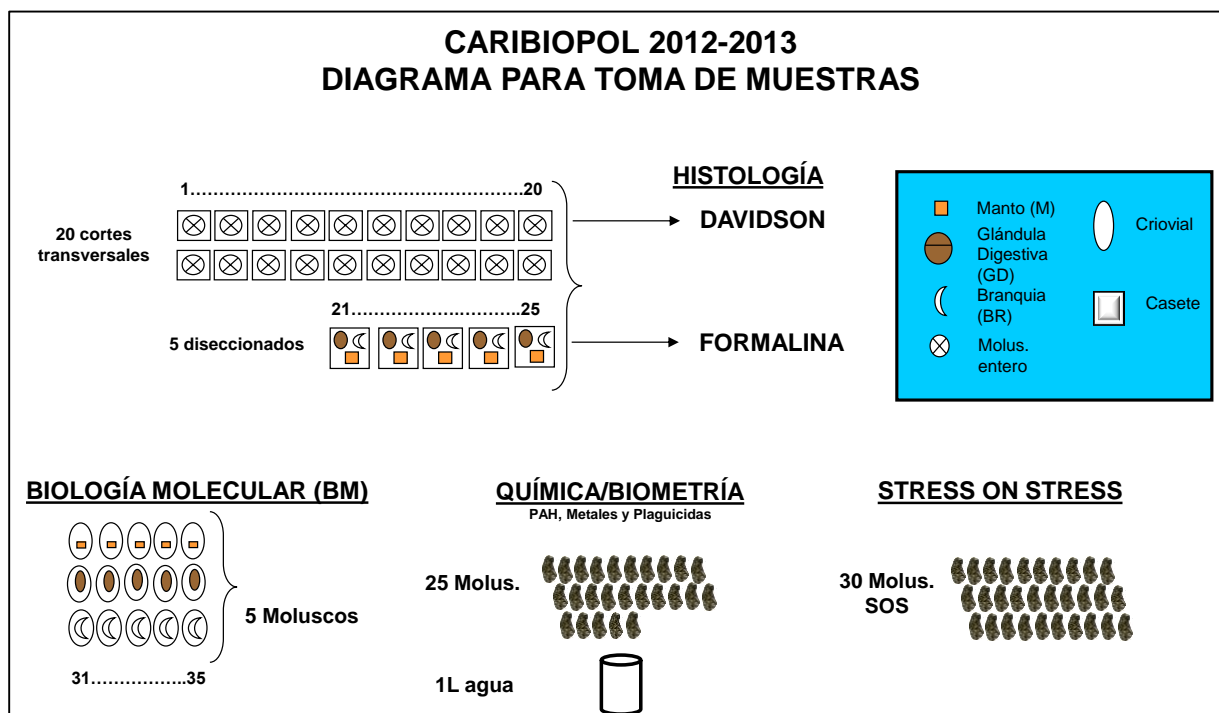
-A **cinco** bivalvos se les extraen ambas branquias de cada individuo y se introducen en los crioviales debidamente etiquetados, de igual manera la gónada y glándula digestiva. Los crioviales contienen 0.5 ml de rNA Later (inactivación rNasa) se traslada al laboratorio en una nevera con hielo azul (Ice pack) y luego se guardan a -80°C.

**6.- Guardar las conchas** de cada individuo que se utilizó para su posterior análisis de biometría, con el código de la etiqueta en la parte interna de ambas valvas y envueltas en papel aluminio.

**Importante:**

1.- Al llegar de vuelta al laboratorio, el material refrigerado debe guardarse inmediatamente en un congelador a -80 °C (Biología Molecular), limpiar, secar y preparar el material de disección para ser utilizarlo en el siguiente muestreo.

**INCIDENCIAS:** Anotar cualquier alteración que haya ocurrido en el proceso de muestreo, disección o transporte de muestras.



## Anexo 2. Analysis of tissue concentrations of contaminants

*Preprocessing and bottling:* the soft body of each bivalve was separated from the shell, homogenized (blender Black and Decker), pooled (25 bivalves per sample) and maintained at -40°C during processing (2-3 d). Pooled samples were freeze-dried (between -46 and -52°C) and at low pressure (between ~0.17 and 0.22 mbar) in a Cryodos T-50 (Telstar, Barcelona, Spain; Navarro et al., 2010). The samples were then homogenised in a Pulverisette 6 ball mill (Fritsch, Quebec, Canada) resulting in a fine powder (<125µm particle size), sieved with a 250µm mesh. A Laborette 27 rotary cone sample divider (Fritsch GmbH) was used to complete the homogenisation of the sample. This process was repeated twice to guarantee the material homogeneity. Then, the material was sub-sampled and distributed in amber bottles (~30g in each bottle) at -20 °C in the fridge until analysis. Metals tissue concentration of (silver, aluminium, arsenic, barium, cadmium, chromium, cobalt, copper, iron, mercury, lithium, magnesium, manganese, molybdenum, nickel, lead, antimony, selenium, tin, strontium, titanium, thallium, vanadium and tungsten) Ag, Al, As, Ba, Cd, Cr, Co, Cu, Fe, Hg, Li, Mg, Mn, Mo, Ni, Pb, Sb, Se, Sn, Sr, Ti, Tl, V and W, in whole soft tissue were determined by ICP-MS (Bartolomé et al., 2010; Navarro et al., 2010). Nevertheless, organic pollutants assessment was focused on Matrix Solid Phase Dispersion (MSPD) extraction method followed by gas chromatography coupled to single mass spectrometry (GC-MS) to determine up to 41 non-polar or slightly non-polar organic pollutants (PAHs, PCBs, PBDEs, OCPs, OPPs and musk fragrances) (Ziarrusta et al., 2015).

*Chemicals solution and reference material of metal:* for the inductively coupled plasma-mass spectrometric (ICP-MS) determination, individual standard solutions of metals (1000µg mL<sup>-1</sup> for Ag, Al, As, Ba, Cd, Cr, Co, Cu, Fe, Hg, Li, Mg, Mn, Mo, Ni, Pb, Sb, Se, Sn, Sr, Ti, Tl, V and W) was used. The Standard Reference Material SRM NIST-2977 (mussel tissue, *Perna perna*) was supplied by the National Institute of Standards and Technology (Gaithersburg, USA). The microwave-assisted extraction was carried out in a close microwave device MDS-2000 (CEM Corporation, Matthews, USA) equipped with 12 Teflon vessels and a pressure controller. The samples were evaporated in a TurboVap LV (Zymark, Barcelona, Spain).

*Determination of metal tissue concentration:* a aliquots (0.2 g) were placed in an extraction vessel with 15mL of 7% (v/v) nitric acid. The following digestion program was used: at first, the oven worked at full power (630W) in order to reach 30psi, then, the pressure was kept constant at 80% of power during 30min. After cooling, the extracts were filtered through syringe PTFE filters

(25mm, 5 $\mu$ m, Waters, Milford, USA), diluted to 50mL with Milli-Q water and 100 $\mu$ L of the internal standard solution (5 $\mu$ gg<sup>-1</sup>) was added. These extracts were kept in the dark in polyethylene vials at 4°C until the analysis. The ICP-MS system (ELAN 9000; Perkin-Elmer SCIEX, Thornhill, Ontario, Canada) was used for the analysis: nebulizer, plasma and auxiliary flows 0.9, 15 and 1.2 Lmin<sup>-1</sup>, respectively, sample flow 1mLmin<sup>-1</sup>, radiofrequency power 1000W, integration time 1500ms and four replicates (Bartolomé et al., 2010; Navarro et al., 2010). The analytic procedure was evaluated by means of Standard Reference Material (SRM) and the use of laboratory reference materials. Tissue metal concentrations were expressed as  $\mu$ g metal/g tissue dry weight (Bartolomé et al., 2010; Navarro et al., 2010)

*Chemicals solution and reference material of organic pollutants:* the names of the target analytes and the isotopically labelled standards used as surrogates, the abbreviations and the purity of the standards are included in Table 1. PCB Mix-3 (CB 28, CB 52, CB 101, CB 118, CB 138, CB 153 and CB 180) was supplied by Dr. Ehrenstorfer GmbH (Augsburg, Germany) and the individual standard of CB 52 by Sigma-Aldrich (St. Louis, MO, USA). Bromodiphenyl Ethers Lake Michigan Study mix (BDE 28, BDE 47, BDE 66, BDE 85, BDE 99, BDE 100, BDE 138, BDE 153 and BDE 154) was purchased from Isostandards Materials (Madrid, Spain) and the individual standard of BDE 100 from Sigma-Aldrich (St. Louis, MO, USA). SS TCL PAH Mix containing EPAs 16 priority PAHs was obtained from Supelco (Walton-on-Thames, UK). The surrogate standard PAH deut 5 containing 5 deuterated PAHs ([2 H8]-naphthalene, [2 H10]-acenaphthene, [2 H10]-phenanthrene, [2 H12]-chrysene and [2 H12]-perylene) was provided by Dr. Ehrenstorfer GmbH. The two polycyclic musks, tonalide (AHTN) and galaxolide (HHCB), were obtained from LGC Standards GmbH (Wesel, Germany), whereas [2 H15]-musk xylene was supplied by Dr. Ehrenstorfer GmbH. The four OCPs (o,p-dichlorodiphenyldichloroethane, p,p-dichlorodiphenyl-dichloroethylene, o,p-dichlorodiphenyl-trichloro-ethane, p,p-dichlorodiphenyl-trichloroethane) and four HCH isomers were supplied by Dr. Ehrenstorfer GmbH. The two OPPs, chlorpyrifos and chlorfenvinphos, as well as the deuterated analogue [2 H8]-1,1,1-trichloro-2,2-bis(4-chlorophenyl)ethane, were provided by Sigma-Aldrich. [2 H66]-n-dotriacontane and [2 H46]-n-docosane were acquired from CDS Isotopes Inc. (Sainte-Foy-La-Grande, France). Individual stock solutions from each solid standard were dissolved to prepare 1000 g g<sup>-1</sup> stock solutions in 2-propanol (HPLC-grade, 99.8%, LabScan, Dublin, Ireland). These solutions were stored in amber vials at -20 °C. 100 mg L<sup>-1</sup> dilutions were prepared in 2-propanol monthly and more diluted stocks were prepared daily according to the experimentation. The solvents n-hexane

(95%), dichloromethane (DCM; 99.8%), ethyl acetate (EtOAc; 99.8%) and acetone (99.8%) used for elution were provided by LabScan. Empty polypropylene cartridges (10 mL capacity) were purchased from HSW Norm-Jet (Keltenstrasse, Germany), BD Discardit II (Huesca, Spain) and Omnifix-F (B. Braun Melsungen AG, Germany). Empty glass syringes of 6 mL and 10 mL capacity were acquired from Supelco (Bellefonte, PA, USA) and Rutherford Vintage (Ruthe, Portugal), respectively. 6 mL and 12 mL polyethylene frits were purchased from Supelco. For dispersion and clean-up purposes, different solid sorbents were used. Diatomaceous earth (acid washed not further calcined, 95% purity), Florisil and silica (high purity grade, 70–230 mesh) were provided by Sigma–Aldrich. EnviCarb (Supelclean 120/400) was acquired from Supelco, zeolite from Zeolyst International (Conshohocken, USA) and Plexa and octadecyl-functionalized silica (Bondesil-C18) from Agilent Technologies (Lake Forest, USA). Silica and Florisil were activated at 130 °C overnight and maintained in a dry atmosphere until their use for analysis purposes. When necessary, both phases were deactivated with controlled percentages of sulphuric acid (H<sub>2</sub>SO<sub>4</sub>; 95–97%) purchased from Merck (Darmstadt, Germany). A Cryodos-50 laboratory freeze-dryer from Telstar Instrument (Sant Cugat del Valles, Barcelona, Spain) was used to freeze-dry the mollusc samples. Extracted fractions were evaporated at 20 °C in a Turbovap LV Evaporator (Zymark, Hopkinton, MA, USA) using a gentle N<sub>2</sub> (99.999%, Messer, Vilaseca, Spain) blowdown.

*Material cleaning:* all the laboratory material was cleaned with abundant pure water (<0.2 S cm<sup>-1</sup>, Millipore, Billerica, MA, USA) and without using detergent to avoid possible contamination. The material was sonicated in clean acetone (Q.P., Panreac Química, Spain) for an hour and rinsed with ultrapure water (<0.057 S cm<sup>-1</sup>, Milli-Q model, Millipore). Finally, the glass material was dried in an oven at 400 °C for 4 h. The glass syringes were sonicated in clean DCM for an hour and rinsed with ultrapure water before drying in an oven at 130 °C for approx. 12 h.

*MSPD extraction and clean-up:* under optimised conditions, 0.30 g of freeze-dried mollusc sample and 0.30 g of Florisil dispersant were manually blended for 2 min in a glass mortar using a glass pestle. A 10-mL glass syringe, containing a polyethylene frit at the bottom, was filled from bottom to top as follows: 0.60 g of deactivated silica, 4.00 g of activated silica and finally the blended material. Isotopically labelled surrogates were spiked at 50 ng g<sup>-1</sup> in the blended material and a second frit was placed over. Subsequently, analytes were eluted with 25 mL of DCM (measured at collection) and the eluate obtained was evaporated to dryness using a gentle N<sub>2</sub> blowdown and reconstituted to a final volume of 140 L of n-hexane. The extracts were analysed



by means of GC–MS or GC–MS/MS. For optimisation and validation purposes freeze-dried fish hatchery mussels (obtained from a local market), a freeze-dried mussel tissue laboratory reference material (LRM) and the freeze-dried mussel tissue SRM-2977 (National Institute of Standards and Technology, Gaithersburg, MD, USA) were used.

*GC–MS analysis:* the MSPD extracts were analysed in an Agilent 7890A gas chromatograph coupled to an Agilent 7000 triple quadrupole mass spectrometer and using an Agilent 7693 autosampler (Agilent Technologies). 2 L of the extract was injected in the splitless mode (1.5 min) at 300 °C in an Agilent HP-5 ms capillary column (30 m × 0.25 mm, 0.25 μm) with helium (99.9995%, Carbueros Metálicos, Barcelona, Spain) as carrier gas at a constant flow of 1.3 mL min<sup>-1</sup>. The following oven temperature programme was used for the separation of the 41 target compounds: 60 °C (held 1 min) to 140 °C at 30 °C min<sup>-1</sup>, to 200 °C at 3 °C min<sup>-1</sup>, to 240 °C at 5 °C min<sup>-1</sup> and a final increase of 30 °C min<sup>-1</sup> up to 300 °C, where it was held for 10 min. When LVI-PTV injection was considered, 10 L of the sample extract was injected at 50 °C while the vent valve was opened for 3 min at a flow rate of 75 mL min<sup>-1</sup> and a vent pressure of 2.9 psi. Subsequently, the analytes were focused to the column in splitless mode for 1.5 min while the temperature of the PTV injection port was increased at 12 °C min<sup>-1</sup> to 300 °C and held for 5 min. Finally, the inlet was further cleaned at a purge flow of 50 mL min<sup>-1</sup> before further injections. The mass spectrometer worked in the electron impact (EI) mode with electron energy of 70 eV. The temperature of the interface was kept at 310 °C, while the temperature of the ionisation source and the detector were maintained at 230 °C and 150 °C, respectively. The measurements were performed both in the selected ion monitoring (SIM) and in the selected reaction monitoring (SRM) modes (see Table 1). In the latter, N<sub>2</sub> (99.9999%; Air Liquide, Spain) was required as collision gas at a flow of 1.5 mL min<sup>-1</sup>. In the case of the SIM mode, the first ion was used as quantifier while the second ion was considered as qualifier. In the case of the SRM mode, one precursor ion and two product ions (one used as quantifier and the other one as qualifier) were monitored, as recommended by the identification requirements of the European guidelines (Commission Decision, 2002/657/EC and SANCO/10684/2009 Guideline). The sum of the dwell times within a window was maintained in 100 ms and longer dwell times were used for the target analytes compared to the corresponding labelled standards in order to improve the signal of the former (see Table 1). The MassHunter WorkStation Acquisition Software (Version B.05.02/Build 5.2.365.0, Agilent Technologies, 2008) was used for data acquisition and automatic integration and quantification of the results.

Compounds analyzed by MSPD–GC–MS according to Ziarrusta et al., 2015.

Abbreviation <i>Polycyclic aromatic hydrocarbons</i>	Name	Abbreviation <i>Polychlorinated biphenyls</i>	Name
Acy	Acenaphthylene	CB 28	2,4,4'-Trichlorobiphenyl
Ace	Acenaphthene	CB 52	2,2',5,5'-Tetrachlorobiphenyl
Flu	Fluorene	CB 101	2,2',4,5,5'- Pentachlorobiphenyl
Phe	Phenanthrene	CB 118	2,3',4,4',5- Pentachlorobiphenyl
Ant	Anthracene	CB 138	2,2',3,4,4',5'- Hexachlorobiphenyl
Flr	Fluoranthene	CB 153	2,2',4,4',5,5'-Hexachlorobiphenyl
Pyr	Pyrene	CB 180	2,2',3,4,4',5,5'- Heptachlorobiphenyl
B[a]A	Benzo[a]anthracene	<i>Polybrominated diphenyl ethers</i>	
Chr	Chrysene	BDE 28	2,4,4'-Tribromodiphenyl ether
B[b]F	Benzo[b]fluoranthene	BDE 47	2,2',4,4'-Tetrabromodiphenyl ether
B[k]F	Benzo[k]fluoranthene	BDE 66	2,3',4,4'-Tetrabromodiphenyl ether
B[a]P	Benzo[a]pyrene	BDE 85	2,2',4,4',6-Pentabromodiphenyl ether
B[ghi]P	Benzo[g,h,i]perylene	BDE 99	2,2',3,4,4'-Pentabromodiphenyl ether
Ind	Indene[1,2,3-cd]pyrene	BDE 100	2,2',4,4',5-Pentabromodiphenyl ether
D[ah]A	Dibenzo[a,h]anthracene	BDE 153	2,2',4,4',5,5'- Hexabromodiphenyl ether
<i>Isotopically labelled compounds</i>		BDE 154	2,2',4,4',5,6'- Hexabromodiphenyl ether
[2H10]-Ace	[2H10]-Acenaphthene		
[2H10]-Phe	[2H10]-Phenanthrene		
[2H10]-Chr	[2H10]-Chrysene		
[2H12]-Pyr	[2H12]-Perylene		
[2H15]-MX	[2H15]-musk xylene		
[2H8]-4,4' -DDT	1,1,1-Trichloro-2,2- bis(4-chlorophenyl)ethane-d8		
[2H46]-n-docosane			
[2H66]-n-dotriacontane			
<i>Organophosphorous</i>			
Chlor	Chlorpyrifos		
Chlorf	Chlorfenvinphos		
<i>Organochlorine pesticides</i>			
$\delta$ -HCH	$\delta$ -Hexachlorocyclohexane		
$\alpha$ -HCH	$\alpha$ -Hexachlorocyclohexane		
$\beta$ -HCH	$\beta$ -Hexachlorocyclohexane		
$\gamma$ -HCH	$\gamma$ -Hexachlorocyclohexane		
4,4' -DDE	p,p'-Dichlorodiphenyl- dichloroethylene		
2,4'-DDD	o,p'- Dichlorodiphenyldichloroethane		
2,4' -DDT	o,p'-Dichlorodiphenyl- trichloro-ethane		
4,4'-DDT	p,p'-Dichlorodiphenyl- trichloroethane		
<i>Polycyclic musks</i>			
HHCB	Galaxolide		
AHTN	Tonalide		

### Anexo 3. Descripción de disección según la especie de bivalvo y procesos de preparación de tejido.

*Preparación de tejido y disección de Ostra:* Para abrir la ostra se introduce un cuchillo de ostras en la parte del ligamento entre los umbos. Una vez roto el ligamento, se cortan los músculos aductores presente en la parte media de la ostra. Una sección transversal de 5 mm de espesor de tejido se elimina de la ostra con un bisturí o unas tijeras, con la finalidad que la segunda sección contenga todos los órganos. La segunda sección de tejido se obtiene de tal manera que la cara dorsal-ventral pasa a través de la glándula digestiva, tejido de las branquias y gónada. Veinte secciones se colocan inmediatamente en un casete de tejido y el casete se introduce en un recipiente lleno de fijador de Davidson (Tabla 1) durante 48 horas. Después de 48 horas, el fijador se elimina y se añade etanol al 70% y los tejidos se dejan reposar hasta su procesamiento. Otras cinco secciones se colocan inmediatamente en un casete de tejido y el casete se introduce en un recipiente lleno de fijador de Formaldehído al 10% (Tabla 2) durante 24 horas. Después de 24 horas, el fijador se elimina y se añade etanol al 70% y los tejidos se dejan reposar hasta su procesamiento.

**Tabla 1.** Reactivos y proporcione para preparación histológica del fijador Davidson (Kim et al., 2006).

Secuencia de mezcla	Compuesto	Formula	Peso molecular (g/mol)	Marca	1L
1	Ácido acético glacial (ml)*	C <sub>2</sub> H <sub>4</sub> O <sub>2</sub>	60.05	Fluka 45731	100
2	Formaldehído 40% (ml)		30.03	Panreac 211328	200
3	Etanol 96° (ml)				300
4**	Cloruro de sodio (g)				7.5
	Agua destilada (ml)				300
5	Glicerina (ml)	C <sub>3</sub> H <sub>8</sub> O <sub>3</sub>	92.09	Sigma G-6279	100

Nota: \* ácido acético ≥ 99.8%, las proporciones están dadas para un volumen total de 1 litro.

\*\* La mezcla de la sal y agua destilada se prepara aparte y luego se mezcla.

*Preparación de tejido y disección de Berberecho y Almeja:* Para abrir los berberechos y almejas se introduce un cuchillo en la parte del ligamento entre los umbos, hasta romper éste y se cortan los músculos aductores. Una sección transversal de 5 mm de espesor de tejido se elimina del bivalvo con un bisturí, con la finalidad que la segunda sección contenga todos los órganos. La segunda sección de tejido se obtiene de tal manera que la cara dorsal-ventral pasa a través de la glándula digestiva, tejido de las branquias y gónada. En ésta segunda sección, debemos de limpiar un poco la glándula digestiva del musculo del pie, ya que a la hora de realizar las secciones en el micrótopo nos daña la cuchilla al igual que la sección a extraer. Otra forma de hacer la toma de muestra es extraer de cada bivalvo la glándula digestiva, branquias y manto por separado. Veinte secciones se colocan inmediatamente en un casete de tejido y el casete se introduce en un recipiente lleno de fijador de Davidson (Tabla 1) durante 48 horas. Después de 48 horas, el fijador se elimina y se añade etanol al 70% y los tejidos se dejan reposar hasta su procesamiento. Otras cinco

secciones se colocan inmediatamente en un casete de tejido y el casete se introduce en un recipiente lleno de fijador de Formaldehído al 10% (Tabla 2) durante 24 horas. Después de 24 horas, el fijador se elimina y se añade etanol al 70% y los tejidos se dejan reposar hasta su procesamiento.

**Tabla 2.** Reactivos y proporcione para preparación histológica del fijador Formaldehído 10% (Martoja y Martoja-Pierson, 1970).

Secuencia de mezcla	Compuesto	Formula	Peso molecular (g/mol)	Marca	1L
1	Formaldehído 40% (ml)		30.03	Panreac 211328	100
2	Fosfato disódico 12H <sub>2</sub> O (g)	Na <sub>2</sub> H <sub>2</sub> PO <sub>4</sub> 12H <sub>2</sub> O	358.14	Fluka 71650	28.92
3	Fosfato monosódico 1H <sub>2</sub> O (g)	NaH <sub>2</sub> PO <sub>4</sub> H <sub>2</sub> O	137.99	Panreac 131965/Fluka 71506/Probus 183710	2.56
4	Agua destilada (ml)	Rellenar hasta alcanzar un litro			1L

Nota: Si no se cuenta con el fosfato monosódico y disódico, se puede utilizar agua marina del sitio de muestreo y formaldehído en la proporción 9:1 (formaldehído al 10%).

## Preparación de portas

*Deshidratación e inclusión de muestras de tejido de bivalvos:* Las muestras de tejido individuales se preparan para ser incluidas en parafina, utilizando un protocolo de deshidratación (Tabla 3). Las soluciones usadas para la deshidratación, limpieza e inclusión se cambian con frecuencia para mantener la pureza de la solución. Todo éste proceso se puede hacer manualmente moviendo los tejidos, siguiendo la secuencia de las soluciones. La parafina se funde a una temperatura de 60-75 ° C. La parafina derretida siempre se debe utilizar en la infiltración final y pasos de inclusión. Después de que los tejidos están en las cubetas de infiltración de parafina lista para su inclusión, se extrae la muestra de tejido de los casetes y se ponen en un molde de plástico o acero inoxidable. Se procede a rellenar de parafina fundida para su inclusión, se coloca en la parte superior del molde el casete que contiene la etiqueta de la muestra y se traslada a una placa fría del sistema de inclusión. A medida que el tejido / parafina se enfría endurece. Se debe tener cuidado de usar parafina suficiente para cubrir el tejido después de esta contracción. El molde se deja en la placa fría hasta que se retira el bloque de tejido-parafina 1hr o de 1-2 hr a temperatura ambiente. El bloque se almacena a temperatura ambiente 32-35°C.

*Corte de tejido:* Los bloques de parafina se cortan utilizando un micrótopo, iniciamos a 20 micras hasta exponer el tejido en toda la sección transversal, luego se cortan las secciones a 5 micras. Las secciones de tejido pueden ser cortadas individualmente o en secciones continuas. Las secciones se colocan en la superficie de un baño maría de agua destilada, a temperatura de 45-50 ° C y se dejan expandir. Una vez que las secciones se expanden a su tamaño completo, son pescadas con un portaobjetos en un ángulo de 90°, el que se desliza bajo una o más de las secciones de tejido, el portaobjeto contiene albúmina de huevo para mejorar la fijación del tejido al cristal.

Las secciones ya puestas en el portaobjeto son secadas en un horno a 37 °C por 24 hr o a temperatura ambiente toda la noche. Éstas se almacenan a temperatura ambiente 32-35°C, hasta su proceso de tinción.

**Tabla 3.** Protocolo de deshidratación de muestras de bivalvos, adaptado para Nicaragua CARIBIOPOL 2012-2013, modificado de Kim et al., (2006).

Pasos	Deshidratación de muestras en Formaldehido y Davidson	
	Compuestos	Tiempo (h)
1	Etanol 70%	1
2	Etanol 96%	1
3	Etanol 96%	1
4	Etanol absoluto 100%	1
5	Etanol absoluto 100%	1
6	IMS	1
7	Xilol	1
8	Xilol	1
9	Parafina	2
10	Parafina	2
11	Inclusión	
Tiempo total		12

Nota: IMS (proporción 1:1 de alcohol absoluto y xilol). El alcohol étlico desnaturalizado al 99° es considerado absoluto.

*Tinción de tejidos:* Las secciones son desparafinadas e hidratadas utilizando una serie de xiloles y etanoles (Tabla 4). Después de la hidratación, los portaobjetos se tiñen en Hematoxilina de Harris modificada 4 minutos. En éste paso es conveniente respetar el tiempo de tinción que recomienda cada tipo de solución de hematoxilina. Dependiendo de la fórmula de preparación el tiempo puede variar de 3-20 minutos. Luego se procede a lavar en agua corriente y diferenciar el tejido con alcohol ácido 0.25% para eliminar el exceso de colorante hasta que los núcleos y componentes basófilicos de las células sea lo único que permanezcan teñidos. Virar al color azul, empleando Carbonato de litio 1.3%. Aplicamos el colorante de Eosina 2 minutos, el cual puede modificarse según el tipo de tejido y tiempo de uso del tinte. Posteriormente se procede a la deshidratación de las secciones en una serie de etanoles en escala ascendente y finalmente se diafanizan o aclaran empleando xilol. Los tiempos requeridos para cada paso son flexibles, tanto en los procedimientos de tinción descritos aquí y en el protocolo de inclusión anterior. Diferentes tipos de tejido pueden requerir diferentes momentos. Todas las soluciones, especialmente los xilenos y etanoles queridos, deben cambiarse con frecuencia. Los portaobjetos que contienen las secciones, no debemos permitir que se sequen durante las transferencias entre cubetas si el proceso es manual.

*Montaje de tejido:* Este procedimiento consiste en colocar encima de la sección o corte teñido y diafanizado una gota de una sustancia adherente, diluida, generalmente en *xilol (DPX medio de montar para histología) o resina natural como el bálsamo de Canadá*, cuyos índices de refracción son similares a los del vidrio, luego se pone una lámina de cubreobjetos encima del tejido, cuidando que no queden burbujas de aire entre la resina. A continuación se deja que el xilol se evapore y la resina adquiera solidez suficiente; para ello las láminas se colocan en una bandeja horizontal por 12 hrs y estarán listas para ser observadas.

**Tabla 4.** Protocolo de tinción de Hematoxilina –Eosina adaptado para “Bivalvos de Nicaragua”, modificada de Kim et al., (2006).

Paso	Estación	Reactivo	Tiempo en minutos	Exacto
1	1	Xilol	10:00	-
2	2	Xilol	10:00	-
3	3	Alc. absoluto	02:00	-
4	4	Alc. absoluto	02:00	-
5	5	Alc. 96°	02:00	-
6	6	Alc. 70°	02:00	-
7	7	Agua destilada	05:00	-
8	8	<b>Hematoxilina</b>	<b>04:00</b>	SI
9	Wash 5	Agua corriente	04:00	-
10	9	Alcohol ácido	00:10	SI
11	Wash 4	Agua corriente	05:00	-
12	10	Carbonato de litio	00:10	SI
13	Wash 3	Agua corriente	01:00	-
14	11	<b>Eosina</b>	<b>02:00</b>	SI
15	Wash 2	Agua corriente	00:01	-
16	Wash 1	Agua corriente	00:50	-
17	13	Alc. 70°	00:30	SI
18	14	Alc. 96°	00:30	SI
19	15	Alc. absoluto	01:20	SI
20	16	Alc. absoluto	01:20	SI
21	17	Xilol	01:00	-
22	18	Xilol	01:00	-
23	Exit	Xilol	01:00	-

Nota: El tiempo de la EOSINA (aumenta en relación al tiempo de uso del tinte). La intensidad del color de la eosina disminuye si aumentamos el tiempo de la salida de los alcoholes (pasos 17;18;19).

**Carbonato de Litio 1.3%:** Para hacer una dilución de carbonato de litio en agua se busca la saturación de ésta sal en ella, y después se filtra. El nivel de saturación es de 1.3 g cada 100 ml de agua destilada.

**Alcohol ácido al 0.25%:** Añadir 0.25 ml de ácido clorhídrico en 100 ml de alcohol al 70%.

#### Anexo 4. Análisis de tejidos teñidos con Ematoxilina-Eosina.

Las diapositivas preparadas son examinadas individualmente bajo el microscopio con un objetivo de 10X. Si cualquier tejido necesita ser examinado más de cerca, un objetivo 20X o 40X puede ser utilizado para un examen más detallado de las sospechas de patologías o parásitos. Los principales tipos de tejidos examinados incluyen branquias, manto, gónadas y gonoductos, túbulos de glándula digestiva, estómago y tejido conectivo. A medida que el análisis histopatológico se realiza en conjunto con el análisis gonadal, los bivalvos son examinados generalmente empezando por las gónadas para determinar el sexo y la etapa de desarrollo gonadal. Luego se examinan las branquias y la masa visceral (Kim et al., 2006).

**Tabla 5.** Estados reproductivos en ostras, modificado de Kim et al., (2006); Ortiz-Zarragoitia y Cajaraville, (2010).

Fase gonadal	Índice gonadal	Estado	Características
1	0	Sexualmente indiferenciado	Poco o ningún tejido gonadal visible.
2	1	Desarrollo inicial	Los folículos comienzan a expandirse.
3	2	Desarrollo medio	Los folículos se expanden y empiezan a fusionarse; no hay gametos maduros presentes.
4	4	Desarrollo avanzado	Folículos expandidos en gran medida, y unidos, el tejido conectivo es considerable; algunos gametos maduros presentes.
5	5	Desarrollo total	La mayoría de los son gametos maduros, poco tejido conjuntivo restante.
6	3	Desove	Gametos visibles en gonoductos.
7	2	Desovado	Reducción del número de gametos, algunos gametos maduros que aún quedan, evidencia de actividad reproductiva renovada.
8	1	Desovado	Poco o ningún gameto visible, tejido gonadal atrofiado.

Un examen histopatológico también se puede llevar a cabo en paralelo al análisis gonadal (Kim et al., 2006; Garmendia et al., 2011). Se necesita un examen cuidadoso de las etapas tempranas del desarrollo gonadal para distinguir entre machos y hembras, el cual puede ser indiferenciado. La etapa del ciclo gametogénico se asigna en función de la madurez de los folículos y gametos, un valor numérico es asignado como se describe en las Tablas 5 y 6. El cálculo de la proporción sexual, se determina  $N^{\circ}\text{hembras}/N^{\circ}\text{machos}$ .

**Tabla 6.** Estado reproductivo en *Polymesoda arctata*, *Anadara tuberculosa* y *Larkinia grandis*, modificado de Kim et al., (2006); Ortiz-Zarragoitia y Cajaraville, (2010).

Fase gonadal	Índice gonadal	Estado	Característica
I	0	Reposo	Inactivo o indiferenciado.
II	1	Desarrollo	La gametogénesis comienza; no hay gametos maduros visibles.
	2	Desarrollo	Gametos maduros presentes; gónadas desarrolladas a cerca de 1/3 de su tamaño final.
III	3	Desarrollo	Gónada desarrollada a cerca de 1/2 de su tamaño final de condición completamente madura; cada folículo contiene, en términos de área, aproximadamente proporciones iguales de gametos maduros y en desarrollo.
	4	Desarrollo	Gametogénesis sigue avanzando, los folículos contienen gametos maduros, principalmente.
IV	5	Maduro	Gónadas completamente maduras, las primeras etapas de la gametogénesis muy poco presentes; folículos dilatados con gametos maduros, óvulos compactados en configuraciones poligonales; espermatozoides con colas visibles.
V	4	Desovado	La emisión activa ha comenzado, la densidad de esperma se ha reducido; los óvulos dejan de ser tan redondos debido a que la presión dentro de los folículos se reduce.
	3	Desovado	Gónada vacía de aproximadamente la 1/2.
	2	Desovado	Zona gonadal reducida; aproximadamente 1/3 del folículo está lleno de gametos maduros.
VI	1	Desovado	Sólo quedan gametos residuales; algunos están en citólisis.

En el caso de las alteraciones histopatológicas como parásitos, enfermedades o patologías de tejidos se asigna un valor según la intensidad usando una escalas cuantitativas, semi cuantitativas y presencia-ausencias, según la patología (Tabla 7) (Kim et al 2006). Se debe anotar cada incidencia para evitar volver a examinar el tejido escaneado o la muestra varias veces para cada categoría. En la escala semi-cuantitativa pueden requerir un re-escaneo del tejido para cada categoría a evaluar dependiendo de la magnitud de la infección.

En éste apartado incluiremos un listado de los parásitos y patologías encontradas durante el programa piloto CARIBIOPOL. La lista no tiene la intención de incluir a todos los parásitos y patologías conocidas de Ostras, Almejas y Berberechos, sino solo los parásitos y patologías encontradas durante el proyecto. Con frecuencia, en el examen de rutina, no tratamos de diferenciar a un bajo nivel taxonómico entre parásitos, debido a que la intensidad de la infección encontrada fueron bajas para la mayoría de especies de parásitos.

La información obtenida a partir del análisis taxonómico no justifica el tiempo empleado en la identificación. Más bien, hemos agrupado las distintas especies en categorías superiores (por ejemplo, todos los cestodos, todos los ciliados). En éste proyecto no se analizaron muestras en



fresco de parásitos para su identificación. Cuando se necesita una mayor diferenciación, lo primero es diferenciar el tejido donde se produzca, porque la mayoría de las especies tienen preferencias según el tipo de tejido. En casi todos los casos, este nivel de diferenciación ha sido adecuado para la estimación de la prevalencia y la intensidad de la infección.

**Tabla 7.** Lista de categorías cuantitativas y semi cuantitativas utilizada por taxón de bivalvo en Nicaragua, modificada de Kim et al., (2006).

Categorías	Especies			
	<i>C. rhizophorae</i>	<i>P. solida</i>	<i>A. tuberculos</i>	<i>A. grandis</i>
<b>Categoría cuantitativa</b>				
Inclusion de procarionte	x	x		
Gregarinas	x	x	x	
Ciliados	x	x	x	
Cestodos	x			
Trematodo metacercaria	x		x	x
Turbelarian o nemertinos	x	x	x	
Copepodos	x		x	x
Infiltración hemocítica en tejidos	x			
Granulocitoma			x	
Celulas pardas	x	x	x	x
<b>Categoría Semi-cuantitativa</b>				
Neoplasia hemocítica	x			
Atrecia gonadal	x	x		
Esporoquiste de tremado			x	

Los resultados obtenidos se utilizan para calcular los siguiente parámetros: Prevalencia =  $NH / NS$ , y la intensidad =  $SP / NH$ , donde NH es el número de especímenes que hospedan, parásitos o patologías, NS es el número de muestras analizadas por sitio, SP es la cantidad correspondiente a cada parásito y la patología registrada. Prevalencia e intensidad proporcionan información acerca de la incidencia de cada parásito y condiciones del tejido (Kim et al., 2006; Garmendia et al., 2011). Entre las categorías semi cuantitativas, solo se describiremos como evaluar infección por esporoquistes de trematodos, neoplasia y alteraciones en el desarrollo gonadal Tabla 8 y 9.

**Tabla 8.** Escala semi-cuantitativa para infección de esporoquistes de trematodos (Kim et al., 2006).

Valor	Descripción
0	No infectado.
1	Presente solo en la gónada (permanece presente en algunos gametos).
2	Gónada completamente llena (no hay presencia en gametos); pueden estar presente en glándula digestiva o en branquias de forma muy esporádico.
3	Gónada completamente llena, la infección se ha extendida a la glándula digestiva o branquias.
4	Gónada completamente llena, sustancialmente llena la glándula digestiva o branquias, aparecen individuos en sacos de esporoquistes.

**Tabla 9.** Escala semi-cuantitativa para medir las alteraciones hemocíticas (neoplasia) y desarrollo anormal en gónada (Kim et al., 2006).

Valor	Descripción
0	No infectado
1	Menos del 25% de los túbulos están infectados
2	25% de los túbulos están infectados
3	50% de los túbulos están infectados
4	Mas del 50% de los túbulos están infectados

## Anexo 5. Equipos y material de laboratorio

### *Equipamiento básico de campo*

- 7 Equipos de disección (tijeras y pinzas)
- 2 Bisturí por persona
- 1 Caja de guantes de latex # L, M, S
- 1 Litro de fijador de Davidson y 1L de Formaldehido al 10%
- 3 Botes plásticos de 2L para colecta de muestras
- 4 Acumuladores de frios (Ice pack)
- 2 Cuchillos de ostras
- 4 Bandejas grandes para disección y stress on stress
- 3 Pares de guantes de buceo para colecta de ostras
- 1 Caja de poliespán o termo para conservar muestras
- 1 Bolsa con marcadores, lápices, borrador, saca puntas y regla
- 10 Pliego de papel filtro o dos rollo de papel de cocina
- Bolsa de basura
- Balanza granataria (g)
- 25 casetes para histología
- 17 crioviales para histoquímica y biología molecular
- 2 botellas de 500ml para colecta de muestras de agua
- 1 Rollo de papel aluminio
- 1 Caja de bolsas ziploc o cierre fácil

### *Unidad de histología*

- 1 Espacio para realizar tinción de tejidos y stress on stress
- 4 Canastillas con capacidad 10 placas para realizar tinción de tejido
- 12 Cubetas para realizar tinción
- 1 Dispensadores de parafina
- 1 Incubadora 60°C
- 1 Baño de parafina
- 1 Baño maría
- Casetes de histología
- 200 Moldes para inclusión de tejido
- Cuchillas para micrótopo
- 1 Juego de pinceles
- Porta objetos con borde esmerilado
- Cubreobjetos 24x50 mm
- 1 Micrótopo
- 1 Nevera
- 1 Congelador -40°C
- 1 Congelador -70°C
- 1 Balanzas de precisión (g)

- 1 Microscopios binocular
- 1 Microscopio binocular con cámara
- Equipo menor (cristalería)

#### *Otros equipos*

- Bombas de vacío y materiales para el filtrado de agua.
- Liofilizador
- Balones de cristal para liofilizar
- Agitador magnético
- Magneto para homogenizar las soluciones

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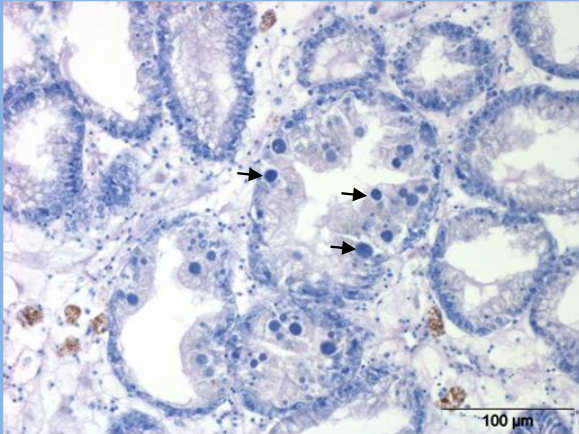
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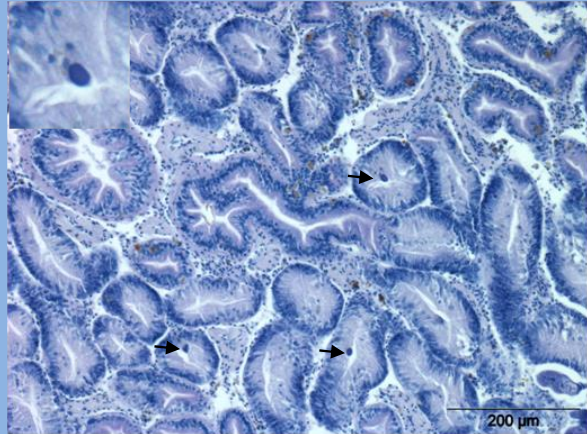
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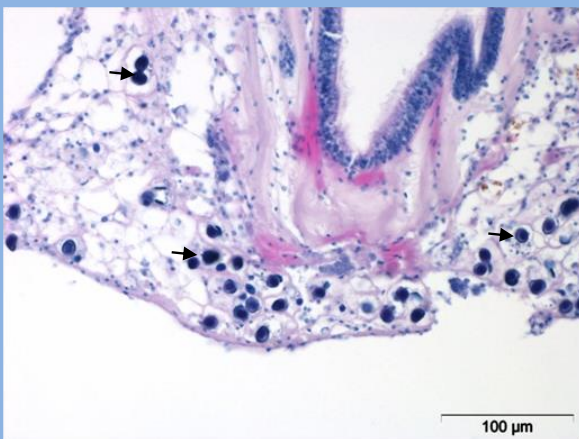
### Anexo 6. Figuras de alteraciones histopatológicas encontradas en ostras, almejas y berberecho de Nicaragua.



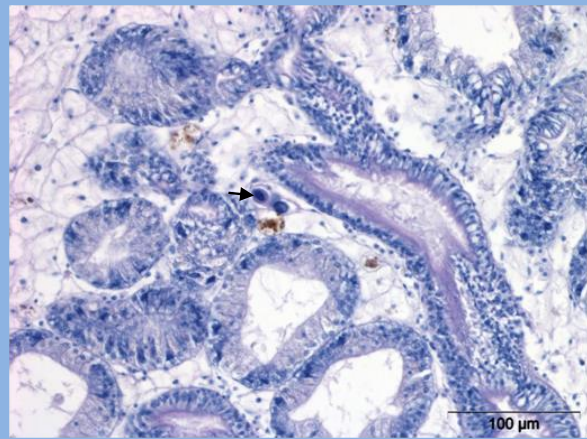
Protista indiferenciado en túbulos de Ostras (*Crassostrea rhizophorae*)



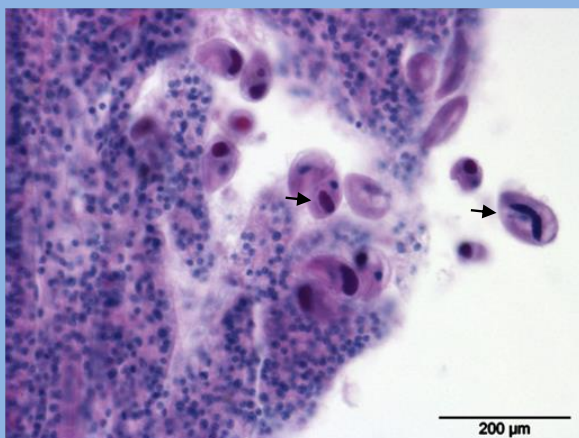
Rickettsia/Clamidia como organismo en túbulos de Almeja (*Polymesoda solida*)



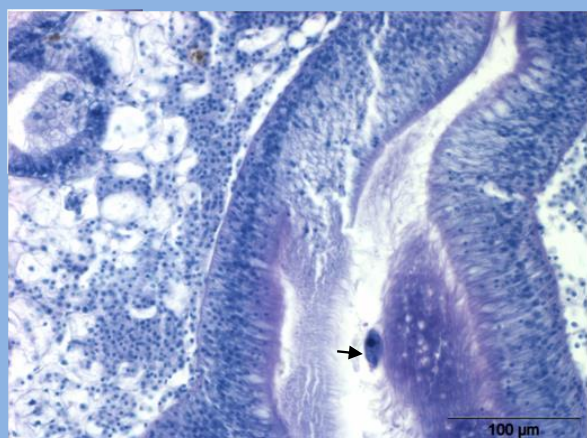
Gregarinas, *Nematopsis* sp en tejido conectivo de gónada en Ostras (*Crassostrea rhizophorae*)



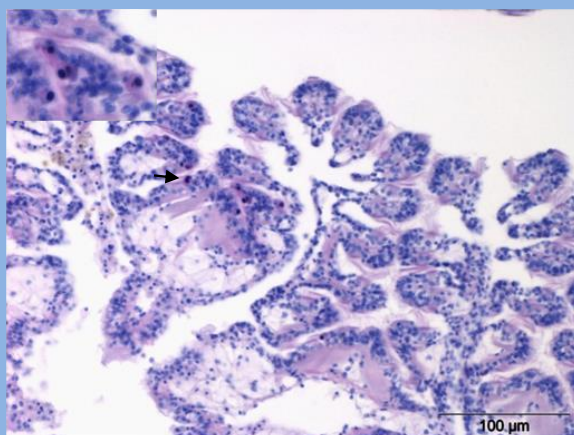
Gregarinas, *Nematopsis* sp en tejido conectivo de glándula digestiva en Ostras (*Crassostrea rhizophorae*)



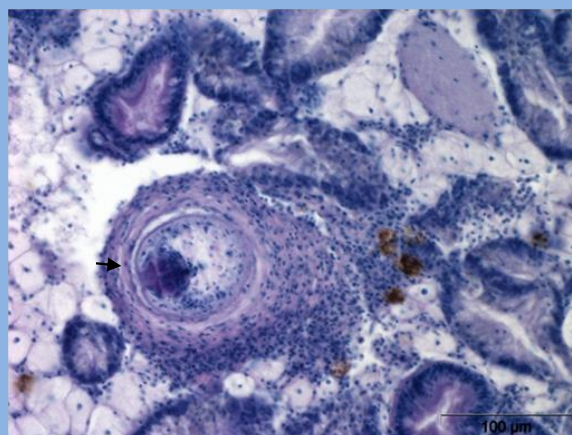
Ciliado, *Trichodina* sp en la parte basal en branquias de Ostras (*Crassostrea rhizophorae*)



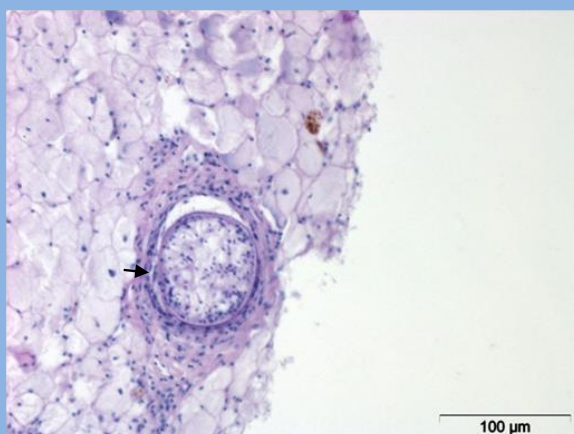
Ciliado, *Ancistrocoma* sp en conducto de glándula digestiva en Ostras (*Crassostrea rhizophorae*)



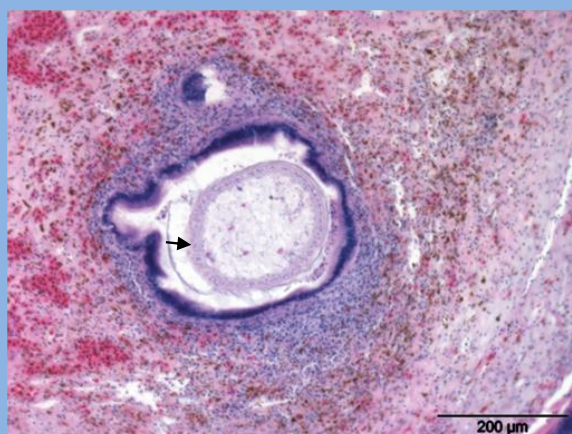
Ciliado, Rynchodida en branquias de Ostras (*Crassostrea rhizophorae*)



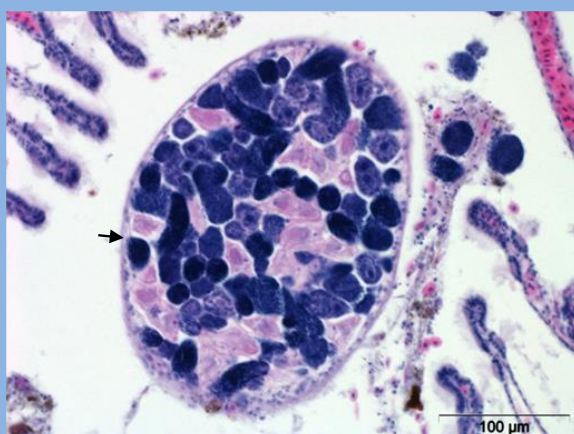
Cestodo, *Tylocephalum* sp con infiltración hemocítica en tejido conectivo de glándula digestiva en Ostras (*Crassostrea rhizophorae*)



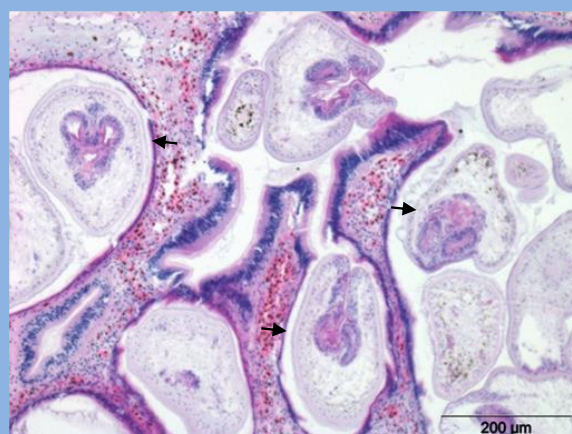
Trematodo, Metacercaria con infiltración hemocítica en tejido conectivo de gónada en Ostra (*Crassostrea rhizophorae*)



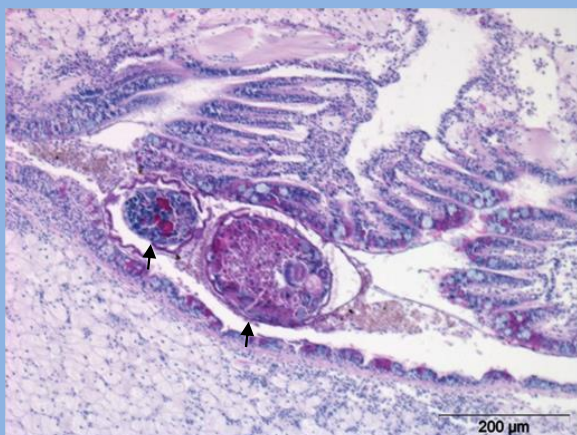
Trematodo Metacercaria con infiltración hemocítica alrededor del túbulo de glándula digestiva en berberecho (*Anadara tuberculosa*)



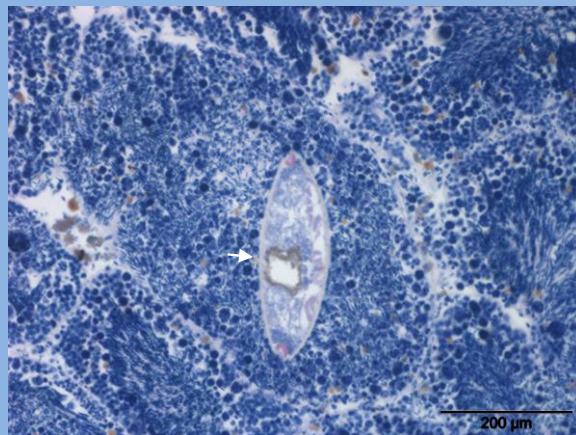
Trematodo, *Bucephalus* sp en branquias de berberecho (*Anadara tuberculosa*)



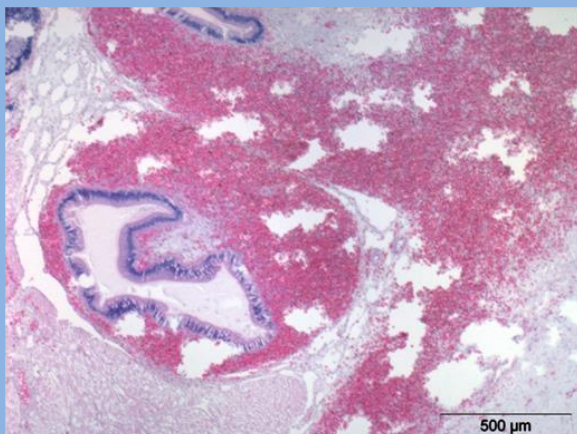
Trematodo indiferenciado en gónada y túbulo de glándula digestiva de *Anadara tuberculosa*



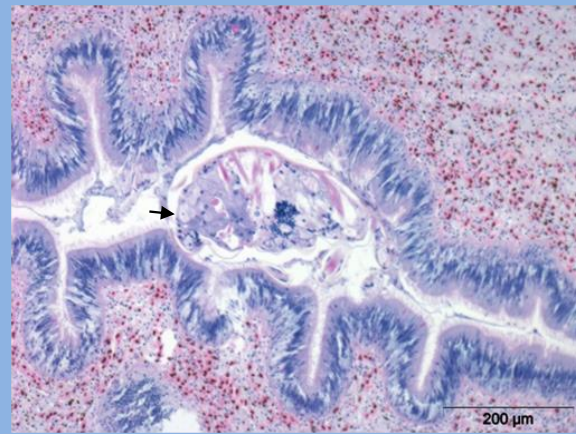
Turbelarian, *Urastoma* sp en banquías de *Crassostrea rhizophorae*



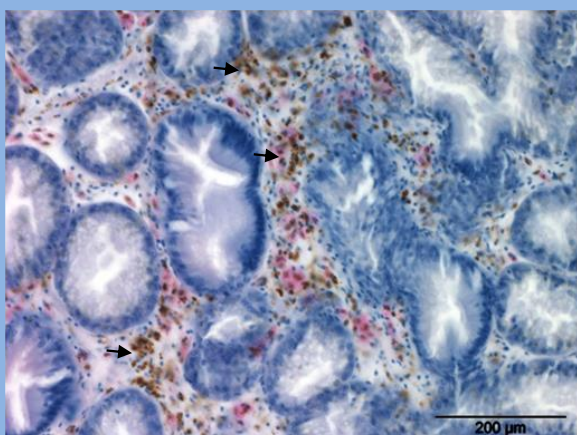
Turbelarian indiferenciado en gónada de *Polymesoda solida*



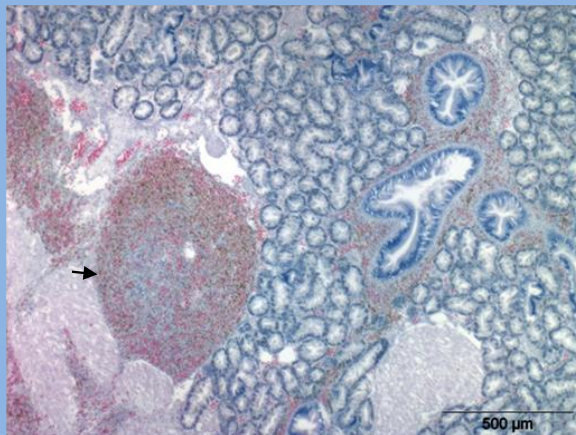
Infiltración hemocítica difusa alrededor de túbulo de glándula digestiva y conectivo de gónada de *Anadara tuberculosa*



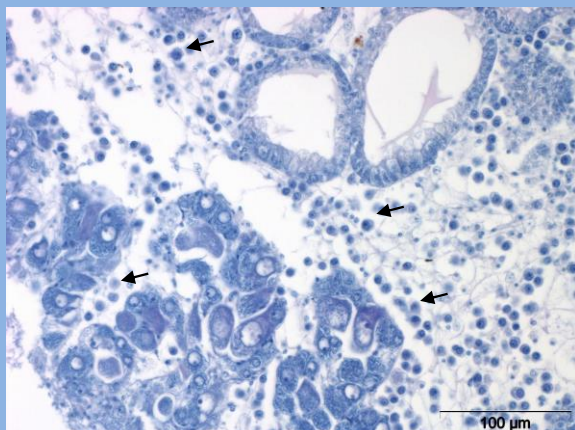
Copépodo indiferenciado in túbulo de glándula digestiva de *Anadara tuberculosa*



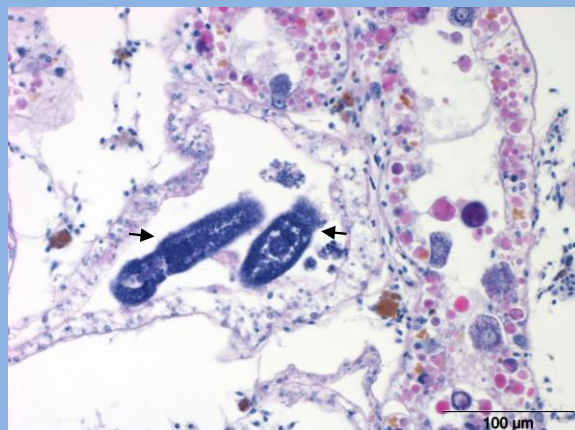
Células pardas in tejido conectivo de glándula digestiva en *Anadara tuberculosa*



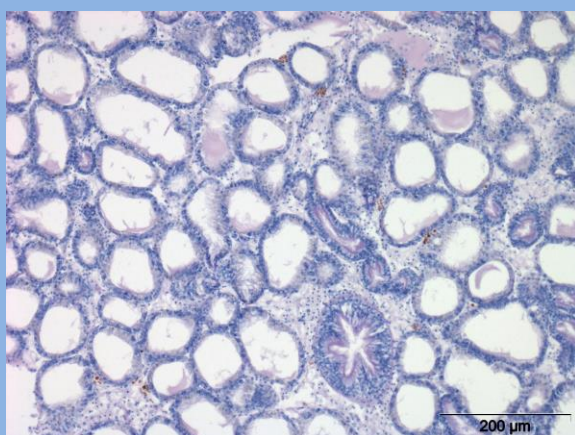
Granulocitoma entre glándula digestiva y conectivo de gónada en *Anadara tuberculosa*



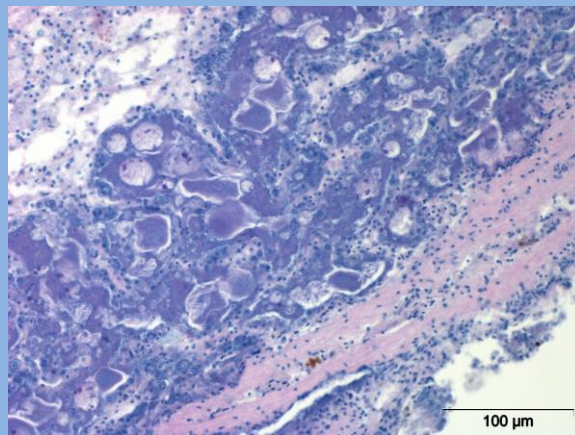
Células hemocíticas con neoplasia en tejido conectivo de glándula digestiva y gónada en *Crassostrea rhizophorae*



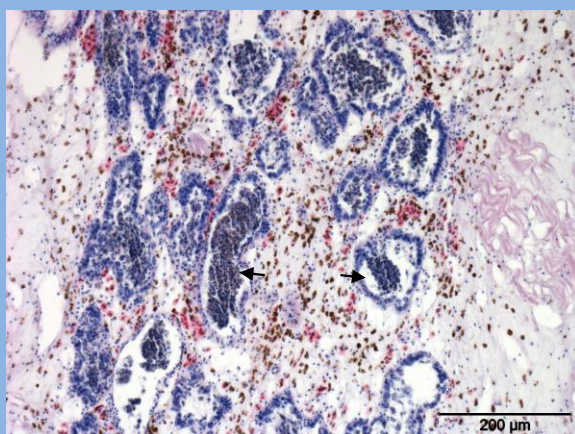
Células germinales de esporozoítos de *Bucephalus* sp en conectivo de gónada en *Polymesoda solida*



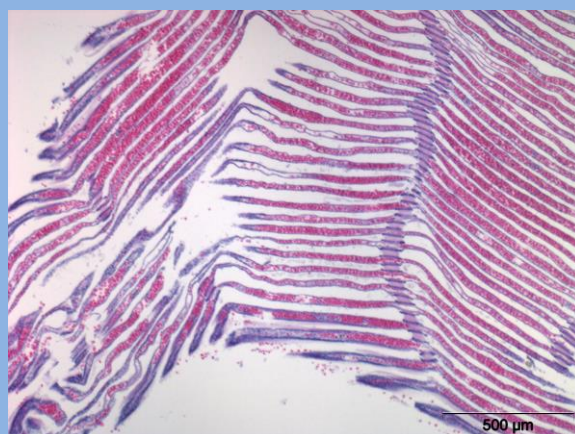
Atrofia en túbulos de glándula digestiva en *Crassostrea rhizophorae*



Atresia en ovocitos de gónada en *Crassostrea rhizophorae*

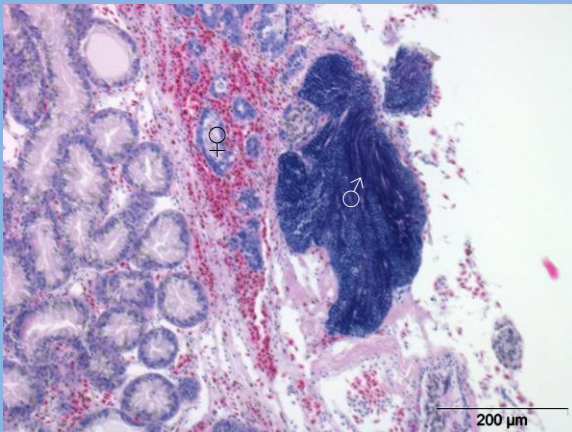


Apoptosis en folículos de gónada en *Anadara tuberculosa*

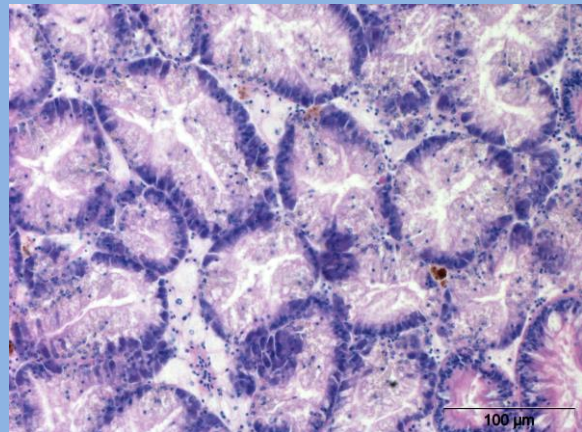


Infiltración hemocítica en branquias de *Anadara tuberculosa*

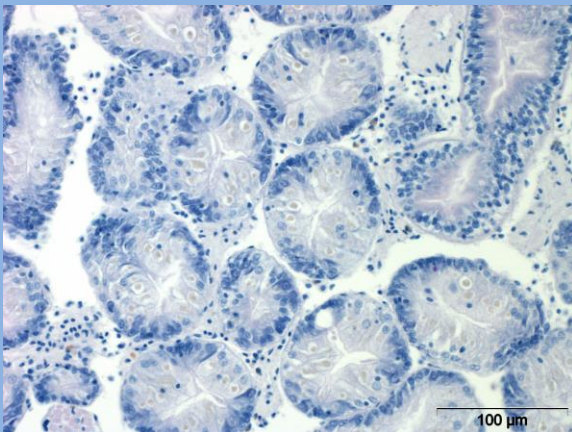




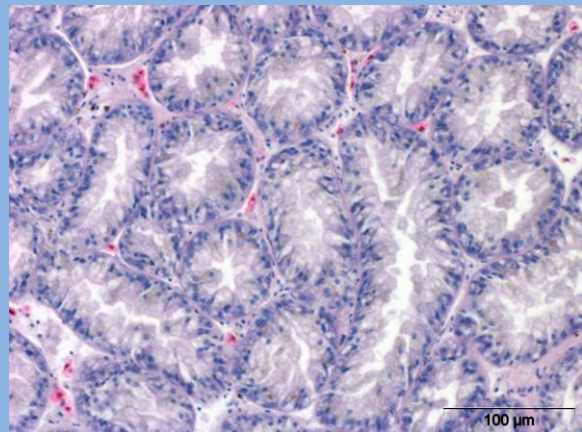
Intersex en gónada: células germinales de hembra y macho en *Anadara tuberculosa*



Túbulos casi ocluidos (glándula digestiva normal) de *Crassostrea rhizophorae*



Túbulos casi ocluidos (glándula digestiva normal) de *Polymesoda solida*



Túbulos casi ocluidos (glándula digestiva normal) de *Anadara tuberculosa*

**Anexo 7. Figuras de los sitios de muestreo e investigadores que participaron en la primera colecta de muestras en el Caribe (Nicaragua y Colombia) y Pacífico de Nicaragua.**



**Fig. 1.** Fotos de los sitios de muestreo en el Caribe de Nicaragua. De izquierda a derecha y de arriba abajo: a) En Bluefields: Half Way Cay; Punta San Gabriel y Punta Lora; b) en Laguna de Perla: Pigeon Cay.



**Fig. 2.** Fotos de los sitios de muestreo en el Caribe de Colombia. De izquierda a derecha y de arriba abajo: a) En Santa Marta: Taganga y Marina de Santa Marta; b) en Cartagena: Barú; Isla Brujas e Isla Maparadita.



**Fig. 3.** Fotos de los sitios de muestreo en el Pacífico de Nicaragua. De izquierda a derecha y de arriba abajo: a) En la Reserva Natural de Padre Ramos: Estero Venecia y Estero La Virgen; b) en Corinto: Isla Machuca.



**Fig. 4.** Fotos del primer muestreo de campo (época de lluvia) en el Caribe de Colombia. Equipo de investigadores que participaron: N Etxabarría, L Garmendia, M Ortiz-Zarragoitia, A Luna, S Casseres y L Villamil.



**Fig. 5.** Fotos del primer muestreo de campo (época de lluvia) en el Caribe de Nicaragua. Equipo de investigadores que participaron: E Rivas, L Garmendia, O González, M Ortiz-Zarragoitia, N Etxabarria.



**Fig. 6.** Fotos del primer muestreo de campo (época de lluvia) en el Pacífico de Nicaragua. Equipo de investigadores que participaron: J Trinidad, O González, M Navarrete, D Padilla.

