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# Nucleation and crystallization in bio-based immiscible polyester blends

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# List of abbreviations

#### **Abbreviation**

PLA Poly (lactic acid)

PLLA Poly (L-lactic acid)

PDLA Poly (D-lactic acid)

PBS poly (butylene succinate)

PCL poly (caprolactone) or poly ( $\epsilon$ -caprolactone)

PHB Poly (hydroxybutyrate)

TEM Transmission electron microscopy

PE Polyethylene

PA Polyamide

PA6 Polyamide-6

MDs Micro domains

DSC Differential scanning calorimetry

PS Polystyrene

iPP Isotactic Polypropylene

PLOM Polarized Light Microscopy

PVDF Poly(vinylidene fluoride)

PMMA Poly(methyl methacrylate)

LDPE Low density polyethylene

DCP Dicumyl peroxide

NPs Nanoparticles

GO Graphene oxide

GOs Graphene oxide nanosheets

CNC Cellulose nanocrystals

Tg Glass transition temperature

wt% Weight percentage

Tcc Cold crystallization temperature

Tc Crystallization temperature

Tm Melting temperature
Xc Crystallinity degree

rPBSL Random poly(butylene succinate-co-lactic acid)

XRD X-ray diffraction

PLLA-g-MA Maleic-anhydride-grafted PLLA

PBS-g-MA Maleic-anhydride-grafted PBS

NPCC Nano-sized calcium carbonate

SB sodium benzoate
TiO<sub>2</sub> Titanium dioxide

WAXD Wide angle X-ray diffraction PBS-g-CNC PBS-g-cellulose nanocrystal

s-CNC Surfactant modified cellulose nanocrystals

PBSL Poly(butylene succinate-co-l-lactate)

PEG-PPG block copolymer of poly(ethylene glycol) and poly(propylene

glycol

PLA-b-PC Poly(L-lactide-block-carbonate)

P(LA-ran-CL)LMw Poly(lactide-ran-caprolactone) Low molecular weight P(LA-ran-CL)HMw Poly(lactide-ran-caprolactone) High molecular weight

PLLA-PCL-PLLA Triblock PLLA-PCL-PLLA copolymer

EC-bp Ethyl cellosolve blocked polyisocyanate

POSS Ad hoc functionalized polyhedral oligomeric silsesquioxane

POSS-oib Octaisobutyl polyhedral oligomeric silsesquioxane

GNP Graphite nanoplatelets

LDI L-lysine-diisocyanate

LTI L-lysine-triisocyanate

G Growth rate

Ta Annealing temperature

FTIR Fourier-transform infrared spectroscopy

PHBV Poly(hydroxybutyrate-cohydroxyvalerate)

ATBC Acetyl(tributyl citrate)

LIM D-limonene

Lapol polyester plasticizer

 $\tau$  (50%) Crystallization half time

## **Abstract**

Bio-based thermoplastic polyesters are highly promising materials as they combine interesting thermal and physical properties and in many cases biodegradability. However, sometimes the best property balance can only be achieved by blending in order to improve barrier properties, biodegradability or mechanical properties. Nucleation, crystallization and morphology are key factors that can dominate all these properties in crystallizable biobased polyesters. Therefore, their understanding, prediction and tailoring is essential. In this work, after a brief introduction about immiscible polymer blends, we summarize the crystallization behavior of the most important bio-based (and immiscible) polyester blends, considering examples of double-crystalline components. Even though in some specific blends (e.g., polylactide/polycaprolactone) many efforts have been made to understand the influence of blending on the nucleation, crystallization and morphology of the parent components, there are still many points that have yet to be understood. In the case of other immiscible polyester blends systems, the literature is scarce, opening up opportunities in this environmentally important research topic.

**Keywords:** Biobased polyesters, immiscible blends, nucleation, crystallization, double crystalline blends

## 1. Introduction on immiscible blends of semicrystalline polymers

Recently, much attention has been given to polyesters obtained from sustainable resources, biodegradable polymers and their blends as their properties are comparable with those of polymers derived from petroleum resources but they are more environmentally benign. Among these bio-based and biodegradable polymers, Poly (lactic acid) (PLA), poly (butylene succinate) (PBS), poly (caprolactone) (PCL) and Poly (hydroxybutyrate) (PHB), are the most studied and employed.

Polymer blending has been extensively used to prepare new polymeric materials with an attractive combination of properties that combine those of the pure components. However, given that most polymers are immiscible, because of their unfavorable enthalpy of mixing, they form phase-separated systems. Depending on interfacial tension, composition, rheological properties and processing conditions, immiscible polymer blends exhibit different morphologies, such as sea-island or co-continuous. Immiscible blends are characterized by poor mechanical properties coming from weak interfacial adhesion between the phases and/or stress concentrations at interface boundaries. [1-4] Several strategies have been employed to overcome this and improve blend compatibility, such as chemical modifications, addition of block copolymers, plasticizers, nanofillers and reactive blending [5-7].

If one or both components are semi-crystalline, the superstructure and the crystallization behavior of each material may be affected by blending. The detailed knowledge of how blending impacts crystallization is important since most polymer properties, such as optical, thermal, mechanical and barrier properties, will also be affected.

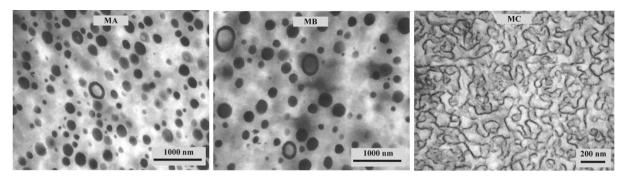
This chapter describes the general crystallization behavior of immiscible biodegradable polyester blends, with especial emphasis on the kinetics aspects. Several other aspects will also be highlighted, such as the influence of blending on nucleation, the phenomenon of fractionated crystallization, and the effects of composition on the morphology.

## 1.1 Morphological features

The final morphology of immiscible blends is affected both by intrinsic features of the materials, such as interfacial tension between the two polymers and melt viscosity ratio, and by preparation method, i.e., shear rate and blend composition. The two most typical morphologies are: i) droplets of the minor component, with sizes between 0.1 and 10  $\mu$ m,

dispersed in a continuous matrix of the other polymer (i.e., a sea-island morphology), and ii) co-continuous morphology, usually obtained for symmetric compositions, characterized by two continuous phases with similar characteristic sizes that are strongly interpenetrated [1-4]. Co-continuous morphologies in immiscible polymer blends have many advantages in comparison with sea-island morphologies, in particular regarding synergy in the mechanical properties and selective permeability, which provide opportunities for a wide range of technological applications.

Clear relationships have been found between blend morphology and the crystallization of immiscible polymers [8-13]. We have selected an example from the literature, even if the reported blends are not biobased or biodegradable, because it can clearly illustrate the large changes produced by different morphologies on the nucleation and crystallization of the phases.



**Figure 1**. TEM micrographs of three PE/PA blends prepared by reactive extrusion, showing sea-island (MA and MB) and co-continuous morphologies (MC). Adapted from [9].

Figure 1 shows Transmission electron microscopy (TEM) images polyethylene/polyamide (PE/PA) blends prepared by reactive extrusion. Depending on the composition and compatibilizer content, it was possible to produce two types of morphologies: (i) droplets of polyamide in a polyethylene matrix (samples MA and MB in Figure 1), (ii) co-continuous morphology of the two polymers (sample MC, Figure 1) [9]. The kinetics of crystallization of PA in PE/PA immiscible blends changed from classical sigmoidal-type (typical of heterogeneously nucleated polymers with Avrami indexes of 3-4), in the blend with the co-continuous morphology, to first-order kinetics in the blends MA with sea-island morphology with sub-micron PA droplets (typical of a crystallization process initiated by surface nucleation or homogeneous nucleation [8,9,12]). In the MC blend, the nucleation was found to be heterogeneous at lower supercooling, while in MA and MB blends, the nucleation became homogeneous or induced by the polymer-polymer interface, and crystallization occurred at extremely large supercooling. On the other hand, crystallization of the PE phase was enhanced in all blends, due to the nucleation effect of the PA, previously crystallized at higher temperature [9]. The relationship between immiscible blend morphology and crystallization behavior of bio-based polyester blends will be analyzed in detail below.

# 1.2 Crystallization behavior in immiscible blends

The polymers in an immiscible blend can be either amorphous or semicrystalline. Thus, amorphous/amorphous, crystalline/amorphous or crystalline/crystalline final blends can be prepared.

In principle, phase separation of the components is expected, therefore, in the case of two semicrystalline components, the crystallization of each polymer takes place independently from one another. As such, the crystalline features (melting temperature (Tm), lamellar thickness, and growth rate (G)) are expected to be similar to the ones of pure components. The same does not obviously hold for miscible blends, where dilution effects on crystallization can be appreciated. [10,11,13].

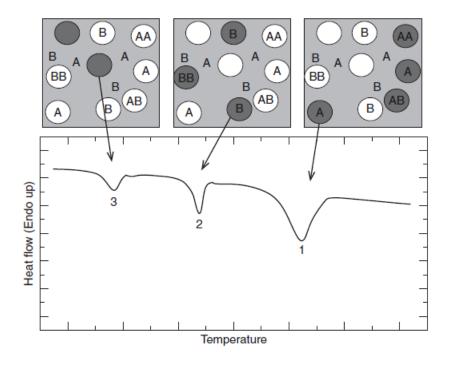
However, the crystallization kinetics of a given polymer in an immiscible blend can be substantially different from that of the pure component, since peculiar nucleation effects can arise. Nucleation could be enhanced and thus the overall crystallization kinetics is accelerated. Indeed, nucleation is commonly encountered at foreign surfaces, and it can thus be increased due to impurities/heterogeneities migration between the different phases during the blending process or to the presence of polymer/polymer interfaces. [1,2,10,13-17]. The opposite situation, i.e., a decrease of crystallization kinetics, is also commonly observed whenever the crystallizable polymers are separated in a "sufficiently high" number of individual domains. In these cases, the phenomenon is addressed as *fractionated crystallization*, as detailed in the following section.

#### 1.2.1 Fractionated crystallization

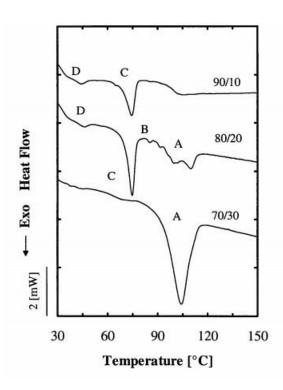
The term "fractionated crystallization" was introduced by Frensch et al. [18]. This crystallization mechanism is observed in polymer blends, when a minor crystallizable component is dispersed in droplets with very small average diameter. The fractionated crystallization appears when the number of droplets or micro domains (MDs) is of the same order of magnitude, or larger, than the number of the active heterogeneities which act as

primary nuclei for crystallization in the bulk polymer. For statistical reasons, different droplets ensembles will result, containing heterogeneities with varying nucleating ability, or even free of foreign particles. Therefore, upon cooling from the melt, the different fractions of droplets will crystallize at distinct supercoolings, from low to high depending on the nucleating efficiency of the contained heterogeneities. Ideally, "clean" droplets will solidify at the maximum achievable supercooling (close to the glass transition temperature) by a homogeneous nucleation mechanism. Heterogeneity free droplets can also crystallize by interfacial nucleation at high supercoolings, but not as high as in the case of homogeneous nucleation, since the energy barrier for nucleation is lower when the interface between the two phases is able to nucleate the droplets. The different nucleation events are reflected in multiple exothermic peaks detected by a differential scanning calorimetry (DSC) cooling scan [10,12,13, 19-29].

The above outlined concept is described schematically in Figure 2. The most active nucleating impurities are represented with the letter A, while B indicated less active heterogeneities. After blending, the heterogeneities will be randomly distributed among the droplets. The micro domains containing type A heterogeneities crystallize at lower supercooling (exothermic peak 1 in the DSC of Figure 2), while droplets with type B impurities nucleate at lower temperatures (DSC peak 2). Impurities-free polymer droplets reach the largest supercooling for the given cooling conditions (exothermic peak 3).



**Figure 2:** A schematic illustration of the fractionated crystallization of polymer droplets dispersed in an immiscible polymer matrix, as measured by DSC [19].



**Figure 3.** DSC cooling curves at 10°C/min for PS/iPP blends with the indicated compositions. [21].

Figure 3 shows a real example of fractionated crystallization in immiscible blends, and the effect of blend composition on its occurrence, for the system isotactic polypropylene/polystyrene. For a 70/30 wt% composition, isotactic Polypropylene (iPP) droplets have an average diameter of 7-9 µm and they mostly crystallize at low supercooling (peak A) while a small fraction of the droplets can be supercooled to a larger extent (peak C). By decreasing the amount of iPP to 20 %, the average droplet size decreases to around 1-2 um, and a clear fractionated crystallization is observed: since four distinct crystallization exotherms (A-D) are revealed. These correspond to different types of heterogeneities (A-C) and to nucleation at the interface with polystyrene (PS) or via a homogenous route in pure iPP droplets (D). When iPP content is only 10 wt%, the average droplets size is less than 1 µm. The concentration of droplets thus increases well above the content of the heterogeneities which cause nucleation at low supercoolings (peaks A through C). In fact, the high temperatures nucleation events (A and B) disappear and the crystallization can only occur at lower temperatures, in exotherms C and D. If a compatibilizer is used in the blend, even smaller droplets are produced and exotherm C disappears, indicating that the only event associated with heterogeneity free droplets is the exothermic peak at maximum supercooling (D) which could be started by surface nucleation or homogeneous nucleation. As the glass transition temperature (Tg) temperature of iPP is close to 0 °C and the crystallization peak (D) is at around 40 °C, it may be possible that a homogeneous nucleation process could have triggered the nucleation of these clean droplets. [8,10,12,21,23,24] In general, the fractionated crystallization leads to lower crystallinity and slightly lower melting temperatures, due to the decrease of lamellar thickness at those supercoolings [8,11,13,26,27]. For more details on fractionated crystallization, the reader is referred to the reviews of Müller et al. [12,21,25]

## 1.2.2 Nucleation at polymer/polymer interfaces

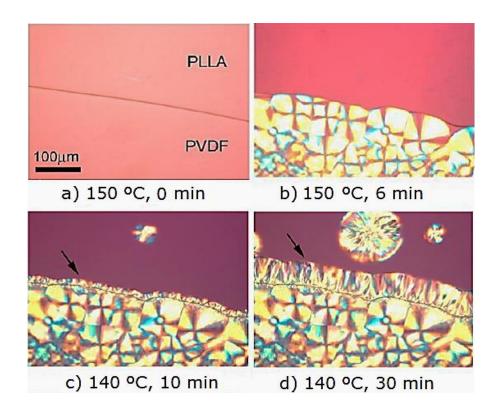
Several papers have reported the nucleation effect of one polymer on another in immiscible blends. The phenomenon is commonly indicated as interface-induced nucleation or interface-assisted crystallization, and can be typically visualized directly by Polarized Light Microscopy PLOM [30-37].

For example, Figure 4 shows some PLOM micrographs of the area near the phase boundary between poly(L-lactide) (PLLA) and poly(vinylidene fluoride) (PVDF). The two polymers were sequentially crystallized for a suitable time at 150 °C and 140 °C. At 150 °C, only the PVDF phase is able to crystallize within the given time (Figure 4b), while after cooling to 140 °C, PLLA can also crystallize. At 140 °C, PLLA nucleates first at the interface with previously crystallized PVDF. A transcrystalline structure is produced due to the high (linear) nucleation density, which forces the spherulites to grow perpendicular to the interface (Figures 4c and 4d). We can note that, during the same crystallization time, only few PLLA spherulite nucleate within the bulk phase, away from the PVDF interface [33].

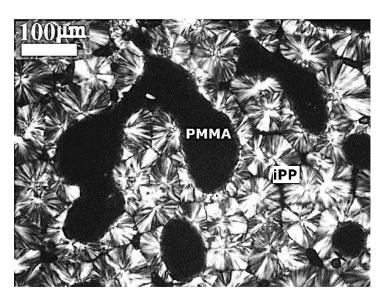
In a similar experiment on PVDF/PCL blends, the nucleation of the PCL phase after isothermal crystallization of PVDF was shown to occur at the interface with PVDF crystals, giving rise again to a transcrystalline PCL layer. The nucleation effect of PVDF on PCL could also be detected by non-isothermal differential scanning calorimetry, as a meaningful shift of PCL crystallization exotherm to higher temperature in a 70/30 wt% PVDF/PCL blend [34].

The nucleation of a given polymer on the surface of pre-existing crystals of a different polymer might not seem surprising, and can be possibly attributed to the existence of epitaxial relationship between the two crystalline structures. However, even if less documented, the nucleation of a semicrystalline polymer at the interface with an amorphous polymer in their immiscible blend is also possible. Figure 5 shows a polarized optical micrographs of isotactic polypropylene/poly(methyl methacrylate) (PP/PMMA) immiscible blend crystallized at 130°C. iPP transcrystalline growth layer around the PMMA domains can be observed. It

should be noted that iPP crystallization takes place above the glass transition temperature of PMMA, thus the nucleation effectively occurs at the interface with a viscous liquid [35].



**Figure 4:** PLOM micrographs of PLLA and PVDF near their interface, during a sequential crystallization at 150 and 140 °C. The upper and lower sides of the micrographs are PLLA and PVDF, respectively. Adapted from ref. [33].



**Figure 5:** PLOM micrograph for an iPP/PMMA blend after the sample was crystallized at 130 °C for 30 min. Adapted from reference [35].

#### 1.2.3 Crystallization in presence of compatibilizers and nanoparticles

Usually, the addition of small amounts of "compatibilizer" (i.e., block-copolymers, graft copolymers, nanoparticles, etc.) results in large decrease in the size of the dispersed phase, in comparison with the non-compatibilized blend. The addition of a copolymer-based compatibilizer, in general, has remarkable influence on the crystallization behavior of the blend components, because of the large effect on the morphology of the system, which results either in a decrease in the size of the dispersed phase or in the formation of a percolated/co-continuous morphology [8,13,16,38,39]. Tol et al. [26], Yordanov and Minkova [28], studied the reactive compatibilization of the immiscible blends polystyrene/polyamide 6 (PS/PA6) and Low density polyethylene/polystyrene (LDPE/PS), using different kind of copolymer compatibilizers. They found a decrease in the droplet sizes and large increase in the droplets concentration. As a consequence, the nucleation mechanism of the crystalline polymer changed from heterogeneous to homogeneous/surface-induced. In the case of PA6, the increased supercooling favored the crystalline  $\gamma$  phase with respect to the  $\alpha$  phase which develops in neat blends [26].

Another commonly used compatibilization method is "reactive blending", in which a molecule which can react with one or both phases is added during the extrusion process. During the reactive compatibilization, different kind of interactions among the polymers can develop, leading to hydrogen, ionic, or covalent bonding, depending on the specific functional groups involved. Typically, a co-continuous morphology can be obtained. In the case of reactive compatibilization, when some specific reagent is employed, the crystallization behavior of the final blend can be affected as a result of chain scission or reduction of chain mobility due to cross-linking. In general, reactive compatibilization reduces the degree of crystallinity and induces fractionated crystallization [1,2,5,11,13,21,27,28, 39-42].

Wang et al. [41] reported that the addition of dicumyl peroxide (DCP) at different concentrations to PLA/PBS 80/20 immiscible blend hinders the crystallization of both components, i.e., it decreases the crystallinity of both PBS and PLA and the cold crystallization rate of PLA by reducing its nucleation density. Reactive blending in this case is creating new covalent bonds randomly distributed in between the two polymers. Such new covalent bonds interrupt the linear crystallizable sequences creating molecular defects that need to be rejected to the amorphous phases, thereby reducing the crystallization ability of the components.

The addition of nanoparticles (NPs) or nanofillers to immiscible polymer blends can have an effect on the mechanical, thermal, optical and gas properties. NPs in immiscible blends could be located at the interface between the components, dispersed preferentially in one component, or dispersed in non-equivalent way in both components. Generally, and depending on their locations, NPs affect the crystallization behavior of the crystallizable components by enhancing the primary nucleation, thus acting as heterogeneous nucleants. [13, 43-45]. Examples can be found for instance for PLLA/PBS blends mixed with graphene oxide (GO) and cellulose nanocrystals (CNC) [44,45].

# 2. Crystallization in different immiscible bio-based polyester blends

# 2.1 Poly (lactic acid) / poly (butylene succinate) blends

This section presents a short review on previous research about PLA/PBS blends in which the effect of addition of PBS on different properties of the PLA matrix is studied with the aim of improving mechanical properties, gas barrier behavior etc. PLA is a biobased polyester that has high rigidity, biocompatibility and biodegradability. However, it presents slow crystallization and brittleness. PBS on the other hand, is a biodegradable polyester with good processability. The commonly observed thermal transitions of the two materials are summarized in the following. PLA partially crystallizes on cooling around  $100^{\circ}$ C and vitrifies at the glass transition temperature (Tg  $\approx 60^{\circ}$ C). Upon subsequent heating it might show cold crystallization above Tg (typically at  $\approx 100^{\circ}$ C) and melting with a peak temperature of  $\approx 170^{\circ}$ C. On the other hand PBS crystallizes on cooling at  $\approx 75^{\circ}$ C, has a low glass transition temperature ( $\approx -35^{\circ}$ C) and melts slightly above  $116^{\circ}$ C. We recall that for PLA the crystallization and melting temperatures are controlled by the relative contents of D- and L-isomer of lactide in the chain [46-48].

Several authors investigated the effect of cooling and heating rates, blend composition and addition of compatibilizers, nanoparticles and nucleating agents on the thermal and crystallization behavior of PLA/PBS blends [15,41,45,49-56]. Both non-isothermal with varying cooling rates and isothermal crystallization behavior were investigated, using differential scanning calorimetry (DSC) and polarized optical microscopy (PLOM). The main works performed on PLA/PBS based systems are schematically summarized in Table 1.

**Table 1.** Reported experimental works on PLA/PBS based blends, with emphasis on the aspects related to nucleation and crystallization.

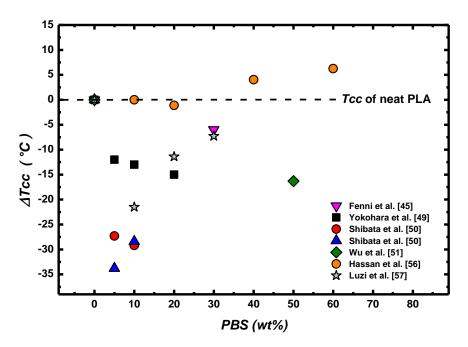
Sample	Publication	Composition	Crystallization	Tcc	Tc of	Tc of	Crystallization/nucleation	Ref
Sample	year	wt%	/nucleation	of	PLA	PBS	outcomes	eren
	<i>y</i>		conditions and	PLA	(°C)	(°C)	2000 2000	ce
			technics	(°C)	, ,	, ,		
PLLA/PBS	2006	Neat PLLA	Isothermal and 124.0 PBS accelerates		51			
		99/1	non-isothermal DSC at various	113.3	-	-	crystallization of PLA, but is less effective in melt crystallization.	
		95/5	cooling rates.	96.7	-	-	Xc increases with PBS content.	
		90/10		94.8	-	-		
PLLA/	2006	Neat PLLA	Non-isothermal	124.0	-	-	Molten PBSL enhances the isothermal	51
PBSL		99/1	DSC at various cooling rates;	102	-	-	and non-isothermal PLLA crystallization during the cooling	
		95/5	Isothermal DSC	90.2	-	-	process and accelerates Tcc during the	
		90/10		95.6	-	-	heating process. <i>Xc</i> was found to increase with the addition of PBSL.	
PLA/PBS	2008	0-20 wt% of PBS.	DSC and PLOM: cooling from 180°C at 2°C/min.	-	-	-	Addition of PBS accelerates the crystallization rate of PLA during both cooling and heating scans and the PBS domains act as nuclei for PLA.	49
PLA/PBS/	2009	Neat PLA	DSC non-	122.4	-	-	The addition of PBS accelerates the	41
DCP		80/20	isothermal crystallization	-	-	-	cold crystallization of PLA, while addition of DCP hinders both PLA	
		80/20/0.05	PLOM isothermal	-	-	-	and PBS crystallization. PBS acts as a	
		80/20/0.1	crystallization X-Ray Diffraction	120.1	-	-	nucleating agent for PLA but the effect is reduced after reaction with	
		80/20/0.15	A-Kay Diffraction	122.6	-	-	DCP.	
		80/20/0.2		124.2	-	-		
PLLA/PBS	2012	Neat PLLA	DSC non- isothermal	132.5	-	-	Presence of PBS accelerates remarkably the cold crystallization of	52
		Neat PBS	crystallization	116.2	-	83.8	PLA, but no effect was recorded	
		50/50	•	-	-	84.4	during the cooling process from the	
		20/80		-	-	84.7	melt. PLA domains does not affect the crystallization behavior of PBS.	
PLA/PBS/P LLA-g-MA And PLA/PBS/P BS-g-MA	2014	0-100 wt% of PBS. With 0-4 wt% of PLLA-g-MA or PBS-g- MA	Non-isothermal DSC	-	-	-	PBS enhances the cold crystallization of PLA. The size and number of the dispersed PBS particles (droplets) has a significant influence on the crystallization rate of PLA (the smallest and highest number of dispersed PBS droplets resulted in higher nucleation effect). Addition of compatibilizer produced additional increases in the crystallinity of the blend.	53
PLA/PBS/	2014	90/10	Non-isothermal	95.7	-	-	DSC analysis showed that addition of	54
TiO2		90/10/1	DSC	96.8	-	-	TiO <sub>2</sub> promoted the crystallization of PLA. PBS has lower nucleating effect	
nanoparticl es		90/10/2		98.4	-	-	as compared with TiO <sub>2</sub> .	
		90/10/3		107.9	-	-		
		90/10/5		94.7	-	-		
PLA/PBS	2015	0-100 wt% of PBS	DSC and PLOM non-isothermal crystallization at a scan rate of 10°C/min.	1	-	-	After blending, crystallinity of both PLA and PBS increased. Addition of 20 wt% of PBS gave the largest increase in the crystallinity of PLA	15
PLA/PBS/ rPBSL	2016	80/20 wt% with 0-5 phr of rPBSL	DSC non- isothermal crystallization PLOM isothermal crystallization at 80 °C.	-	-	-	Addition of rPBSL to 80/20 PLA/PBS blend affects nucleation and crystallization, since the compound acts as a nucleating agent and plasticizer.	50

PLA/PBS/ DCP/PBS- g-CNC	2016	70/70 wt% with DCP/PBS-g- CNC = 0/0, 0/2, 0.2/0, 0.2/0.5, 0.2/1 and 0.2/2.	Non-isothermal DSC, WAXD	-	-	-	DCP and PBS-g-CNC have a strong contribution to the formation of PLA α form and low effect on PBS crystallization. In addition, PBS-g-CNC increases the crystallinity degree of the PLA/PBS system and affects the crystal size of both PLA and PBS. Addition of DCP restricts the crystallization.	55
PLLA/PBS /GO	2018	Neat PLLA Neat PBS 70/30 70/30/0.1 70/30/0.3 70/30/0.5	DSC in isothermal and non- isothermal conditions	97 - 91 - -	101.6 - 105.2 103.7 105.5 105.5	- 77 73 92 92 92	In the neat blend, fractionated crystallization of PBS phase and slight increase in Tc of PLLA was observed Addition of GOs enhance the crystallization rate of both PLA and PBS.	45

In the case of PLA/PBS blends, the crystallization and melting temperatures of the two polymers in the blends remains in the same range of that of the pure components, confirming the immiscibility between the two polyesters. The melting processes are sufficiently apart to be distinguished upon heating.

The crystallization rate of PLA is rather slow, so that often the structuring process is not completed during cooling, and an exothermic cold-crystallization peak is usually observed during the second heating scan. Several works have reported an acceleration of PLA cold crystallization rate by the addition of PBS [15,41,45,49,51-55]. Figure 6 presents a collection of the reported cold-crystallization temperatures of PLA in its blends with PBS, as a function of PBS content. The data has been normalized by using the difference between the cold-crystallization temperature of neat PLA and of the PLA component in the blend. The more negative the value of  $\Delta T_{cc}$  is, the larger the nucleation effect upon heating from the glassy state.

Notwithstanding the differences in the absolute values of cold-crystallization temperatures, which might attributed to material (molar mass, D-lactide content) or measurement (heating rate) parameters, a clear shift of the cold-crystallization events towards lower temperature can be appreciated, especially upon the addition of a minor content of PBS (in the range 1-30 wt%). The largest nucleation effect can be approximately found for PBS content between 5 and 15 wt%. This is interpreted as the result of a decrease in the PBS droplets diameter, which lead to an increase in the PLA/PBS interfacial area.



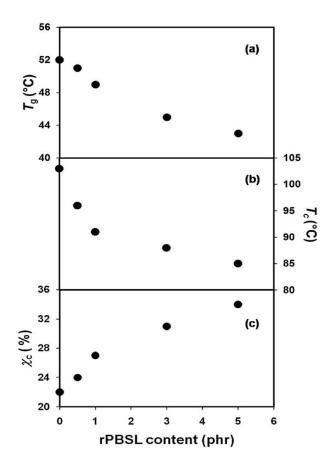
**Figure 6:** Cold crystallization of PLA as a function of PBS content in different PLA/PBS blends reported in literature.

Although several works attributed this change in cold-crystallization rate to a certain miscibility between PLLA and PBS [50,58] at least on a local scale, this could not be sustained by any meaningful change in the glass transitions or morphology, while the growth rate is mostly unexplored. More probably, the enhancement of PLLA cold-crystallization can be well described as a heterogeneous nucleation phenomenon at the interface between the two polymer phases, thanks to the PBS crystals formed upon cooling. The possibility of impurities transfer between two melts cannot be ruled-out, but is not required to account for the observations.

On the other hand, only a limited number of works reported the effect of molten PBS in nucleating PLA during the cooling process or melt-crystallization [15,45,49,51]. For example, Yokohara and Yamaguchi [49] found that the addition of small amount of PBS largely increases the number of PLA nuclei, as observed by PLOM, and even enables the crystallization of the polymer during cooling at around 90 °C. It should be noted that the melt crystallization of PLA necessarily occurs in a temperature range in which PBS is in the molten state. Therefore, the lower nucleating efficiency of the liquid-liquid contact surface could be expected.

Few studies have paid attention to the effect of the presence of PLA on PBS phase crystallization. Deng and Thomas [15] reported that blending PLA and PBS resulted in an increase in PBS crystallinity degree, which was tentatively attributed to a nucleating effect of

PLA crystals. Differently, Hassan et al. [57], reported a decrease in the degree of crystallinity of PBS when it constitutes the minority phase in blends with PLA, as a consequence of fractionated crystallization and hindrance to the crystallization process exerted by the solidified PLA matrix. Fenni et al. [45], also reported the appearance of fractionated crystallization of PBS phase and a large slowing down of its crystallization kinetics compared to pure PBS in PLA/PBS 70/30 wt% immiscible blend.



**Figure 7:** Glass transition (a), cold-crystallization temperature (b) and crystallinity (c) of PLA in PLA/PBS (80/20) blends with different contents of rPBSL [50].

Lastly, the effect of compatibilization, according to different strategies, on PLA/PBS blend crystallization can be analyzed. Wang et al. [41] employed dicumyl peroxide (DCP) with a content of 0-0.2 wt% to compatibilize an 80/20 wt% PLA/PBS blend. In the neat blend, PBS acted as nucleating agent for PLA, both during the heating process or during isothermal conditions below its melting point. A reduction in PBS crystallization and PLLA cold crystallization ability with increase of DCP content was observed, and attributed to the increase in the viscosity of system. However, the interruption of crystallizable chain sequences was not considered. Also, the decrease in PBS crystallinity could be related to the

decrease in domains size with increase of DCP content and the appearance of fractionated crystallization phenomena.

Supthanyakul et al. [50], used a random poly (butylene succinate-*ran*-lactic acid) (rPBSL) copolymer as compatibilizer between PLA and PBS 80/20 wt% blends. The random copolymer was partially miscible with PLA, as deduced from the plasticization effect, with the glass transition temperature dropping about 10 °C for 5 phr of rPBSL (see Figure 7a). The enhanced PLA mobility favored cold crystallization, which occurred much earlier on heating and lead to higher crystallinity (Figure 7b and 7c). The interpretation of the accelerated crystallization to molecular mobility is supported by the measured increased in PLA growth rate, and decrease in spherulite induction time with increasing rPBSL content.

# 2.2. Poly (lactic acid) / poly (ε-caprolactone) blends

PLA/PCL immiscible blends have been extensively investigated, given the possible attractive combination of properties resulting from the mixture of these two components. PCL is a biodegradable polyester with a very flexible chain, characterized by a low glass transition temperature ( $\approx$  -60°C), although the melting and crystallization also occur in the low-temperature range at around 60 and 30°C, respectively. In this section, the main works dealing with the crystallization behavior of these blends, including the effect of composition and additives, are summarized [16,31,32,36,38,59-78]. Table 2 provides a compendium of the related studies.

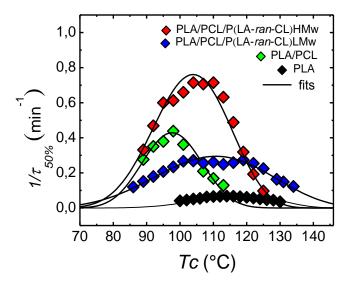
**Table 2.** Main experimental works on PLA/PCL based blend, with emphasis on the aspects related to crystallization and nucleation.

Sample	Publication	Composition	Crystallization	Tcc	Tc of	Tc of	Crystallization/nucleation	Ref
	year	wt%	/nucleation	of	PLA	PCL	outcomes	eren
			conditions and	PLA	(°C)	(°C)		ce
			technics	(°C)				
PLA/PCL/	2001	Neat PLA	PLOM isothermal	115	-	-	Addition of PCL promotes the	59
PLLA- PCL-PLLA		90/10	crystallization; Isothermal and	100	-	-	crystallization of PLA from the glassy state regardless of PCL and PLLA-	
I CL-I LLA		00/00	non-isothermal	100	-	-	PCL-PLLA content. The PLLA	
		70/30	70/30 DSC	100	-	-	spherulites growth rate kept constant in all compositions.	
PDLA/PCL	2006	0-100 wt% of PCL	DSC non- isothermal crystallization PLOM isothermal measurements	-	-	-	The crystallization of PDLA was enhanced by PCL resulting in an increase of PDLA <i>Xc</i> in the 80/20 PDLA/PCL.	63

PLA/PCL	2010	Most DL A	DSC non-	109	_	_	40/60 PLA/PCL blend: Increase in	4.4
/talc	2010	Neat PLA	isothermal	-	_	30	PCL $T_c$ during cooling process and slight decrease in PLA $T_{cc}$ during subsequent heating process. Addition of talc results in remarkable increase in both PCL and PLA crystallization	44
		Neat PCL	crystallization	106		39		
		40/60			110	36		
		70/30/1		-	-	-		
		Neat PCL		102.1	_	_	temperature.	
		80/20		102.1	_			
		50/50		103.9		-		
		20/80		121.2	-	-		
		80/20			-	-		
		80/20/2.5		114.4	-	-		
		80/20/7.5		98.1	-	-		
PLA/PCL/	2013	Neat PLA	DSC non-	126.8	-	-	Addition of PCL accelerates the cold	60
EC-bp		Neat PCL	isothermal crystallization.	-	-	-	crystallization of PLA and Xc increases.	
		90/10	WAXD	121.6	-	-	EC-bp plays the role of cross-linking	
		80/20		119.6	-	-	agent, thus decreasing the	
		70/30		115.5	-	-	crystallization rate of PLA.	
		70/30/0.5		121	-	-		
		70/30/1		124.2	-	-		
		70/30/2		128.7	-	-		
		90/10		90.52	-	-		
		80/20		90.09	-	-		
	1	70/30		90.39	-	-		
PLA/PCL/	2014	70/30 wt%	DSC non-	-	-	-	The addition of PCL and	61
POSS		with 2% of	isothermal crystallization				Octaisobutyl-POSS results in slight	
		different	crystamzation				changes in PLA crystallization. The presence of POSS limits PCL	
		kind of					crystallization.	
		POSS					-	
PLA/PCL/ TiO <sub>2</sub>	2015	30-70 wt%	DSC non- isothermal	-	-	-	PCL crystallinity kept constant and do not change in the blends (despite the	62
1102		of PCL with	crystallization				presence of PLA, TiO <sub>2</sub> or	
		1-5 wt% of	, , , , , , , , , , , , , , , , , , ,				PLA+TiO <sub>2</sub> ).	
DI A /DCI /	2015	TiO <sub>2</sub> .	DLOM: 4 1	120.1			E c' t l t ll' t' C DCI	20
PLA/PCL/ P(LA-ran-	2016	Neat PLA	PLOM isothermal crystallization of	128.1	-	-	Fractionated crystallization of PCL phase, acceleration of PLA cold-	38
CL)LMw		Neat PCL	PLA,	100.5/1	-	25.9	crystallization. The presence of	
		80/20	Isothermal and	100.5/1 54	-	15.8/3 2.1	copolymers causes an enhancement in	
		78.4/19.6/2	non-isothermal DSC analysis.	96.3/15	110.8	15.3/3	the crystallization rate of both polymers	
		76.4/19.0/2	DSC analysis.	1.5	110.0	1.6	polymers	
PLA/PCL/	2016	78.4/19.6/2		93.4/15	95.8	34.7		
P(LA-ran-				2.2				
CL)HMw								
PLA/PCL	2016	Neat PLA	DSC non-	129	-	-	Fractionated crystallization of PCL	64
	2010	Neat PCL	isothermal	-	-	-	phase has been observed in 70/30	0.
		70/30	crystallization	125	-	-	PLA/PCL. Pure PLA is amorphous and the addition of PCL results in an	
		50/50		124	-	-	increase of the PLA cold	
		30/70		122	-	-	crystallization and $Xc$ .	
PLA/PCL	2016	0-100 wt%	DSC non-	-	-	-	Addition of PCL resulted in (i) large	66
	2010	of PCL using	isothermal				increase in the nucleation density and	
		three	crystallization				(ii) faster PLA cold crystallization	
		different					kinetics. PCL crystallinity decreased with the increase in PLA content.	
		PLA grade		]	]	1		
PLA/PCL/	2017	2017 Neat PLA Isothermal		129.1	_	-	Fractionated crystallization of PCL	16
PLA-b-PC		Neat PCL	non-isothermal	110.8	_	28.8	phase (20 wt%) during the cooling	
		80/20	DSC, PLOM isothermal	-		21.7/3	scan, and accelerated cold crystallization of PLA phase due to	
		00/20	analysis of PLA	] -	-	1.8	the nucleation effect of PCL (molten)	
		80/20/2	crystallization	_	119.2	4.6/23	droplets on glassy PLA.	
		00,20,2		_		.1		
PLA/PCL/	2017	80/20/2		_	93 .1	12/19.		
PC				]	]	7		
								<u> </u>

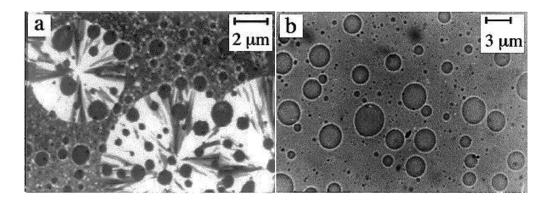
PLA/PCL	2018	0-50 wt% of PCL	DSC non- isothermal crystallization; DSC and PLOM isothermal	-	-	-	Overall isothermal crystallization kinetics revealed that the presence of 20-40 wt% of PLA enhances the crystallization ability of PCL. Growth rate of PCL found to be independent of PLA content.	65
			crystallization of PCL				of PLA content.	

The crystallization of PLA as a major component in PLA/PCL blends will be considered first. A clear acceleration of PLA overall crystallization rate in the presence of 20 wt% PCL has been reported [16]. For example, the time to complete crystallization at 120 °C is 1.5 min in the blend, with respect to 8 minutes in the pure PLA. A faster overall crystallization is consistently found for neat and compatibilized blends in a wider temperature range, as shown in Figure 8 [38]. Considering the results of neat PLA and 80/20 uncompatibilized PLA/PCL blend, we can notice, beside the increase of the overall crystallization rate, a shift of the maximum rate towards lower crystallization temperature and a substantial narrowing of the bell-shaped curve.



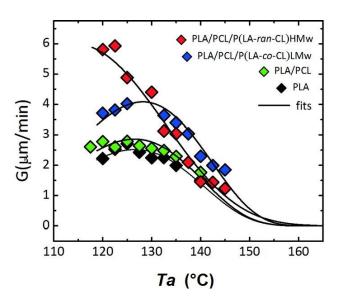
**Figure 8.** Overall crystallization rate  $(1/\tau_{50\%})$  as a function of isothermal crystallization temperature Tc in neat PLA and blends with PCL 80/20. Results for blends compatibilized with Poly(lactide-ran-caprolactone) (P(LA-ran-CL)) of different molecular weights are also included. The solid lines represent a guide to the eye [38].

In order to account for the change in the temperature dependence of the overall crystallization rate (shape of the curve in Figure 8), the effect of PCL on the different stages of the crystallization process, i.e., primary nucleation and growth, should be considered. Given the immiscibility of the polymers, a change in the growth rate of PLA by blending is not expected. In Figure 9, we can see optical micrographs of 80/20 PLLA/PCL blend at 125°C (Figure 9a), and in the molten state (Figure 9b) [59].



**Figure 9:** Optical micrographs of 80/20 PLLA/PCL blend (a) at 125°C and (b) in the melt state. Adapted from [59].

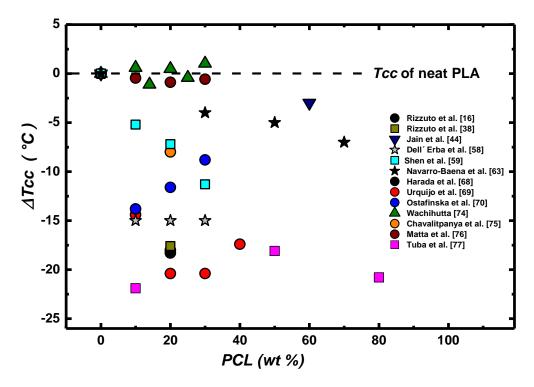
The phase separation is evident, and the PCL droplets, which are molten at 125°C, are not interfering with the growth of PLA spherulites, which simply proceed with their engulfment. The invariance of PLA growth rate between neat polymer and uncompatibilized PLA/PCL blend was quantitatively confirmed, as shown in Figure 10 [38]. No meaningful changes in the PLA spherulites growth rate (G) can be detected in the blend, in the whole crystallization temperature range, unless compatibilizing copolymers were added to the systems.



**Figure 10.** Spherulitic growth rate G as a function of isothermal crystallization temperature (Tc) for neat PLA and 80/20 PLA/PCL blends, with or without P(LA-ran-CL) copolymers as compatibilizing agents. The solid lines are a guide to the eye [38].

Therefore, it seems apparent that the increase in PLA overall crystallization rate with the presence of PCL (Figure 8) can be explained only as a nucleation effect, either by the interface between the molten polymers of by some heterogeneity transferred to the PLA phase from the PCL bulk during the mixing process.

Similarly to the case of PLA/PBS blends, a distinct effect of PCL on the crystallization of PLA from the glassy state in their immiscible blend has also been extensively reported. [38,44,59,60,62,66,69-71]. A literature-based collection of PLA cold-crystallization temperatures as a function of PCL content is presented in Figure 11, according to the same normalization method employed in Figure 6. Despite differences among the systems are large, depending on the specific polymer grade, a clear reduction of  $\Delta Tcc$  values is observed when PCL is added to PLA. While the trend as a function of composition is not so clear, the nucleating effect of the PCL component on PLA, for most values reported in the literature, is pronounced for most systems.

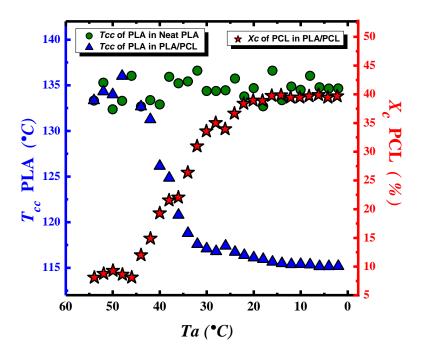


**Figure 11:** Cold crystallization temperature of PLA, as a function of PCL content in different PLA/PCL blends reported in literature.

It should be noted, however, that a fundamental difference exists between PCL and PBS, at the typical temperatures of PLA cold-crystallization. Indeed, while in PLA/PBS immiscible blend the de-vitrified PLA is in contact with semicrystalline PBS droplets, in the case of PLA/PCL blend, the nucleating effect might originate from a molten PCL phase. Alternatively, it could be hypothesized that nucleation of PLA occurs during the cooling stage, upon PCL crystallization, even though the PLA matrix is already in the glassy state (at

 $T_{c,PCL}$ ). Note that this possibility is not contemplated for PLA/PBS blend, since poly(butylene succinate crystallizes above the glass transition of polylactide. In order to better understand the peculiar nucleation mechanism upon cold-crystallization of PLA in its immiscible blend with PCL, a purposely designed thermal history has been applied by Müller et al. [16].

According to this protocol, the samples were quenched below  $T_{g,PLA}$ , and annealed at progressively lower temperatures for a fixed time, before re-heating to measure PLA cold-crystallization temperature and PCL crystallinity (by its melting enthalpy). The relevant results are reported in Figure 12 [16].

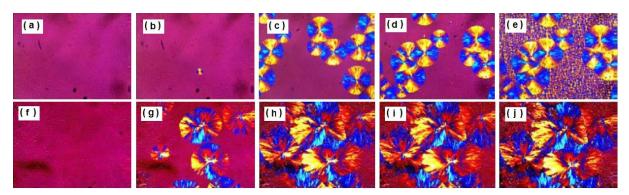


**Figure 12.** Cold-crystallization temperature of PLA ( $T_{cc}$ ) and crystallinity degree of PCL (Xc) as a function the annealing temperature Ta. Data related to neat PLA and PLA/PCL 80/20 blend are shown [16].

Crystallization of PCL occurs in a rather broad temperature range, from about 45 to 20 °C. Concomitantly with the increase of PCL crystallinity obtained by lowering the annealing temperature, the cold-crystallization temperature of PLA decreases smoothly from 135 to 115 °C, indicating a nucleation effect of the developing PCL crystals on the glassy PLA matrix. It should be noted that a similar acceleration of PLA cold-crystallization is not observed in pure PLA, when annealed for the same time in the same temperature range (see Figure 12). If the blend is compatibilized, resulting in smaller PCL droplets and higher PLA/PCL interfacial area, a higher nucleating efficiency of PCL crystals on PLA cold-crystallization can be found

[16]. Although is now well established that PLA can nucleate at temperatures below its glass transition [79,80], despite the extremely low mobility, the presence of PCL crystals seems to accelerate this process. The exact mechanism of nucleation in this peculiar situation has not been established, but a role of the stresses developing at the interface between the two polymers, upon PCL crystallization, can be speculated.

The effect of the addition of a third polymeric component to PLA/PCL immiscible blend on the crystallization of PLA was reported in a number of works [16,38,59,75,76]. In general, an acceleration of PLA crystallization kinetics can be observed, although the exact origin of this effect depends on the balance between the miscibility of the additive with the PLA matrix and its compatibilizing action.

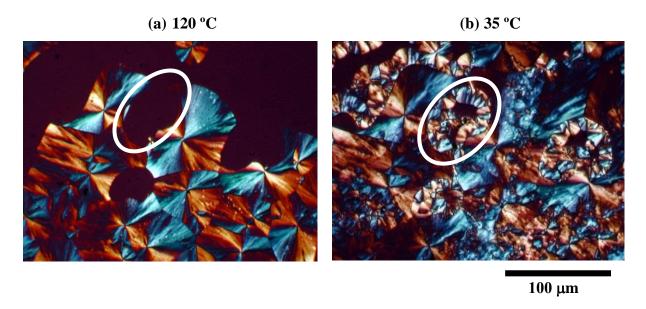


**Figure 13**: PLOM images during crystallization of 85/15 PLLA/PCL blends either neat (a-e) or with 5wt% Pluronic copolymer (f-j). Pictures are taken during stepwise crystallization at (a, f) 141°C, 0 min; (b,g) and (g) 141°C, 30 min; (c) and (h) 141°C, 90 min; (d,i) 127°C and (e,j) 37°C [75].

Rizzuto et al. [16] have investigated the crystallization behavior of PLA/PCL 80/20 wt% with the addition of 2 wt% of poly(L-lactide-block-carbonate) copolymers with different compositions. A large effect on the morphology, with the formation of sub-micron PCL droplets in the best case, was detected, together with a minor decrease in PLA glass transition temperature. Given that PLA spherulitic growth rate was not affected, the measured enhancement of overall crystallization kinetics with respect to the neat blend in the presence of the block copolymer was attributed to a nucleating effect of the PCL interfaces. On the other hand, when the added third polymer is partially miscible, plasticization effects can arise, causing higher PLA chain mobility and faster crystal growth rates. This is the case for instance of the already mentioned P(LA-ran-CL) copolymers [38] (see Figure 10) or Pluronic (PEG-PPG-PEG triblock copolymers) [75]. In this latter system, despite the block chain architecture, a partial miscibility exists, and it results in a faster PLA cold and melt-

crystallization. Figure 13 indeed shows that, upon cooling a 85/15 PLA/PCL blend, larger spherulites develop when few percent of Pluronic block copolymer is added.

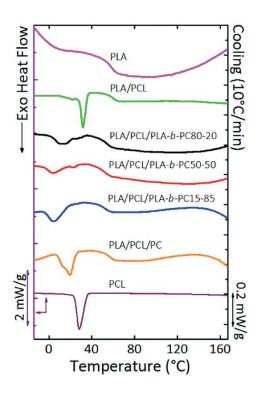
A relatively small number of works reported on the crystallization of the PCL components of immiscible PLLA/PCL blends. Opposite effects are observed, depending on the specific morphology. Few studies [38,44,65] have reported an enhancement of PCL crystallization during cooling upon the addition of PLA. This is a consequence of the nucleation at the interface with the previously crystallized PLA phase, as shown in the PLOM micrographs of Figure 14. A 32/68 PLLA/PCL immiscible blend is first crystallized at 120°C and subsequently cooled to 35°C. At 120°C (Figure 14a), only PLA is able to crystallize, whereas the PCL is molten and dispersed in between the PLA spherulites (see as an example the white oval marker in Figure 14a, where molten PCL is shown). By quenching to 35°C (Figure 14b), the PCL crystallization start clearly at the interface with crystalline PLA, developing a transcrystalline morphology clearly visible within the white oval region depicted [46].



**Figure 14:** Optical micrographs of 32/68 PLLA/PCL immiscible blends during crystallization (a) at 120°C, and (b) at 35°C. Figure adapted from ref. [46].

On the other hand, when PCL is the minority phase dispersed in small domains within the PLA matrix, fractionated crystallization and a decrease in PCL crystallinity was found [16,38,61,64,78]. As an example, the DSC cooling traces of PLA, PCL and 80/20 PLLA/PCL blends containing different kind of compatibilizing agents poly(L-lactide-block-carbonate) (PLA-b-PC) are shown in Figure 15. In neat PLA/PCL blends, two different populations of

droplets are present. The majority of them crystallize at the same temperature as bulk PCL (32°C), indicating that they still contain most of the heterogeneities present in the original PCL sample. A minority of PCL droplets contains less efficient nucleating impurities, and solidifies about 10 °C lower. Upon addition of PLA-b-PC compatibilizer, droplet size is decreased and the larger fraction of droplets crystallizes at even lower temperatures, possibly nucleated at the interface with glassy PLA [16].

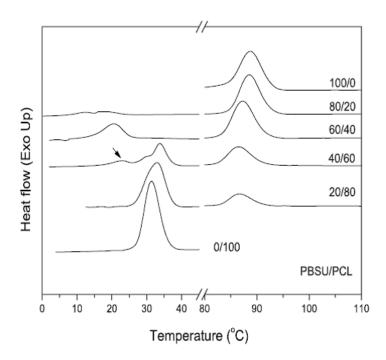


**Figure 15.** DSC cooling curves at 10 °C/min, of neat PLA, neat PCL, PLA/PCL blends, and PLA/PCL/compatibilizer blends. Adapted from [16].

## 2.3 Poly (butylene succinate) / Poly (e-caprolactone) immiscible blends

Blends of poly(butylene succinate) and poly( $\epsilon$ -caprolactone) are interesting because of the good mechanical properties shown by the two parent homopolymers, both of which are constituted by flexible chains. This notwithstanding, only very few works focused on the study of PBS/PCL blends, either neat or compatibilized. [81-83]

Qiu et al. [82] explored the effect of composition on crystallization and melting behavior of PBS/PCL blends. Figure 16 shows the DSC cooling curves of all samples at a cooling rate of 5°C/min.



**Figure 16:** Non-isothermal crystallization from the melt of PBS/PCL blends with different compositions at a cooling rate of 5 °C/min [82].

On the PBS-rich part of the composition range, no significant effect of PCL addition on the crystallization of the major component was noticed. On the other hand, a minor content (<40 wt%) of PBS causes at first the increase in PCL crystallization rate, i.e., an upward shift of the crystallization peak temperature. The same effect was reported for an 80/20 PBS/PCL blend, with an increase of  $T_{c,PCL}$  of about 10 °C and the invariance of  $T_{c,PBS}$  [82]. The enhanced PCL crystallization was attributed to a nucleation effect of the interfaces with previously crystallized PBS. When PCL becomes the minority phase, a large depression of the crystallization temperature is observed and multiple crystallization events, i.e., fractionated crystallization, is also evident. Fractionated crystallization is particularly clear in the 60/40 PBS/PCL blend, [82] which is an unusual composition for the phenomenon. However, a detailed morphological analysis that could explain the observation has not been carried on.

Fractionated crystallization and finer dispersion of PCL phase at lower contents is also associated with a substantial decrease of its crystallinity, indicating a hindrance of the crystallized matrix on PCL structuring.

# 2.4. Blends of poly (hydroxybutyrate) and other bio-based polyesters

Poly(3-hydroxybutyrate) (PHB) is a biodegradable thermoplastic polyester which can be obtained via a biotechnological process by means of selected bacteria. PHB is a highly crystalline polymer with high stiffness, and a melting and glass transition temperatures of around 170 and 5  $^{\circ}$ C, respectively.

Blends of PHB with PLA have been investigated, with the aims of reducing PHB crystallinity (detrimental for some applications) on one side; and improve the properties of PLA (e.g., gas barrier properties for food packaging application) on the other side. The degree of compatibility between the PLA and PHB in their blends affects the crystallization behavior of the two components [43,62,84-97]. This section presents a summary of previous research on PLA/PHB blends in which the authors discussed, at least in part, the crystallization behavior. The results are summarized in Table 4.

**Table 4.** Main experimental works on PHB/PLA blends, with emphasis on nucleation and crystallization.

Sample	Publication	Composition	Crystallization	Tcc	Tc of	Tc of	Crystallization/nucleation	Ref
	year	wt%	/nucleation	of	PLA	PHB	outcomes	eren
			conditions and	PLA	(°C)	(°C)		ce
DY A (DYYD	2005	50/50	technics	(°C)				
PLA/PHB	2006	50/50	FTIR spectroscopy;	-	-	-	Crystallization mechanism of PLA not affected by PHB presence, but	84
			stepwise				kinetics is retarded. Possible dilution	
			isothermal				effect. PHB crystallization rate after	
			crystallization of				solidification of PLLA is depressed	
			the two polymers				due to segregation/confinement of the	
							polymer in the interfibrilar or interlamellar region of PLA	
							spherulites.	
PLA/PHB	2011	0-100 wt%	PLOM;	-	-	-	PHB acts as a nucleating agent for	91
		of PHB	DSC non-				PLA, leading to an enhancement in	
			isothermal crystallization;				PLA cold-crystallization rate and crystallinity.	
			WAXD;				The crystallization rate of PHB during	
			FTIR				cooling is also accelerated.	
PLA/PHB/	2012	Neat PLA	DSC non-	115	-	-	No meaningful effect of PHB on the	96
Lapol		75/25 75/25/7	isothermal crystallization;	115 120	-	-	crystallization behavior of PLA. The addition of Lapol (plasticizer)	
		15/25/1	XRD	120	-	-	increases PLA crystallinity.	
PLA/PHB/t	2013	90/10	DSC non-	86.3	-	-	PHB acted as a nucleating agent for	43
alc		90/10/0.5	isothermal and	86.2	-	-	PLA. Further increases in PLA	
		90/10/1 90/10/2	isothermal crystallization;	76.5 83.3	-	-	crystallization kinetics was found by addition of talc.	
		90/10/2	FT-IR; PLOM.	76.6	-	-	PLOM revealed that addition of 10	
		90/10/3		70.0	_	_	wt% PHB resulted in large increase in	
							PLA nucleation density. A further	
							increase in PLA nucleation density was found with the addition of talc.	
							PHB crystallization was inhibited by	
							the presence of PLA and talc.	
PLA/PHB/	2014	Neat PLA	XRD	82.5	-	-	Addition of PHB, CNC or CNCs	93
CNC		75/25	F-TIR,	66.4	-	-	resulted in a faster PLA cold	
PLA/PHB/	2014	71.25/23.75/5 71.25/23.75/5	DSC non- isothermal	70.9 72.1	-	-	crystallization rate. While addition of binary PHB/CNC or PHB/CNCs	
CNCs	2014	11.23/23.13/3	crystallization	/ 2.1	-	-	resulted in increase of $T_{cc}$ of PLA due	
			_				to lower chain mobility.	
PLA/PHB/	2014	Neat PLA	DSC non-	118.1	-	-	Addition of PHB result in lowering	94
ATBC/CA		75/25/0/0	isothermal	130	-	-	the crystallization rate of PLA thus	
T		63.6/21.2/15/0 71.1/23.7/0/5	crystallization; XRD analysis.	106.3 150	-	-	the PLA $T_{cc}$ shifted to higher temperatures. PLA $T_{cc}$ decreases upon	
		60/20/15/5	Title unuryono.	126	-	-	addition of 15 wt% of ATBC due to	
		00/20/13/3		120	_	_	its plasticizing effect.	
							Presence of catechin increased PLA	

							$T_{cc}$ , due to specific intermolecular interactions.	
PLA/PHB/	2014	Neat PLA	DSC non-	123.3	-	-	After blending, PHB play the role of	95
LIM		75/25	isothermal	96.9	-	-	nucleating agent for PLA. Further	, -
		63.75/21.25/15	crystallization;	77.4	-	-	increase in PLA crystallinity was	
			PLOM;				found in PLA/PHB/LIM blend due to	
			FT-IR				the plasticizing effect of LIM.	
PLA/PHB	2015	30-70 wt%	DSC non-	-	-	-	Addition of PHBV resulted in a faster	62
V/ TiO2		of PHBV	isothermal				cold crystallization of PLA due to a	
		with 1-5	crystallization				plasticizing effect, which provides	
		-					more mobility to PLA.	
		wt% of						
		$TiO_2$ .						
PLA/PHB/	2015	Neat PLA	XRD; FT-IR;	82.5	1	-	PHB works as a nucleating agent for	92
ATBC/		75/25	DSC non-	66.4	-	-	the PLA phase.	-
CNC		63.75/21.25/15	isothermal	75.5	-	-	CNCs and ATBC have a synergic	
		60/20/15/5	crystallization	67.2	-	-	effect on PLA crystallization.	
		60/20/15/5		95.8	-	-		

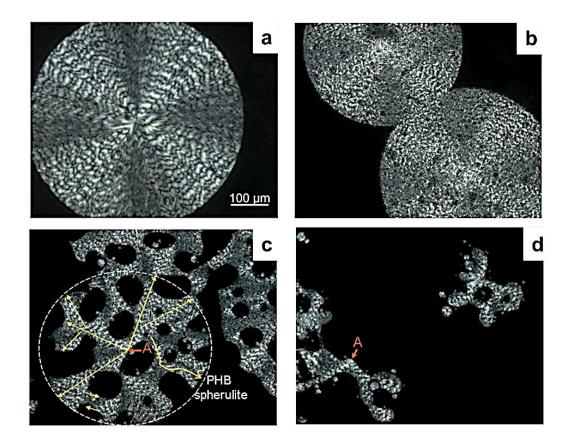
It should be noted that PLA/PHB can be miscible in the melt state if PLA of low molecular weight is employed [87,97]. Crystallization from a miscible melt can give rise to concomitant formation of PHB and PLA crystals at the same isothermal crystallization temperature and with similar kinetics [87]. Each phase crystallizes in a distinct type of spherulite, which interpenetrate when their growth front meet, due to the continued growth of one kind of lamellae in the interlamellar region of the other spherulite [97].

In the case of immiscible high-molecular weight polymer, the effect of PHB addition on PLA crystallization is not so well studied. Isothermal melt-crystallization of the PLA phase in a PLA/PHB 90/10 wt% shows a large acceleration effect, with half crystallization times decreasing more than twice with respect to neat PLA. By means of PLOM measurement, the enhanced crystallization rate was correlated with an increase in PLA nucleation density [43]. On the other hand, Zhang et al. studied the isothermal crystallization of PLLA in the immiscible 50/50 PLLA/PHB blend, and observed a substantial depression of the crystallization rate [87]. This decrease in crystallization rate was attributed to a dilution effect, i.e., the PHB melt lowers the PLLA growth rate by reducing the amount of PLA chains in the growth front of the spherulite. Similarly, opposite effects of PHB on PLA cold-crystallization have been found. Several researchers reported an enhancement of the cold crystallization rate of PLA upon addition of small amounts of PHB [43,62,91-93]. In analogy, with what has been already discussed for PLA/PBS blends, the decrease of PLA T<sub>cc</sub> can be attributed to an interfacial nucleation effect on the crystalline PHB domains. On the contrary, Arrieta et al. [96] found that a 25 wt% of PHB in PLA/PHB blend caused a large increase in PLA coldcrystallization temperature from 118.1°C to 130°C. This peculiar effect was ascribed to the possible occurrence of transesterification reactions between PHB and PLA during the blending step.

Ternary systems containing immiscible PLA/PHB blend and various additives have also been investigated. Often, an acceleration in the crystallization rate of PLA is seen, typically due to (i) additional heterogeneous nucleation, for example from talc [43], cellulose nanocrystals (CNC) and surfactant modified cellulose nanocrystals (CNCs) [92,93]; and/or (ii) increase in the PLA chain mobility by a plasticizing effect of small soluble molecules such as acetyl (tributylcitrate) (ATBC) [92,94], and Limonene (LIM) [95]. Interestingly, a delayed cold-crystallization is observed for blends containing catechin, probably as a result of hydrogen bond formation with PLA chains [94].

Concerning the crystallization of the PHB phase in the presence of PLA as a major blend component, a strong depression of its kinetics - or even the complete suppression of crystallization in the adopted conditions - is always reported [43, 91]. These results have been generally interpreted as a confinement effect imposed by the crystalline PLA matrix, because upon PLA spherulitic crystallization at higher temperatures, the amorphous PHB chains are segregated to the interlamellar/interfibrilar regions of PLA superstructures [98,99]. Such intimate contact between the phases usually arises when some degree of partial miscibility exists between the chains in the melt state [100]

Few works have also investigated the phase behavior and crystallization of PHB blends with other biodegradable polymers, namely PBS and PCL [101-103]. Ma et al. [101] prepared PHB/PBS blends in the entire composition range, with the aim of improving the crystallizability of PHB by the addition of the second component. The blends were immiscible with typical sea-island morphology for asymmetric compositions and cocontinuous PHB/PBS phases at 50/50 wt%. Non isothermal crystallization revealed a clear increase in  $T_{c.PHB}$  of up to 30 °C with PBS content. The crystallization of PBS is instead depressed when the polymer is the minor component. Ma et al. interpreted this result as a consequence of confinement by the crystalline PHB matrix, but it could also be due to changes in nucleation induced by impurity transfer phenomena. The increase of PHB crystallization rate in the presence of PBS was also noticeable in isothermal conditions, with half crystallization times which decreased more than 5 times in the blends with respect to the neat polymer, independently from the composition. This acceleration of crystallization kinetics was attributed to a nucleation effect of the interfaces with molten PBS domains, as supported by PLOM measurements during isothermal crystallization above the PBS melting point (Figure 17).



**Figure 17:** Polarized light optical microscopy during crystallization of PHB/PBS blends with various composition at 120 °C. The PBS content in the blend is: a) 0 %; b) 30%; c) 50% and d) 70% by weight. The development of PHB spherulite in c) is indicated by the arrow and the nucleation point by the letter "A". Adapted from ref. [101].

It can be seen that neat PHB (Figure 17a) crystallized in banded spherulites with very low nucleation density. Upon addition of 30 wt% PBS, the morphology is still spherulitic with dark molten domains of PBS engulfed in it. When the PHB content in the blend becomes 50% or less, the crystals are forced to grow around the molten PBS domains, and the spherulitic structure becomes branched-like. However, the persistence of the banded motif allows one to identify the nucleation points (highlighted by the letter A in Figures 17 c,d), at the interface with molten PBS domains. Moreover, nucleation of smaller molten PHB domains by contact with crystallizing PHB in larger droplets was also observed. It should be noted that a small reduction of PHB growth rate is measured, and attributed to the hindrance of molten PBS to the crystal development, although a limited miscibility between PHB and PBS has also been suggested [102].

Polyhydroxybutyrate/poly(ε-caprolactone) exhibit a molecular weight dependent miscibility. Lovera et al. [103] investigated the crystallization, morphology, and degradation behavior of PHB/PCL blends upon varying PCL molecular weight.

PHB/high molecular weight PCL blend was found to be immiscible. Analysis of the crystallization behavior after blending revealed fractionated crystallization of the PCL minor phase, with a depression of crystallization temperature of around 30 °C. Blends with low molecular weight PCL were also biphasic, but the PHB-rich phase exhibited a partial miscibility with PCL, as inferred by the measured depression in the PHB melting and glass transition temperatures and by the increase in the spherulitic growth rate close to  $T_g$ . A decrease of PHB nucleation density in the partially miscible blend has also been reported, probably caused by impurity transfer between the two phases during blending.

#### 3. Conclusions and outlook

In this review, we have concentrated on immiscible and mostly double crystalline thermoplastic polyester blends. The nucleation and crystallization of these complex materials greatly depends on their morphology, as determined by their composition, processing conditions and thermal history.

As far as the nucleation of double crystalline polyester blends is concerned, the component that crystallizes at higher temperatures can nucleate on: (a) existing heterogeneities which were either present in the parent homopolymer or transferred during melt blending from the second blend component or (b) on the interface between the two molten components. Notice than in the second case, or case (b) above, no epitaxial mechanism can be invoked to explain the heterogeneous nucleation that has been clearly documented by PLOM and DSC. Hence other causes must be found that could be related to secondary interactions between the immiscible phases, interfacial free energy differences or other unknown factors that clearly need more future research.

If the blend above with two crystallizable components is further cooled, after the first blend component has already crystallized, then the second polymer can be nucleated by: (a) existing heterogeneities which were either present in the parent homopolymer or transferred during blending from the second melt component or (b) on the interface between the previously crystallized blend component and the melt of the second component. In this second case, epitaxial nucleation is a possibility. In many cases, nucleation effects of the previously

crystallized component on the second phase of the blend (that crystallizes at lower temperatures) have been reported. More peculiar effects like the nucleation of PCL droplets on glassy PLA matrices deserve more research in order to find how the nucleation can occur in the glassy state by interfacial contacts with crystalline polymeric droplets.

Another common occurrence is fractionated crystallization. This is a general process that has been found in many immiscible blends when a highly dispersed phase is produced and has been extensively studied and reviewed before, therefore it has not been the subject of extensive discussion in this review.

There are many more studies dealing with non-isothermal nucleation and non-isothermal crystallization of immiscible polyester blend components than isothermal studies. In the cases where the blends are immiscible, the spherulitic growth rates are clearly not affected, unless compatibilizers or plasticizers are employed. Hence, the overall isothermal crystallization kinetics of immiscible polyester blends is determined by the nucleation effects of one blend component on the other.

It is clear that future studies are needed in order to fully comprehend the solidification of these important bio-based and in many cases bio-degradable materials, as such understanding can clearly lead to tailoring their properties for applications where they can substitute traditional non-degradable plastic materials.

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