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# Genetic Algorithms and Genetic Programming on Comparison Sorting

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Final Degree Dissertation  
Degree in Mathematics

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# Introduction

A **Genetic Algorithm (GA)** is guided random search algorithm, more precisely, an **evolutionary algorithm** [4]. The fundamental idea behind evolutionary algorithms is simple: a random **population** (sample of solution candidates, represented as a set of strings) is drawn uniformly at random, then, the performance (**fitness**) of these solutions is measured, and based on this information, we sample a new population, whose fitness is expected to improve the one of the previous population.

Each of this pairs  $\{measure\}$  and  $\{sample\}$  is called a generation. Specifically, on genetic algorithms, the sampling is performed in an special way: first we select the best **individuals** (each  $\{string, fitness\}$  tuple) of the population, then we combine them and finally, we mutate them (apply small changes to promote diversity). We repeat  $\{measure\}$  and  $\{sample\}$  until a desired solution is found, the number of maximum iterations is reached or other stopping criteria are met. Throughout the whole algorithm, the individual with the highest fitness value is saved. Each time an individuals fitness is calculated, we compare it with the found best fitness, and if it exceeds it, we save the corresponding individual. When the algorithm terminates, this best fitted individual's string is the found solution.

Unlike other search algorithms such as hill climbing, gradient descent and greedy algorithms, GAs are very good global search techniques, but they may miss local optima, and most of the times, they are only capable of finding suboptimal solutions. An advantage of GAs is that they do not need specific domain knowledge, thus making them very versatile. However, this is sometimes a disadvantage, since they can not exploit this domain specific knowledge, and therefore, when this information is available, other techniques that exploit it usually perform better than GAs (gradient descent, for example). To some extent, GAs can be combined with other search techniques, obtaining the so called hybrid algorithms, capable of exploiting local information but without loosing the global scoop.

The versatility of GAs, makes them a nice optimization technique when no other problem specific algorithms are available. This versatility, however, has its limits, and for very complex problems, there may be encoding problems. For the GA to be able to work, our problem's solutions (**search space**) need to be represented as strings, which is not always possible. Moreover, being able to represent the solutions like a strings is not enough to ensure convergence on a reasonable time, since the quality of the encoding of these solutions also influences the success of the GA search, and the time it takes to converge. On a good encoding, for most of the individuals, a small change on the string has to make little change on the fitness, in other words, the fitness landscape across the solution space has to be as smooth as possible. In addition, if we intend to use classic genetic operators, the encoding needs to be as tight as possible, this means, related features should be placed one next to another. This is necessary because classic genetic operators are more likely to preserve parts of strings that are near each other, and so meaningful feature combinations should be close to one another if they are to make it to the next generation.

Regarding our project, our initial idea for this project was to create sorting algorithms using genetic algorithms, and on the way to our goal, we tried simpler examples to learn about the field, following Thomson's Rule: '*It is faster to make a four-inch mirror than a six-inch mirror than to make a six-inch mirror.*'

First we created a simple GA that tries to find the global maximum of an uniparametric or biparametric function on a given interval or rectangle. Then based on this example, we created a function that optimizes the interpolating points of a n degree polynomial. Finally, and after plenty trial and error, we created small sorting algorithms with the use of GA.

In this document, we briefly discuss the theory of Genetic Algorithms (GA) on chapter [1](#). We implemented a GA that tries to find the global maximum of an uniparametric or biparametric function, and tested its performance with different classical test functions. We show our work on the matter on chapter [2](#). Then, we show our implementation of a GA that tries to find the optimal interpolating points for a given function and interval on chapter [3](#). On chapter [4](#), we discuss our attempts at sorting lists using genetic algorithms and genetic programming. Finally, on chapter [5](#), we address some of the programming challenges we encountered while implementing the algorithms.

# Chapter 1

## Theory background

On this chapter we introduce the foundations of Genetic Algorithms, and some of the most important classical operators.

### 1.1 First definitions

These notions are based on [7] and [11].

**Definition 1.** (*alphabet*):

We say that a finite set of unique symbols  $\Omega$  is an *alphabet*.

**Definition 2.** (*string and character*):

We say that  $S = s_1s_2...s_n \in \Omega \times \Omega \times ...n \text{ times}... \times \Omega$  is a *string* of length  $n$  over the alphabet  $\Omega$ . In this case, we say  $\Omega^n$  is the set of strings of length  $n$  over the alphabet  $\Omega$ . The  $s_i$  elements of a string are said to be *characters*.

**Definition 3.** (*genetic operator*):

Let  $P$  and  $Q$  be subsets of  $\bigcup_{i=0}^{\infty} \Omega^i$ . We say that a function  $\alpha$  is a *genetic operator*,

$$\begin{aligned}\alpha : P &\xrightarrow{\alpha} \mathcal{P}(Q) \\ S &\longmapsto \alpha(S)\end{aligned}$$

where  $\mathcal{P}(Q)$  is the set of all probability distributions over  $Q$

Note: when  $\alpha(P)$  is a degenerate probability distribution, we say the genetic operator to be **deterministic**. In this case, we may identify  $\alpha(P)$  with a corresponding subset of  $Q$ .

**Example 1.** (*identity operator*):

Let  $\Omega = \{0, 1\}$  be our alphabet, and  $\Omega^n$  our set of strings. We define  $\alpha$  as follows:

$$\begin{aligned}\alpha : (\Omega^n) &\xrightarrow{\alpha} \Omega^n \\ S = s_1s_2...s_n &\longmapsto \alpha(S) = S\end{aligned}$$

**Example 2.** (*permutation*):

Let  $\Omega$  be an arbitrary alphabet, and  $\Omega^n$  our set of strings. We define  $\alpha$  as follows:

$$\begin{array}{ccc} \alpha : (\Omega^n) & \xrightarrow{\alpha} & \Omega^n \\ S = s_1s_2...s_n & \longmapsto & \alpha(S) = \sigma(S) \end{array}$$

where  $\sigma$  is a permutation  $\in S_n$ .

**Definition 4.** (search space):

Let  $\Omega$  be an alphabet.

A **search space** is a finite subset of  $\bigcup_{i=0}^{\infty} \Omega^i$

When running a search algorithm, our goal is to find the optimal string from the search space given a fitness function.

Note: Sometimes, we may want our search space to be a subset of  $\Omega^n$ .

**Definition 5.** (deterministic fitness function):

Let  $V$  be a search space. A fitness function  $\mu$  maps strings on  $V$  to positive values:

$$\begin{array}{ccc} \mu : V & \longrightarrow & \mathbb{R}^+ \cup \{0\} \\ S & \longmapsto & \mu(S) \end{array}$$

Actually, this is a simplified definition of a more general fitness function. A more general definition would consider  $\mu$  to be a function that maps each individual to a random variable on  $\mathbb{R}^+ \cup \{0\}$ .

**Definition 6.** (stochastic fitness function):

Let  $V$  be a search space. A fitness function  $\mu$  maps strings on  $V$  to a random variable over the positive numbers.

$$\begin{array}{ccc} \mu : V & \longrightarrow & \mathcal{P}(\mathbb{R}^+ \cup \{0\}) \\ S & \longmapsto & \mu(S) = X \end{array}$$

This second point of view may be useful when, for example, the computation of the fitness function is costly, but it can be approximated by using montecarlo sampling. The fitness function, in this case, can also be viewed as a noisy function, as seen in [13] and [14].

**Example 3.** Let  $\Omega = \{0, 1\}$  be our alphabet, and  $\Omega^n$  our search space.

We can define  $\mu$  as follows:

$$\begin{array}{ccc} \mu : V & \longrightarrow & \mathbb{R}^+ \cup \{0\} \\ S = s_1s_2...s_n & \longmapsto & \mu(S) = \sum_{i=0}^n s_i \end{array}$$

In this case, the best individual would be  $S_{best} = 11\dots1$  and its fitness value would be  $n$ .

## 1.2 Classic Genetic Algorithms

In the early era of genetic algorithms, the presence of operators observed in nature was dominant in the area. These first algorithms were based on three operators: selection, crossover and mutation.

### Selection

Selection is a generic term used to define a family of operators, whose purpose is to discard the unfit and promote the fit, thus improving the overall fitness of the new population. We now show the most natural way to define a selection operator.

#### Operator 1. (*simple selection*):

*Given a search space  $V$ , a population  $P$  of size  $m$  and  $\mu$  a fitness function, we define  $\beta$  our simple selection operator as follows:*

$$\begin{aligned} \beta : V &\longrightarrow \mathcal{P}(V) \\ P = \{S_1, S_2, \dots, S_m\} &\longmapsto \beta(S) = \{X_1, X_2, \dots, X_q\} \end{aligned}$$

Where  $X_i \equiv \{P(X_i^{obs} = S_j) = \frac{\mu(S_j)}{\sum_{k=1}^m \mu(S_k)}\} \quad \forall i \in \{1, 2, \dots, q\}$

Using this selection operator, often leads to premature convergence, if most individuals are almost null fitted, or, if an early great individual is discovered, the population reaches uniformness very quickly. To avoid this, we can use a similar operator:

#### Operator 2. (*simple selection with sigma truncation*):

*Given a search space  $V$ , a non fitness-uniform population  $P$  of size  $m$  and  $\mu$  a fitness function, we define  $\beta_{st}$  our selection operator as follows:*

$$\begin{aligned} \beta_{st} : V &\longrightarrow \mathcal{P}(V) \\ P = \{S_1, S_2, \dots, S_m\} &\longmapsto \beta_{st}(S) = \{X_1, X_2, \dots, X_q\} \end{aligned}$$

Where  $X_i \equiv \{P(X_i^{obs} = S_j) = \frac{r \circ \mu(S_j)}{\sum_{k=0}^m r \circ \mu(S_k)}\} \quad \forall i \in \{1, 2, \dots, q\}$  and  $r$  is defined as follows:

$$r : \mathbb{R} \cup \{0\} \longrightarrow \mathbb{R} \cup \{0\}$$

$$t \longmapsto r(t)$$

$$\tilde{r}(t) = 1 + \frac{t - \text{mean}(\{\mu(S_1), \mu(S_2), \dots, \mu(S_m)\})}{c \text{ std}(\{\mu(S_1), \mu(S_2), \dots, \mu(S_m)\})}$$

$$r(t) = \begin{cases} 0 & \tilde{r}(t) \leq 0 \\ \tilde{r}(t) & \tilde{r}(t) > 0 \end{cases}$$

This operator is correctly defined, as we have stated that the population is not fitness-uniform. In the case of fitness-uniformness, we can extend the definition of this operator by stating that in a fitness-uniform situation, the result after applying the operator is a uniform distribution or even an identity operator.

**Note1:**  $c$  is a parameter that measures how separated is the sigma-truncated distribution of fitness, a bigger  $c$  means a less sparse distribution. Usually, we want to set  $c = 2$ .

**Note2:** This operator also adds pressure on the late stage of convergence, enhancing the differences between individuals so that small improvements are taken into account.

**Note3:** This operator is known as the roulette wheel selection with sigma truncation. This is the selection operator we used on our implementations.

### Crossover

Crossover is an operator that mixes two individuals into two new individuals, potentially mixing two valuable string pieces and making an even better one. The classic crossover operator, crosses two individuals by choosing two cut points and exchanging the middle piece with one another.

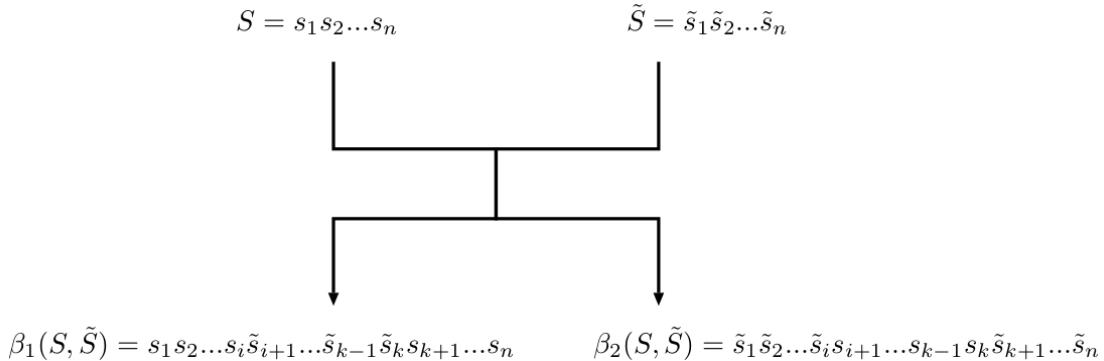


Figure 1.1: two point crossover illustration

### Operator 3. (*two point crossover*)

Let  $\Omega^n$  be our search space. We define the two point crossover operator as follows:

$$\begin{aligned} \beta : \Omega^n \times \Omega^n &\xrightarrow{\hspace{10cm}} \Omega^n \times \Omega^n \\ \{S, \tilde{S}\} &\longmapsto \beta(\{S, \tilde{S}\}) = \{\beta_1(S, \tilde{S}), \beta_2(S, \tilde{S})\} = \{X_1, X_2\} \end{aligned}$$

We can represent  $X_1$  as  $(i, k)$  where  $i$  represents the first crossover cut point and  $k$  represents the second crossover cut point.  $X_1$  and  $X_2$  are probability distributions that completely depend on each other, thus,  $P(X_2|X_1 \equiv (i, k))$  is a degenerate probability distribution:

$$P(X_2|X_1 \equiv (i, k)) = \begin{cases} 0 & X_2 \neq (i, k) \\ 1 & X_2 = (i, k) \end{cases}$$

There is a bijection between the free  $X_1$  (or  $X_2$ ) distribution and  $\mathcal{P}$ , the following probability distribution over  $\{1, 2, \dots, n\} \times \{1, 2, \dots, n\}$ :

$$\mathcal{P} \equiv (\mathcal{U}_1(\{1, 2, \dots, n-2\}), \mathcal{U}_2(\{k+1, k+2, \dots, n-1\} | k = \mathcal{U}_1^{obs}))$$

Where  $\mathcal{U}_i$  represents a random uniform distribution.

The bijection actually represents each pair of cut-points of the crossover operator as  $(i, k)$ .

With this kind of crossover operator, we may destroy important information on the middle of the individuals string. To better illustrate this point, we introduce a new concept.

**Definition 7.** (*schema/schemata*):

Let  $\Omega$  be our alphabet. Let our search space be  $\Omega^n$ .

We expand our alphabet to  $\Omega^* = \Omega \cup \{\#\}$ , where  $\#$  represents a symbol  $\# \notin \Omega$ . In this way, we obtain an expanded search space:  $(\Omega^*)^n$ . We may also call the expanded search space **schemata space**. We say that any string of our expanded search space is a **schema**.

Let  $S = s_1s_2\dots s_n \in \Omega^n$  be a string, and let  $H = h_1h_2\dots h_n \in (\Omega^*)^n$  be an schema.

We say **string  $S$  follows schema  $H$**   $\Leftrightarrow \forall i \in \{1, 2, \dots, n\}, s_i = h_i \vee h_i = \#$

From an intuitive point of view, we may think that  $\#$  is a wild card symbol, a symbol that matches any other symbol.

Note: We may denote *string  $S$  follows schema  $H$*  as  $S \hookrightarrow H$ .

**Proposition 1.** Let  $\Omega^n$  be our search space and let  $(\Omega^*)^n$  be our schemata space. Let  $\mathcal{P}(\Omega^n)$  be the set of all subsets of  $\Omega^n$ . There exists a subset  $\mathcal{H} \subset \mathcal{P}(\Omega^n)$  and a bijective application:

$$\begin{aligned} \varphi : (\Omega^*)^n &\longrightarrow \varphi((\Omega^*)^n) = \mathcal{H} \\ H &\longmapsto \varphi(H) = \{S \in \Omega^n | S \hookrightarrow H\} \end{aligned}$$

The idea of schemata arises from a need to represent useful parts of a string, for a particular purpose or fitness niche. Let's see some examples to better understand this point:

**Example 4.** Let  $\Omega^n = \{0, 1\}^n$  be our search space, with  $n > 2$ . Let  $\mu_1(S) = s_1 + s_2$  be our fitness function. Consider the schema  $H_1 = 11\# \dots \#$ .

Observe that  $\forall S \hookrightarrow H_1, \mu_1(S) = 2 = \max_{S \in \Omega^n} \mu_1(S)$ .

In fact,  $\mu_1(S) = 2 \Leftrightarrow S \hookrightarrow H_1$

Therefore,  $H_1$  is the schema that contains the best strings with respect to fitness function  $\mu_1$ .

**Example 5.** Let  $\Omega^n = \{0, 1\}^n$  be our search space, with  $n > 4$ . Let  $\mu_2(S) = s_1 + s_n$  be our fitness function and let  $H_2 = 1\#...#\#1$  be our schema.

Observe that  $\forall S \hookrightarrow H_2$ ,  $\mu_2(S) = 2 = \max_{S \in \Omega^n} \mu_2(S)$ .

In fact,  $\mu_2(S) = 2 \Leftrightarrow S \hookrightarrow H_2$

Therefore,  $H_2$  is the schema that contains the best strings with respect to fitness function  $\mu_2$ .

**Example 6.** Now consider the strings  $S_0 = 0000...00$ ,  $S_1 = 1100...0$  and  $S_2 = 10...0000...1$  of length  $n$ . Let  $\beta$  be our two point crossover operator.

$$P(\beta_1(S_0, S_1) \hookrightarrow H_1) = \frac{n-2}{n-1}$$

$$P(\beta_2(S_0, S_1) \hookrightarrow H_1) = 0$$

On the other hand,

$$P(\beta_1(S_0, S_2) \hookrightarrow H_2) = 0$$

$$P(\beta_2(S_0, S_2) \hookrightarrow H_2) = 0$$

Therefore, we see that it is more likely that  $H_2$  schema is disrupted during a two point crossover operation. This simple example illustrates how the two point crossover operator disrupts sparse schemata more often than it disrupts tight schemata. Therefore, the representation we use with this operator, should depend on tight schemata, this is, related valuable information should be encoded on the string in the shortest way possible.

To solve this problem, we may draw a string distribution from the selected space, based on a distance (i.e. hamming distance), and sample from that distribution [18], [1]. With this new combination method, the population itself decides which string positions are important, and which are not.

## Mutation

Mutation is the last one of the classic genetic operators. Mutation ensures new variability enters the population, because genetic material on an initial population tends to disappear with selection and crossover alone. We present the mutation operator as follows:

**Operator 4.** (*simple mutation*):

Let  $\Omega$  be our alphabet,  $\Omega^n$  our search space. We define  $\beta_c$ , our simple mutation operator as follows:

$$\begin{aligned}\beta_c : \Omega^n &\longrightarrow \mathcal{P}(\Omega^n) \\ S &\longmapsto \beta_c(S) = X\end{aligned}$$

where  $X = x_1x_2\dots x_n$  and:

$$x_i \equiv \begin{cases} s_i & \text{with probability } c \\ s \in \Omega - \{s_i\} & \text{with probability } \frac{1-c}{\text{card}(\Omega) - 1} \end{cases}$$

Note1: Usually,  $c < 0.05$ , since higher values  $c$  values tend to slow down or even prevent convergence. For high  $c$  values, the GA is essentially a random walk.

There are other mutation operators, based on distances between strings (such as Hamming distance for an arbitrary search space, or Cayley and Spearman distances if  $\Omega^n = S_n$ , where  $S_n$  is the set of all permutations of length  $n$ ) The simple mutation operator can be represented as a Hamming distance based distribution. In fact, the combination of crossover and mutation can be substituted by sampling from a distribution, with the so called EDAs, as seen in [18], [1].

## Chapter 2

# GA on function maximization

One of the advantages of Genetic Algorithms is it's capability to optimize non differentiable or even non continuous functions. They are also good at finding global minimum at functions with several local minima. However, they are not good at finding the actual minimum, as we will illustrate in this section, by using GA to try to find a global optima of different functions. Therefore, GA can be combined with other local search techniques to ensure local optimality, avoid premature convergence and sometimes, even speed up the search, as seen in [9], [6], [24].

### 2.1 Description of the algorithm

The Algorithm tries to find the maximum on the given closed n dimensional rectangle ( $K$ ) using the classical genetic operators, and given the multivariate function  $f$ .  $K$  can be represented by two of its corners,  $K \equiv (a_1, a_2, \dots, a_n, b_1, b_2, \dots, b_n)$ , where  $a = a_1 \dots a_n$  is one of the corners, and  $b = b_1 \dots b_n$  the opposite corner.

If we denote *length\_of\_representation* by  $l$ , the search space is a discretization of  $K$ , discretized in  $(2^l)^n = |K_{disc}|$  points. We encoded the search space in binary alphabet  $\Omega = \{0, 1\}$  using a bijective function  $\phi_K$  defined as follows:

$$\begin{aligned} \phi_K : K_{disc} &\xrightarrow{\hspace{1cm}} (\Omega^l)^n \\ X = (x_1, \dots, x_n) &\xmapsto{\hspace{1cm}} \phi(X) = ((bin \circ \theta_1)(x_1), \dots, (bin \circ \theta_n)(x_n)) \end{aligned}$$

Where  $\theta_i(x_i, a_i, b_i) = \frac{(x_i - a_i)2^l}{b_i - a_i}$  and

$$\begin{aligned} \text{bin} : \{1, 2, \dots, 2^l\} &\xrightarrow{\hspace{1cm}} \Omega^l \\ t_{dec} &\xmapsto{\hspace{1cm}} \text{bin}(t) = t_{bin} \end{aligned}$$

A sketch of the algorithm is shown below. For more on the implementation of the algorithm, refer to chapter 5.

---

**Algorithm 1:** search\_max

---

**Input:**

$K = (a_1, a_2, \dots, a_n, b_1, b_2, \dots, b_n)$ : a tuple containing the upper  $a_i$  and lower  $b_i$  bounds of the components of the search space, it represents two of the opposite corners of K.

$f(x_{1,2}, \dots, x_n)$ : function to be maximized. The function has to have n input arguments (corresponding to the i indexes above).

**Output:**

$(\text{max\_x}, \text{max\_f(x)})$ : a tuple containing found maximum and the point at which the maximum was found.

**Parameters:**

popsize: The size of the population used by de GA.

max\_iterations: The maximum number of generations to be computed.

length\_of\_representation: The length of the binary vector used to encode each  $x_i$  component.

```

1 pop  $\leftarrow$  initialize_population(popsize, length_of_representation)
2 i  $\leftarrow$  1
3 best_fitness  $\leftarrow -\infty$ 
4 pop.calculate_fitness(f, K)
5 if  $\max_{x \in pop}(x.\text{fitness}) > \text{best\_fitness}$  then
6   best_fitness  $\leftarrow \max_{x \in pop}(x.\text{fitness})
7   best_individual  $\leftarrow \operatorname{argmax}_{x \in pop}(x.\text{fitness})
8 end
9 while i  $<$  max_iterations do
10   pop  $\leftarrow$  select(pop)
11   pop  $\leftarrow$  crossover(pop)
12   pop  $\leftarrow$  mutation(pop)
13   pop.calculate_fitness(f, K)
14   if  $\max_{x \in pop}(x.\text{fitness}) > \text{best\_fitness}$  then
15     best_fitness  $\leftarrow \max_{x \in pop}(x.\text{fitness})
16     best_individual  $\leftarrow \operatorname{argmax}_{x \in pop}(x.\text{fitness})
17   end
18   i  $\leftarrow i + 1$ 
19 end
20 search_max  $\leftarrow (\text{best\_individual.decode}(), \text{best\_fitness})$$$$$ 
```

---

## 2.2 Algorithm's performance

We only implemented the algorithm for functions with one and two variables, but the code can easily be extended to more dimensions. However, visualization on higher dimensions may be difficult.

To tested the performance of the algorithm, we used three test functions:

- 1) An uniparametric, multiple local maxima test function of our choice.

$$f_1(x) = \cos(3x)(\frac{1}{4}x^2 + x + 5 + 5 \sin^3(\cos(45x))) + 4 \cos(45x) - 2x$$

- 2) Inverted Rastrigin's function,  $A = 10$ .

$$f_2(x, y) = 40 - (x^2 + y^2) - 10 \cos(2\pi x) + 10 \cos(2\pi y)$$

- 3) Inverted Himmelblau's function.

$$f_3(x, y) = 30 + 14x + 21x^2 - x^4 + 22y - 2x^2y + 13y^2 - 2xy^2 - y^4$$

$f_2$  and  $f_3$  are popular choices when it comes to benchmarking of search algorithms, for further reading, refer to [20], [10].

### 2.2.1 Uniparametric test function

This function has many local optima, but only one global optimum, as it can be seen in figure 2.1. Running the algorithm 300 times with parameters:

```
popsize = 100
length_of_representation = 15
max_iterations = 30
```

The algorithm converged to a point near the global maxima on an average of 92.7% . We considered that the algorithm converged when:

$|x_{output} - x_{max}| < 0.1$ , which is represented by the area between the green lines in figure 2.1.

The algorithm, therefore, does not get stuck in local optima very often with this particular function.

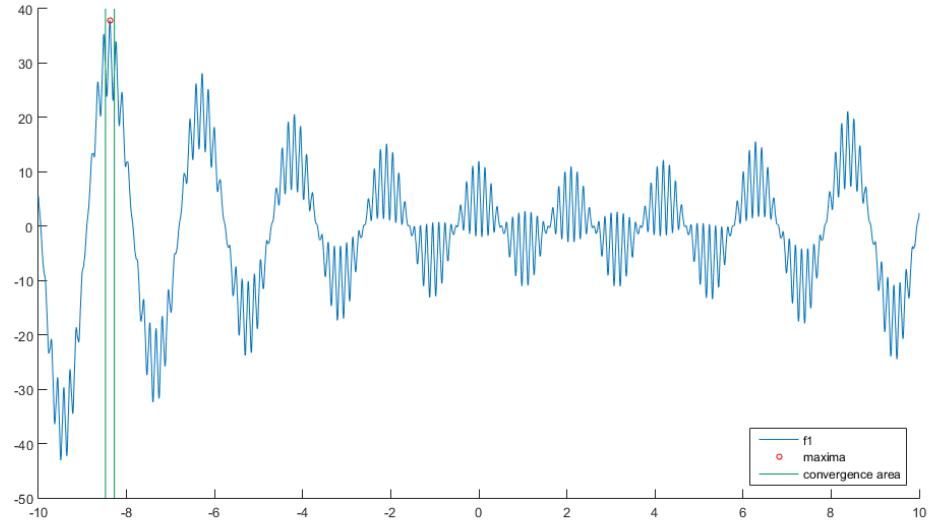


Figure 2.1: plot of  $f_1$ , its global maximum, and the considered interval of convergence.

### 2.2.2 Inverted Rastrigin's function

This function, as can be seen in figure 2.3, has also many local optima, but only one global maximum, at  $(x,y) = (0,0)$ .

The red dots in these figures represent the output from the algorithm, after 300 runs, using the same parameters we used on  $f_1$ . In this case, we can see the algorithm was not able to find the global optima most of the times. However, there are some options to improve the effectiveness, such as random restarts [22], [21], hybrid approaches with simulated annealing [19] or with particle swarm optimization [16]. The increase of the population size, and among other benefits, 'the accuracy of the genetic algorithm approaches, but does not reach, 100%. The greater the population size the greater the chance that the initial state of the population will contain a chromosome representing the optimal solution' [8] , but with an increased computation time. We estimated (see figure 2.2) the time complexity of the algorithm as a function of popsize to be  $O(\text{popsize})$ , with fixed parameters:

$$\begin{aligned} \text{length\_of\_representation} &= 15 \\ \text{max\_iterations} &= 30 \end{aligned}$$

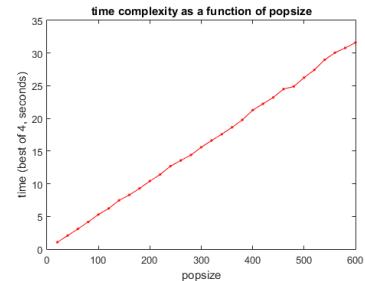


Figure 2.2: Experimental time complexity of GA with *popsize* as variable.

However, the population increase is not always beneficial, as can be seen in [2].

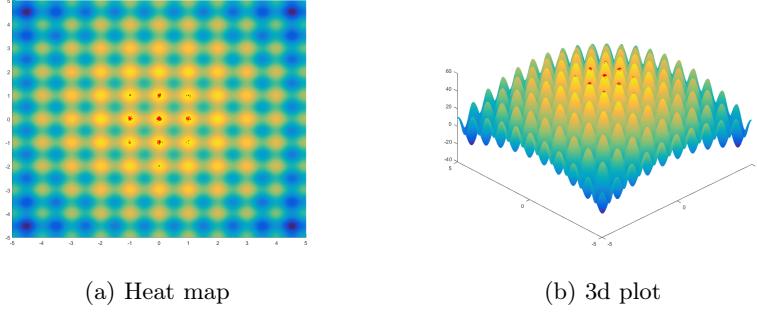


Figure 2.3: Heat map and 3d plot of  $f_2$  and the output points of the algorithm over 300 runs.

### 2.2.3 Inverted Himmelblau's function

Himmelblau's function is intended to test an algorithm's ability to find multiple optima, since this function has 4 global optima, represented by black dots in figure 2.4. The red dots represent the output obtained after 300 runs with parameters:

```
popsize = 100
length_of_representation = 15
max_iterations = 30
```

We estimated the probability of the algorithm outputting near each of the nodes with 300 runs, and we found the probabilities to be:

$$\begin{aligned} p(x_{out} > 0 \text{ and } y_{out} > 0) &= 0.497 \\ p(x_{out} > 0 \text{ and } y_{out} < 0) &= 0.237 \\ p(x_{out} < 0 \text{ and } y_{out} > 0) &= 0.167 \\ p(x_{out} < 0 \text{ and } y_{out} < 0) &= 0.100 \end{aligned}$$

This suggests the GA results depend on the starting individuals, and the way individuals are chosen to crossover and mutate. It also shows how GA are a powerful tool to find different good answers to a problem.

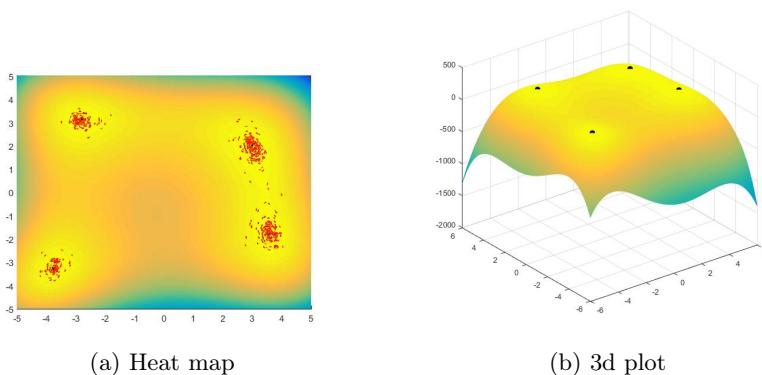


Figure 2.4: Heat map and 3d plot of  $f_3$  and the output points of the algorithm over 300 runs.

# Chapter 3

## GA on interpolation

### 3.1 Description of the algorithm

Given a closed interval  $[a, b]$ , the functions  $f(x)$ ,  $H(x)$ , where  $\frac{dH}{dx} \equiv f$  and an integer  $n$ , the algorithm tries to choose the optimal interpolating points for a  $n$  degree polynomial, to interpolate  $f$  by minimizing  $\int_a^b \text{abs}(f(x) - \text{pol}(x))dx$ .

Let  $V$  be the set of all closed intervals over  $\mathbb{R}$ , let  $\mathcal{F}(\mathbb{R})$  be the set of all integrable functions on  $\mathbb{R}$  and let  $\mathcal{P}$  be the set of all polynomials over  $\mathbb{R}$ . Without further encoding details, the fitness function  $\mu$  the algorithm uses is defined as follows:

$$\begin{aligned}\mu : \mathcal{F}(\mathbb{R}) \times V \times \mathcal{P} &\longrightarrow \mathbb{R} \\ (f, [a, b], \text{pol}) &\longmapsto \mu(f, [a, b], \text{pol}) = 100 - \log(\int_a^b \text{abs}(f(x) - \text{pol}(x))dx)\end{aligned}$$

However, for high degree polynomials, due to the Runge's phenomenon [23] it is difficult to estimate the value of the integral using numerical values or even analytically, due to the oscillator behavior of the polynomial. That is why we decided to consider an alternative fitness function, for any  $n > 10$ :

$$\begin{aligned}\mu_{n>10} : \mathcal{F}(\mathbb{R}) \times V \times \mathcal{P} &\longrightarrow \mathbb{R} \\ (f, [a, b], \text{pol}) &\longmapsto \mu(f, [a, b], \text{pol}) = 100 - \log(\text{abs}(\int_a^b f(x)dx - \int_a^b \text{pol}(x)dx))\end{aligned}$$

With this fitness function, the algorithm is trying to minimize  $\text{abs}(\int_a^b f(x)dx - \int_a^b \text{pol}(x)dx)$  instead of  $\int_a^b \text{abs}(f(x) - \text{pol}(x))dx$ . However, in small  $n$  instances, this second fitness function is not as effective, as can be seen in figure 3.1. It does work on higher number of interpolating points, as we can see in figure 3.2 (a). For very high degree polynomials, however, the oscillations on the edges of the interval get more intense, increasing the error, 3.2 (b). All the tests on this section were done considering the following parameters:

*popsize* = 100

*length\_of\_representation* = 50

*max\_iterations* = 30

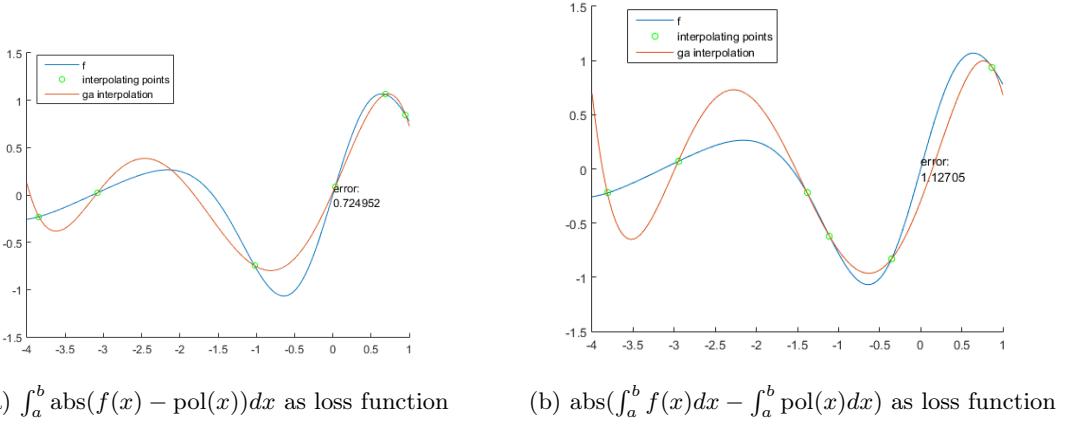


Figure 3.1: Comparison of the two fitness functions on a 6 point interpolation on the function. Error is measured as the loss of the first fitness function.

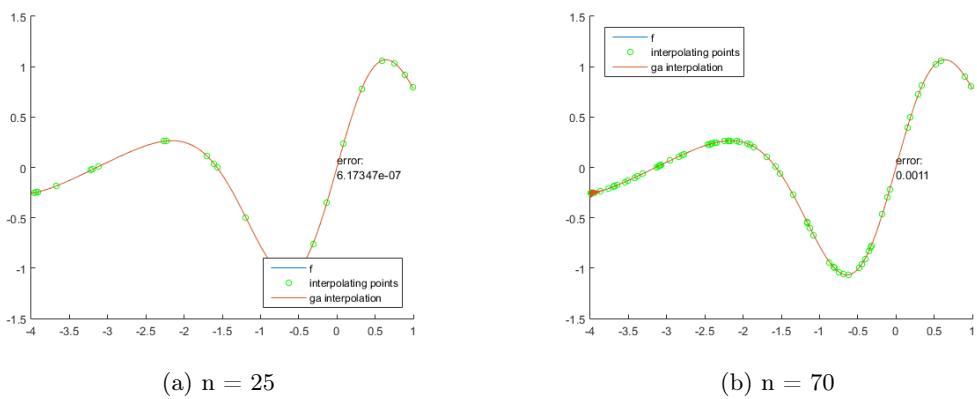


Figure 3.2: Interpolation is quite successful in medium valued n's, but it gets unstable for higher degree polynomials. Once again, error is measured with the loss function  $\int_a^b \text{abs}(f(x) - \text{pol}(x))dx$ .

---

**Algorithm 2:** interpolate

---

**Input:**

$(a, b)$ : a tuple containing the upper  $a$  and lower  $b$  bounds of the interpolating interval.

$\mu$ : fitness function, depends on the function to be interpolated, it's indefinite integral and the considered  $(a, b)$  interval.

**Output:**

$pol = (x_1, \dots, x_n)$ : a  $n$  sized tuple, containing the interpolating  $x$  values. The interpolating points, therefore are  $(x_1, f(x_1)), \dots, (x_2, f(x_2))$ .

**Parameters:**

popsize: The size of the population used by de GA.

max\_iterations: The maximum number of generations to be computed.

length\_of\_representation: The length of the binary vector used to encode each  $x_i$  component.

```

1 pop  $\leftarrow$  initialize_population(popsize, length_of_representation)
2 i  $\leftarrow$  0
3 best_fitness  $\leftarrow -\infty$ 
4 pop.calculate_fitness((a, b),  $\mu$ )
5 if  $\max_{x \in pop}(x.fitness) > best\_fitness$  then
6   best_fitness  $\leftarrow \max_{x \in pop}(x.fitness)
7   best_individual  $\leftarrow \operatorname{argmax}_{x \in pop}(x.fitness)
8 end
9 while i  $<$  max_iterations do
10  pop  $\leftarrow$  select(pop)
11  pop  $\leftarrow$  crossover(pop)
12  pop  $\leftarrow$  mutation(pop)
13  pop.calculate_fitness((a, b),  $\mu$ )
14  if  $\max_{x \in pop}(x.fitness) > best\_fitness$  then
15    best_fitness  $\leftarrow \max_{x \in pop}(x.fitness)
16    best_individual  $\leftarrow \operatorname{argmax}_{x \in pop}(x.fitness)
17  end
18  i  $\leftarrow i + 1$ 
19 end
20 interpolate  $\leftarrow$  (best_individual.decode(), best_fitness)$$$$ 
```

---

## Chapter 4

# GA and Genetic Programming on list sorting

Genetic Programming (GP) is a branch of evolutionary algorithms that considers the search space as a set of programs, and the goal is to find the optimal program in the search space for a given task. One of the difficulties lies on the encoding of the programs. Some early attempts include Learning Classifier Systems [11], [12] , [17], and Holland's Broadcast Language (HBL), [11]. Other approaches, consider (GP) as computational trees, obtaining a Turing complete adaptable (through special genetic operators) programming languages [3] and GP with linear computation programs have also been tried [25].

### 4.1 First attempt: Hollands Broadcast Language

It has not yet been proven HBL is a Turing complete language, although Holland [11] suggested it to be. We chose to use HBL as our first implementation to our problem because it is a very natural extension of GA.

#### 4.1.1 Theory introduction

In this section we briefly discuss the theory of HBL, and we give some examples. Most of this section has been taken from [11], [5] and [7]. We simplified the original approach to make it easier to implement and understand.

HBL is a message/action programming language, based on strings. Each 'instruction' of the program is of the form *condition*  $\rightarrow$  *action*, where an action is performed  $\iff$  the message received matches the *condition* field on the BU.

From [5] (adapted):

'We first describe the different structures constituting the language: the symbols, the broadcast units and broadcast devices. The interpretation of the symbols, broadcast units and broadcast devices will then follow. The broadcast language alphabet  $\Omega$  is finite and contains ten different characters. We consider the set of strings over  $\bigcup_{n=1}^{\infty} \Omega^n = S$ .

$$\Omega = \{0, 1, *, :, \diamondsuit, \triangledown, \blacktriangledown, \triangle, p'\}$$

Let  $I$  be an arbitrary string from  $\Omega$ . In  $I$ , a character is said to be quoted if it is preceded by the character  $'$ . A broadcast unit  $I_n$  is an arbitrary string from  $B$ , which only contains a unquoted  $*$ , and it is on the first position of the string. A set of broadcast units may be concatenated to form a broadcast device. A broadcast device  $I$  may contain  $0 \leq n \leq \infty$

broadcast units  $I_1 \dots I_n$  if  $n = 0$  then,  $I$  does not contain any broadcast unit and  $I$  is then called a null device. A null device does not broadcast a signal under any circumstances. A broadcast device which is not null is said to be active and it may broadcast an output upon the detection of appropriate signals.'

First, we need to process BD into several BU. We begin by uncommenting all BD by removing each ' symbol and the symbol immediately next to it. Then, the resulting string is divided so that every resulting BU begins with the symbol \* and only contains that symbol once. Then, each BU is classified into one of five categories depending of the position of the first 3 : symbols (any subsequent : symbol is ignored).

0. any BU that does not fit on the other types. These are considered null BU.
1.  $*I_1 : I_2$
2.  $* : I_1 : I_2$
3.  $*I_1 :: I_2$
4.  $*I_1 : I_2 : I_3$

We call any  $I_i$  a piece of BU. Each of the bu types has a different effect. We here give a very brief summary of how the BU processed.

1. If  $I_1$  message is on the environment, cast  $I_2$  to the environment.
2. If  $I_1$  message is not on the environment, cast  $I_2$  to the environment.
3. If  $I_1$  and  $I_2$  messages are on the environment, delete  $I_2$  from the environment.
4. If  $I_1$  and  $I_2$  messages are on the environment, cast  $I_3$  to the environment.

We first process **type 4** BU, and then the others. Various ways of execution order can be considered, (Randomized, by type or by the order in which they appear on the BD). In the original BU design, if several messages match the same BU, one of them is chosen at random uniformly. This is done in order for the BD to be able to simulate any distribution (given enough length and computing power). We decided to consider a ordered environment, so that the oldest messages are processed first. This way, we ensure the execution of the BD is consistent on each run.

Although in the original approach all characters were allowed on environmental messages, on our approach, we restricted them to binary sequences, in order to reduce the number of BD that do nothing. In addition to this, our interpretation of the special characters is not the same as on the original paper and we did not use the symbol p on our project to simplify the implementation.

$\diamond$  : If this symbol is found on a action piece, it is ignored. If  $I = s_1s_2\dots s_n$  is our condition piece and  $M = m_1\dots m_r$  our environmental message, with  $r \geq m$ . Then  $\diamond$  can appear on three positions:

1. **position**  $s_1 = \diamond$   
-If  $s_2s_3\dots s_n$  matches  $m_{r-s}\dots m_{r-1}m_r \rightarrow I$  matches  $M$ .
2. **position**  $s_i = \diamond, i \notin \{1, n\}$   
-If  $s_1\dots s_{i-1}s_{i+1}\dots s_n$  matches  $m_1\dots m_{i-1}m_{i+1}\dots m_{r-1}m_r \rightarrow I$  matches  $M$ .
3. **position**  $s_n = \diamond$   
-If  $s_1 \in \{\diamond, \nabla, \blacktriangledown\} \rightarrow s_n$  is ignored.  
-ElseIf:  $s_1\dots s_{n-1}$  matches  $m_1\dots m_{n-1} \rightarrow I$  matches  $M$ .

Notice that due to the restriction in **3. position**,  $s_1 = \diamond \wedge s_n = \diamond$  is not possible.

$\nabla, \blacktriangledown$ : These symbols have a similar effect as  $\diamond$  symbol, but if found at **2. position**, they are ignored. They also save the exceeding part of the environmental message, and 'inject' it on the action piece, if the symbol is repeated at the action string. I.e.

Let  $BU_1 = *\nabla11 : 00\nabla0$  be our BU and  $\{0111, 000\}$  be the set of our environmental messages. Notice the following:

- 000 does not match  $\nabla11$
- 0111 matches  $\nabla11$ , therefore, 01 is saved in memory, and since  $\nabla$  appears on the action piece, message 00010 is broadcast to the environment.

$\Delta$  : This symbol acts as a single position copier. It will match any one character, and if the  $\Delta$  also appears in the action message, it will inject the copied character. Only one first  $\Delta$  instance is considered in the condition pieces and on the action piece, any subsequent occurrences are discarded. If there is no  $\Delta$  in the condition piece, any occurrences of this symbol in the action piece are discarded.

#### 4.1.2 Our approach

Our first attempt only considers lists of size 8. We now introduce the major algorithms used in this first attempt.

##### The algorithms used

To define the interaction between the messages and the environment, we introduce a procedure called *process\_messages*:

---

**Algorithm 3:** process\_messages

---

**Input:***sl*: The list to be ordered.*M*: A list containing all environmental messages. All messages are binary arrays.**Output:***M\_new*: New environmental messages.*sl*: The list to be ordered, after it has been modified.

```

1 new_M  $\leftarrow \emptyset$ 
2 forall message  $\in M$  do
3   if length(message)  $\neq 8$  then
4     continue
5   else
6     identifier  $\leftarrow message[0]message[1]$ 
7     pointer0  $\leftarrow binary\_to\_decimal(message[2]message[3]message[4])$ 
8     pointer1  $\leftarrow binary\_to\_decimal(message[5]message[6]message[7])$ 
9     pointer0,pointer1  $\leftarrow min(pointer0,pointer1), max(pointer0,pointer1)$ 
10    switch identifier do
11      case 00 or 11 do
12        if sl[pointer0]  $> sl[pointer1]$  then
13          | M_new  $\leftarrow M\_new \cup \{11 \cup decimal\_to\_binary(pointer0)\}$ 
14        else
15          | M_new  $\leftarrow M\_new \cup \{00 \cup decimal\_to\_binary(pointer0)\}$ 
16        end
17      end
18      case 10 do
19        | sl  $\leftarrow sl[pointer1 : end] \cup sl[pointer0 : pointer1] \cup sl[pointer1]$ 
20      end
21      case 01 do
22        | sl[pointer0], sl[pointer1]  $\leftarrow sl[pointer1], sl[pointer0]$ 
23      end
24    end
25  end
26 end
27 return sl, M_new

```

---

We also define the procedure *process\_BD*, which interacts with the environmental messages.

---

**Algorithm 4:** process\_BD

---

**Input:**

M: A list containing all environmental messages. All messages are binary arrays.

BD: The broadcast device to be processed.

**Output:**

M: Modified environmental messages.

**Parameters:**

max\_env\_messages: The number of maximum environmental messages.

max\_env\_message\_length: Any environmental message containing more characters than this parameter, will be cropped to this length.

```

1 BU_list  $\leftarrow$  decompose(BD)
2 forall BU  $\in$  BU_list do
3   forall message  $\in$  M do
4     if match(BU, message) then
5       reply  $\leftarrow$  answer(BU, message)
6       M  $\leftarrow$  M - {message}
7       M  $\leftarrow$  M  $\cup$  reply
8       break
9     end
10   end
11 end
12 M  $\leftarrow$  shorten_and_cut(M, max_env_messages, max_env_message_length)
13 return M
```

---

We define the algorithm that sorts a list given a list and a BD.

---

**Algorithm 5:** sort \_ list \_ with \_ BD

---

**Input:**

BD: input BD.

shuffled\_list: the list to be shuffled.

**Output:**

shuffled\_list: shuffled list once it has been ordered.

**Parameters:**

start\_env\_message\_list: The initial environmental message list. We used  $\{11000111\}$  to force the first comparison.

max\_iterations: The maximum number of comparison rounds.

```
1  $M \leftarrow start\_env\_message\_list$ 
2  $i \leftarrow 0$ 
3 while  $i < max\_iterations$  do
4    $i \leftarrow i + 1$ 
5    $shuffled\_list, M \leftarrow process\_messages(shuffled\_list, M)$ 
6    $M \leftarrow process\_BD(M, BD)$ 
7 end
8 return shuffled_list
```

---

We define the Fitness function, *calculate\_fitness*.

---

**Algorithm 6:** Fitness function

---

**Input:**

BD: BD whose fitness is evaluated.

**Output:**

calculate\_fitness: the calculated fitness value.

**Parameters:**

number\_of\_lists\_sampled: the number of lists on which the fitness is calculated.

```

1 i  $\leftarrow$  0
2 fitness_value  $\leftarrow$   $\emptyset$ 
3 while  $i < \text{number\_of\_lists\_sampled}$  do
4    $i \leftarrow i + 1$ 
5    $sl \leftarrow \text{generate\_random\_list}(\text{shuffled\_list\_length})$ 
6    $sl\_copy = \text{copy}(sl)$ 
7    $sl \leftarrow \text{sort\_list\_with\_BD}(BD, sl)$ 
8    $\text{fitness\_hist} \leftarrow \text{calculate\_entropy}(sl\_copy) - \text{calculate\_entropy}(sl)$ 
9 end
10 return  $\text{mean}(\text{fitness\_hist})$ 
```

---

Finally, the algorithm we used to try to discover the best BD on the search space.

---

**Algorithm 7:** find \_ best \_ BD

---

**Input:**

**void**

**Output:**

Best\_BD: the best BD found.

---

**Parameters:**

popsize: The size of the population used by de GA.

max\_ga\_iterations: The maximum number of generations to be computed.

length\_of\_BD: The length of the BD considered in the search space.

---

```

1 pop  $\leftarrow$  initialize_random_uniform_population(popsize, length_of_BD)
2 best_fitness  $\leftarrow -\infty$ 
3 pop.calculate_fitness()
4 if  $\max_{x \in \text{pop}}(x.\text{fitness}) > \text{best\_fitness}$  then
5   best_fitness  $\leftarrow \max_{x \in \text{pop}}(x.\text{fitness})$ 
6   best_individual  $\leftarrow \operatorname{argmax}_{x \in \text{pop}}(x.\text{fitness})$ 
7 end
8 i  $\leftarrow 0$ 
9 while i  $<$  max_iterations do
10   pop  $\leftarrow \text{select}(\text{pop})$ 
11   pop  $\leftarrow \text{crossover}(\text{pop})$ 
12   pop  $\leftarrow \text{mutation}(\text{pop})$ 
13   pop.calculate_fitness()
14   if  $\max_{x \in \text{pop}}(x.\text{fitness}) > \text{best\_fitness}$  then
15     best_fitness  $\leftarrow \max_{x \in \text{pop}}(x.\text{fitness})$ 
16     best_individual  $\leftarrow \operatorname{argmax}_{x \in \text{pop}}(x.\text{fitness})$ 
17   end
18   i  $\leftarrow i + 1$ 
19 end
20 return best_individual

```

---

### The problems with this approach

On our first implementation, we found several problems:

- The fitness value was always 0 no matter what. This was caused by the fact that it is highly unlikely to randomly generate a BD that was able to make a change to the fitness list. To solve this, we classified all BD into two categories: null fitness BDs and BDs with non null fitness. We then initialized the population with only BD from the second category.

- We now had the initial population full of non null fitness BDs since we had initialized them discarding any null fitness BD. Bear in mind that for a population size of 20, it took about 30 minutes to initialize our population, so it was a highly inefficient solution. In addition, once initialized, the first two iterations destroyed any valuable schemata on the population and fitness was once again null every time.

To solve this, we tried to reduce the search space: the BU contained on each BD were set to be type1 and have the following structure:

$$*XXXXXXX : YYYYYYYY$$

Where  $X \in \{0, 1, \diamond, \nabla, \Delta\}$  and  $Y \in \{0, 1\}$

- With this we were able to get BDs that on average, improved any given lists entropy. Nonetheless, we still were not able to converge to a BD that was able to completely sort any given list.

We tried a new selection method, based on EDAs, truncating the population (selecting the best  $k$  individuals) and then sampling each position of the BD as an independent probability distribution. This did not improve the obtained solution.

We also tried introducing a new operator,  $r$  which would be randomly (fair coin toss) set to 0 or 1 each time it was read. This new operator improved our best found solution, but it depended too much on randomness, since the solutions we obtained were not deterministic programs. Each time they were executed, the outcome was different, and this is a undesirable property when it comes to list sorting.

Finally we decided to start from scratch with a smaller search space, and a new approach.

## 4.2 Our second attempt: Linear Genetic Programming

Based on the Turing Machine idea, we created a linear program with several commands and we evolved it using classic genetic operators.

### 4.2.1 Our Linear Programs Explained

We have two pointers,  $p0$  and  $p1$ , and they represent a position (an index) on the list,  $p1$  is initialized to 0, and  $p1$  is initialized to  $\text{floor}(\frac{\text{length\_of\_list}}{2})$ .

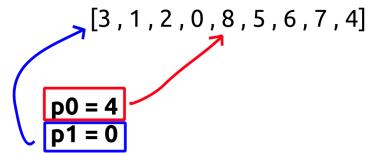


Figure 4.1: Example of pointer initialization for list length 9

We then defined the following operators:  $s, i, 0+, 1+, 0-, 1-, 0++, 0--, 1++, 1--, c$ .

**s** : when this symbol is found, the following is executed:

If  $p0 \neq p1$

*compare\_and\_swap(p0, p1, shuffled\_list)*

Where *compare\_and\_swap* compares the elements of the list corresponding to p1 and p0 and swaps the elements if it makes the list more ordered.

**i** : when this symbol is found, the following is executed:

If  $p0 \neq p1$

*compare\_and\_insert(p0, p1, shuffled\_list)*

Where *compare\_and\_insert* compares the elements of the list corresponding to p1 and p0 and inserts the element corresponding to p0 in the place it belongs: next or before the element corresponding to p1.

**k+ and k-** : k can be either 0 or 1. If  $0+$  is found we execute:

$p0 \leftarrow p0 + 1 \bmod (\text{list\_length})$

Likewise, if we find  $1-$  :

$p1 \leftarrow p1 - 1 \bmod (\text{list\_length})$  is executed.

**k++ and k-** :  $k++$  is equivalent to executing the command  $k+$ ,  $\text{floor}(\frac{\text{list\_size}}{8})$  times consecutively. The homologous happens with  $k--$ .

**c**: When this command is found, it is ignored.

The optimization algorithm is the same as the one used on the first approach, so we won't be repeating it here. It should be noted that for list sizes 4 and 5, a brute force search was used, since it was more efficient than our algorithm.

### 4.2.2 Results

This time we were able to find algorithms that sorted any given list. We compared the obtained algorithms with two well known algorithms: insertion sort and quicksort. Bear in mind that when the list is ordered, the algorithm is terminated and the number of comparisons is measured. This is not normally the case, since the algorithm normally knows when to terminate. However, we measured the maximum number of comparisons needed to sort any list, and thus when trying to sort any list, if we execute the GP with the maximum number of comparisons needed for all lists, we know the list will be sorted.

To make the comparison between different algorithms fairer, on the insertion sort, after each insertion, we checked if the list is ordered, and if so, we terminated the algorithm, just like on the GP.

We did not do this on quicksort, due to the recursive nature of the algorithm. Therefore, the value that must be taken into account is the worst case for the obtained genetic program, since this program cannot by itself terminate, the only way to ensure any list can be sorted by this algorithm, is to let it make all the comparisons it makes on the worst case scenario.

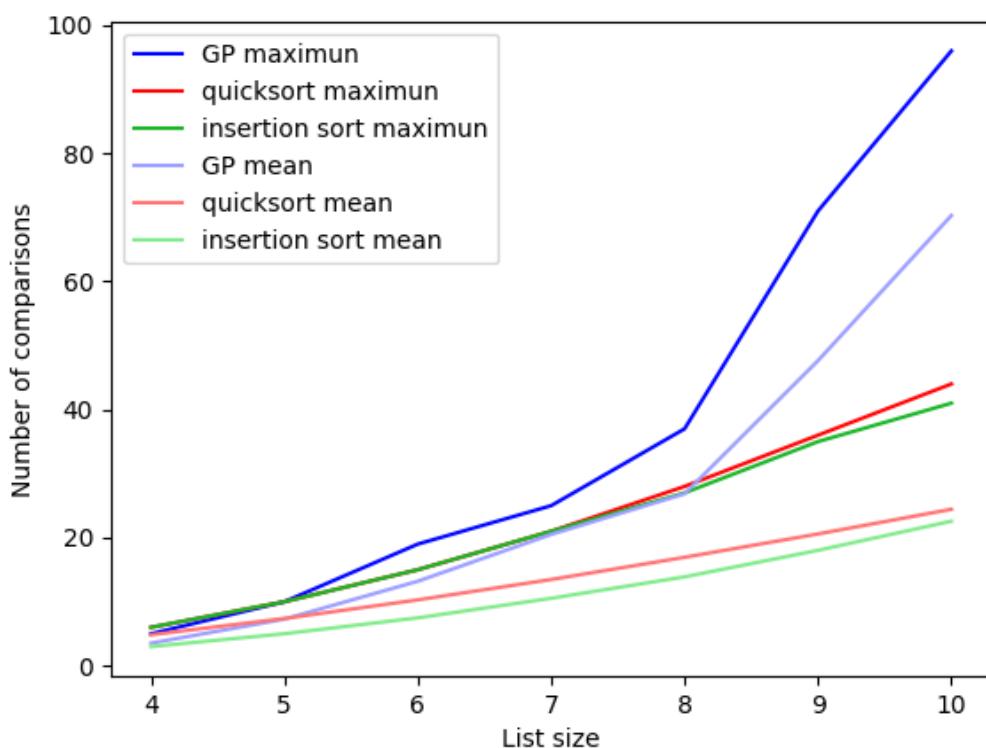


Figure 4.2: The number of comparisons required to sort a list given its size. Lighter colors represent the mean of the number of comparisons needed, and the darker colors represent the maximum required comparisons. (Smaller is better)

We only computed the GP for lists of size 4 to 10, since for bigger list the computation time required to obtain a reasonable solution on our machine would exceed 6 hours. In figure 4.2 we can see a comparative chart. The obtained best algorithm is worse than this two well known algorithms.

# Chapter 5

## Methodology and Coding Challenges

On this last chapter we will talk about some of the difficulties we faced when implementing the code. There were various different unsuccessful attempts which where not included on this document, because of the lack of space, and the obtained unexciting results.

### 5.1 Max Search

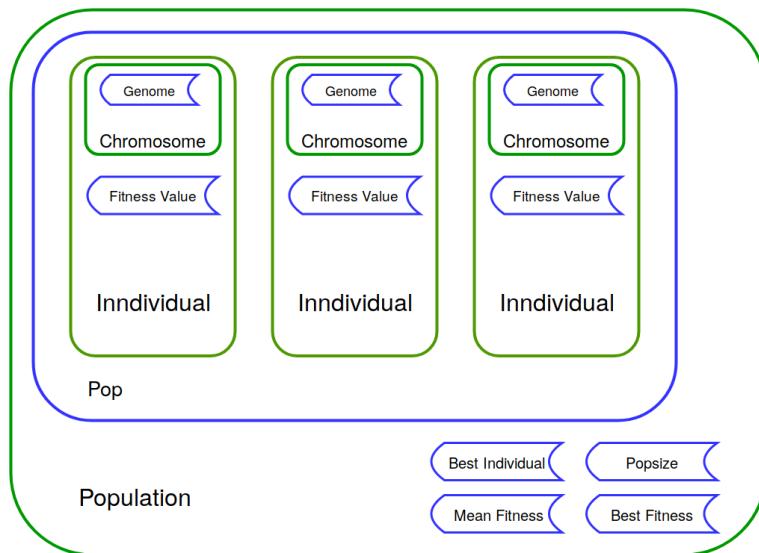


Figure 5.1: General structure of our GA implementation. Blue quadrilaterals represent properties, and green quadrilaterals represent classes.

We decided to structure the code using Matlab's OOP capabilities. Our main object is a Population type object, which contains the methods needed to run the GA. This structure was used both in Max Search and Polynomial Interpolation.

Some of the major challenges we faced while implementing this algorithm:

- Sometimes, selection function would crash. It was caused because very occasionally, specially with small population sizes, all individuals would have the same fitness, and therefore, there would be an 0 division error while calculating the probability of any individual on the roulette wheel selection. To solve this, we applied a small normal noise, before the selection function (line 17 of `select_ga.m`).

- While encoding and decoding between binary and real numbers, we had numerical problems because of the limit of integer size on Matlab. That is why we introduced a small python script (**Bigint.py**), since python has unlimited precision integers. Matlab has also got a third party package that supports unlimited sized integers, called [Variable Precision Integer Arithmetic](#), but it was not easy to use and it had some limitations, so we figured out it would be easier to leave the big integer part to python. We see the call the python script on line 31 of **code\_ab.m** and line 19 of **decode\_ab.m**. Python returns to Matlab a string that first needs to be converted to a Matlab string, and then it can be evaluated with the *eval()* command.

## 5.2 Polynomial Interpolation

- The major problem we faced is the numerical error when integrating the L1 distance of two functions on Matlab. To avoid numerical errors, we introduced another loss function, which worked surprisingly well for big degree polynomials ( $n > 10$ ). More details on section [3.1](#).

## 5.3 List Sorting

- The Broadcast Device approach turned to be a big waste of time. We only considered type 1 , 2 and 4 BUs, since type 3 BUs would not change much anyway. This approach had many problems, such as infinite loops, out of memory errors (caused by unlimited message lengths). After correcting all these problems, we did obtain a BD that seemed to improve a list's entropy *on average*. On figure [5.2](#) we see that the distribution of fitness values is slightly off to the right, and the mean fitness value is 0.0902. There is still much to improve, since it can barely be called a sorting algorithm.

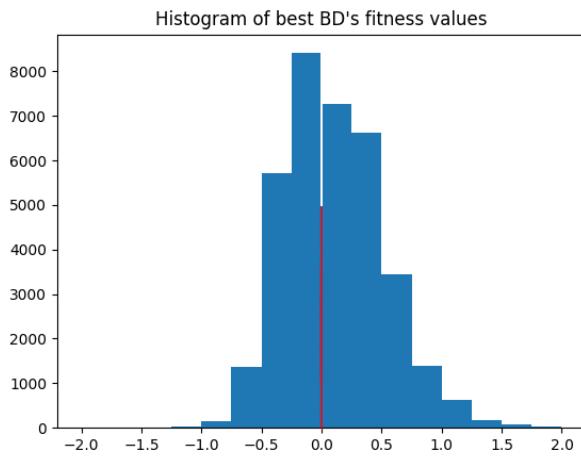


Figure 5.2: Histogram of the found best solution's fitness values over all lists of size 8 (there are  $8!$  different lists of this size.) The red line represents the number of instances with null fitness. Positive fitness values represent the list's entropy was improved, and negative values represent it was worsened.

To improve the obtained result, we restricted our search space as stated in [20](#). We also introduced 'r' the random operator. With these changes, we were able to find a better

solution, **\*lwlwllww:11rrr00r\*w wlwl0w11:10111010**. With this BD, we obtained a average fitness value of 0.142. The distribution of the fitness values over all possible lists can be seen in figure 5.3. The obtained result depends on the used random seed, since the 'r' operator is not deterministic (more about the 'r' operator on 20).

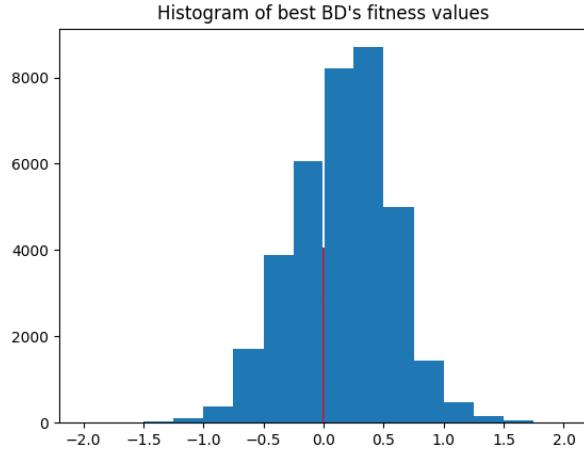


Figure 5.3: Histogram of the found best solution's fitness values over all lists of size 8 (there are  $8!$  different lists of this size.) The red line represents the number of instances with null fitness. Positive fitness values represent the list's entropy was improved, and negative values mean it was worsened.

# Chapter 6

## Future Work

Our final approach for list sorting can still be improved, since it is a very simple algorithm. Whenever a number is inserted, we know that the element corresponding to the inserted index is correctly arranged with the inserted element. If we again insert on the same location this information can be exploited, which is what insertion sort does. For reasonable sized GPs, however, there is no solution on the search space that can exploit this information. It would not take much to adapt our second approach to take advantage of this information.

The idea would be to obtain small groups of 'linked' elements, and these groups would be arranged subsets. These linked groups would be created or extended whenever an insertion happened. The insertion operator can be changed to binary insertion. In regard to swaps, whenever a swap between two elements of the same group happens, this could be ignored. If a swap occurs between two different groups, these could be combined like mergesort does, obtaining a single bigger group.

When it comes to optimization methods, EDAs [18], [1] could be implemented. Also, other crossover operators could be used [15].

# Appendix A

## Implemented Code

## A.1 Matlab and Python Code for Max Search

### A.1.1 Main Functions and Classes

#### main.m

```

1 function [ population ] = main( txtfile , rep_len , pop_size , i_max )
2
3 % use nextline.m to read the txtfile
4 clear nextline
5 mode      = nextline(txtfile);
6 fitness_opts = nextline(txtfile);
7 fitness_opts = eval(fitness_opts);
8 fitness_mode_opts = {mode,fitness_opts{:}};
9 len       = eval(nextline(txtfile));
10 popsize   = eval(nextline(txtfile));
11 imax      = eval(nextline(txtfile));
12
13 % uncomment these lines to override data.txt values with input values
14 %len = rep_len;
15 %popsize = pop_size;
16 %imax = i_max;
17
18 switch mode
19
20     %Uniparametric function mode
21     case 'function_max'
22         C = zeros(1,len);
23
24     %Initialize population with randpop, and write mode_fitness_opts on popultion
25     population = randpop(C,popsize,fitness_mode_opts);
26
27     close all % close all previous figures
28     hold all
29
30     %we create two points to name the legends, make room for legends, and preallocate x axis
31     scatter(0,0,'.', 'red')
32     scatter(0,imax,'.', 'blue')
33     legend('Max. fitness','Mean fitness','Location','southeast')
34
35 for i = 1:imax
36     matingpool = select_ga(population);
37     matedpool = crossover(matingpool);
38     population.pop = mutate(matedpool);
39     scatter(i,population.bestfitness,'.', 'MarkerEdgeColor','red')
40     scatter(i,population.meanfitness,'.', 'MarkerEdgeColor','blue')
41     drawnow
42 end
43
44 %plot function and found maxima
45 figure
46 a = fitness_mode_opts{2};
47 b = fitness_mode_opts{3};
48 f = fitness_mode_opts{4};
49 x = decode_ab(population.bestpopindividual.chrompack.genome,length(C),a,b);
50 hold all
51
52
53 mindist = min([x-a,b-x]);
54 xmax = fminbnd(@(x) -f(x),x-(mindist/1000),x+(mindist/1000));
55 %xmax = -8.3778;
56
57 plot(a:(b-a)/100000:b,f(a:(b-a)/100000:b))
58 %ga maximum
59 plot(xmax, f(xmax), 'ro', 'markersize', 4)
60 plot([xmax-0.1, xmax-0.1], ylim, 'color',[0 0.6 0.3])
61 plot([xmax+0.1, xmax+0.1], ylim, 'color',[0 0.6 0.3])
62 legend('f1','maxima','convergence area','Location','southeast')
63
64 disp('error: ')
65 disp( abs(f(xmax) - f(x)) )
66

```

```

67 % code below used to measure the probability of terminating the algorithm on the conv area
68 %if 0.1 > abs(x - xmax)
69 %    population = 1;
70 %else
71 %    population = 0;
72 %end
73 %hold off
74 %fclose all;
75 population = {x, f(x)}
76
77
78 %Biparametric function mode
79 case 'multiparam_max'
80 C = zeros(2,len);
81 %Initialize population with randpop, and write mode_fitness_opts on popultion
82 population = randpop(C,popsizefitness_mode_opts);
83 hold all
84
85 %we create two points to name the legends , make room for legends , and
86 %preallocate x axis
87
88 scatter(0,0,'.', 'red')
89 scatter(0,imax,'.', 'blue')
90 legend('Max. fitness','Mean fitness','Location','southeast')
91 for i = 1:imax
92     matingpool = select_ga(population);
93     matedpool = crossover(matingpool);
94     population.pop = mutate(matedpool);
95     scatter(i,population.bestfitness,'.', 'MarkerEdgeColor','red')
96     scatter(i,population.meanfitness,'.', 'MarkerEdgeColor','blue')
97     drawnow
98
99 end
100 hold off
101 figure
102 a1 = fitness_mode_opts{2};
103 b1 = fitness_mode_opts{3};
104 a2 = fitness_mode_opts{4};
105 b2 = fitness_mode_opts{5};
106 f = fitness_mode_opts{6};
107 x = decode_ab(population.bestpopindividual.chrompack.genome(1,:),length(C(1,:)),a1,b1);
108 y = decode_ab(population.bestpopindividual.chrompack.genome(2,:),length(C(2,:)),a2,b2);
109
110 % uncomment to plot found best solution
111 disp({x,y,f(x,y)})
112
113 x_vect = linspace(a1,b1,1000);
114 y_vect = linspace(a2,b2,1000);
115
116 [x_mesh, y_mesh] = meshgrid(x_vect, y_vect);
117
118 z_mesh = f(x_mesh,y_mesh);
119
120 mesh(x_mesh, y_mesh, z_mesh)
121 hold all
122
123 %uncomment to plot inverted himmelblau's maxima
124 %plot3( 3.5804, -1.8200, 200, 'k.', 'MarkerSize', 25)
125 %plot3(-2.8077, 3.1340, 200,'k.', 'MarkerSize', 25)
126 %plot3(2.9905, 2.0118 ,200,'k.', 'MarkerSize', 25)
127 %plot3(-3.7767, -3.2761,200,'k.', 'MarkerSize', 25)
128
129 %we return the found best point
130 population = {x,y,f(x,y)};
131
132
133 %hold off
134 %fclose all;
135
136
137 end

```

**calculatefitness.m**

```
1 function [ fitness ] = calculatefitness( genome , fitness_opts )
2
3 mode = fitness_opts{1};
4
5
6 switch mode
7 case 'function_max'
8     fitness = function_max(genome,fitness_opts);
9 case 'multiparam_max'
10    fitness = function_max_multipar(genome, fitness_opts);
11
12 end
13 end
14
15 function [ fitness ] = function_max(genome,fitness_opts)
16
17 %mode = 'function_max'
18 %fitness_opts = {'function_max', a , b , @(x) f(x)}
19
20
21 f = fitness_opts{4};
22 x = decode_ab(genome , length(genome) , fitness_opts{2} , fitness_opts{3});
23 fitness = f(x);
24 end
25
26
27
28
29 function [ fitness ] = function_max_multipar(genome,fitness_opts)
30
31 %mode = 'multiparam_max'
32 %fitness_opts = {'multiparam_max', a1 ,b1 ,a2 ,b2 , f}
33
34
35 f = fitness_opts{6};
36 x = decode_ab(genome(1,:), length(genome(1,:)) , fitness_opts{2}, fitness_opts{3});
37 y = decode_ab(genome(2,:), length(genome(2,:)) , fitness_opts{4}, fitness_opts{5});
38 fitness = f(x,y);
39
40
41 end
```

**Chrompack.m**

```
1 classdef Chrompack
2
3
4     properties
5         genome
6     end
7
8     methods
9         function r = individualize(chrompacks)
10            individuals = (arrayfun(@(x)Individual(x), chrompacks, 'UniformOutput', false));
11            r = [individuals{:}];
12
13        end
14        function obj = Chrompack(gen)
15            if nargin
16                obj.genome = gen;
17            end
18
19        end
20        function r=fitness(obj,fitness_mode_opts)
21            r = calculatefitness(obj.genome,fitness_mode_opts);
22        end
23    end
24
25 end
```

**code\_ab.m**

```

1 function [ C ] = code_ab(x ,n , a ,b)
2 %CODE_AB returns the binary code of the entered number. Number of digits
3 %and ab interval is also taken into account
4 % x-->char / int ----> value to be coded to binary, x[A,b] is a must
5 % n-->int OPTIONAL ----> number of digits of the binary code
6 % a-->numeric OPTIONAL ----> lower bound of interval
7 % b-->numeric OPTIONAL ----> upper bound of interval
8 %IMPORTANT: function has to be called with 4 arguments at least once
9 %before calling it with only one argument, as persistent values need to
10 %be set.
11
12
13 persistent per_abd;
14 persistent persistent_n;
15
16
17 %NARGIN 1
18 if nargin == 1
19
20 %if x is not an string
21 if not(ischar(x))
22
23 %if x is not a string nor a number ----> error
24 if not(isnumeric(x))
25     error('x is not numeric nor string')
26 end
27 %check if x [a,b] , else ----> error
28 if per_abd(1) <= x && per_abd(2) >= x
29     %code with phyton module, output is phyton string.
30     %we then convert phyton string to matlab string
31     C_string = char(py.phyton.bigint.code(x,persistent_n,per_abd(1),per_abd(2)));
32     %finally , we evaluate function
33     C = eval(C_string);
34 else
35     error('xA[a,b] is not true ')
36 end
37
38 else
39     %try to call code_ab with a converted string
40     C = code_ab(num2str(x,'%100.30f'));
41
42 end
43
44
45
46
47
48
49
50
51 %NARGIN 4
52 %set persistent values for ab and n
53 elseif nargin == 4
54
55 %error check a > b
56 if a > b
57     error(' b > a on [a,b] interval')
58 end
59
60 per_abd = [a, b , b-a] ;
61 persistent_n = n;
62
63 C = code_ab(x);
64 end
65
66 end

```

**crossover.m**

```

1 function [ matedpool ] = crossover( matingpool )
2 % Input --> array of Individuals
3 % Output --> array of Individuals
4
5 chrompackarray = [matingpool.chrompack]; %we obtain the chrompacks from individuals
6 outputchroms = simplecrossover(chrompackarray); %perform crossover
7 matedpool = individualize(outputchroms); %transform obtained chroms to individuals
8 end
9
10 function [crossedchrompacks] = simplecrossover (chrompacks)
11 %SIMPLECROSSOVER
12 % Input --> array of Chrompack
13 % Output --> array of Chrompack % since array of genomes may be problematic
14 % crossover inside each chromosome.
15 % [a a a a b b c c c] & [t t t t e e f f f] --> [a a a a e e c c c] & [t t t t b b f f
16 % f]
17 % control --> logical variable 0 when pop even, 1 when pop odd
18 control = 0;
19
20 %check if population is multiple of 2
21 len=length(chrompacks);
22 if mod(len,2)
23     control = 1;
24     warning('population size is odd, an individual will not be crossed')
25 end
26
27 %we shuffle input
28 chrompacks = shuffle(chrompacks);
29
30 %preallocate output for speed
31 crossedchrompacks(1:len) = Chrompack();
32
33 %crossing
34
35 for index=1:floor(len/2)
36     parents = chrompacks(1,[index*2-1 , index*2]);
37     crossedchrompacks([index*2 - 1 , index*2]) = couplemate(parents(1),parents(2));
38 end
39
40 if control %if population is odd, last individual is not crossed and kept
41     crossedchrompacks(end) = chrompacks(end);
42 end
43
44 function [child1,child2] = couplemate(parent1,parent2) %we mate a couple of chrompacks
45 %Input --> Chrompack , Chrompack
46 %Output --> Chrompack , Chrompack
47 %we first convert input into genome
48 parent1 = parent1.genome;
49 parent2 = parent2.genome;
50
51 %get nchrom and chromsize
52 [nchrom , chromsize] = size(parent1);
53
54 %get random positions
55 randomindex = sort(randi(chromsize-1,2,nchrom));
56
57 child1gen = parent1;
58 child2gen = parent2;
59 for i = 1:nchrom
60     child1gen(i,(randomindex(1,i)+1):randomindex(2,i)) = ...
61         parent2(i,(randomindex(1,i)+1):randomindex(2,i));
62     child2gen(i,(randomindex(1,i)+1):randomindex(2,i)) = ...
63         parent1(i,(randomindex(1,i)+1):randomindex(2,i));
64 end
65 child1 = Chrompack(child1gen);
66 child2 = Chrompack(child2gen);
67 end
68 end

```

**data.txt**

```
1 %mode ----> tell the main file what mode will be operating on
2 function_max
3
4 %Fitness function options. For 'function_max' mode , a cell containing:
5 % {'function_max', a , b , f}
6
7 {-10 , 10 , @(x) cos(3*x) .* (0.25*x.^2+x+5+5*sin(cos(45*x)).^3+4*cos(x*45)-2*x)}
8
9
10 %The sample Individual's genome (C)
11 15
12
13 %Popultion size (popsize)
14 100
15
16 %Number of iterations (imax)
17 20
18
19 %93
20
21
22
23 END
```

**datamultimodal.txt**

```

1 %mode ----> tell the main file what mode will be operating on
2 multiparam_max
3
4 %Fitness function options. For 'multiparam_max' mode , a cell containing:
5 % {'multiparam_max', a1 ,b1 ,a2 ,b2 , f}
6
7 % demo1
8 %{-10 , 10 , -10, 10, @(x,y) cos(0.05.*x.*y) .* (0.25.*x.^2+y)}
9
10 % Rastrigin A = 10
11 % Rastrigin , L. A. "Systems of extremal control." Mir, Moscow (1974).
12 %{-5 , 5 , -5, 5, @(x,y) 40 - (x.^2 + y.^2) + 10.*cos(2.*pi.*x) + 10.*cos(2.*pi.*y)}
13
14 %Himmelblau's function
15 % Himmelblau, D. (1972). Applied Nonlinear Programming. McGraw-Hill. ISBN 0-07-028921-2.
16
17 {-6 , 6 , -6, 6, @(x,y) 200 - (x.^4 + 2.*x.^2.*y - 22.*x.^2 + y.^2 - 22.*y +121) - (x.^2 + 2.*x.*y
18 .^2 - 14.*x + y.^4 - 14.*y.^2 + 49)}
19
20
21
22 %The sample Individual's genome (C)
23 15
24
25 %Popultion size (popsize)
26 100
27
28
29 %Number of iterations (imax)
30 30
31
32
33
34
35 END

```

**decode\_ab.m**

```

1 function [ x ] = decode_ab( C ,n , a , b )
2 %DECODE_AB returns the binary code of the entered number. Number of digits
3 %and ab_interval is also taken into account
4 % C->char / mat of doubles —> value to be decoded to decimal
5 % n->int OPTIONAL —> number of digits of the binary code
6 % a->numeric OPTIONAL —> lower bound of interval
7 % b->numeric OPTIONAL —> upper bound of interval
8 %IMPORTANT: function has to be called with 4 arguments at least once
9 %before calling it with only one argument, as persistent values need to
10 %be set.
11
12 persistent per_abd;
13 persistent persistent_n;
14
15 %Nargin1
16 %decode C
17 if nargin == 1
18     if ischar(C)
19         x = eval(char(py.phyton.bigint.decode( C ,persistent_n ,per_abd(1) ,per_abd(2))));%
20     elseif isnumeric(C)
21         a = mat2str(C); %vector —> str [1 2 3] —>str [1,2,3]
22         x = decode_ab(strrep(a, ' ', ',' ,',' ));%
23     end
24
25
26
27
28
29 %NARGIN 4
30 %set persistent values for ab and n
31 elseif nargin == 4
32
33
34     if a > b
35         error(' b > a on [a,b] interval')
36     end
37     per_abd = [a , b] ;
38     persistent_n = n;
39     x = decode_ab(C);
40
41 end
42
43
44
45
46 end

```

**getdata\_mutmimodal.m**

```

1 %get probabilities of Himmelblau's function maxima
2
3 close all
4 memory = [0 0 0 0];
5 % hold all
6 % x_vect = linspace(-5,5,1000);
7 % y_vect = linspace(-5,5,1000);
8 % [x_mesh, y_mesh] = meshgrid(x_vect, y_vect);
9 %
10 % %f = @(x,y) 200 - (x.^4 + 2.*x.^2.*y - 22.*x.^2 + y.^2 - 22.*y +121) - (x.^2 + 2.*x.*y.^2 - 14.*x
11 % + y.^4 - 14.*y.^2 + 49);
12 % f = @(x,y) 40 - (x.^2 + y.^2) + 10.*cos(2.*pi.*x) + 10.*cos(2.*pi.*y);
13 % z_mesh = f(x_mesh,y_mesh);
14 % mesh(x_mesh, y_mesh, z_mesh)
15 % plot3( 3.5804, -1.8200, 200, 'k.', 'MarkerSize', 7)
16 % plot3(-2.8077, 3.1340, 200,'k.', 'MarkerSize', 7)
17 % plot3(2.9905, 2.0118 ,200,'k.', 'MarkerSize', 7)
18 % plot3(-3.7767, -3.2761,200,'k.', 'MarkerSize', 7)
19
20 for i = 1:300
21     dat = main('datamultimodal.txt',15,100,30);
22
23     if dat{1}> 0 && dat{2}>0
24         memory = memory + [1 0 0 0];
25     elseif dat{1}> 0 && dat{2}<0
26         memory = memory + [0 1 0 0];
27     elseif dat{1}< 0 && dat{2}>0
28         memory = memory + [0 0 1 0];
29     elseif dat{1}< 0 && dat{2}<0
30         memory = memory + [0 0 0 1];
31     end
32
33     disp(i)
34
35 % scatter3(dat{1},dat{2},dat{3},'r.')
36 % drawnow
37 % clear main
38
39
40
41
42 disp(memory)
43
44 % 149      71      50      30

```

**getdata\_unimodal.m**

```
1 %get probabilities of Himmelblau's function maxima
2
3 close all
4 memory = [0 0];
5 % hold all
6 % x_vect = linspace(-5,5,1000);
7 % y_vect = linspace(-5,5,1000);
8 % [x_mesh, y_mesh] = meshgrid(x_vect, y_vect);
9 %
10 % f = @(x,y) 200 - (x.^4 + 2.*x.^2.*y - 22.*x.^2 + y.^2 - 22.*y +121) - (x.^2 + 2.*x.*y.^2 - 14.*x +
11 % y.^4 - 14.*y.^2 + 49);
12 %
13 % z_mesh = f(x_mesh,y_mesh);
14 % mesh(x_mesh, y_mesh, z_mesh)
15 % plot3( 3.5804, -1.8200, 200, 'k.', 'MarkerSize', 7)
16 % plot3( -2.8077, 3.1340, 200,'k.', 'MarkerSize', 7)
17 % plot3( 2.9905, 2.0118 ,200,'k.', 'MarkerSize', 7)
18 % plot3( -3.7767, -3.2761,200,'k.', 'MarkerSize', 7)
19 for i = 1:100
20     dat = main('data.txt');
21
22 %     [not_found_maxima, found_maxima]
23
24     memory(dat + 1) = memory(dat + 1) + 1;
25     disp('_____')
26     disp(memory)
27     clear main
28 end
```

**Individual.m**

```

1 classdef Individual < handle
2     %INDIVIDUAL an individual of the population
3
4
5 properties
6     chrompack
7     fitnessval = nan;
8
9
10 end
11
12 methods
13
14     %fitness(obj) calculates the fitness value of the individual
15
16     function r=fitness(obj,fitness_mode_opts)
17         if isnan(obj.fitnessval)
18             obj.fitnessval=fitness(obj.chrompack,fitness_mode_opts);
19         end
20         r=obj.fitnessval;
21     end
22
23
24     %constructor checks if genome provided in which case creates obj,
25     %constructor checks if given argument is a genome (matrix) or a
26     %chrompack (Chrompack object) and creates individual accordingly
27
28
29     function obj = Individual(information)
30         if nargin == 0
31             elseif nargin == 1
32                 len = length(information);
33                 if isa(information, 'Chrompack')
34                     if len == 1;
35                         obj.chrompack = information;
36                     else
37                         warning('use individualize method from Chrompack class instead')
38                     end
39                 elseif isa(information, 'double')
40                     obj.chrompack = Chrompack(information);
41                 end
42             end
43         end
44     end
45 end

```

**measure\_time.m**

```
1 list = zeros(1,4);
2 speedvect = [];
3 for i = 20:20:600
4     for j = 1:4
5         tic()
6 % repr length i = 15:30:615
7 % [1.0682    1.7389    2.4093    3.0609    3.8373    4.3579    5.0001    5.7808    6.3701
8 %      7.0363    7.7069    8.5390    9.0517    9.7044    10.4194   11.2592   11.7374   12.3754
9 % main('datamultimodal.txt',i,20,30);
10
11 % popsize i = 20:20:600
12 % [    1.0492    2.0691    3.0920    4.1568    5.2992    6.219
13 % 7.4522    8.2882    9.2925   10.4069   11.4237   12.6933
14 %13.5604   14.4098   15.5961   16.6138   17.5885   18.6490
15 %19.7808   21.2651   22.2311   23.2110   24.4957   24.8846
16 %26.2526   27.4251   28.9737   30.0530   30.7897   31.6119]
17
18
19
20     main('datamultimodal.txt',15,i,30);
21     list(j) = toc();
22 end
23 speedvect = [speedvect, min(list)];
24 disp(i)
25 end
26
27 disp(speedvect)
```

**mutate.m**

```

1 function [ mutated_Individual_array ] = mutate( Individual_array , p )
2 %MUTATE mutate single character
3
4
5
6 %set default p mutation if not given by user
7
8 if nargin == 1
9     p = 0.2;
10 end
11
12 chrompack_array= [Individual_array.chrompack];
13
14 preallocated_chroms(1:length(Individual_array)) = Chrompack();
15
16 for i = 1:length(chrompack_array)
17
18     preallocated_chroms(i) = mutate_chrom(chrompack_array(i),p);
19
20 end
21
22 mutated_Individual_array = individualize(preallocated_chroms);
23
24 end
25
26
27
28
29
30 function [ mutated_chrompack ] = mutate_chrom( chrompack , p )
31
32
33
34 %MUTATE mutate single character
35
36 %input --> chrompack
37 %output --> chrompack
38
39
40 %mutate single bit only if favorable biased coin toss
41 if not(randi([0,floor(1/p)]))
42     genome = chrompack.genome;
43     randompos = randi(numel(genome));
44     genome(randompos) = not(genome(randompos));
45     mutated_chrompack = Chrompack(genome);
46 else
47     mutated_chrompack = chrompack;
48
49
50 end
51 end

```

## Population.m

```

1 classdef Population < handle
2
3     properties
4         pop
5         popsize = 0;
6         bestpopindividual
7         bestfitness = 0;
8         meanfitness = 0 ;
9         fitness_mode_opts
10
11    end
12
13    methods
14
15        %after checking an Individual is given , adds it to the population
16        function addindividual(obj,indiv)
17            if not(isa(indiv,'Individual'))
18                error('addindividual method can only add Individual objects to Population.pop')
19            end
20            obj.pop=[obj.pop , indiv];      %add indiv to pop
21            obj.popsize = obj.popsize + 1; %increment by 1 popsize
22            %fitness(obj.pop);           %fitnnes can be calculated upon
23                                %addition , sacrificing speed
24
25
26    end
27
28
29    %constructor checks type of input and creates obj
30    %accepted inputs —> Individual / Individual array
31
32    function obj=Population(Individual_array , fitness_mode_opts)
33        if nargin > 0
34            if isa(Individual_array(1), 'Individual')
35                obj.pop=Individual_array;
36            else
37                error('Population constructor can only get Individual arrays as input')
38            end
39            obj.popsize = obj.popsize + length(Individual_array);
40            obj.fitness_mode_opts = fitness_mode_opts;
41            %fitness(obj);
42        end
43    end
44
45    %we calculate the the fitness of the entire population and save the
46    %best individual , and its fitness. We also calculate the mean
47    %fitness and the number of individuals
48
49    function fitvector = fitness(obj)
50        %calculate fitness only if not previously calculated
51        fitvector=arrayfun(@(x)x.fitness(obj.fitness_mode_opts) , obj.pop);
52        [maxf,maxindex]=max(fitvector);
53        obj.meanfitness=sum(fitvector)/obj.popsize;
54
55        if obj.bestfitness < maxf
56            obj.bestfitness=maxf;
57            obj.bestpopindividual=obj.pop(maxindex);
58        end
59
60    end
61
62
63
64    end
65
66
67
68
69
70 end

```

**randpop.m**

```

1 function [ pop ] = randpop( C , popsize , mode )
2 %RANDPOP generate random population drom a given individual example
3 %Input-->
4 %   C      --> binary code, as an example of the individuals to generate
5 %   popsize --> the number of individuals to be created
6 %
7 %Output-->
8 %   pop_of_individuals --> randomly initialized population
9
10
11 %we extract examples size
12 [nchrom,chromlength] = size(C);
13
14 %- we create a matrix of random genomes, concatenated one next to the other
15 %- using mat2cell, we slice this concatenation of genomes to cells with one
16 %genome each
17
18 cell_of_genomes = mat2cell(randi([0,1],nchrom,chromlength * popsize),nchrom,ones(1,popsize)*
    chromlength);
19
20 %convert genomes to chromosomes, and chromosomes to individuals
21 cell_of_individuals = (cellfun(@(x) Individual(Chrompack(x)), cell_of_genomes, 'UniformOutput', false)
    );
22
23 %convert cell array to normal array
24 array_of_individuals = [cell_of_individuals{:}];
25
26 pop = Population(array_of_individuals , mode);
27
28 end

```

**select\_ga.m**

```

1 function [ matingpool ] = select_ga( population )
2
3 %Selection with sigma trunc. and SRSWR
4 %           sigma truncation
5 %This is how sigma truncation works:
6 %    A) fit' = fit - {mean(fit) - c * std(fit)}
7 %    B) negative values set to 0
8 %
9 %
10 %           stochastic remainder sampling without replacement
11 %A) Expected individual count values calculated, and integer values
12 %calculated and assigned
13 %B) Treat fractional parts as biased coin tosses untill population is
14 %full
15
16 fitvector = fitness(population); %we calculate fitness
17 fitvector = fitvector + normrnd(0,0.01,1,length(fitvector)); %we add small noise to ensure non
18 %uniformity
19 fitvector = sigmatruncation(fitvector ,population.meanfitness); % apply sigma truncation
%SRSWR selection method
20 choicevector = fitvector.* population.popsize ./ sum(fitvector);
21 selectedquantity = floor(choicevector); %calculate the integer parts
22 remainder = choicevector - selectedquantity; %calculate non-integer parts
23 sumselectedquantity = sum(selectedquantity); %calculate sum of integer parts
24 if sumselectedquantity > population.popsize % check if new pop wil be too big
25     error('new population popsize will exceed pop popsize')
26 end
27
28 P = remainder/sum(remainder); %we make the sum of remainders be 1, thus calculate the
29 %probabilities
30 C = cumsum(P); %we calculate the cumulative probabilities
31 for ind=1:(population.popsize - sumselectedquantity) %iterate untill population full
32     randomposition=(1+sum(C(end)*rand>C)); %+ 1 on a random position to selectedquantity
33     selectedquantity(randomposition) = selectedquantity(randomposition) + 1 ;
34 end
35
36 if sum(selectedquantity) ~= population.popsize
37     %disp(selectedquantity)
38     %disp(population.popsize)
39     %check if poppopsize == selectedquantity
40     error('pop popsize and selectedquantity mismatch')
41 end
42
43
44
45 matingpool=repelem( population.pop ,selectedquantity );
46
47
48 end
49
50
51 function [ scaledfitvector ] = sigmatruncation( fitvect , meanfitness )
52 c=2;
53 scaledfitvector = fitvect - ( meanfitness - c*std(fitvect));
54 scaledfitvector(scaledfitvector<0) = 0;
55 end

```

### A.1.2 Utility Functions

#### Gbundle.m

```

1 classdef Gbundle < handle
2     %MATEDPOOLINFO an auxiliary class to be able to do A(1,3) = Individual([chrompack1 chrompack2
3     %                                chrompack3])
4
5     %
6     %
7     %    setgetnext(input)----> set chrompack array
8     %    setgetnext()-----> get next chrompack
9     properties
10
11 end
12
13 methods (Static)
14
15     function r = setgetnext(input)
16         persistent sharedchrompacks;
17         persistent index;
18         if not(nargin)
19             index = index + 1;
20             r = sharedchrompacks(index);
21         else
22             index = 0;
23             sharedchrompacks = input;
24             r = 0;
25         end
26     end
27 end

```

**nextline.m**

```
1 function [ text_line ] = nextline( textfile )
2 %NEXTLINE read next line of a file , ignores comment lines , blank lines or
3 %lines starting with an space. terminate read wen END is found
4
5
6 persistent textID;
7 persistent control;
8 persistent per_textfile;
9
10
11 % If textID is empty ----> open textfile to set textID
12 % -----> set per_textfile as textfile
13 if isempty(textID)
14     textID = fopen(textfile);
15     per_textfile = textfile;
16 end
17
18 %detect changes in textfile
19 if per_textfile ~= textfile
20     error('data file changed')
21 end
22
23
24 control = '%';
25 %read until non void line or non coment line found and only if no 'END' read
26 while (strcmp(control, '%') || strcmp(control, '') || strcmp(control, ' ')) && not(strcmp(control, 'END'))
27
28     %read line
29     text_line = fgetl(textID);
30
31     %if line void, control = ''
32     %    else , control = text_line's first character (to detect
33     %        comment lines)
34     if isempty(text_line)
35         control = '%';
36     else
37         control = text_line(1);
38     end
39
40 end
41
42 %check if last line 'END', if so close file
43
44 if strcmp(text_line, 'END')
45     disp(' END line found. Text file will be closed.')
46     fclose(textID);
47     clear textID
48     clear control
49     clear per_textfile
50 end
51
52
53 end
```

**shuffle.m**

```
1 function [ outarray ] = shuffle( inarray )
2 %SHUFFLE shuffles given array
3 index = randperm( length(inarray) );
4 outarray = inarray(index);
5
6 end
```

### A.1.3 Python Code

#### bigint.py

```

1 from decimal import * #we need this module so that we can reduce the numerical error in code/decode
2     functions
3 from math import floor
4 getcontext().prec = 100 #we set default precision to 100 (which is very high)
5
6 #####CODE#####
7 #####CODE#####
8 #####CODE#####
9
10
11 #return the binary code of an integer string (decimal integer)
12
13
14
15 def code(*args):
16
17     #####code(x)#####
18     # x      --> integer
19     # output --> list of 0 and 1 integers
20
21     if len(args) == 1:
22
23         #calculate binary string
24         binary_string = bin(int(args[0]))
25         #return an integer list
26         return [int(x) for x in binary_string.split('b')[1]]
27
28
29
30     #####code(x,n)#####
31     # x      --> integer
32     # n      --> integer [number of digits we want on binary code]
33     # output --> list of 0 and 1 integers
34
35     elif len(args) == 2:
36
37
38         #calculate code(x)
39         C = code(int(args[0]))
40
41         #convert n to int to avoid problems
42         n = int(args[1])
43
44         #check if n too small, if not return C with zeroes at the begining
45         if len(C) > n:
46             print('len(c): '+str(len(C)))
47             print('n: '+str(n))
48
49             raise ValueError("len(C) > n , n is too small")
50         else:
51             dif = n - len(C)
52             return [0]*dif + C
53
54
55
56
57
58
59
60
61
62     #####code(x,n,a,b)#####
63     # x      --> float , xâ€š[a,b]
64     # n      --> integer , number of digits we want on binary code
65     # a      --> float , lower bound of interval
66     # b      --> float , upper bound of interval
67     # output --> list of 0 and 1 integers
68

```

```

69 elif len(args) == 4:
70
71     getcontext().prec = int(floor(args[1]/4)) + 100
72     x = Decimal(args[0])
73     #convert n to int to avoid problems
74     n = int(args[1])
75     a = Decimal(args[2])
76     b = Decimal(args[3])
77
78     #set precision
79     #10**n is a upper bound of (2**4)**n = 8**n
80
81     getcontext().prec = int(floor(n/4)) + 100
82
83
84     return str(code(int((Decimal(x) - Decimal(a)) / (Decimal(b) - Decimal(a)) * Decimal(2 ** n - 1)), n))
85
86
87
88
89 ##### DECODE #####
90 ##### DECODE #####
91 #####
92
93
94 def decode(*args):
95
96     ##### decode(C)#####
97     # C      --> binary list
98     # output --> integer
99
100    if len(args) == 1:
101        C = args[0]
102        n = len(C)
103        # [1,1,0,1] = sum([ 2^3 , 2^2 , 2^0]
104        return int(sum([2***(n - j - 1) for j in range(n) if C[j]]))
105
106
107
108
109
110
111 ##### decode(C,n,a,b)#####
112 # C      --> binary list string , so that we can easily import an array from matlab
113 # n      --> integer           , number of digits we have on binary code
114 # a      --> float            , lower bound of interval
115 # b      --> float            , upper bound of interval
116 # output --> string(Decimal)
117
118 if len(args) == 4: #n = len(C) , however, we add it as an input argument for consistency with
119   code calls
120
121     getcontext().prec = int(floor(args[1]/4)) + 100
122     C = eval(str(args[0]))
123     n = int(args[1])
124     a = Decimal(args[2])
125     b = Decimal(args[3])
126
127     if not(len(C) == n):
128         raise ValueError("len(C) and n missmatch ")
129
130     x = Decimal(decode(C))
131
132     # return value on string format, without scientific notation
133     return format(Decimal(a + (x / (2**n - 1) * (b - a))), 'f')

```

## A.2 Matlab and Python Code for Polynomial Interpolation

Note: This code is the same on some modules.

### A.2.1 Main Functions and Classes

#### main.m

```

1 function [ population ] = main( txtfile )
2
3 %we want no warnings on badly conditioned polinomials
4 warning('off','MATLAB:polyfit:RepeatedPointsOrRescale')
5
6
7 %Read sample Individual's genome
8 clear nextline
9 mode      = nextline(txtfile);
10 fitness_opts = eval(nextline(txtfile));
11
12
13 %%%%%%%%%%%%%%
14 %create fitness_mode_opts --> { 'mode',%fitnessopts% }
15 fitness_mode_opts = {mode,fitness_opts{:}};
16 %%%%%%%%%%%%%%
17
18 C_data      = eval(nextline(txtfile));
19 popsize     = eval(nextline(txtfile));
20 imax        = eval(nextline(txtfile));
21
22 a = fitness_mode_opts{2};
23 b = fitness_mode_opts{3};
24 f = fitness_mode_opts{4};
25 n = fitness_mode_opts{5};
26
27
28
29 %Initialize population with randpop, and write mode_fitness_opts on popultion
30 population = randpop(C_data,popsize,fitness_mode_opts);
31
32 hold all
33
34 %we create two points to name the legends, make room for legends, and
35 %preallocate x axis
36
37
38 legend('Max. fitness','Mean fitness','Location','southeast')
39 for i = 1:imax
40
41     matingpool = select_ga(population);
42     matedpool = crossover(matingpool);
43     population.pop = mutate(matedpool);
44     scatter(i,population.bestfitness,'.', 'MarkerEdgeColor', 'red')
45     scatter(i,population.meanfitness,'.', 'MarkerEdgeColor', 'blue')
46     drawnow
47
48
49 end
50 %plot function and found maxima
51 figure
52 %preallocate vector of positions of interpolating points
53 x = zeros(1,n);
54 %get best interpolating points
55 for i=1:n
56     x(i) = decode_ab(population.bestpopindividual.chrompack.genome(i,:), size(C_data,2),a,b);
57 end
58 hold all
59
60
61 %plot f function
62 plot(a:(b-a)/1000:b,f(a:(b-a)/1000:b))
63

```

```
64 %plot interpolating polinomial
65 %get the polinomial coefficients
66 p = polyfit(x,f(x),n-1);
67 %define polinomial handle
68 pol = @(y) evalpol(p,y);
69
70 [~,error] = calculatefitness(population.bestpopindividual.chrompack.genome,fitness_mode_opts,true);
71
72
73
74 plot(x,f(x),'go','markersize', 5);
75
76 text(0,0,{ 'error: ',error});
77
78 plot(a:(b-a)/100000:b, pol(a:(b-a)/100000:b))
79
80
81
82
83
84 legend('f','interpolating points','ga interpolation','Location','southeast')
85
86 hold off
87 end
```

**calculatefitness.m**

```

1 function [ fitness , error ] = calculatefitness( genome , fitness_mode_opts , calc_error )
2
3
4
5 if ~exist('calc_error','var')
6 % third parameter does not exist, so default it to something
7 calc_error = false;
8 end
9
10 mode = fitness_mode_opts{1};
11
12
13 switch mode
14 case 'function_max'
15     fitness = function_max(genome,fitness_mode_opts);
16 case 'pol_interp'
17     [fitness,error] = pol_interp(genome,fitness_mode_opts, calc_error);
18
19
20 end
21 end
22
23
24 %mode = 'function_max'
25 function [ fitness ] = function_max(genome,fitness_mode_opts)
26
27 %fitness_opts = {'function_max', a , b , @(x) f(x)}
28
29
30 f = fitness_mode_opts{4};
31 x = decode_ab(genome , length(genome) , fitness_mode_opts{2} , fitness_mode_opts{3});
32 fitness = f(x);
33
34
35 end
36
37
38 %mode = 'pol_interp'
39 function [ fitness , error ] = pol_interp(genome,fitness_mode_opts,calc_error)
40 %fitness_opts = {'pol_interp',a,b,f,n};
41 %%NEED TO ADD IF ROW OF GENOME REPEATED, FITNESS = 0%%
42
43 % if rank(genome) < size(genome,1)
44 %     fitness = 0;
45 %     return;
46 % end
47
48 f = fitness_mode_opts{4};
49 n = fitness_mode_opts{5};
50 %preallocation
51 x = zeros(1,n);
52
53 %length of each chromosome
54 len = length(genome(1,:));
55
56 for i=1:n
57 x(i) = decode_ab(genome(i,:), len , fitness_mode_opts{2} , fitness_mode_opts{3});
58 end
59
60
61 %get the polinomial coefficients
62 p = polyfit(x,f(x),n-1);
63
64
65 %define polinomial handle
66 pol = @(y) evalpol(p,y);
67
68 f_integral = @(y) -exp(cos(y)).*(-1 + cos(y));
69
70
71 %define function to be integrated

```

```

72 h = @(y) abs(f(y) - pol(y));
73 %for fitness to be positive and positively oriented, we apply - log(x + 0.00001)
74
75 pol_int = polyint(p);
76
77
78 if n > 2
79     pol_int_value = abs(f_integral(fitness_mode_opts{3})-evalpol(pol_int,fitness_mode_opts{3})
80                         - f_integral(fitness_mode_opts{2}) + evalpol(pol_int,fitness_mode_opts{2}));
81     fitness = pol_int_value;
82     if calc_error == true;
83         L1_norm = integral(h,fitness_mode_opts{2} , fitness_mode_opts{3}, 'RelTol',0,'AbsTol',1e
84                         -12);
85         error = L1_norm;
86     else
87         error = 'unknown';
88     end
89 else
90     L1_norm = integral(h,fitness_mode_opts{2} , fitness_mode_opts{3}, 'RelTol',0,'AbsTol',1e-12)
91                         ;
92     fitness = L1_norm + 0.00001;
93     if calc_error == true;
94         error = L1_norm;
95     else
96         error = 'unknown';
97     end
98
99 fitness =100 - log(fitness);
100 %disp(fitness)
101
102 % we set fitness to 0 for negative fitness values
103 % if fitness < 0
104 %     fitness = 0;
105 % end
106
107
108 end

```

Chrompack.m

The same file as the one defined in Max Search.

code ab.m

The same file as the one defined in Max Search.

## crossover.m

The same file as the one defined in Max Search.

data.txt

**decode\_ab.m**

The same file as the one defined in Max Search.

**Individual.m**

The same file as the one defined in Max Search.

**mutate.m**

The same file as the one defined in Max Search.

**Population.m**

The same file as the one defined in Max Search, except line 7 is set to:  
 $bestfitness = -10^{30}$ ;

**randpop.m**

```

1 function [ pop ] = randpop( C , popsize , mode )
2 %RANDPOP generate random population drom a given individual example
3 %Input-->
4 %   C      --> binary code, as an example of the individuals to generate
5 %   popsize --> the number of individuals to be created
6 %
7 %Output-->
8 %   pop_of_individuals --> randomly initialized population
9
10
11 %we extract examples size
12 nchrom = mode{5};
13 chromlength = size(C,2);
14
15 %-- we create a matrix of random genomes, concatenated one next to the other
16 %-- using mat2cell, we slice this concatenation of genomes to cells with one
17 %genome each
18
19 cell_of_genomes = mat2cell(randi([0,1],nchrom,chromlength * popsize),nchrom,ones(1,popsize)*
    chromlength);
20
21 %convert genomes to chromosomes, and chromosomes to individuals
22 cell_of_individuals = (cellfun(@(x) Individual(Chrompack(x)), cell_of_genomes, 'UniformOutput', false)
    );
23
24 %convert cell aray to normal array
25 array_of_individuals = [cell_of_individuals{:}];
26
27 pop = Population(array_of_individuals , mode);
28
29 end

```

**select.m**

The same file as the one defined in Max Search.

**A.2.2 Utility Functions****Gbundle.m**

The same file as the one defined in Max Search.

**nextline.m**

The same file as the one defined in Max Search.

**shuffle.m**

The same file as the one defined in Max Search.

**evalpol.m**

```

1 %Evaluate pol, utility function to define handle interpolating polinomial
2 function [ k ] = evalpol( P,x )
3 k=zeros(1,length(x));
4 for s=1:length(P)
5     k=k+P(s).*x.^^(length(P)-s);
6 end

```

**A.2.3 Python Code****bigint.py**

The same file as the one defined in Max Search.

**A.3 Code of List Sorting****A.3.1 1<sup>st</sup> original approach****main.py**

```

1 import modules_ga as mo
2 import random
3 import copy
4 from matplotlib import pyplot as plt
5 from tqdm import tqdm
6 from statistics import stdev, mean
7
8
9
10
11
12 def consecutive_list_generator():
13     for i1 in range(8):
14         for i2 in range(7):
15             option_list = list(range(8))
16             option_list.remove(i1)
17             i2 = option_list[i2]
18             for i3 in range(6):
19                 option_list = list(range(8))
20                 option_list.remove(i1)
21                 option_list.remove(i2)
22                 i3 = option_list[i3]
23                 for i4 in range(5):
24                     option_list = list(range(8))
25                     option_list.remove(i1)
26                     option_list.remove(i2)
27                     option_list.remove(i3)
28                     i4 = option_list[i4]
29                     for i5 in range(4):
30                         option_list = list(range(8))
31                         option_list.remove(i1)
32                         option_list.remove(i2)
33                         option_list.remove(i3)
34
35                         option_list.remove(i4)
36                         i5 = option_list[i5]
37                         for i6 in range(3):
38                             option_list = list(range(8))
39                             option_list.remove(i1)
40                             option_list.remove(i2)
41                             option_list.remove(i3)
42                             option_list.remove(i4)
43                             option_list.remove(i5)
44                             i6 = option_list[i6]

```

```

45     for i7 in range(2):
46         option_list = list(range(8))
47         option_list.remove(i1)
48         option_list.remove(i2)
49         option_list.remove(i3)
50         option_list.remove(i4)
51         option_list.remove(i5)
52         option_list.remove(i6)
53         i7, i8 = option_list[i7], option_list[(i7 + 1)%2]
54         yield [i1, i2, i3, i4, i5, i6, i7, i8]
55
56
57 random.seed(4)
58 a = mo.broadcast_device(sr = '1w:ww:w00wc::l1c::01w01cc010wc10w1100c1w0*01*0:0w0w00:11
59           ccw00100B0001c1:c1101101ww1wc0'
60           '::11:l:101101*01cc1::*:c011lc:10cw1c01:1011cb1w10cww0w:0011
61           w10c0w11cc11:w0B:11w0w*wc*'
62           '::10w00:10www:1w11c1:::0c::110w:c10:1ccw:w0:10:cw1**::1w*1w:1w:00
63           cw0cwwl001*c00c*0:00*1'
64           'w0w100011:wc0*c110c0wcc01ww:111::*::Bc01c0B1c1ww101:wc:0100cww0*ww*
65           cw1w10:::0w11:11:11'
66           'cwlw:0w:wwc:c*1wc100111w010:cw1cc0100c1c01ccw1::101::wc11c0www:wb*10::
67           c*c011lc1w00w1w0c1'
68           '1:1110101100:11:0c0c0c1::::110:c011101:01110:w:0cw100*:0c:1w:010:')

69
70
71 ed = []
72 i = 0
73 for sl in consecutive_list_generator():
74     i += 1
75     if i%100 == 0:
76         print(i)
77     random.seed(5+i)
78     sl_copy = copy.copy(sl)
79     mo.sort_list_with_bd(a, sl_copy, 20)
80     ed.append(mo._measure_order(sl) - mo._measure_order(sl_copy))
81
82     ,
83
84     print(f'fitness value: {fitness_value}')
85     print('----')
86     print(sl)
87     print(sl_copy)
88     print('----')
89     print(f'entropy difference: {mo._measure_order(sl) - mo._measure_order(sl_copy)}')
90     ,
91
92 print(ed)
93 print(mean(ed))
94
95 plt.hist(ed, bins =
96           [-2, -1.75, -1.5, -1.25, -1, -0.75, -0.5, -0.25, -0.01, 0.01, 0.25, 0.5, 0.75, 1, 1.25, 1.5, 1.75, 2])
97 plt.show()
#mo.fit()

```

**modules\_ga.py**

```

1 import math
2 import random
3 from statistics import stdev, mean
4 import numpy as np
5 from tqdm import tqdm
6 #from pypmpler import summary, numpy
7 #import multiprocessing.pool
8 import functools
9
10
11 # region fitness and list modules
12
13 # argmax returns index of highest value
14 def argmax(iterable):
15     return max(enumerate(iterable), key=lambda x: x[1])[0]
16
17
18
19 # measure list's entropy on logarithmic scale
20 def _measure_order(input_list):
21     """
22         calculate the level of disorder of the input list. It is measured on a logarithmic scale
23
24     :param input_list: the list to be measured
25     :return: positive float
26     """
27
28     # sum(|input_list - ordered list|)
29     sum_of_difference = sum([abs(input_list[i] - i) for i in range(len(input_list))])
30     return math.log1p(sum_of_difference)
31
32
33 # binaryze and debinarize
34 def _decimal(list_of_binary_strings):
35     return sum((2**i for i in range(len(list_of_binary_strings)))
36                 if list_of_binary_strings[len(list_of_binary_strings)-1-i] == '1'))
37
38
39 # get binary list of strings '1' and '0' of size bin_len.
40 def _binary(decimal_number, bin_len):
41     if decimal_number < 0:
42         raise ValueError('Negative numbers have no binary')
43     c = str(bin(decimal_number))
44     c = c[2:]
45     c = [char for char in c]
46     return (bin_len - len(c))*['0'] + c
47
48
49 # return n sized shuffled list
50 def _generate_random_list(n):
51     return_list = list(range(n))
52     random.shuffle(return_list)
53     return return_list
54
55
56 # interprets messages by updating the list to be ordered and updating the message list.
57 # Only one comparison per iteration
58 def _process_messages(shuffled_list, env_messages):
59     max_messages = 8
60     max_message_length = 8
61     list_size = len(shuffled_list)
62     advaliable_comparation = True # This variable makes sure only 1 comparation per iteration is
63     done
64     return_messages = []
65     message_size = int(2*(math.log2(list_size)) + 2)
66     piece_length = int((message_size - 2) / 2)
67     for i in range(len(env_messages)):
68         current_message = env_messages[i]
69         if len(current_message) == message_size:
70             # ignore message
71             # compare elements (only once per iteration)

```

```

71 if env_messages[i][0:2] == ['1', '1'] or env_messages[i][0:2] == ['0', '0']:
72     # advaliable_comparation = False
73     first_piece = _decimal(env_messages[i][2:2 + piece_length])
74     second_piece = _decimal(env_messages[i][2 + piece_length:2 + piece_length * 2])
75
76     # if change is beneficial
77     if (
78         shuffled_list[first_piece] < shuffled_list[second_piece]
79         and first_piece > second_piece
80     ) or (
81         shuffled_list[first_piece] > shuffled_list[second_piece]
82         and first_piece < second_piece
83     ):
84         # if first piece < second piece, just change the prefix
85         if first_piece < second_piece:
86             env_messages.insert(0, ['1', '1'] + env_messages[i][2:])
87             env_messages.pop(i+1) #delete compared message
88         # else, swap places and change prefix
89         else:
90             env_messages.insert(0, ['1', '1'] +
91                                 env_messages[i][2 + piece_length:2 + piece_length * 2] +
92                                 env_messages[i][2:2 + piece_length])
93             env_messages.pop(i+1)
94
95     # if change is NOT beneficial
96     else:
97         if first_piece < second_piece: #pieces in order
98             env_messages.insert(0, ['0', '0'] + env_messages[i][2:])
99             env_messages.pop(i+1)
100     else:
101         env_messages.insert(0, ['0', '0'] +
102                             env_messages[i][2 + piece_length:2 + piece_length * 2] +
103                             env_messages[i][2:2 + piece_length])
104     env_messages.pop(i+1)
105
106
107     # swap pieces
108     elif env_messages[i][0:2] == ['1', '0']:
109         index0 = _decimal(env_messages[i][2:2+piece_length])
110         index1 = _decimal(env_messages[i][2+piece_length:2 + 2*(piece_length)])
111         index0, index1 = sorted([index0, index1])[0], sorted([index0, index1])[1]
112         shuffled_list[:] = (shuffled_list[index1:] +
113                             shuffled_list[index0:index1] +
114                             shuffled_list[:index0])
115
116     # swap elements
117     elif env_messages[i][0:2] == ['0', '1']:
118         index0 = _decimal(env_messages[i][2:2 + piece_length])
119         index1 = _decimal(env_messages[i][2 + piece_length:2 + 2 * (piece_length)])
120         if index0 != index1:
121             shuffled_list[index0], shuffled_list[index1] = shuffled_list[index1],
122             shuffled_list[index0]
123
124     else:
125         return_messages.append(env_messages[i])
126 env_messages = env_messages[0:max_messages]
127 for i in range(len(env_messages)):
128     env_messages[i] = env_messages[i][0:max_message_length]
129 return return_messages
130
131 # sorts list with broadcast_device
132
133 def sort_list_with_bd(b_device, shuffled_list, max_iterations):
134     i = 0
135     env_messages = [[1, 1, 0, 0, 0, 1, 1, 1]]
136     _process_messages(shuffled_list, env_messages)
137     while i < max_iterations and env_messages:
138         i = i + 1
139         process_broadcast_device(b_device, env_messages)
140         _process_messages(shuffled_list, env_messages)
141
142

```

```

143
144
145
146 # return broadcast device's fitness on a single list
147 def fitness_function(b_device,
148                 number_of_shuffled_lists_measured,
149                 comparision_rounds=150,
150                 max_nullfit_on_10 = 10,
151                 fast_0_fitness = False,
152                 list_size=8
153                 ):
154     if number_of_shuffled_lists_measured != 1:
155         number_of_nullfit = 0
156         fit_list = []
157         for i in range(number_of_shuffled_lists_measured):
158             #print each individual once
159             #if i == 0:
160                 #print(b_device)
161             fit = fitness_function(b_device,
162                 number_of_shuffled_lists_measured = 1,
163                 max_nullfit_on_10 = max_nullfit_on_10,
164                 comparision_rounds = comparision_rounds,
165                 fast_0_fitness = fast_0_fitness,
166                 list_size=list_size
167                 )
168             fit_list.append(fit)
169     if fit == 0:
170         # we get rid of bd if no response in first iteration
171         if i == 1 and fast_0_fitness:
172             return 0.0
173         number_of_nullfit += 1
174         # we get rid of bd if too many null fitness on first 10 iterations
175         if number_of_nullfit == max_nullfit_on_10 and i < 10:
176             return 0.0
177     if i == number_of_shuffled_lists_measured-1:
178
179         # percentage of improved lists - 0.5
180
181         #return (sum((1 for i in fit_list if i > 0.00001))/(
182             number_of_shuffled_lists_measured) - 0.5
183             # the mean of improvement
184             return mean(fit_list)
185 shuffled_list = _generate_random_list(list_size)
186 shuffled_entropy = _measure_order(shuffled_list)
187 sort_list_with_bd(b_device, shuffled_list, comparision_rounds)
188 return (shuffled_entropy - _measure_order(shuffled_list))

189
190 # endregion
191
192
193 # region genetic algorithm operators
194
195
196 #initializes bd of length bd_length and initializes random characters based on given
197 #prob_dist = [0, 1, *, :, w, b, B, l, c]
198 def initialize_random_bd(bd_length, prob_dist):
199     random.seed()
200     return broadcast_device(sr = [_get_char(prob_dist) for i in range(bd_length)])
201
202 #auxiliar function for initialize_random_bd
203 def _get_char(prob_dist, possible_chars = ('0', '1', '*', ':', 'w', 'b', 'B', 'l', 'c')):
204
205     return random.choices(possible_chars, weights = prob_dist, k=1)[0]
206
207
208 #initializes random bd with no nule fitness
209 def initialize_random_bd_no_0_fitness(bd_length,
210                                         comparision_rounds,
211                                         number_of_shuffled_lists_measured,
212                                         fast_0_fitness,
213                                         max_nullfit_on_10
214                                         ):

```

```

215 prob_dist = (0.25, 0.25, 0.05, 0.15, 0.15, 0.01, 0.01, 0.01, 0.12)
216 # [ '0' , '1' , '*' , ':' , 'w' , 'b' , 'B' , 'l' , 'c' ]
217 bd = initialize_random_bd(bd_length=bd_length, prob_dist=prob_dist)
218 fitness = fitness_function(bd, number_of_shuffled_lists_measured=
219     number_of_shuffled_lists_measured,
220             fast_0_fitness=fast_0_fitness, comparision_rounds=comparision_rounds,
221             max_nullfit_on_10=max_nullfit_on_10)
222
223 it = 0
224 while fitness == 0:
225     it +=1
226     if it%50 == 0:
227         print(str(it) + ' iterations without bd initialization')
228     bd = initialize_random_bd(bd_length, prob_dist=prob_dist)
229     fitness = fitness_function(bd, number_of_shuffled_lists_measured=
230         number_of_shuffled_lists_measured,
231             fast_0_fitness=fast_0_fitness, comparision_rounds=
232                 comparision_rounds,
233                 max_nullfit_on_10=max_nullfit_on_10)
234
235 # get bd list of fitness. If fitness on first list is 0, set bd's fitness to 0
236 # without further operations
237 def _bd_list_fitness(bd_list, number_of_lists_sampled, comparision_rounds, max_nullfit_on_10):
238     fitness_list = []
239     for i in range(len(bd_list)):
240         random.seed(4)
241         fitness_list.append(
242             fitness_function(
243                 b_device=bd_list[i],
244                 number_of_shuffled_lists_measured=number_of_lists_sampled,
245                 comparision_rounds=comparision_rounds,
246                 max_nullfit_on_10=max_nullfit_on_10
247             )
248         )
249     return fitness_list
250
251 # scale fitness with sigma truncation
252 def _sigma_truncation(list_of_fitness):
253     c = 2
254     sigma = stdev(list_of_fitness)
255     mu = mean(list_of_fitness)
256     return [(list_of_fitness[i] - (mu - c*sigma)) for i in range(len(list_of_fitness))]
257
258 # returns list with number of offspring using roulette wheel selection
259 # https://stackoverflow.com/questions/10324015/fitness-proportionate-selection-roulette-wheel-
260 # selection-in-python
261 def _roulette_selection(weights):
262     '''performs weighted selection or roulette wheel selection on a list
263     and returns the index selected from the list'''
264
265     # sort the weights in ascending order
266     sorted_indexed_weights = sorted(enumerate(weights));
267     indices, sorted_weights = zip(*sorted_indexed_weights);
268     # calculate the cumulative probability
269     tot_sum = sum(sorted_weights)
270     if tot_sum == 0:
271         prob = [1/len(sorted_indexed_weights) for i in range(len(sorted_indexed_weights))]
272     else:
273         prob = [x / tot_sum for x in sorted_weights]
274     cum_prob = np.cumsum(prob)
275     # select a random a number in the range [0,1]
276     random_num = random.random()
277
278     for index_value, cum_prob_value in zip(indices, cum_prob):
279         if random_num < cum_prob_value:
280             return index_value
281
282 # mates using two point crossover
283 def crossover(mate0, mate1):

```

```

284     sr0 = mate0.sr
285     sr1 = mate1.sr
286
287     mating_indexes = [random.randint(0, len(sr1)-1), random.randint(0, len(sr1)-1)]
288     mating_indexes.sort()
289
290     return [
291         broadcast_device(
292             sr = sr0[:mating_indexes[0]] +
293                 sr1[mating_indexes[0]:mating_indexes[1]] +
294                 sr0[mating_indexes[1]:]),
295         broadcast_device(
296             sr = sr1[:mating_indexes[0]] +
297                 sr0[mating_indexes[0]:mating_indexes[1]] +
298                 sr1[mating_indexes[1]:])
299     ]
300
301
302 # mutation anywhere, taking into account prob_mutation and prob_dist
303 def simple_mutation(bd, prob_mutation = 0.02, **kwargs):
304     n_mutations = math.floor(len(bd.sr)* prob_mutation)
305     if 'prob_dist' in kwargs:
306         prob_dist = kwargs['prob_dist']
307     else:
308         prob_dist = (0.20, 0.20, 0.10, 0.10, 0.03, 0.2, 0.03, 0.03, 0.11)
309     pass
310
311     partial_sum = [sum(prob_dist[0:i]) for i in range(1, len(prob_dist) + 1)]
312
313     while n_mutations > 0:
314         n_mutations -= 1
315         bd.sr[random.randint(0, len(bd.sr)-1)] = _get_char(partial_sum)
316
317
318 #endregion
319
320
321 #region broadcast device modules
322
323 class broadcast_device:
324
325     sr = []
326     _active_sr = []
327     type = -2
328
329     # kwargs --> sr or string_representation: initializes with sr (it can be a string or a list)
330     def __init__(self, **kwargs):
331         ...
332
333
334     :param kwargs: sr / string_representation: list or string to initialize broadcast
335     ,,
336
337     #set sr if input sr given
338     if 'string_representation' or 'sr' in kwargs: #check if sr is given
339         if 'string_representation' in kwargs:
340             input_sr = kwargs['string_representation']
341         else:
342             input_sr = kwargs['sr']
343
344         if isinstance(input_sr, str):
345             self.sr = list(input_sr)
346         elif isinstance(input_sr, list):
347             self.sr = input_sr
348         else:
349             raise TypeError('unsupported type: {} for sr initialization.'.format(type(input_sr)))
350
351
352     #returns the list sr as a string
353     def __str__(self):
354         return str(''.join(self.sr))

```

```

356
357
358 #returns the length of sr
359 def __len__(self):
360     return len(self.sr)
361
362
363 #removes all quotes and quoted elements from sr and returns the list (it does not change sr)
364 def _unquote(self): # return unquoted list of chars
365     if self.sr:
366         if self.sr[0] == "c":
367             return [self.sr[i] for i in range(1,len(self)) if self.sr[i-1] != "c" and self.sr[i] != "c"]
368         else:
369             return [self.sr[i] for i in range(0,len(self)) if i ==0 or (self.sr[i-1] != "c" and self.sr[i] != "c")]
370
371
372 #returns list of broadcast units, splitting them by *. It automatically uncomments sr
373 def piecewise(self):
374     self_unquoted = self._unquote()
375     if not self_unquoted:
376         return []
377     unquoted_string = ''.join(self_unquoted)
378     piecewise_strings = unquoted_string.split('*')
379     return [broadcast_device(sr = i) for i in piecewise_strings if i != '']
380
381
382 #sets __active_sr and returns the length of the set list. If retun the numbe of ':' found
383 def _decompose_and_set_active_sr(self):
384     b = [] # in this list we save de indexes at wich instances of ':' were found
385     count = 0 #number of ':' instances found
386     for i in range(len(self)):
387         if self.sr[i] == ':': # when ':' instance found, apend it to b
388             b.append(i)
389             count += 1
390             if count == 3: # when third instance of ':' found, ignore rest
391                 self.__active_sr = [self.sr[0:b[0]], self.sr[b[0] + 1:b[1]], self.sr[b[1] + 1:b[2]]]
392                 return count
393     if count == 2: # if only two instances found, return appropiate list of sr pieces
394         self.__active_sr = [self.sr[0:b[0]], self.sr[b[0] + 1:b[1]], self.sr[b[1] + 1:]]
395         return count
396     elif count == 1: # if only one instance of ':' found, return appropiate list
397         self.__active_sr = [self.sr[0:b[0]], self.sr[b[0] + 1:]]
398         return count
399     return 0 #if no ':' found, return 0
400
401
402 #set type, and while doing so modify self.sr to try to convert it to a unit
403 #it also sets the active string representation
404 def set_type(self):
405
406     if self.type == -2:
407         if not self.sr:
408             self.type = 0
409             return
410         ##### we need to make sure c is not on self.sr before setting type #####
411         #self.sr = self.piecewise()[0].sr # Make sure that the bc device is a bc unit, or just
412         # take the first bu
413     count = self._decompose_and_set_active_sr()
414     if count == 0:
415         self.type = 0
416     elif count == 1: #it could be type 1 bu
417         if self.__active_sr[0] and self.__active_sr[1]: #[1 , 1] --> type 1
418             self.type = 1
419         else: # in this case, we have found our two valid ':', it could be type 2,3,4
420             if not self.__active_sr[0]: #if first component is empty [ - , ? , ? ]
421                 # 2nd and 3rd components are non empty --> type 2 [- , 1 , 1]
422                 if self.__active_sr[1] and self.__active_sr[2]:
423                     self.type = 2
424             else: #if first component is non empty [ 1 , ? , ? ]
425                 if not self.__active_sr[1]: #if second component empty [1 , - , ? ]

```

```

425             if self._active_sr[2]: # if third component non-empty [1 , - , 1]
426                 self.type = 3
427             elif self._active_sr[2]: #[1,1,1]
428                 self.type = 4
429         if self.type == -2:
430             self.type = 0 #when no other type mach, set type to 0
431
432
433
434 # splits the bd into b units, activates their ASR and calls _process_broadcast_units
435 def process_broadcast_device(bd, env_mes_list,max_mes = 5, max_message_len = 8):
436     bu_list = bd.piecewise()
437     for bu in bu_list:
438         bu.set_type()
439     _process_broadcast_units(bu_list,env_mes_list,max_mes, max_message_len)
440
441
442
443
444 def _process_broadcast_units(array_of_active_units, env_mes_list,max_mes, max_message_len):
445
446     # max_mes maximum number of messages to be outputted
447
448     new_env_mes_list = []
449
450     # we first process type 4 units
451     t4_ind = [i for i in range(len(array_of_active_units))
452               if array_of_active_units[i].type == 4] # select type 4 units
453     for current_bu_ind in t4_ind:
454         # process type 4 units in order
455         ASR = array_of_active_units[current_bu_ind]._active_sr # get the bu's active string
456         representation
457         if not ASR[0] or not ASR[1] or not ASR[2]:
458             continue
459         i,replay_from_bu = 0,False
460         while i < len(env_mes_list) and not replay_from_bu:
461             b_B_l = [[],[],[]]
462             if _match4_I1(ASR[0],env_mes_list[i], b_B_l):
463                 j = 0
464                 while j < len(env_mes_list) and not replay_from_bu:
465                     if _match4_I2(ASR[1], env_mes_list[j], b_B_l):
466                         replay_from_bu = True
467                     j += 1
468             i += 1
469         if replay_from_bu:
470             _write_reply(ASR[2], env_mes_list, b_B_l)
471             if len(env_mes_list) > max_mes:
472                 del env_mes_list[-max_mes:]
473
474     # we then process type 1 ,
475     t12_ind = [i for i in range(len(array_of_active_units))
476               if array_of_active_units[i].type in {1,2}]
477     for current_bu_ind in t12_ind:
478         if array_of_active_units[current_bu_ind].type == 1: # for type 1 b units
479             ASR = array_of_active_units[current_bu_ind]._active_sr # get the bu's active string
480             representation
481             i, replay_from_bu = 0, False
482             b_B_l = [[],[],[]]
483             while i < len(env_mes_list) and not replay_from_bu:
484                 if _match1_I1(ASR[0], env_mes_list[i], b_B_l):
485                     replay_from_bu = True
486                     _write_reply(ASR[1], env_mes_list,b_B_l)
487                     i+=1
488             elif array_of_active_units[current_bu_ind].type == 2: # for type 1 b units
489                 ASR = array_of_active_units[current_bu_ind]._active_sr # get the bu's active string
490                 representation
491                 i, replay_from_bu = 0, False
492                 b_B_l = [[],[],[]]
493                 while i < len(env_mes_list) and not replay_from_bu:
494                     if _match1_I1(ASR[1], env_mes_list[i], b_B_l):
495                         replay_from_bu = True
496                         i+=1
497                     if not replay_from_bu:
498

```

```

495         b_B_l = [[],[],[]]
496         _write_reply(ASR[2], env_mes_list, b_B_l)
497
498 # shorten too lengthy messages and select only first max_mes messages
499 while len(env_mes_list) > max_mes:
500     env_mes_list.pop()
501 for i in range(len(env_mes_list)):
502     while len(env_mes_list[i]) > max_message_len:
503         env_mes_list[i].pop()
504
505
506 # return True if match. Update b_B_l in that case. Else, return False and flush b_B_l
507 # kwargs only for internal recursive calls
508 def _match4_I1(ASR0,current_mes,b_B_l,**kwargs):
509
510     # The case where 'b' or 'B' is at the first position, do_not_validate = False
511     # In any other call, (recursive), do_not_validate = True
512     # We only validate if it's the non recursive call of _match4_I1
513
514 #####
515
516     if 'do_not_validate' in kwargs:
517         do_not_validate = kwargs['do_not_validate']
518     else:
519         do_not_validate = False
520
521     if not do_not_validate:
522         if len(ASR0) == 0:
523             return False
524         validated_ASR0 = _validate_ASRI(ASR0)
525     else:
526         validated_ASR0 = ASR0
527
528 #####
529
530     if validated_ASR0[0] in {'b','B'}:
531         if len(validated_ASR0) > len(current_mes): # if bu length too large, return False
532             return False
533
534         #len( validated ASR0 ) = 0 , 1 cases
535         elif len(validated_ASR0) == 0:
536             return False
537         elif len(validated_ASR0) == 1: # len current message = 1 or 0
538             if validated_ASR0[0] == 'b':
539                 b_B_l[0] = current_mes[:]
540                 return True
541             else:
542                 b_B_l[1] = current_mes[:]
543                 return True
544
545
546     # len( validated ASR0 ) > 1 cases
547     # comparing message and bu length, where to start reading env_mes,
548     # if bu[0] = 'b' or 'B' i + i0 is the first one to read
549     i_0 = len(current_mes) - len(validated_ASR0)
550     i = 1
551     # update b_B_l
552     if validated_ASR0[0] == 'b':
553         b_B_l[0] = current_mes[0:i_0+1]
554     else: # validated_ASR0[0] = 'B'
555         b_B_l[1] = current_mes[0:i_0+1]
556
557
558     while i + i_0 < len(current_mes) and _match_char(validated_ASR0[i],current_mes[i+i_0],b_B_l):
559         :
560         i = i+1
561     if i + i_0 == len(current_mes):
562         return True
563     else:
564         b_B_l[0],b_B_l[1],b_B_l[2] = [],[],[] #we do it this way to change b_B_l and not
565         # the object it references on this particular function
566         return False
567
568 elif validated_ASR0[-1] in {'b','B'}:

```

```

567     # Move 'b' or 'B' to first position , change current_message in similar fashion , and solve
568     # recursively
569     return _match4_I1(
570         [validated_ASR0[len(validated_ASR0)-1]],
571         + validated_ASR0[:len(validated_ASR0)-1],
572         current_mes[len(validated_ASR0)-1:] + current_mes[0:len(validated_ASR0)
573             -1],
574         b_B_1,
575         do_not_validate = True
576
577     )
578
579     #
580 # 'w' at the first or last position only processed as 'multiple' wild cards if no 'b' or
581 # 'B' found at first and last position. Else , 'w'
582 elif validated_ASR0[0] == 'w':
583     # Recursive call for validated_ASR0[0] = 'b'. 'b' saved in temporal storage
584     # and 'w' replaced with 'b'. After recursive execution ,
585     # --> if match True , restore original 'b' on b_B_1
586     # --> if match False , b_B_1 is reset by recursive call
587     temp_b_stored = b_B_1[0]
588     b_B_1[0] = []
589     validated_ASR0[0] = 'b'
590     if _match4_I1(validated_ASR0,current_mes,b_B_1,do_not_validate = True):
591         b_B_1[0] = temp_b_stored
592         return True
593     else:
594         return False
595 elif validated_ASR0[-1] == 'w':
596     #the same as last elif , but considering the last position instead
597     temp_b_stored = b_B_1[0]
598     b_B_1[0] = []
599     validated_ASR0[-1] = 'b'
600     if _match4_I1(validated_ASR0,current_mes,b_B_1,do_not_validate = True):
601         b_B_1[0] = temp_b_stored
602         return True
603     else:
604         return False
605 else:
606     if len(validated_ASR0) != len(current_mes):
607         b_B_1[0], b_B_1[1], b_B_1[2] = [],[],[]
608         return False
609     else:
610         for i in range(len(current_mes)):
611             if not _match_char(validated_ASR0[i],current_mes[i],b_B_1):
612                 b_B_1[0], b_B_1[1], b_B_1[2] = [],[],[]
613                 return False
614         return True
615
616 # to be called after _match4_I1. It works the same, but in case of no match , b_B_
617 # remains unchanged .
618 def _match4_I2(ASR1,current_mes,b_B_1,**kwargs):
619     backup_b_B_1 = [b_B_1[0][:],b_B_1[1][:],b_B_1[2][:]]
620
621     #this approach does not work for ['b','B',0,1...] case if 'b' and 'B' present on b_B_1,
622     #but such thing cannot happen if on I1 we only allow either 'B' or 'b',
623
624     if not ASR1: #if not element found in ASR1
625         return False
626
627     if ASR1[0] == 'b': #check for duplicate 'b' , 'B' and 'l' in b_B_1 and ASR1
628         if b_B_1[0]: #if b_B_1['b'] not empty,
629             while ASR1 and ASR1[0]== 'b': #while ASR1 not empty and it's first element = 'b'
630                 ASR1.pop(0)
631
632     elif ASR1[0] == 'B':
633         if b_B_1[1]: #if b_B_1['B'] not empty,
634             while ASR1 and ASR1[0]== 'B': #while ASR1 not empty and it's first element = 'B'
635                 ASR1.pop(0)
636
637     if not ASR1: #if not element found in ASR1

```

```

638     return False
639
640 # we do the same with the last element
641 if ASR1[-1] == 'b':
642     if b_B_1[0]:
643         while ASR1 and ASR1[-1]== 'b':
644             ASR1.pop()
645     elif ASR1[-1] == 'B':
646         if b_B_1[1]:
647             while ASR1 and ASR1[-1]== 'B':
648                 ASR1.pop()
649
650 if not ASR1: #if not element found in ASR1
651     return False
652 # we handle the case when l is already used and finally , we validate.
653 if b_B_1[2]: # if b_B_1['l'] not empty,
654     ASR1.insert(1,'1')
655     validated_ASR1 = _validate_ASRi(ASR1)
656     if '1' in validated_ASR1:
657         validated_ASR1.remove('1')
658
659
660 if not 'validated_ASR1' in locals():
661     validated_ASR1 = _validate_ASRi(ASR1)
662 if _match4_I1(validated_ASR1,current_mes,backup_b_B_1):
663     b_B_1[0], b_B_1[1], b_B_1[2] = backup_b_B_1[0], backup_b_B_1[1], backup_b_B_1[2]
664     return True
665 else:
666     return False
667
668 #calls _match4_I1
669 def _match1_I1(ASR0,current_mes,b_B_1,**kwargs):
670     return _match4_I1(ASR0,current_mes,b_B_1,**kwargs)
671
672 # return ASRi with valid b , B and l instances
673 # return error if ASRi is empty
674 def _validate_ASRi(ASRi):
675     if len(ASRi) > 1:
676         return_ASRi = []
677         b_0 = ASRi[0] == 'b'
678         B_0 = ASRi[0] == 'B'
679         first_bb_found = b_0 or B_0
680         first_l_found = False
681         if first_bb_found: # if b or B found 2 at ASRi[0] , append it to return_ASRi
682             return_ASRi.append(ASRi[0])
683         for i in range(len(ASRi)):
684             if not first_bb_found and ASRi[i] in {'b','B'}: # if it's the first instance of 'b' or 'B', but not i=0
685                 if i == len(ASRi)-1: # if the first bb instance is the last element , append it to the list
686                     return_ASRi.append(ASRi[i])
687                     first_bb_found = True
688                 elif not first_l_found and ASRi[i] == 'l':
689                     return_ASRi.append(ASRi[i])
690                     first_l_found = True
691                 else:
692                     if ASRi[i] not in {'b','B','l'}:
693                         return_ASRi.append(ASRi[i])
694             elif len(ASRi) == 1:
695                 return_ASRi = ASRi
696             else:
697                 raise ValueError('Processed ASRi cannot be empty')
698         return return_ASRi
699
700 # returns False if no match occurs ,
701 # returns True if character or wild card match, or one time 'l' match
702 # updates b_B_1 to include found l (if found)
703 def _match_char(char_bu, char_current_mes, b_B_1):
704     if char_bu == char_current_mes:
705         return True
706     elif char_bu in {'0','1'}:
707         return False
708     elif char_bu == 'w':

```

```

709     return True
710 elif char_bu == '1' :
711     if b_B_l[2]:
712         raise ValueError("b_B_l[2] is not empty when trying to write '1' ")
713     b_B_l[2] = [char_current_mes]
714     return True
715 else:
716     raise ValueError('{} not a valid character for _match_char'.format(char_current_mes))
717
718 # write reply considering broadcast unit's last component
719 def _write_reply(answer_ASRI, env_mes_list, b_B_l, max_mes_len = 9):
720     reply_mes = []
721     for j in range(len(answer_ASRI)):
722         if len(reply_mes) > max_mes_len:
723             del reply_mes[-max_mes_len:]
724             env_mes_list.insert(0, reply_mes)
725             return
726     # first three cases, for when b,B,or l is written (only once)
727     # last case, write 0 or 1
728     if answer_ASRI[j] == 'b':
729         if b_B_l[0] != []:
730             reply_mes.extend(b_B_l[0])
731             b_B_l[0] = []
732     elif answer_ASRI[j] == 'B':
733         if b_B_l[1] != []:
734             reply_mes.extend(b_B_l[1])
735             b_B_l[1] = []
736     elif answer_ASRI[j] == 'l':
737         if b_B_l[2] != []:
738             reply_mes.extend(b_B_l[2])
739             b_B_l[2] = []
740     elif answer_ASRI[j] in {'1', '0'}:
741         reply_mes.append(answer_ASRI[j])
742     elif answer_ASRI[j] == 'w':
743         pass
744     else:
745         raise ValueError('non valid character encountered, {}'.format(answer_ASRI[j]))
746     env_mes_list.insert(0, reply_mes)
747
748 endregion
749
750
751 def fit(max_iterations = 20, popsize = 20, bd_len = 500):
752     population = [initialize_random_bd_no_0_fitness(bd_len, 20, 15, True, 4) for i in tqdm(range(popsize))]
753     print('-start-')
754     max_fitness = -1e10
755     current_max_fitness = -1e10
756     for i in range(max_iterations):
757         fitness_list = _bd_list_fitness(bd_list=population,
758                                         number_of_lists_sampled=100,
759                                         comparision_rounds=20,
760                                         max_nullfit_on_10=10)
761         current_max_fitness = max(fitness_list)
762         current_max_index = argmax(fitness_list)
763
764         ## population reinitialization
765         # if current_max_fitness == 0: #if null fitness on all devices, reinitialize pop
766         #     print('-reinitializing-')
767         #     population = [initialize_random_bd_no_0_fitness(bd_len) for i in tqdm(range(popsize), desc='initializing')]
768         #     print('iteration:{} / {} \nmax_fitness:{}\ncurrent_max_fitness:{}\n'.format(
769         #         i, max_iterations, max_fitness, current_max_fitness))
770         #
771         #     continue
772         #
773
774     if current_max_fitness > max_fitness:
775         best_bd = population[current_max_index]
776         max_fitness = max(fitness_list)
777
778     fitness_list = _sigma_truncation(fitness_list)
779     newpop = list()

```

```
780     for j in range(math.floor(popsize/2)):
781         bd_ind0 = roulette_selection(fitness_list)
782         bd_ind1 = roulette_selection(fitness_list)
783         children_bd = crossover(population[bd_ind0], population[bd_ind1])
784         simple_mutation(children_bd[0], 0.02)
785         simple_mutation(children_bd[1], 0.02)
786         newpop.extend(children_bd)
787     population = newpop
788     print(f'-----\n{i/max_iterations} done\nmax_fit:{max_fitness}\ncurrent_max_fit:{current_max_fitness}')
789     print(f'best bd:{str(best_bd)}\n-----')
790     ''
791     ''
792     import cProfile
793     import re
794     bat = 1
795     cProfile.run('fit', 'restats')
796     import pstats
797     p = pstats.Stats('restats')
798     p.strip_dirs()
799     p.sort_stats('cumulative').print_stats(10)
800     ''
```

### A.3.2 1<sup>st</sup> restricted approach, with r as new operator

#### main.py

```

1 import modules_ga as mo
2 import random
3 import copy
4 from matplotlib import pyplot as plt
5 from statistics import mean
6
7
8 def consecutive_list_generator():
9     for i1 in range(8):
10         for i2 in range(7):
11             option_list = list(range(8))
12             option_list.remove(i1)
13             i2 = option_list[i2]
14             for i3 in range(6):
15                 option_list = list(range(8))
16                 option_list.remove(i1)
17                 option_list.remove(i2)
18                 i3 = option_list[i3]
19                 for i4 in range(5):
20                     option_list = list(range(8))
21                     option_list.remove(i1)
22                     option_list.remove(i2)
23                     option_list.remove(i3)
24                     i4 = option_list[i4]
25                     for i5 in range(4):
26                         option_list = list(range(8))
27                         option_list.remove(i1)
28                         option_list.remove(i2)
29                         option_list.remove(i3)
30
31                         option_list.remove(i4)
32                         i5 = option_list[i5]
33                         for i6 in range(3):
34                             option_list = list(range(8))
35                             option_list.remove(i1)
36                             option_list.remove(i2)
37                             option_list.remove(i3)
38                             option_list.remove(i4)
39                             option_list.remove(i5)
40                             i6 = option_list[i6]
41                             for i7 in range(2):
42                                 option_list = list(range(8))
43                                 option_list.remove(i1)
44                                 option_list.remove(i2)
45                                 option_list.remove(i3)
46                                 option_list.remove(i4)
47                                 option_list.remove(i5)
48                                 option_list.remove(i6)
49                                 i7, i8 = option_list[i7], option_list[(i7 + 1)%2]
50                                 yield [i1, i2, i3, i4, i5, i6, i7, i8]
51
52
53
54 good_sr = '*00111111:01000000*wwwwww:11rrrrrr'
55 sr= '*lwlwllww:11rrr00r*wlwl0w11:10111010'
56 a = mo.broadcast_device(sr=sr)
57 print(mo.fitness_function(a))
58 shuffled_list = [6,2,3,4,1,5,7,0]
59 mo.sort_list_with_bd(a, shuffled_list, 20)
60
61 print('-----')
62
63 ed = []
64 i = 0
65 for sl in consecutive_list_generator():
66     i += 1
67     if i%100 == 0:
68         print(i)
69         random.seed(5+i)

```

```
70 sl_copy = copy.copy(sl)
71 mo.sort_list_with_bd(a, sl_copy, 20)
72 ed.append(mo._measure_order(sl) - mo._measure_order(sl_copy))
73 ,
74 ,
75 print(f'fitness value: {fitness_value}')
76 print('----')
77 print(sl)
78 print(sl_copy)
79 print('----')
80 print(f'entropy difference: {mo._measure_order(sl) - mo._measure_order(sl_copy)}')
81 ,
82 
83 print(ed)
84 # 0.14154995352778119
85 print(mean(ed))
86 
87 plt.hist(ed, bins =
88         [-2, -1.75, -1.5, -1.25, -1, -0.75, -0.5, -0.25, -0.01, 0.01, 0.25, 0.5, 0.75, 1, 1.25, 1.5, 1.75, 2])
89 plt.title("Histogram of best BD's fitness values")
90 plt.show()
91 
92 #mo.fit()
```

**modules\_ga.py**

```

1 import math
2 import random
3 from statistics import stdev, mean
4 import numpy as np
5 from tqdm import tqdm
6 import multiprocessing.pool
7 import functools
8 from matplotlib import pyplot as plt
9 import time
10 #from memory_profiler import profile
11
12
13 #region tools
14
15 def argmax(iterable):
16     return max(enumerate(iterable), key=lambda x: x[1])[0]
17
18 # https://stackoverflow.com/questions/492519/timeout-on-a-function-call
19 def timeout(max_timeout):
20     """Timeout decorator, parameter in seconds."""
21
22     def timeout_decorator(item):
23         """Wrap the original function."""
24
25         @functools.wraps(item)
26         def func_wrapper(*args, **kwargs):
27             """Closure for function."""
28             pool = multiprocessing.pool.ThreadPool(processes=1)
29             async_result = pool.apply_async(item, args, kwargs)
30             # raises a TimeoutError if execution exceeds max_timeout
31             return async_result.get(max_timeout)
32
33         return func_wrapper
34
35     return timeout_decorator
36
37 # dinamic plotting
38
39
40 def update_line(hl, new_data):
41     hl.set_xdata(np.append(hl.get_xdata(), new_data))
42     hl.set_ydata(np.append(hl.get_ydata(), new_data))
43     plt.draw()
44
45 #endregion
46
47 #region fitness and list modules
48
49 # measure list's entropy on logarithmic scale
50 def measure_order(input_list):
51     """
52         calculate the level of disorder of the input list. It is measured on a logarithmic scale
53
54     :param input_list: the list to be measured
55     :return: positive float
56     """
57
58     # sum(|input_list - ordered_list|)
59     sum_of_difference = sum((abs(input_list[i] - i) for i in range(len(input_list))))
60     return math.log1p(sum_of_difference)
61
62
63 # binaryze and debinarize
64 def _decimal(list_of_binary_strings):
65     return sum((2 ** i for i in range(len(list_of_binary_strings)))
66                if list_of_binary_strings[len(list_of_binary_strings) - 1 - i] == '1'))
67
68
69 # get binary list of strings '1' and '0' of size bin_len.
70 def _binary(decimal_number, bin_len):
71     if decimal_number < 0:

```

```

72     raise ValueError('Negative numbers have no binary')
73 c = str(bin(decimal_number))
74 c = c[2:]
75 c = [char for char in c] # convert from '0101' to ['0', '1', '0', '1']
76 return (bin_len - len(c)) * ['0'] + c
77
78
79 # return n sized shuffled list
80 def _generate_random_list(n):
81     return_list = list(range(n))
82     random.shuffle(return_list)
83     return return_list
84
85
86 # interprets messages by updating the list to be ordered and updating the message list.
87 # Only one comparation per iteration
88 # clean
89 def _process_messages(shuffled_list, env_messages):
90     list_size = len(shuffled_list)
91     advaliable_comparation = True # This variable makes sure only 1 comparation per iteration is
92     done
93     piece_length = int(math.log2(list_size))
94     message_size = int(piece_length * 2 + 2)
95     i = 0
96     while i < len(env_messages):
97         if len(env_messages[i]) == message_size:
98             # just delete message
99             if env_messages[i][0:2] == ['0', '0']:
100                 env_messages.pop(i)
101                 i = i - 1
102             # compare elements (only once per iteration)
103             elif env_messages[i][0:2] == ['1', '1'] and advaliable_comparation:
104                 advaliable_comparation = False
105                 # if first piece < second piece, just change the prefix
106                 first_piece = _decimal(env_messages[i][2:2 + piece_length])
107                 second_piece = _decimal(env_messages[i][2 + piece_length:2 + piece_length * 2])
108                 if (
109                     shuffled_list[first_piece] < shuffled_list[second_piece]
110                     and first_piece > second_piece
111                 ) or (
112                     shuffled_list[first_piece] > shuffled_list[second_piece]
113                     and first_piece < second_piece
114                 ):
115                     if first_piece < second_piece:
116                         env_messages.insert(0, ['0', '0'] + env_messages[i][2:])
117                         env_messages.pop(i + 1)
118                     # else, swap places and change prefix
119                     else:
120                         env_messages.insert(0, ['0', '0'] +
121                                         env_messages[i][2 + piece_length:2 + piece_length * 2] +
122                                         env_messages[i][2:2 + piece_length])
123                         env_messages.pop(i + 1)
124
125
126
127     # swap pieces
128     elif env_messages[i][0:2] == ['1', '0']:
129         index0 = _decimal(env_messages[i][2:2 + piece_length])
130         index1 = _decimal(env_messages[i][2 + piece_length:2 + 2 * (piece_length)])
131         index0, index1 = sorted([index0, index1])[0], sorted([index0, index1])[1]
132         shuffled_list[:] = (shuffled_list[index1:] +
133                             shuffled_list[index0:index1] +
134                             shuffled_list[:index0])
135         env_messages.pop(i)
136         i = i - 1
137     # swap elements
138     elif env_messages[i][0:2] == ['0', '1']:
139         index0 = _decimal(env_messages[i][2:2 + piece_length])
140         index1 = _decimal(env_messages[i][2 + piece_length:2 + 2 * (piece_length)])
141         if index0 != index1:
142             shuffled_list[index0], shuffled_list[index1] = shuffled_list[index1],
shuffled_list[index0]

```

```

143             env_messages.pop(i)
144             i = i - 1
145         i = i + 1
146
147
148 # sorts list with broadcast_device
149 def sort_list_with_bd(b_device, shuffled_list, max_iterations):
150     env_messages = [[1, 1, 0, 0, 0, 1, 1, 1]]
151     _process_messages(shuffled_list, env_messages)
152     i = 0
153     while i < max_iterations and env_messages:
154         i = i + 1
155         process_broadcast_device(b_device, env_messages)
156         _process_messages(shuffled_list, env_messages)
157
158
159 # return broadcast device's fitness on a single list
160 def fitness_function(b_device, number_of_shuffled_lists_measured = 100, max_nullfit_on_10 = 3,
161     fast_0_fitness = False):
162     if number_of_shuffled_lists_measured != 1:
163         number_of_nullfit = 0
164         fit_list = []
165         for i in range(number_of_shuffled_lists_measured):
166             fit = fitness_function(b_device, 1)
167             fit_list.append(fit)
168             if fit == 0:
169                 if i == 1 and fast_0_fitness: #we get rid of bd if no response in first iteration
170                     return 0.0
171                 number_of_nullfit += 1
172                 if number_of_nullfit == max_nullfit_on_10 and i <= 10:
173                     return -0.5
174             if i == number_of_shuffled_lists_measured - 1:
175                 # percentage of improved lists - 0.5
176
177                 #return (sum((1 for i in fit_list if i > 0.00001))/number_of_shuffled_lists_measured) - 0.5
178                 # the mean of improvement
179                 return mean(fit_list)
180
181     list_size = 8
182     max_iterations = 150
183     shuffled_list = _generate_random_list(list_size)
184     shuffled_entropy = _measure_order(shuffled_list)
185     sort_list_with_bd(b_device, shuffled_list, max_iterations)
186     return (shuffled_entropy - _measure_order(shuffled_list))
187
188 # endregion
189
190
191 # region genetic algorithm operators and initialization
192
193
194 # initializes bd of length bd_length and initializes random characters based on given
195 # prob_dist = [0, 1, *, :, w, b, B, l, c, r]
196 def initialize_random_bd(bd_length, **kwargs):
197     random.seed()
198     if 'prob_dist' in kwargs:
199         prob_dist = kwargs['prob_dist']
200     else: # [0, 1, *, :, 'w', 'b', 'B', 'l', 'c', 'r']
201         raise ValueError('No prob_dist introduced')
202     pass
203
204     if not 0.999 < sum(prob_dist) < 1.0001:
205         raise ValueError("sum(prob_dist) = 1 is false")
206
207     #partial_sum = [sum(prob_dist[0:i]) for i in range(1, len(prob_dist) + 1)]
208
209     return broadcast_device(sr=
210         [*" " if i % 18 == 0 else
211         ":" if i % 18 == 9 else
212         _get_char(prob_dist,[ 'w', 'l']) if i % 18 > 9 else
213         _get_char(prob_dist,[ 'r']) for i in range(bd_length)])

```

```

214
215
216 # auxiliary function for initialize_random_bd
217 # --> do_not_generate = list[str] , reroll_get_char if generated char in do
218 def _get_char(prob_dist, do_not_generate=[]):
219     random_number = random.random()
220     index = 0
221     index_selected = False
222     while index < len(prob_dist) and not index_selected:
223         if random_number < sum(prob_dist[0:index+1]):
224             if ([‘0’, ‘1’, ‘*’, ‘:’, ‘w’, ‘b’, ‘B’, ‘l’, ‘c’, ‘r’][index]
225                 in do_not_generate):
226                 # if undesirable char selected, call function recursively
227                 return _get_char(prob_dist,do_not_generate=do_not_generate)
228             else:
229                 return [‘0’, ‘1’, ‘*’, ‘:’, ‘w’, ‘b’, ‘B’, ‘l’, ‘c’, ‘r’][index]
230     index = index + 1
231
232
233 # initializes random bd with no nule fitness
234 def initialize_random_bd_no_0_fitness(bd_length, prob_dist):
235     bd = initialize_random_bd(bd_length, prob_dist=prob_dist)
236     fitness = fitness_function(bd)
237     it = 0
238     while fitness < 0:
239         it += 1
240         if it % 500 == 0:
241             print(str(it) + ' iterations without valid bd creation.')
242         del bd
243         bd = initialize_random_bd(bd_length, prob_dist=prob_dist)
244         fitness = fitness_function(bd, fast_0_fitness=True)
245     return bd
246     # [‘0’, ‘1’, ‘*’, ‘:’, ‘w’, ‘b’, ‘B’, ‘l’, ‘c’, ‘r’]
247
248
249 # get bd list of fitness. If fitness on first list is 0, set bd's fitness to 0
250 # without further operations
251 def _bd_list_fitness(bd_list):
252     fitness_list = []
253     state = random.getstate()
254     for i in range(len(bd_list)):
255         random.setstate(state)
256         fitness_list.append(fitness_function(bd_list[i]))
257     return fitness_list
258
259
260 # scale fitness with sigma truncation
261 def _sigma_truncation(list_of_fitness, **kwargs):
262     if ‘c’ in kwargs:
263         c = kwargs[‘c’]
264     else:
265         c = 2
266     sigma = stdev(list_of_fitness)
267     mu = mean(list_of_fitness)
268     return [(list_of_fitness[i] - (mu - c * sigma)) for i in range(len(list_of_fitness))]
269
270
271 # returns list with number of offspring using roulette wheel selection
272 # https://stackoverflow.com/questions/10324015/fitness-proportionate-selection-roulette-wheel-
273 def _roulette_selection(weights):
274     '''performs weighted selection or roulette wheel selection on a list
275     and returns the index selected from the list'''
276
277     # sort the weights in ascending order
278     sorted_indexed_weights = sorted(enumerate(weights));
279     indices, sorted_weights = zip(*sorted_indexed_weights);
280     # calculate the cumulative probability
281     tot_sum = sum(sorted_weights)
282     if tot_sum == 0:
283         prob = [1 / len(sorted_indexed_weights) for i in range(len(sorted_indexed_weights))]
284     else:
285         prob = [x / tot_sum for x in sorted_weights]

```

```

286     cum_prob = np.cumsum(prob)
287     # select a random a number in the range [0,1]
288     random_num = random.random()
289
290     for index_value, cum_prob_value in zip(indices, cum_prob):
291         if random_num < cum_prob_value:
292             return index_value
293
294
295 # mates using two point crossover
296 def crossover(mate0, mate1):
297     sr0 = mate0.sr
298     sr1 = mate1.sr
299
300     mating_indexes = [random.randint(0, len(sr1) - 1), random.randint(0, len(sr1) - 1)]
301     mating_indexes.sort()
302
303     return [
304         broadcast_device(
305             sr=sr0[:mating_indexes[0]] +
306                 sr1[mating_indexes[0]:mating_indexes[1]] +
307                 sr0[mating_indexes[1]:]),
308         broadcast_device(
309             sr=sr1[:mating_indexes[0]] +
310                 sr0[mating_indexes[0]:mating_indexes[1]] +
311                 sr1[mating_indexes[1]:])
312     ]
313
314
315
316
317 # simple mutation, without destroying ':' and '*' instances and/or commenting them
318 # need to include non disruptant comments (not to comment : and * instances)
319 def simple_respectful_mutation(bd, prob_mutation=0.02, **kwargs):
320     n_mutations = len(bd.sr) * prob_mutation
321     n_mutations = int(n_mutations) + int(
322         n_mutations - int(n_mutations) > random.random())
323
324
325
326     if 'prob_dist' in kwargs:
327         prob_dist = kwargs['prob_dist']
328     else:
329         raise ValueError('no prob_dist introduced')
330     pass
331
332     while n_mutations > 0:
333         ran_num = random.randint(0, len(bd.sr) - 1)
334         if bd.sr[ran_num] not in {'*', ':'}:
335             if ran_num % 18 > 9:
336                 bd.sr[ran_num] = _get_char(prob_dist, ['w', 'l'])
337             else:
338                 bd.sr[ran_num] = _get_char(prob_dist, ['r'])
339
340         n_mutations -= 1
341
342
343 # endregion IN
344
345
346 # region broadcast device modules
347
348 class broadcast_device:
349     sr = []
350     _active_sr = []
351     type = -2
352
353     # kwargs --> sr or string_representation: initializes with sr (it can be a string or a list)
354     def __init__(self, **kwargs):
355         ...
356
357
358

```

```

359     :param kwargs: sr / string_representation: list or string to initialize broadcast
360     '',
361
362     # set sr if input sr given
363     if 'string_representation' or 'sr' in kwargs: # check if sr is given
364         if 'string_representation' in kwargs:
365             input_sr = kwargs['string_representation']
366         else:
367             input_sr = kwargs['sr']
368
369         if isinstance(input_sr, str):
370             self(sr = list(input_sr))
371         elif isinstance(input_sr, list):
372             self(sr = input_sr)
373         else:
374             raise TypeError('unsupported type: {} for sr initialization.'.format(type(input_sr)))
375
376     # returns the list sr as a string
377     def __str__(self):
378         return str(''.join(self(sr)))
379
380
381     def __repr__(self):
382         return str(self)
383
384     # returns the length of sr
385     def __len__(self):
386         return len(self(sr))
387
388     # removes all quotes and quoted elements from sr and returns the list (it does not change sr)
389     def _unquote(self): # return unquoted list of chars
390         if self(sr):
391             return [self(sr[i] for i in range(1, len(self))) if self(sr[i] != "c")]
392
393     # retuns list of broadcast units, splitting them by *. It automatically uncomments sr
394     def piecewise(self):
395         self_unquoted = self._unquote()
396         if not self_unquoted:
397             return []
398         unquoted_string = ''.join(self_unquoted)
399         piecewise_strings = unquoted_string.split('*')
400         return [broadcast_device(sr=i) for i in piecewise_strings if i != '']
401
402     # sets _active_sr and returns the length of the set list. If retun the numbe of ':' found
403     def _decompose_and_set_active_sr(self):
404         b = [] # in this list we save de indexes at wich instances of ':' were found
405         count = 0 # number of ':' instances found
406         for i in range(len(self)):
407             if self(sr[i] == ':'): # when ':' instance found, append it to b
408                 b.append(i)
409                 count += 1
410                 if count == 3: # when third instance of ':' found, ignore rest
411                     self._active_sr = [self(sr[0:b[0]], self(sr[b[0] + 1:b[1]], self(sr[b[1] + 1:b[2]])]
412                     return count
413                 if count == 2: # if only two instances found, return appropiate list of sr pieces
414                     self._active_sr = [self(sr[0:b[0]], self(sr[b[0] + 1:b[1]], self(sr[b[1] + 1:]])
415                     return count
416                 elif count == 1: # if only one instance of ':' found, return appropiate list
417                     self._active_sr = [self(sr[0:b[0]], self(sr[b[0] + 1:])]
418                     return count
419             return 0 # if no ':' found, return 0
420
421
422
423
424     # set type, and while doing so modify self(sr to try to convert it to a unit
425     # it also sets the active string representation
426     def set_type(self):
427
428         if self.type == -2:
429             if not self(sr:

```

```

430         self.type = 0
431         return
432     ##### we need to make sure c is not on self.sr before setting type #####
433     # self.sr = self.piecewise()[0].sr # Make sure that the bc device is a bc unit, or just
434     # take the first bu
435     count = self._decompose_and_set_active_sr()
436     if count == 0:
437         self.type = 0
438     elif count == 1: # it could be type 1 bu
439         if self._active_sr[0] and self._active_sr[1]: # [1 , 1] --> type 1
440             self.type = 1
441     else: # in this case, we have found our two valid ':', it could be type 2,3,4
442         if not self._active_sr[0]: # if first component is empty [- , ? , ? ]
443             if self._active_sr[1] and self._active_sr[2]: # 2nd and 3rd components are non empty --> type 2 [- , 1 , 1]
444                 self.type = 2
445             else: # if first component is non empty [ 1 , ? , ? ]
446                 if not self._active_sr[1]: # if second component empty [1 , - , ? ]
447                     if self._active_sr[2]: # if third component non-empty [1 , - , 1]
448                         self.type = 3
449                     elif self._active_sr[2]: # [1,1,1]
450                         self.type = 4
451     if self.type == -2:
452         self.type = 0 # when no other type mach, set type to 0
453
454
455 def process_broadcast_device(bd, env_mes_list, max_mes=5):
456     _process_broadcast_device_with_timeout(bd, env_mes_list, max_mes)
457
458
459 # splits the bd into b units, activates their ASR and calls _process_broadcast_units
460
461 # timeout creates memory leak bug
462 # @profile()
463 def _process_broadcast_device_with_timeout(bd, env_mes_list, max_mes):
464     bu_list = bd.piecewise()
465     for bu in bu_list:
466         bu.set_type()
467     _process_broadcast_units(bu_list, env_mes_list, max_mes)
468
469
470
471 def _process_broadcast_units(array_of_active_units, env_mes_list, max_mes, max_message_len=8):
472     # max_mes maximum number of messages to be outputted
473
474     # we first process type 4 units
475     """
476     t4_ind = [i for i in range(len(array_of_active_units))
477               if array_of_active_units[i].type == 4] # select type 4 units
478     for current_bu_ind in t4_ind:
479         # process type 4 units in order
480         ASR = array_of_active_units[current_bu_ind]._active_sr # get the bu's active string
481         representation
482         if not ASR[0] or not ASR[1] or not ASR[2]:
483             continue
484         i, replay_from_bu = 0, False
485         while i < len(env_mes_list) and not replay_from_bu:
486             b_B_1 = [[], [], []]
487             if _match4_I1(ASR[0], env_mes_list[i], b_B_1):
488                 j = 0
489                 while j < len(env_mes_list) and not replay_from_bu:
490                     if _match4_I2(ASR[1], env_mes_list[j], b_B_1):
491                         replay_from_bu = True
492                     j += 1
493             i += 1
494         if replay_from_bu:
495             _write_reply(ASR[2], env_mes_list, b_B_1)
496             while len(env_mes_list) > max_mes:
497                 env_mes_list.pop()
498
499
500     # we then process type 1 , 2 and 3 units

```

```

501 t12_ind = [i for i in range(len(array_of_active_units))
502             if array_of_active_units[i].type in {1, 2}]
503 new_env_mes_list = []
504 for current_bu_ind in t12_ind:
505     if array_of_active_units[current_bu_ind].type == 1: # for type 1 b units
506         ASR = array_of_active_units[current_bu_ind]._active_sr # get the bu's active string
507         representation
508         i, replay_from_bu = 0, False
509         while i < len(env_mes_list) and not replay_from_bu:
510             b_B_l = [[], [], []]
511             if _match1_I1(ASR[0], env_mes_list[i], b_B_l):
512                 replay_from_bu = True
513                 _write_reply(ASR[1], new_env_mes_list, b_B_l)
514             i += 1
515     elif array_of_active_units[current_bu_ind].type == 2: # for type 1 b units
516         ASR = array_of_active_units[current_bu_ind]._active_sr # get the bu's active string
517         representation
518         i, replay_from_bu = 0, False
519         b_B_l = [[], [], []]
520         while i < len(env_mes_list) and not replay_from_bu:
521             if _match1_I1(ASR[1], env_mes_list[i], b_B_l):
522                 replay_from_bu = True
523             i += 1
524         if not replay_from_bu:
525             b_B_l = [[], [], []]
526             _write_reply(ASR[2], env_mes_list, b_B_l)
527
528
529
530 # shorten too lengthy messages and select only first max_mes messages
531 env_mes_list[:] = new_env_mes_list[:]
532 while len(env_mes_list) > max_mes:
533     env_mes_list.pop()
534 for i in range(len(env_mes_list)):
535     while len(env_mes_list[i]) > max_message_len:
536         env_mes_list[i].pop()
537
538 # return True if match. Update b_B_l in that case. Else, return False and flush b_B_l
539
540
541 # kwargs only for internal recursive calls
542 def _match4_I1(ASR0, current_mes, b_B_l, **kwargs):
543     # The case where 'b' or 'B' is at the first position, do_not_validate = False
544     # In any other call, (recursive), do_not_validate = True
545     # We only validate if it's the non recursive call of _match4_I1
546
547 #####
548
549     if 'do_not_validate' in kwargs:
550         do_not_validate = kwargs['do_not_validate']
551     else:
552         do_not_validate = False
553
554     if not do_not_validate:
555         if len(ASR0) == 0:
556             return False
557         validated_ASR0, found_l_positions = _validate_ASRi(ASR0)
558     else:
559         validated_ASR0 = ASR0
560
561 #####
562
563     if validated_ASR0[0] in {'b', 'B'}:
564         if len(validated_ASR0) > len(current_mes): # if bu length too large, return False
565             return False
566
567         # len( validated_ASR0 ) = 0 , 1 cases
568         elif len(validated_ASR0) == 0:
569             return False
570         elif len(validated_ASR0) == 1: # len current message = 1 or 0
571             if validated_ASR0[0] == 'b':

```

```

572         b_B_l[0] = current_mes [:]
573         return True
574     else:
575         b_B_l[1] = current_mes [:]
576         return True
577
578     # len( validated_ASR0 ) > 1 cases
579     # comparing message and bu length , where to start reading env_mes,
580     # if bu[0] = 'b' or 'B' i + i0 is the first one to read
581     i_0 = len(current_mes) - len(validated_ASR0)
582     i = 1
583     # update b_B_l
584     if validated_ASR0[0] == 'b':
585         b_B_l[0] = current_mes[0:i_0 + 1]
586     else: # validated_ASR0[0] = 'B'
587         b_B_l[1] = current_mes[0:i_0 + 1]
588
589     while i + i_0 < len(current_mes) and _match_char(validated_ASR0[i], current_mes[i + i_0],
590             b_B_l):
591         i = i + 1
592     if i + i_0 == len(current_mes):
593         return True
594     else:
595         b_B_l[0], b_B_l[1], b_B_l[2] = [], [], [] # we do it this way to change b_B_l and not
596         # the object it references on this particular function
597         return False
598     elif validated_ASR0[-1] in {'b', 'B'}:
599         # Move 'b' or 'B' to first position , change current_message in similar fashion , and solve
600         # recursively
601         return _match4_I1(
602             [validated_ASR0[len(validated_ASR0) - 1]]
603             + validated_ASR0[:len(validated_ASR0) - 1],
604
605             current_mes[len(validated_ASR0) - 1:] + current_mes[0:len(validated_ASR0) - 1],
606
607             b_B_l,
608
609             do_not_validate=True
610         )
611     # 'w' at the first or last position only processed as 'multiple' wild cards if no 'b' or
612     # 'B' found at first and last position. Else , 'w'
613     elif validated_ASR0[0] == 'w':
614         # Recursive call for validated_ASR0[0] = 'b'. 'b' saved in temporal storage
615         # and 'w' replaced with 'b'. After recursive execution ,
616         # --> if match True , restore original 'b' on b_B_l
617         # --> if match False , b_B_l is reset by recursive call
618         temp_b_stored = b_B_l[0]
619         b_B_l[0] = []
620         validated_ASR0[0] = 'b'
621         if _match4_I1(validated_ASR0, current_mes, b_B_l, do_not_validate=True):
622             b_B_l[0] = temp_b_stored
623             return True
624         else:
625             return False
626     elif validated_ASR0[-1] == 'w':
627         # the same as last elif , but considering the last position instead
628         temp_b_stored = b_B_l[0]
629         b_B_l[0] = []
630         validated_ASR0[-1] = 'b'
631         if _match4_I1(validated_ASR0, current_mes, b_B_l, do_not_validate=True):
632             b_B_l[0] = temp_b_stored
633             return True
634         else:
635             return False
636     else:
637         if len(validated_ASR0) != len(current_mes):
638             b_B_l[0], b_B_l[1], b_B_l[2] = [], [], []
639             return False
640     else:
641         b_B_l[2] = [[]]
642         for i in range(len(current_mes)):

```

```

643         if not _match_char(validated_ASR0[i], current_mes[i], b_B_l[i]):
644             b_B_l[0], b_B_l[1], b_B_l[2] = [], [], []
645             return False
646     return True
647
648
649 # to be called after _match4_I1. It works the same, but in case of no match, b_B_l
650 # remains unchanged.
651 def _match4_I2(ASR1, current_mes, b_B_l, **kwargs):
652     backup_b_B_l = [b_B_l[0][:], b_B_l[1][:], b_B_l[2][:]]
653
654     # this approach does not work for ['b', 'B', 0, 1...] case if 'b' and 'B' present on b_B_l,
655     # but such thing cannot happen if on I1 we only allow either 'B' or 'b',
656
657     if not ASR1: # if not element found in ASR1
658         return False
659
660     if ASR1[0] == 'b': # check for duplicate 'b' , 'B' and 'l' in b_B_l and ASR1
661         if b_B_l[0]: # if b_B_l['b'] not empty,
662             while ASR1 and ASR1[0] == 'b': # while ASR1 not empty and it's first element = 'b'
663                 ASR1.pop(0)
664         elif ASR1[0] == 'B':
665             if b_B_l[1]: # if b_B_l['B'] not empty,
666                 while ASR1 and ASR1[0] == 'B': # while ASR1 not empty and it's first element = 'B'
667                     ASR1.pop(0)
668
669     if not ASR1: # if not element found in ASR1
670         return False
671
672     # we do the same with the last element
673     if ASR1[-1] == 'b':
674         if b_B_l[0]:
675             while ASR1 and ASR1[-1] == 'b':
676                 ASR1.pop()
677     elif ASR1[-1] == 'B':
678         if b_B_l[1]:
679             while ASR1 and ASR1[-1] == 'B':
680                 ASR1.pop()
681
682     if not ASR1: # if not element found in ASR1
683         return False
684     # we handle the case when l is already used and finally , we validate.
685     if b_B_l[2]: # if b_B_l['l'] not empty,
686         ASR1.insert(1, '1')
687         validated_ASR1 = _validate_ASRI(ASR1)
688         if '1' in validated_ASR1:
689             validated_ASR1.remove('1')
690
691     if not 'validated_ASR1' in locals():
692         validated_ASR1 = _validate_ASRI(ASR1)
693     if _match4_I1(validated_ASR1, current_mes, backup_b_B_l):
694         b_B_l[0], b_B_l[1], b_B_l[2] = backup_b_B_l[0], backup_b_B_l[1], backup_b_B_l[2]
695         return True
696     else:
697         return False
698
699
700 # calls _match4_I1
701 def _match1_I1(ASR0, current_mes, b_B_l, **kwargs):
702     return _match4_I1(ASR0, current_mes, b_B_l, **kwargs)
703
704
705 # return ASRI with valid b , B and l instances
706 # return error if ASRI is empty
707 # fills found_l_positions if necessary
708 def _validate_ASRI(ASRI):
709     found_l_positions = []
710     if len(ASRI) > 1:
711         return_ASRI = []
712         b_0 = ASRI[0] == 'b'
713         B_0 = ASRI[0] == 'B'
714         first_bB_found = b_0 or B_0
715         if first_bB_found: # if b or B found 2 at ASRI[0], append it to return_ASRI

```

```

716     return _ASRi.append(ASRi[0])
717     for i in range(len(ASRi)):
718         if not first_bB_found and ASRi[i] in {'b', 'B'}: # if it's the first instance of 'b' or
719             'B', but not i=0
720             if i == len(ASRi) - 1: # if the first bB instance is the last element, append it to
721                 the list
722                 return _ASRi.append(ASRi[i])
723             first_bB_found = True
724             elif ASRi[i] == '1':
725                 return _ASRi.append(ASRi[i])
726                 found_l_positions.append(len(return_ASRI)-1)
727             else:
728                 if ASRi[i] not in {'b', 'B'}:
729                     return _ASRi.append(ASRi[i])
730             elif len(ASRi) == 1:
731                 return _ASRi = ASRi
732             else:
733                 raise ValueError('Processed ASRi cannot be empty')
734
735     return return_ASRI, found_l_positions
736
737 # returns False if no match occurs,
738 # returns True if character or wild card match, or one time 'l' match
739 # updates b_B_l to include found l (if found)
740 def _match_char(char_bu, char_current_mes, b_B_l, pos = None):
741     if char_bu == char_current_mes:
742         return True
743     elif char_bu in {'0', '1'}:
744         return False
745     elif char_bu == 'w':
746         return True
747     elif char_bu == 'l':
748         b_B_l[2][0].append(pos)
749         b_B_l[2].append(char_current_mes)
750         return True
751     elif char_bu == 'r':
752         return bool(random.randint(0,1))
753     else:
754         raise ValueError('{!r} not a valid character for _match_char'.format(char_current_mes))
755
756 # write reply considering broadcast unit's last component
757 def _write_reply(answer_ASRI, env_mes_list, b_B_l, max_mes_len=8):
758     reply_mes = []
759     for j in range(len(answer_ASRI)):
760         if len(reply_mes) > max_mes_len:
761             del reply_mes[-max_mes_len:]
762             env_mes_list.insert(0, reply_mes)
763             return
764         # first three cases, for when b,B,or l is written (only once)
765         # last case, write 0 or 1
766         if answer_ASRI[j] == 'b':
767             if b_B_l[0] != []:
768                 reply_mes.extend(b_B_l[0])
769                 b_B_l[0] = []
770             elif answer_ASRI[j] == 'B':
771                 if b_B_l[1] != []:
772                     reply_mes.extend(b_B_l[1])
773                     b_B_l[1] = []
774             elif answer_ASRI[j] == 'l':
775                 if b_B_l[2] != []:
776                     reply_mes.extend(b_B_l[2])
777                     b_B_l[2] = []
778             elif answer_ASRI[j] in {'1', '0'}:
779                 if j in b_B_l[2][0]:
780                     b_B_l[2][0].pop(0)
781                     reply_mes.append(b_B_l[2].pop(1))
782                 else:
783                     reply_mes.append(answer_ASRI[j])
784             elif answer_ASRI[j] == 'w':
785                 pass
786             elif answer_ASRI[j] == 'r':
787                 reply_mes.append(str(random.randint(0,1)))

```

```

787     else :
788         raise ValueError( 'non valid character encountered , {}' .format(answer_ASRI[ j ]))
789 env_mes_list.insert(0, reply_mes)
790
791 #endregion
792
793
794
795 def fit( max_iterations=500,
796         popsize=1200,
797         bd_len=36,
798         prob_dist=(0.20, 0.20, 0.00, 0.00, 0.20, 0.00, 0.00, 0.20, 0.00, 0.20),
799         #[ '0', '1', '*', ':', 'w', 'b', 'B', 'l', 'c', 'r' ]
800         ):
801     population = [initialize_random_bd_no_0_fitness(bd_len, prob_dist=prob_dist) for i in tqdm(range
802     (popsize))]
803     print( '\n-start-\n' )
804     max_fitness = 0
805     current_max_fitness = 0
806     max_fitness_history = []
807     for i in range(max_iterations):
808         random.seed()
809         fitness_list = _bd_list_fitness(population)
810         current_max_fitness = max(fitness_list)
811         max_fitness_history.append(current_max_fitness)
812         if current_max_fitness > max_fitness:
813             max_fitness = max(fitness_list)
814             best_bd = population[argmax(fitness_list)]
815             print(best_bd)
816             average_fitness = mean((i for i in fitness_list if i != -0.5))
817             fitness_list = _sigma_truncation(fitness_list, c=6)
818             newpop = list()
819             for j in range(int(math.floor(popsize / 2))):
820                 bd_ind0 = roulette_selection(fitness_list)
821                 bd_ind1 = roulette_selection(fitness_list)
822                 children_bd = crossover(population[bd_ind0], population[bd_ind1])
823                 simple_respectful_mutation(children_bd[0], 0.02, prob_dist=prob_dist)
824                 simple_respectful_mutation(children_bd[1], 0.02, prob_dist=prob_dist)
825                 newpop.extend(children_bd)
826             population = newpop
827             print('iteration:{:6f} curr. max:{:4f} overall max:{:4f} current mean:{:4f}' .format(
828                 i, max_iterations, current_max_fitness, max_fitness, average_fitness)
829             ),
830             #region dinamic ploting
831
832             if i ==0:
833                 #ysample = random.sample(range(-50, 50), 100)
834
835                 xdata = []
836                 ydata = []
837                 plt.show()
838                 axes = plt.gca()
839                 axes.set_xlim(0, max_iterations)
840                 axes.set_ylim(0, 4)
841                 line, = axes.plot(xdata, ydata, 'r-')
842
843
844                 xdata.append(i)
845                 ydata.append(average_fitness)
846                 line.set_xdata(xdata)
847                 line.set_ydata(ydata)
848                 plt.draw()
849                 plt.pause(1e-17)
850                 time.sleep(0.1)
851             #endregion
852             ,
853
854             #plt.show()
855             print(best_bd)
856
857
858

```

```
859 import cProfile
860 import re
861 bat = 1
862 cProfile.run('fit', 'restats')
863 import pstats
864 p = pstats.Stats('restats')
865 p.strip_dirs()
866 p.sort_stats('cumulative').print_stats(10)
867 ,,,
```

### A.3.3 2<sup>nd</sup> approach, final version

#### main\_v10.py

```

1 import modules_ga_v10 as mo
2 import time
3 import random
4 from matplotlib import pyplot as plt
5
6
7
8 print('\n\n—————\n\n')
9
10 time.sleep(0.5)
11
12 random.seed(4)
13 # print(sum((mo.measure_order(mo.generate_random_list(mo.list_size))) for i in range(200))/200)
14
15
16
17 computed_sizes = [4,5, 6, 7, 8, 9, 10]
18 # ['c', '1-', '1++', 'i', '1+', '0-', '1--', '1-', 'i', '0++']
19 # ['0-', '0-', 's', '1++', '1-', '1-', '1++', '1--', '1--', '0++']
20 # ['0--', '0++', '0--', 'c', 'i', '1--', '0++', '0+', '0--', '0-', 's', '1-', '1-', 'c', '0--', 'c',
21 #   '1+', '0++', '1--', '0+']
22 # ['s', '0++', '1--', '0+', '1++', '1+', '1-', '0--', '0--', '0+', 's', '0+', 's', '1+', 's',
23 #   '0+', '1+', 's', '0++', '0+', '0--', '1--']
24 # ['1+', '0-', '1-', 's', '1--', '1-', '0+', '1-', '1--', '0+', 's', '0+', '0-', 's', '1+', '0--',
25 #   'c', '1+', 'i', '1-', '0--', '0++', '0-', 's', '0-', '1++']
26 # ['c', '0--', 'c', '0-', '1-', 'c', '0++', '0+', '1+', 'c', '0--', '0-', '1+', '0--', '1+', '0-',
27 #   '1++', '0-', '0--', '0++', 's', '0+', 'c', '1-', 'c', '1-', '0-',
28 # '0-', '1--', 's', '1--', '1++', 'i', '1++', '0--', '0--', '1--', '0++', '0--', '0+', '1--',
29 #   '1--', '0--', '0--', '0--', '1+', '1+', 'c', 's', '1++', '0++',
30 # '#1-', '1--', '1--', '1-', '0++', 'c', '1-', '1--', 's', '1++', 's', '1-', '1++', '0+', 'c', '0++',
31 #   '0--', 'c', '1-', '1--', '0+', '1-', '1--', '0--', '1--', '0++', '0--', '1--', '1--',
32 #   '0--', '1--', '0--', '0--', '0--', '1+', '0--', '1--', '0++',
33 # '#0-', '1--', 'c', '1--', '0-', '1++', '0-']
34 mean_pstep_count_hist = [3.526, 7.2835, 13.2014, 20.5113, 26.8551, 47.6345, 70.3024]
35 mean_qstep_count_hist = [4.8446, 7.3829, 10.2942, 13.4719, 16.9368, 20.5831, 24.4349]
36 mean_istep_count_hist = [2.9961, 5.0081, 7.4823, 10.5419, 13.8817, 18.0272, 22.5761]
37 max_pstep_count_hist = [5, 10, 19, 25, 37, 71, 96]
38 max_qstep_count_hist = [6, 10, 15, 21, 28, 36, 44]
39 max_istep_count_hist = [6, 10, 15, 21, 27, 35, 41]
40
41 plt.plot(computed_sizes, max_pstep_count_hist, color = '#0004ff', label='GP maximun')
42 plt.plot(computed_sizes, max_qstep_count_hist, color = '#ff0505', label='quicksort maximun')
43 plt.plot(computed_sizes, max_istep_count_hist, color = '#1bb628', label='insertion sort maximun')
44 plt.plot(computed_sizes, mean_pstep_count_hist, color = '#9ea0ff', label='GP mean')
45 plt.plot(computed_sizes, mean_qstep_count_hist, color = '#ff7575', label='quicksort mean')
46 plt.plot(computed_sizes, mean_istep_count_hist, color = '#83ec8c', label='insertion sort mean')
47
48 plt.xticks(computed_sizes)
49
50 plt.legend()
51
52 plt.xlabel('List size')
53
54 plt.ylabel('Number of comparisons')
55
56
57
58 plt.show()
59
60
61
62

```

```
63  
64 # uncomment to perform search  
65 #mo.get_comp_number_data()
```

## modules\_ga\_v10.py

```

1 import math
2 import random
3 from statistics import stdev, mean
4 import numpy as np
5 from tqdm import tqdm
6 import functools
7 from matplotlib import pyplot as plt
8 import os as sys
9 import time
10 import multiprocessing as mp
11 import copy
12
13
14 # declare global variables
15 global list_size
16 global max_comparisons
17 global program_size
18 global number_of_lists_sampled
19 global popsize
20
21
22
23 # region parameters
24 seed = 4
25 list_size = 9
26 test_prog = [ '0++', '1+', '1+', '1+', '1+', '1-', 'c', '0+', '0--', '1-', '1-', '0++', 'i', '1--', 's', '0++', '0-', '1+', '1--', '0--', '0--', '1++', '1--', 'i', '0++', '0+', '1++', 's', '0-', '1--', 's', '1+', '0--', 'i', 'c', '1+', '1-', '0-', '0++', 'i', '1-', '1-', 'i', '0+', 's', '1-', '0+', '1++', '1+', '1++', '1--', '0+', '1-', '0++', '0++', '0++', '0--', '0++', 's', 's', '1+', '0++', '1+', '1++', '1--', '0--', 's', '0++', '1--', '1--', 's', '0++', 's', '1-', '0-', '1--', 'i', 'i', '0+', '1+', 'c', 'c', '0++', '1++', 's', '0--', '0++', '1+', '1++', '1+', '0-', '1--', '0-', 'i', '1++', 'i', 'i', '1--', '0+', '0--', '0+', '0++' ]
27
28 # program execution
29 max_comparisons = list_size * list_size * 10
30 max_program_iterations = 10 * list_size
31 program_size = 10
32
33
34 # fitness function parameters
35 number_of_lists_sampled = 500
36
37
38 # ga parameters
39 max_ga_iterations = 20
40 prob_mut = 0.02
41 popsize = 40
42 c = 2
43
44
45 endregion
46
47
48 # region tools
49
50
51 def argmax(iterable):
52     return max(enumerate(iterable), key=lambda x: x[1])[0]
53
54
55 # endregion
56
57
58 #region other sorting algos
59
60 # taken from https://stackoverflow.com/questions/18262306/quicksort-with-python
61 def qsort(l, first_call = True):
62     if not l: return l, 0 # empty sequence case
63     pivot = l[random.choice(range(0, len(l)))]
64     head, count0 = qsort([elem for elem in l if elem < pivot], first_call=False)
65     tail, count1 = qsort([elem for elem in l if elem > pivot], first_call=False)

```

```

66     count = count0 + count1 + len(head) + len(tail)
67     if first_call:
68         l[:] = head + [pivot] + tail
69     return count
70 else:
71     return head + [pivot] + tail , count
72
73 # http://interactivepython.org/courselib/static/pythonds/SortSearch/TheInsertionSort.html
74 def isort(l):
75     comp_count = 0
76     for index in range(1, len(l)):
77         currentvalue = l[index]
78         position = index
79         while position > 0 and l[position - 1] > currentvalue:
80             comp_count += 1
81             l[position] = l[position - 1]
82             position = position - 1
83             l[position] = currentvalue
84             if l == list(range(len(l))):
85                 return comp_count
86     return comp_count
87
88
89
90
91 #endregion
92
93
94 #region shuffled list
95
96
97 # return n sized shuffled list
98 def generate_random_list(n):
99     return_list = list(range(n))
100    random.shuffle(return_list)
101    return return_list
102
103 def measure_order(input_list):
104
105     """
106         calculate the level of disorder of the input list. It is measured on a logarithmic scale
107     :param input_list: the list to be measured
108     :return: positive float
109     """
110
111     # sum( |input_list - ordered list| )
112     sum_of_difference = sum((abs(input_list[i] - i) for i in range(len(input_list))))
113     return math.log1p(sum_of_difference)
114
115
116
117
118
119 #endregion
120
121
122 #region program - commands
123
124
125 class ordering_program:
126
127     prob_distr = (1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1)
128     possible_chars = ('s', 'i', '0+', '1+', '0-', '1-', '0++', '0--', '1++', '1--', 'c')
129
130     def __init__(self, n = None, **kwargs):
131         if 'command_list' in kwargs or 'command_string' in kwargs:
132             initialize = False
133         else:
134             initialize = True
135         if not initialize:
136             if 'command_list' in kwargs:
137                 self.command_list = kwargs['command_list']
138             elif 'command_string' in kwargs:

```

```

139         self.command_list = [command for command in kwargs[ 'command_string' ]]
140
141     else:
142         if n is None:
143             raise ValueError('no command list size given')
144         self._initialize_random_command_list(n)
145
146     def _initialize_random_command_list(self , n):
147         state = random.getstate()
148         random.seed()
149         self.command_list = list(random.choices(self.possible_chars , weights=self.prob_distr , k=n))
150         random.setstate(state)
151
152     def __len__(self):
153         return len(self.command_list)
154
155     def __str__(self):
156         return str(self.command_list)
157
158     def order_list_with_program(self ,
159                                 shuffled_list ,
160                                 initial_pos = math.floor(list_size / 2) ,
161                                 plusplus_difference = math.floor(list_size/8)):
162
163         plusplus_difference = math.floor(list_size / 8)
164         initial_pos = math.floor(list_size / 2)
165         comparison_counter_container = [0] # we make it a list to make it mutable
166         p0 = initial_pos
167         p1 = 0
168         len_of_moves = (1,plusplus_difference)
169
170         for i in range(max_program_iterations):
171             for command_pos in range(len(self)):
172                 current_char = self.command_list[command_pos]
173
174                 if comparison_counter_container[0] >= max_comparisons:
175                     return comparison_counter_container[0]
176                 if shuffled_list == list(range(list_size)):
177                     return comparison_counter_container[0]
178
179                 elif current_char in { 's' , 'i' }:
180                     execute_command(current_char , shuffled_list , comparison_counter_container , p0 ,
181                                     p1)
182                     #print ('{} : {} - {}'.format(comparison_counter_container , p0 , p1))
183
184                 elif current_char == 'c':
185                     pass
186                 else: # movement command found
187                     if current_char[0] == '0':
188                         p0 += len_of_moves[ len(current_char) - 2]
189                         p0 = p0 % list_size
190                     elif current_char[0] == '1':
191                         p1 += len_of_moves[ len(current_char) - 2]
192                         p1 = p1 % list_size
193
194         return comparison_counter_container[0]
195
196     def mutate_k_position(self ,k):
197         self.command_list[k] = list(random.choices(self.possible_chars , weights=self.prob_distr , k
198                                                 =1))[0]
199
200     # order_list_with_program commands
201
202
203
204
205     # command swap 's'
206     def swap(shuffled_list , comparison_counter_container , p0 , p1):
207         if p0 == p1:
208             return
209         shuffled_list[min(p0,p1)] , shuffled_list[max(p0,p1)] = sorted([shuffled_list[p0] , shuffled_list[p1]

```

```

210     []])
211     comparison_counter_container[0] += 1
212
213 # command insert 'i'
214 def insert(shuffled_list, comparison_counter_container, p0, p1):
215     if p0 == p1:
216         pass
217     else:
218         if shuffled_list[p0] > shuffled_list[p1]:
219             element_to_be_inserted = shuffled_list[p0]
220             shuffled_list.insert(p1 + 1, element_to_be_inserted)
221         else:
222             element_to_be_inserted = shuffled_list[p0]
223             shuffled_list.insert(p1, element_to_be_inserted)
224         if shuffled_list[p0] == element_to_be_inserted:
225             shuffled_list.pop(p0)
226         elif shuffled_list[p0 + 1] == element_to_be_inserted:
227             shuffled_list.pop(p0 + 1)
228         else:
229             raise ValueError('Second element not erased')
230     comparison_counter_container[0] += 1
231
232
233 #
234 def execute_command(command, shuffled_list, comparison_counter_container, p0, p1):
235     if command == 's':
236         swap(shuffled_list, comparison_counter_container, p0, p1)
237     elif command == 'i':
238         insert(shuffled_list, comparison_counter_container, p0, p1)
239     else:
240         raise ValueError('The only valid commands are s and i, {} was found.'.format(command))
241
242 #endregion
243
244
245 #region ga operators
246
247 class individual:
248
249     def __init__(self, program):
250         if not isinstance(program, ordering_program):
251             raise TypeError('The initialization requires an'+
252                             ' ordering_program type object. {} type object was given'.format(type(
253                             program)))
254         self.program = program
255
256     def __str__(self):
257         return str(self.program)
258
259     def calc_fitness(self):
260         random.seed(seed)
261         all_fitness_values = []
262         shuffled_lists = [generate_random_list(list_size) for i in range(number_of_lists_sampled)]
263         for shuffled_list in shuffled_lists:
264             all_fitness_values.append(fitness_function(self.program, shuffled_list))
265         # Fitness value, penalize for worst n of comparisons
266         self.fitness = (mean(all_fitness_values) + min(all_fitness_values)) / 2
267
268         return self.fitness
269
270     def crossover(self, other):
271         # mates using two point crossover
272
273         ind_0_command_list = self.program.command_list
274         ind_1_command_list = other.program.command_list
275
276
277         mating_indexes = [random.randint(0, len(ind_0_command_list) - 1), random.randint(0, len(
278                         ind_0_command_list) - 1)]
279         mating_indexes.sort()

```

```

280
281     new_individuals = [
282         individual(
283             ordering_program(
284                 command_list=ind_0_command_list[: mating_indexes[0]] +
285                     ind_1_command_list[mating_indexes[0]:mating_indexes[1]] +
286                     ind_0_command_list[mating_indexes[1]:])),
287             individual(
288                 ordering_program(
289                     command_list = ind_1_command_list[: mating_indexes[0]] +
290                         ind_0_command_list[mating_indexes[0]:mating_indexes[1]] +
291                         ind_1_command_list[mating_indexes[1]:]))
292         ]
293
294     # new_bds[0].message_spawner_set = spawn_mes0
295     # new_bds[1].message_spawner_set = spawn_mes1
296
297     return new_individuals
298
299
300
301     def mutate(self):
302         mut_index = np.random.binomial(2, prob_mut, len(self.program))
303         for i in range(len(self.program)):
304             if mut_index[i]:
305                 self.program.mutate_k_position(i)
306
307
308
309
310 class population:
311
312     best_individual = None
313     best_fitness = -1e10
314
315
316     def __init__(self):
317         self.pop = [individual(get_working_program(program_size, list_size)) for _ in range(popsize)]
318         self.iterations_done = 0
319
320
321     def ga_iteration(self, verbose = 1):
322
323         if verbose:
324             time.sleep(0.5)
325             fitness_list = [self.pop[i].calc_fitness() for i in tqdm(range(popsize))]
326             time.sleep(0.5)
327             # keeping track of best individuals and iteration
328         else:
329             fitness_list = [self.pop[i].calc_fitness() for i in range(popsize)]
330         best_index = argmax(fitness_list)
331         best_iteration_fitness = fitness_list[best_index]
332         best_iteration_individual = self.pop[best_index]
333         mean_iteration_fitness = mean(fitness_list)
334         self.iterations_done += 1
335
336
337         if self.best_fitness < best_iteration_fitness:
338             self.best_fitness = best_iteration_fitness
339             self.best_individual = best_iteration_individual
340             if verbose:
341                 print(' {:.4f} → {}'.format(self.best_fitness, str(self.best_individual)))
342
343         fitness_list = sigma_truncation(fitness_list)
344
345         selected_pop = [self.pop[roulette_selection(fitness_list)] for _ in range(popsize)]
346
347         if verbose:
348             for indiv in self.pop:
349                 print(' {:.4f} → {}'.format(indiv.fitness, str(indiv)))
350
351         newpop = []

```

```

352     for i in range(0,popsize,2):
353         newpop.extend(selected_pop[i].crossover(self.pop[i+1]))
354     self.pop = newpop
355     for indiv in self.pop:
356         indiv.mutate()
357     if verbose:
358         print('it: {:5} overall best: {:.4f} — current best: {:.4f} — current mean: {:.4f}'.
359             format(self.iterations_done, self.best_fitness, best_iteration_fitness,
360                   mean_iteration_fitness))
361
362
363 # fitness function
364 def fitness_function(program, shuffled_list):
365     initial_entropy = measure_order(shuffled_list)
366     n_comparisons = program.order_list_with_program(shuffled_list)
367     bonus_well_ordered = 0
368     if shuffled_list == list(range(list_size)):
369         bonus_well_ordered = max_comparisons - n_comparisons
370     return initial_entropy - measure_order(shuffled_list) + bonus_well_ordered
371
372 # scale fitness with sigma truncation
373 def sigma_truncation(list_of_fitness):
374     sigma = stdev(list_of_fitness)
375     mu = mean(list_of_fitness)
376     return [(list_of_fitness[i] - (mu - c * sigma)) for i in range(len(list_of_fitness))]
377
378
379 # returns list with number of offspring using roulette wheel selection
380 # https://stackoverflow.com/questions/10324015/fitness-proportionate-selection-roulette-wheel-
381 # selection-in-python
381 def roulette_selection(weights):
382     '''performs weighted selection or roulette wheel selection on a list
383     and returns the index selected from the list'''
384
385     # sort the weights in ascending order
386     sorted_indexed_weights = sorted(enumerate(weights))
387     indices, sorted_weights = zip(*sorted_indexed_weights)
388     # calculate the cumulative probability
389     tot_sum = sum(sorted_weights)
390     if tot_sum == 0:
391         prob = [1 / len(sorted_indexed_weights) for i in range(len(sorted_indexed_weights))]
392     else:
393         prob = [x / tot_sum for x in sorted_weights]
394     cum_prob = np.cumsum(prob)
395     # select a random a number in the range [0,1]
396     random_num = random()
397
398     for index_value, cum_prob_value in zip(indices, cum_prob):
399         if random_num < cum_prob_value:
400             return index_value
401
402
403
404
405
406
407 #endregion
408
409
410 def fit(verbose = 1, size = list_size):
411     global list_size
412     old_size, list_size = list_size, size
413
414     global number_of_lists_sampled
415     fit_population = population()
416     best_hist = []
417     for i in tqdm(range(max_ga_iterations), 'GA iteration'):
418         fit_population.ga_iteration(verbose=verbose)
419         if verbose == 1:
420             print('_____')
421             print('max_comparisons = {}'.format(max_comparisons))

```

```

422     print('_____')
423     best_hist.append(fit_population.best_individual.calc_fitness())
424
425     time.sleep(0.5)
426     print('Best individual:')
427     print('_____')
428     print(fit_population.best_individual)
429     if verbose == 1:
430         print(fit_population.best_individual.calc_fitness())
431         plt.plot(list(range(1,1 + len(best_hist))),best_hist)
432         plt.show()
433     list_size = old_size
434
435 return fit_population.best_individual.program
436
437
438 def test_ord_prog(test_prog):
439     random.seed(5)
440     global list_size
441     ls = list_size
442     pstep_count_hist, qstep_count_hist, istep_count_hist = [],[],[]
443     all_well_ordered = True
444     for i in range(10000):
445         shuffled_list0 = generate_random_list(ls)
446         shuffled_list1 = copy.deepcopy(shuffled_list0)
447         shuffled_list2 = copy.deepcopy(shuffled_list0)
448         p = test_prog
449
450         # sorting with different algorithms, and saving number of comparisons
451         pstep_count_hist.append(p.order_list_with_program(shuffled_list0))
452         qstep_count_hist.append(qsort(shuffled_list1))
453         istep_count_hist.append(isort(shuffled_list2))
454         if shuffled_list0 != list(range(len(shuffled_list0))):
455             all_well_ordered = False
456
457     print('#LS = {}'.format(list_size))
458     print('#test_prog = {}'.format(test_prog))
459
460
461     return all_well_ordered,\n            mean(pstep_count_hist), mean(qstep_count_hist), mean(istep_count_hist),\n            max(pstep_count_hist), max(qstep_count_hist), max(istep_count_hist)
462
463
464
465
466
467 def get_comp_number_data():
468     mean_pstep_count_hist, mean_qstep_count_hist, mean_istep_count_hist, \
469     max_pstep_count_hist, max_qstep_count_hist, max_istep_count_hist = [],[],[],[],[],[]
470     hists =[ mean_pstep_count_hist, mean_qstep_count_hist, mean_istep_count_hist,
471     max_pstep_count_hist, max_qstep_count_hist, max_istep_count_hist ]
472     for i in range(9,15):
473
474         #variable parameters
475         global list_size
476         global max_comparisons
477         global popsize
478         global program_size
479         global max_program_iterations
480
481         list_size = i
482         max_comparisons = list_size * list_size * 10
483         program_size = 20 + 10 * (i - 6)
484         max_program_iterations = list_size * 10
485
486
487         test_prog = fit(verbose=0, size=i)
488         all_well_ordered, \
489         mean_pstep_count, mean_qstep_count, mean_istep_count,\n         max_pstep_count, max_qstep_count, max_istep_count = test_ord_prog(test_prog)
490
491         obtained_results = (mean_pstep_count, mean_qstep_count, mean_istep_count,\n                           max_pstep_count, max_qstep_count, max_istep_count)
492
493
494

```

```

495     for hist_index in range(6):
496         hists[hist_index].append(obtained_results[hist_index])
497
498     if not all_well_ordered:
499         print(f'Not all well ordered, failed iteration: {i} \n' + '----- \n\n')
500         raise ValueError
501     else:
502         print(f'iteration {i}')
503         print(
504             f'\n mean_pstep_count_hist = {mean_pstep_count_hist} \n mean_qstep_count_hist = {mean_qstep_count_hist} \n mean_istep_count_hist = {mean_istep_count_hist} \n'
505             f' max_pstep_count_hist = {max_pstep_count_hist} \n max_qstep_count_hist = {max_qstep_count_hist} \n max_istep_count_hist = {max_istep_count_hist}'
506         )
507
508
509
510 def get_working_program(ps, ls):
511
512     # correct global parameters
513     global list_size
514     global max_comparisons
515     global program_size
516
517     #backup original global variables
518     list_size_copy = copy.copy(list_size)
519     max_comparisons_copy = copy.copy(max_comparisons)
520     program_size_copy = copy.copy(program_size)
521
522     #set new global variables
523     list_size = ls
524     max_comparisons = ls * ls * 10
525     program_size = ps
526     #
527
528
529     working_program = False
530     n_of_programs_checked = 0
531     while not working_program:
532         n_of_programs_checked += 1
533         op_to_be_checked = ordering_program(n=ps)
534         i = 0
535         list_has_been_ordered = True
536         while list_has_been_ordered and i < 100:
537             i += 1
538             shuffled_list = generate_random_list(ls)
539             op_to_be_checked.order_list_with_program(shuffled_list)
540             if shuffled_list != list(range(len(shuffled_list))):
541                 list_has_been_ordered = False
542
543             if list_has_been_ordered:
544                 working_program = True
545             if n_of_programs_checked % 15000 == 0:
546                 print(f'n of iterations without valid op created: {n_of_programs_checked}. List size {list_size} and op size {program_size}')
547                 if n_of_programs_checked > 1500000:
548                     raise ValueError
549
550
551     # restore original global variables
552     list_size = list_size_copy
553     max_comparisons = max_comparisons_copy
554     program_size = program_size_copy
555     #
556
557
558     return op_to_be_checked
559
560
561
562 def local_search(n_iterations, start_string = '*w0llwlwwwww:1001rr1r001111*lllw1llwlwwl: r110rr0rr1rrr'): # to be adapted to second approach

```

```

563     random.seed()
564     state = random.getstate()
565     string = start_string
566     string_change = string
567     new_fitness = -1e10
568     print(start_string + ' --> ' + start_string)
569     print('---start---')
570     for it in range(n_iterations):
571         random.setstate = state
572         fitness = fitness_function(broadcast_device(sr = string), 10000, 10, 20, False, 64)
573         for new_string in dist_1_generator(string):
574             random.setstate = state
575             new_fitness = fitness_function(broadcast_device(sr = new_string), 200, 10, 20, False, 64)
576             if new_fitness > fitness:
577                 random.setstate = state
578                 new_fitness = fitness_function(broadcast_device(sr=new_string), 1000, 10, 20, False,
579                                                 64)
580                 if not new_fitness > fitness:
581                     continue
582                 fitness = new_fitness
583                 string_change = new_string
584             random.setstate = state
585             if fitness_function(broadcast_device(sr = string_change), 10000, 10, 20, False, 64) > fitness:
586                 print(new_string + ' --> ' + str(new_fitness))
587                 string = string_change
588             print('Iteration: ' + str(it))
589
590     def dist_1_generator(string):
591         possible_chars = ('0', '1', 'w', 'l', 'r')
592         for i in (i for i in range(len(string)) if i%30 != 0 and i%30 != 15):
593             for char in possible_chars:
594                 if not (15 < i%30 < 30 and char == 'l'):
595                     yield '%s%s%s' % (string[:i], char, string[i+1:])
596
597
598
599 #list_size = 6
600
601 #print(test_ord_prog(test_prog=ordering_program(command_list=['c', '1-', '1++', 'i', '1+', '0-',
602 #                                                               '1--', '1-', 'i', '0++'])))
603
604 #steps = 1e9
605 #for j in range(1000):
606 #    p = get_working_program(20, list_size)
607 #    oldsteps = steps
608 #    steps = min(max((p.order_list_with_program(generate_random_list(list_size)) for i in range
609 #                    (10000))), steps)
610 #    if steps < oldsteps:
611 #        print('----')
612 #        print(p)
613 #        print(steps)

```

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