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Running head: No elevated CO<sub>2</sub> impact on woodland water-use

Elevated CO<sub>2</sub> did not affect the hydrological balance of a mature

native Eucalyptus woodland

Teresa E. Gimeno 1,2,3\*, Tim R. McVicar 4,5, Anthony P. OgGrady 6, David T. Tissue 2 and

David S. Ellsworth <sup>2</sup>

<sup>1</sup> INRA, UMR ISPA, F-33140, Villenave døOrnon, France

<sup>2</sup> Hawkesbury Institute for the Environment, Western Sydney University, Penrith, NSW 2751,

Australia

<sup>3</sup> Present address: Basque Centre for Climate Change (BC3), 48940, Leioa, Spain

<sup>4</sup> CSIRO Land and Water, GPO Box 1700, Acton, Canberra ACT 2601, Australia

<sup>5</sup> Australian Research Council Centre of Excellence for Climate System Science, Sydney,

**NSW** Australia

<sup>6</sup>CSIRO Land and Water, Private Bag 12, Hobart, TAS 7001, Australia

\* Corresponding author:

Teresa E. Gimeno

E-mail: teresa.gimeno@inra.fr

Phone: +33 (0) 5 57 12 27 63

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### **ABSTRACT**

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Elevated atmospheric  $CO_2$  concentration (e $C_a$ ) might reduce forest water-use, due to decreased transpiration, following partial stomatal closure, thus enhancing water-use efficiency and productivity at low water availability. If evapotranspiration  $(E_t)$  is reduced, it may subsequently increase soil water storage (S) or surface runoff (R) and drainage ( $D_g$ ), although these could be offset or even reversed by changes in vegetation structure, mainly increased leaf area index (L). To understand the effect of  $eC_a$  in a water-limited ecosystem, we tested whether two years of eC<sub>a</sub> (~40% increase) affected the hydrological partitioning in a mature water-limited *Eucalyptus* woodland exposed to Free-Air CO<sub>2</sub> Enrichment (FACE). This timeframe allowed us to evaluate whether physiological effects of  $eC_a$  reduced stand water-use irrespective of L, which was unaffected by  $eC_a$  in this timeframe. We hypothesized that e $C_a$  would reduce tree-canopy transpiration ( $E_{tree}$ ), but excess water from reduced  $E_{tree}$ would be lost via increased soil evaporation and understory transpiration ( $E_{floor}$ ) with no increase in S, R or  $D_g$ . We computed  $E_t$ , S, R and  $D_g$  from measurements of sapflow velocity, L, soil-water content  $(\theta)$ , understory micro-meteorology, throughfall and stemflow. We found that eC<sub>a</sub> did not affect  $E_{\text{tree}}$ ,  $E_{\text{floor}}$ , S or  $\theta$  at any depth (to 4.5 m) over the experimental period. We closed the water balance for dry seasons with no differences in the partitioning to R and  $D_{\rm g}$  between  $C_{\rm a}$  levels. Soil temperature and  $\theta$  were the main drivers of  $E_{\text{floor}}$  while vapour pressure deficit controlled  $E_{\text{tree}}$ , though e $C_{\text{a}}$  did not significantly affect any of these relationships. Our results suggest that in the short-term,  $eC_a$  does not significantly affect ecosystem water-use at this site. We conclude that water-savings under  $eC_a$  mediated by either direct effects on plant transpiration or by indirect effects via changes in L or soil moisture availability are unlikely in water-limited mature eucalypt woodlands.

### Introduction

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Rising atmospheric  $CO_2$  concentration ( $C_a$ ) directly affects several facets of plant physiology, with cascading effects on other biotic and abiotic ecosystem components (Field et al., 1995). At the leaf-level, increases in  $C_a$  above the present concentration often enhance photosynthesis, reduce transpiration, due to partially reduced stomatal conductance  $(g_s)$ , and thus increase water-use efficiency (De Kauwe et al., 2013, Keenan et al., 2013), though the ecosystem-level ramifications of these effects are still debated (Leuzinger & Körner, 2010, Donohue et al. 2017). In vegetated areas, evapotranspiration is a major contributor to ecosystem water balance (Zhang et al., 2016). At steady-state conditions, reduced transpiration under elevated C<sub>a</sub> (eC<sub>a</sub>) may lead to increased soil moisture (Leuzinger & Körner, 2007) and eventually an increase in the amount of precipitation running off the ground surface (R) and into groundwater stores (D<sub>g</sub>, Gedney et al., 2006, Zhang et al., 2001). Numerous modelling studies and retrospective analyses have ascribed observed increases in R or soil water storage (S) to rising  $C_a$  (Aston, 1984, Betts et al., 2007, Jackson et al., 1998, Macinnis-Ng et al., 2011). Yet, more recent studies highlight that these observations are strongly dependent on the vegetation type and climate (Cheng et al., 2014, Fatichi et al., 2016, Huntington, 2008, Leuzinger & Körner, 2010). However, these predictions rely mostly on retrospective analyses encompassing the increase in  $C_a$  from pre-industrial to current  $C_a$ levels (Betts et al., 2007, Gedney et al., 2006, Ukkola et al., 2016) and these might not necessary apply to further projected increases in  $C_a$  for the 21<sup>st</sup> century. Rising  $C_a$  also affects transpiration indirectly (Fatichi et al., 2016), as enhanced total or above-ground productivity would require more water to support more tissue produced in eC<sub>a</sub> (Ellsworth et al., 2012, Norby et al., 2005). Satellite observations and model predictions

indicate that rising  $C_a$  partly underlies the recent global increase in woody biomass and

greenness (Zhu *et al.*, 2016), particularly in water-limited regions (Donohue *et al.*, 2009). Increased greenness due to present-day  $CO_2$ -fertilization results from greater leaf area per unit of ground area (L, McCarthy *et al.*, 2007, Cheng *et al.*, 2017), which increases transpiration surface area per unit of ground area (Macinnis-Ng *et al.*, 2011). Such an effect may offset or even override potential leaf-level reductions in transpiration. Additionally, increased radiative forcing due to climate change would further offset the potential impacts of reduced stomatal conductance under  $eC_a$  (Ukkola *et al.*, 2016, Cheng *et al.* 2014). Indeed, under  $eC_a$ , Donohue *et al.* (2017) predict no effective ecosystem-level water-savings in either water-limited sites, where increased L offsets leaf-level water-savings, or in so-called 'energy-limitedø sites (cf. Zhang *et al.*, 2001), where little or no change of leaf-level transpiration is expected and where L is already maximised (Yang *et al.*, 2016b). Alternatively, in sub-humid and semi-arid river basins, increased greenness due to  $eC_a$  could increase ecosystem-level water-use and reduce streamflow (Trancoso *et al.*, 2017, Ukkola *et al.*, 2016).

In addition to the impact on transpiration, increased L indirectly alters ecosystem water-use by increasing the evaporative losses due to greater partitioning of incoming precipitation into interception ( $E_i$ , Kergoat, 1998), although this might not be the case in forests with vertically-angled leaves where increased L is unlikely to contribute to greater throughfall (Crockford & Richardson, 2000). Additionally, increased foliage shading decreases the amount of radiation reaching the ground surface, thus decreasing understorey transpiration and soil evaporation (Crockford & Richardson, 2000, Raz-Yaseef *et al.*, 2010). Ultimately, the contribution of these later components will be strongly determined by the amount and characteristics of the precipitation events and the dynamics of atmospheric evaporative demand.

Predictions of the impact of eCa on forest hydrology are largely derived from leaflevel studies (Field et al., 1995, Gimeno et al., 2016), models (Betts et al., 2007) and retrospective analyses (Yang et al., 2016b), with additional insights from Free-Air CO<sub>2</sub> Enrichment (FACE) experiments conducted in forests (Donohue et al., 2017). Some of these FACE studies found partial reductions in g<sub>s</sub> (Ellsworth, 1999, Gimeno et al., 2016, Gunderson et al., 2002, Keel et al., 2007) and reduced canopy-transpiration (Cech et al., 2003, Wullschleger & Norby, 2001), while others did not find a reduction in either leaf- or canopy-level transpiration (Uddling et al., 2009, Ward et al., 2013). In addition, leaf-level water savings were often offset by increased L (Bobich et al., 2010, Schäfer et al., 2002, Torngern et al., 2015, Warren et al., 2011). All of these studies focused mainly on the effect of  $eC_a$  on canopy transpiration ( $E_{tree}$ ), while other components of evapotranspiration ( $E_t$ ) were rarely measured (Cheng et al., 2017, but see Schäfer et al., 2002). Furthermore, these studies are primarily restricted to energy-limited or moderately water-limited young trees or forest plantations (Bobich et al., 2010, Ellsworth, 1999, Godbold et al., 2014). In water-limited woodlands, we are less likely to observe a change in R or  $D_g$  under  $eC_a$ , as potential increases in soil moisture could be lost via ground evaporation and understorey transpiration (Ferretti et al., 2003, Nolan et al., 2014, Nowak et al., 2004), although this could be partially offset by reduced  $g_s$  under  $eC_a$  in the understorey (Morgan et al., 2004). Notwithstanding previous work in tree plantations (Schäfer et al., 2002, Uddling et al., 2009, Wullschleger & Norby, 2001), we still lack an experimental test of the effects of  $eC_a$  on hydrological partitioning, particularly in mature woodlands experiencing potential water deficits throughout the year. To validate dynamic vegetation models for predicting vegetation-climatic feedbacks, largescale observations simultaneously addressing the impact of  $eC_a$  on all  $E_t$  components (not just  $E_{\text{tree}}$ ), R and S are desperately needed (Fisher et al., 2017, Porporato et al., 2004).

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In our study, a mature and water-limited Eucalyptus woodland was exposed to a  $C_a$  150  $\mu$ mol mol<sup>-1</sup> above ambient, using Free-Air CO<sub>2</sub> Enrichment (the  $\pm$ EucFACEø experiment). Here, we address the effects of  $eC_a$  on precipitation partitioning among components of the hydrological balance ( $E_t$ , R,  $D_g$  and S) over the first two years of the EucFACE experiment. Duursma et al. (2016) demonstrated that L did not respond to  $eC_a$  in this woodland, so we hypothesized (i) that partial stomatal closure at the leaf-level (Gimeno et al., 2016) would lead to a decrease in  $E_{tree}$  under  $eC_a$ ; however, since  $g_s$  did not decrease under  $eC_a$  in the understorey (Pathare et al., 2017) we expected (ii) that excess water  $\pm$ savedø by the canopy would be lost via increased soil evaporation together with understorey transpiration ( $E_{floor}$ ), (iii) thus resulting into no net increase in S, R or  $D_g$ . The lack of structural changes induced by  $eC_a$  in this timeframe (Duursma et al., 2016), means that we have the advantage that we can carefully examine the partitioning of  $eC_a$  effects on water balance components without being confounded by stand structure effects.

#### **Materials and Methods**

Study site and experimental design

EucFACE is located on an ancient alluvial  $\frac{1}{2}$  oodplain, 3.6 km from the Hawkesbury River, in western Sydney (NSW, Australia, 33°37'S, 150°44'E, 23 m a.s.l.), in a 270 ha patch of native Cumberland Plain woodland (Fig. S1). The site is flat (maximum slope: 0.004°), with the lowest land-surface elevations found near ring 5 (Fig. S1). The site is characterized by a humid temperate-subtropical transitional climate with a mean annual temperature of 17°C, with January being the hottest (mean daily maximum 30°C) and July the coldest (mean daily minimum 3.6°C), and a mean annual precipitation (*P*) of 730  $\pm$  30 mm y<sup>-1</sup>, with February being the wettest month (123  $\pm$  16 mm month<sup>-1</sup>) and July the driest (29  $\pm$  5 mm month<sup>-1</sup>).

mean  $\pm$  se, 1992-2014, Bureau of Meteorology, station 067105, 5 km away). Satelliteestimated actual  $E_t$  is 739  $\pm$  34 mm y<sup>-1</sup> (1981-2012, Zhang *et al.*, 2016), which means that the site is water-limited.

The upper soil (up to 30-50 cm) is a loamy sand (> 75% sand), slightly-acidic (pH = 4.5) and with low-organic C (< 1%) and overall low phosphorus (Ellsworth *et al.*, 2017). At 30-70 cm depth, there is a layer of higher clay content (15-35% clay), below which the soil is a sandy loam or sandy clay loam. Between 300-350 and 450 cm depth, the soil is clay (> 40% clay). Groundwater is present at  $\sim$ 12 m below the surface (Fig. S2).

Tree density ranges from 600-1000 trees ha<sup>-1</sup> (basal area, BA =  $27.6 \pm 2.7 \text{ m}^2 \text{ ha}^{-1}$ , n = 6 plots),  $L \text{ is } \ddot{\text{O}} \text{2 m}^2 \text{ m}^{-2}$  (Duursma *et al.*, 2016), the canopy height is 18-23 m tall and mean tree diameter at 1.3 m (DBH) is  $18.8 \pm 0.6 \text{ cm}$ . The main canopy forming tree is *Eucalyptus tereticornis* Sm with an understory mainly composed of grasses, with low densities of forbs and occasional shrubs (Pathare *et al.*, 2017).

At EucFACE, there are six 25-m diameter plots (hereafter  $\pm nings \emptyset$ ). Each ring comprises a cylindrical frame of 28 m-high vertical pipes extending above the canopy (treetops ranging 18-23 m). Vegetation within rings 1, 4 and 5 (Fig. S1) was exposed to a  $C_a$  150  $\mu$ mol mol<sup>-1</sup> above ambient, whereas the other three rings received ambient  $C_a$  (see Gimeno *et al.*, 2016 for further details). In contrast to previous FACE experiments, here  $C_a$  was ramped-up gradually to minimize potential transient effects. Here, the  $C_a$  was increased at a rate of ~30  $\mu$ mol mol<sup>-1</sup> per month over a ~6 month period until  $C_a$  reached 150  $\mu$ mol mol<sup>-1</sup> above ambient in the e $C_a$  rings on the 5 February 2013 (see Drake *et al.*, 2016 for a detailed description of the ramp-up).

*Meteorological and soil moisture measurements* 

On top of a central tower (23.5 m) in each ring, an array of sensors measured air temperature and relative humidity (HUMICAP ® HMP 155, Vaisala, Vantaa, Finland), net radiation ( $R_n$ , CNR2 Kipp & Zonen, Delf, The Netherlands), photosynthetically active radiation (PAR, LI-190, LI-COR, Inc., Lincoln, NE, USA) and wind speed (Wincap Ultrasonic WMT700 Vaisala, only on the three e $C_a$  rings, Fig. S1). These variables were measured every second and one- (wind) or ten-minute (all other variables) averages were recorded on data loggers (CR3000, Campbell Scientific Australia, Townsville, Australia). The average of the six (three for wind) rings was used to characterize the meteorological conditions on site. Daily Penman potential evapotranspiration ( $E_p$ ) was calculated as (Donohue *et al.*, 2010):

$$\mathbb{P}_{p} = \frac{\Delta}{\Delta + \gamma} \mathbb{P}_{n} + \frac{\gamma}{\Delta + \gamma} \frac{6430 \mathbb{P} 1 + 0.536 \mathbb{P} \mathbb{P}}{\lambda}$$

where is the psychrometric constant (65.3 Pa  $K^{-1}$ ), daily  $R_n$  integral is in mm day<sup>-1</sup>, D is mean daily water vapour pressure deficit (in Pa), u is mean daily wind speed (in m s<sup>-1</sup>), is the latent heat of vaporisation of water (2.45 MJ kg<sup>-1</sup>) and is the rate of change of saturated water vapour with temperature (Pa  $K^{-1}$ ).

Soil volumetric water content ( $\theta_v$ ) was monitored in each ring at eight locations with frequency-domain reflectometers installed at 30 cm depth (TDRs, CS650 Soil Water Content Reflectometer, Campbell Scientific). Soil temperature ( $T_{soil}$ ) was measured at two locations in each ring with temperature probes at 5 cm depth (TH3-s, UMS GmbH, Frankfurt, Germany).  $\theta_v$  and  $T_{soil}$  were measured every second and 15-minute averages were logged on CR3000s.

(Eq. 1)

# Canopy leaf area measurements

A detailed description of the methods for L (in m<sup>2</sup> m<sup>-2</sup>) quantification is found in Duursma *et al.* (2016). Briefly, L was estimated from diffuse canopy transmittance ( <sub>d</sub>) calculated from

the ratio of above- and below-canopy PAR measured in each ring with one and three sensors (LI-190), at 23.5 and 1.5 m height, respectively. For these calculations we used only PAR measurements under highly diffuse conditions (diffuse fraction  $[F_{\rm diff}] > 0.98$ ). We measured  $F_{\rm diff}$  with a BF5 Sunshine sensor (Delta-T Instruments, Cambridge, UK) installed on a tower extending 5 m above the canopy at a nearby site (within 2 km). We then calibrated L estimates from  $_{\rm d}$  against cumulative litter production over 4-months (Duursma *et al.*, 2016).

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- The water balance components
- Mass balance provides a framework for assessing the impact of  $eC_a$  on the partitioning of
- precipitation (P). The mass balance for water can be expressed as:
- 180 (Eq. 2)

$$? = ? + ?_? + \Delta? + ?_?$$

- where R is surface runoff,  $D_g$  is drainage, S is the change in root-zone soil water storage and
- 182  $E_{\rm t}$  is evapotranspiration. All variables measured in mm day<sup>-1</sup>. In this study, we assessed the
- effect of e $C_a$  on  $E_t$  and  $S_t$ , while we did not expect a significant effect on R or  $D_g$ . Therefore,
- S,  $E_t$  and all of its components were quantified at the ring-level (except for stem-flow, see
- below) and R and  $D_g$  at the site-level. Eq. 2 was implemented for 30 months starting on June
- 186 2012, including the pre-treatment and ramp-up periods
- 187 1. Precipitation
- We defined a P event as a continuous series of hours with P > 0 mm h<sup>-1</sup> interrupted for one
- hour or less with P = 0 mm h<sup>-1</sup>. Site P was the average of three automated tipping bucket rain
- 190 gauges (TB4, Hydrological Services Pty Ltd, Liverpool, NSW, Australia) located at the top
- of the central tower in rings 1, 4 and 3 (Fig. S1). P from each bucket was logged every 15
- minutes onto CR3000 data loggers.

# 2. Surface runoff

Surface runoff (R) was calculated as the excess of P minus  $E_p$  when the upper soil was saturated and precipitation intensity either exceeded soil infiltration capacity (17 mm h<sup>-1</sup> for sandy soils, Campbell & Norman, 1998) or cumulative precipitation ( $P_{cum}$ ) for each event minus  $E_p$  exceeded maximum soil storage capacity. In our site, with a sandy soil with  $\theta_v = 3\%$  at permanent wilting point and  $\theta_v = 30\%$  at saturation and an effective depth of 400 mm, the maximum soil storage capacity was calculated as:  $400 \times (30\text{-}3)/100 = 108$  mm. This approach should be valid for our flat study site with a stratified (or duplex) soil texture with a defined shallow layer of relatively impermeable clay at ~400 mm.

#### 3. Drainage and soil water

The  $D_{\rm g}$  from Eq. 2 represents the amount of water that drained below the assumed root-zone and was not accessible for transpiration. To determine whether the vegetation in our study site was accessing groundwater, we monitored changes of the water table level on-site and analysed the isotopic composition of xylem water and potential water sources (Supplementary methods). Neither the dynamics of the water table depth (seasonal or intraday), nor the isotopic composition of the tree xylem water suggested that the vegetation at our site used groundwater (Fig. S2 and S3).

We assumed that  $D_{\rm g}$  would be water lost from the deep soil layer and calculated  $D_{\rm g}$  as the inverse of the change in soil water storage from 3 to 4.5 m depth. This approach was justified for our site where the soil has a marked multilayered texture: the upper sandy soil (from 0 to 0.3-0.5 m) is where the majority of roots are located (Piñeiro et al., unpublished data). Below this depth (up to 3 m), the soil is a sandy clay loam (up to 3 m depth) where only a few live roots are present and below 3 m, a clay horizon starts and continues beyond 4.5 m depth. Our observations for root distribution across the depth profile are consistent with the results of Macinnis-Ng *et al.* (2010) from a nearby (within 7 km) site where ~90% of the

tree roots were found in the upper soil (0.7 m) with only occasional roots present in the deeper (up to 1.5 m) clay horizon. We assumed that changes in soil moisture below 3 m are unlikely affected by direct vegetation water uptake or hydraulic lift. Thus, we calculated  $D_{\rm g}$  as water lost below 3 m for a given time interval ( $t_1$ - $t_2$ ) according to:

223 (Eq. 3)

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where  $\mathbb{Z}_{[3],[2]}$  is the soil water content at depth  $z_i$  and  $z_{\text{max}}$  is 4.5 m (Duursma *et al.*, 2011). There were no differences in deep soil water storage between ambient and  $eC_a$  rings over time (t = -0.94, p = 0.35), so  $D_g$  was calculated at the site level from averaged deep soil water storage from all rings.

Soil volumetric water content ( $\theta_v$ ) across the soil profile (25-450 cm) was monitored every 15-20 days at two locations in each ring with a neutron probe (NMM, 503DR Hydroprobe ®, Instroteck, NC, USA). We measured  $\theta_v$  in 25 cm intervals from 25 to 150 cm depth and in 50 cm intervals from 150 to 450 cm depth (see Supplementary Methods).

4. Change in soil water storage

We calculated the change in soil water storage (S) for a soil column to 3 m depth. Since we expected to observe an effect of  $eC_a$  on S, we calculated S for each ring over a given time (t) interval ( $t_1$ - $t_2$ ) as:

236 (Eq. 4)

where  $\mathbb{Z}_{\mathbb{Z}_i}$  is the soil water content at depth  $z_i$  and  $z_{\max}$  is 3 m (Duursma *et al.*, 2011). Here,  $\mathbb{Z}_{\mathbb{Z}_i}$  is the mean of two measurements at the same depth from two locations within each ring.

## 5. Evapotranspiration

Total ecosystem evapotranspiration ( $E_t$ ) consists of:

241 (Eq. 5)

$$?_t = ?_i + ?_{tree} + ?_{floor}$$

242 where  $E_i$  is the canopy interception loss,  $E_{tree}$  is overstorey canopy transpiration and  $E_{floor}$  is 243 soil evaporation and understorey transpiration.

a. Interception

Canopy interception loss  $(E_i)$  was calculated as:

246 (Eq. 6)

$$?_i = ? - (?_f + ?_f)$$

where  $T_{\rm f}$  is throughfall and  $S_{\rm f}$  is stemflow. Throughfall ( $T_{\rm f}$ ) was measured under the canopy with one custom-built fixed trough in each ring. Each trough consisted of an  $8\times0.25$  m gutter set with a maximum inclination of 1°. In each ring,  $T_{\rm f}$  was calculated taking into account the projected area of each gutter. The troughs drained into a large volume tipping bucket flow gauge (TB1L, Hydrological Services Pty Ltd). Total  $T_{\rm f}$  was logged every 15-minutes onto CR3000 data loggers. One additional trough was located in an open space (Fig. S1) to act as a control and we found that this trough underestimated P by  $4.4\pm0.6\%$  ( $R^2=0.98,\ p<0.001$ ). We assumed that the throughs in the rings underestimated  $T_{\rm f}$  to a similar extent to the control through and corrected accordingly to calculate  $E_{\rm i}$ .

Stem flow ( $S_f$ ) was measured in ten trees across EucFACE, adjacent to the study rings, (DBH: 24.6  $\pm$  2.4 cm, range: 14-38 cm). Stem flow collectors were custom-built and consisted of a collar, constructed from a half-split 25 mm diameter vinyl pipe glued with silicone around the trunk. Collectors channelled  $S_f$  into automated tipping buckets (TB4) and total  $S_f$  was recorded every 15 minutes onto a CR3000. We used this dataset to model the amount of  $S_f$  in each ring. We fitted a linear mixed model to  $S_f$  volume collected in each precipitation event as a function of tree basal area, P event size and duration (Crockford & Richardson, 2000), including event as a random factor (Table S1). The obtained coefficients

for each fixed factor (tree basal area,  $P_{\text{cum}}$  and duration) were used to predict  $S_{\text{f}}$  of each tree inside each ring, during each precipitation event.

### b. Overstorey canopy transpiration

Whole tree overstorey canopy transpiration ( $E_{\rm tree}$ ) was computed from measurements of tree sapflow velocity ( $v_s$ , Oren *et al.*, 1998). We measured  $v_s$  every ten minutes using the heat pulse compensation technique (Marshall, 1958) with equipment manufactured by Edwards Industries (Havelock North, New Zealand) and connected to a CR3000 data loggers. Each sensor consisted of two temperature probes, constructed of sealed Teflon ® 1.8 mm diameter tubing, with each probe containing a negative temperature coefficient thermistor. The temperature sensors were placed 10 mm (downstream) and 5 mm (upstream) from a 1.8 mm diameter stainless steel heater probe. The holes for the temperature and heater probe were drilled with a drill guide to ensure accurate positioning of the probes and parallel alignment. Distances between implanted probes and bark depth were recorded at the time of installation. Measured heat pulse velocity was corrected for the appropriate wound size. The wound width was measured from cut sections of sapwood where  $\pm$ dummyøprobes were inserted for 30 days from five trees adjacent to the study plots. Wound size (2.7  $\pm$  0.2 mm) was measured under the light microscope (×40 magnification).  $v_s$  was calculated from heat pulse velocity given the fractions of wood and liquid in the sapwood (Swanson & Whitfield, 1981).

In winter 2012, four dominant or co-dominant trees with positive growth rates for the previous year were selected for monitoring of  $v_s$ , in each ring. Two sets of thermocouples and heater element were installed in each tree at 1.3 m height on two randomly selected azimuths. At each tree location, the two thermocouples were positioned at the specific depth below the cambium at which  $v_s$  was maximised along the sapwood profile (i.e. both probes in each tree were set at the same depth to get an estimate of within tree radial  $v_s$  variability). This depth was determined empirically for each tree as follows: within each tree, one probe was set at

10 mm below the cambium (fixed) and the second probe (mobile) at 25 mm below the cambium. Then, on a cloudless spring day in September 2012, the mobile probe was incrementally pulled out from 25 to 0 mm below the cambium at 5 mm intervals every 90 minutes (Wullschleger & Norby, 2001). In sensors that were not manipulated,  $v_s$  remained relatively constant. With these observations, we determined the depth below the cambium at which  $v_s$  was maximal by comparing  $v_s$  between the fixed and the mobile probe.

Sapwood area ( $A_s$ ) and fractions of wood and liquid within the sapwood matrix were calculated from cores extracted from 35 trees adjacent to the study rings. Cores were collected from trees reflecting the size distribution of trees within the rings (DBH:  $31.3 \pm 2.2$  cm). Wood cores were 5 mm in diameter and were extracted using a standard Pressler increment borer (Haglöf Västernorrland, Sweden). Sapwood depth was measured with a digital calliper after staining wood cores with methyl-orange that provided visual differentiation of the sapwood from the heartwood (Pfautsch *et al.*, 2012). We calculated the correlation coefficients between basal area and  $A_s$  measured in these trees to predict  $A_s$  per unit of ground area from basal area inside each ring.

Mean tree  $v_s$  was calculated from the average of the two sets of thermocouples and heater element installed on each tree. We calculated mean hourly  $v_s$  for each ring ( $\mathbb{Z}_s$ ) from the four (three in rings 2 and 6) measured trees. Hourly  $E_{\text{tree}}$  for each ring was calculated as: (Eq. 7)

$$\mathbb{Z}_{\text{tree}} = \mathbb{Z}_{s} \mathbb{Z}_{s}$$

where  $A_s$  is the sapwood area per unit of ground area of each ring. Daily and seasonal wateruse per unit ground area for each ring were calculated by integrating  $E_{tree}$  over time.

### c. Soil evaporation and understorey transpiration

Soil evaporation together with understorey transpiration ( $E_{\rm floor}$ ) was estimated from the change in soil moisture over 5 cm depth measured at two locations in each ring with two

theta probes (ThetaProbe ML2x, Delta-T). Changes in soil moisture at this depth are likely to reflect  $E_{\mathrm{floor}}$  because: (i) most understorey vegetation roots are found between 0 and 5 cm depth (Piñeiro et al. unpublished data); and (ii) changes in soil moisture at this depth are likely to capture water losses due to soil evaporation, given the soil $\alpha$ s sandy texture (Campbell & Norman, 1998). Hourly  $E_{\mathrm{floor}}$  was calculated as:

318 (Eq. 8)

$$?_{floor, ?_2 - ?_1} = ???_{?_2 - ?_1}$$

where z is the depth of the theta probes (5 cm) and  $\mathbb{E}\mathbb{E}_{\mathbb{B}_0 \mathbb{E}_{\mathbb{B}}}$  is the difference in  $\theta_v$  between consecutive hourly averages ( $t_1$ - $t_2$ ). A decrease in  $\theta_v$  from 0 to 5 cm results from evapotranspiration, but also from water infiltration (Schreiner-McGraw et al., 2016); thus, to avoid overestimation of  $E_{floor}$ , we only calculated  $E_{floor}$  for days with P=0 mm  $d^{-1}$  and preceded by a day with P<2 mm  $d^{-1}$  (454 of 730 days passed these criteria). We validated our approach with  $E_{floor}$  measurements made at one location adjacent to ring 1 (Fig. S1). An automated long-term clear chamber (LI-8100-104C, LI-COR,) coupled to an IRGA (LI-8100A, LI-COR) measured  $E_{floor}$  every 30 min on a permanently installed PVC collar. The automated chamber was deployed from winter 2013 to spring 2014 and rendered 222 days of measurements without errors (Fig. S4). We found that  $E_{floor}$  measured with the clear chamber followed an exponential correlation with site  $E_p$  (Fig. S4). Also, daily  $E_{floor}$  estimated from  $\theta$  was significantly correlated with daily  $E_{floor}$  measured with the clear chamber (p < 0.05, Fig. S4). For those dates with P > 0 mm  $d^{-1}$  and/or preceded by a day with  $P \times 2$  mm  $d^{-1}$  (276 days),  $E_{floor}$  was estimated from site  $E_p$ , from the exponential correlation of  $E_{floor}$  and  $E_p$  from the clear chamber measurements (Fig. S4).

We tested for significant differences (p < 0.05) between  $C_a$  levels over time on  $E_{tree}$ ,  $E_{floor}$  and soil water storage by fitting general additive mixed models (GAMMs). For this purpose, we considered the ring as our experimental unit and assessed for random ring-to-ring variability within each  $C_a$  level (Wood, 2006). We used the mgcv package in R version 3.2.2 (R Development Core Team, 2014). In all fitted GAMMs, we used a cubic regression spline. For the smoothed term in the model, we used up to 5-20 degrees of freedom, which resulted in biologically realistic smoothed dynamics. Additionally, to quantify soil water dynamics under ambient and  $eC_a$ , irrespective of horizontal and vertical heterogeneity in soil texture, we estimated the numerical derivative of soil water storage (dS/dt) and its confidence interval (Duursma  $et\ al.$ , 2016) as estimated from the GAMM fitted to dS/dt for ambient and  $eC_a$ , for the whole vertical profile (0-4.5 m) and for specific depths.

To assess the effect of  $eC_a$  on the relationships between climatic  $(D, T_{soil})$  and PAR) and other environmental drivers  $(\theta_v)$  and  $(\theta_v)$  and transpiration components  $(E_i, E_{tree}, E_{floor})$ , we used either GAMMs or linear mixed models (LMM). We used a LMM to assess the effects of  $eC_a$  on the relationships of  $E_i$  with  $E_i$ ; precipitation event duration and size; with ring and precipitation event as random factors. We used GAMMs to test for the effect of  $eC_a$  on the climatic forcing of  $E_{tree}$  and  $E_{floor}$ , with ring and date as random factors. For  $E_{tree}$ , we included  $E_i$ 0,  $E_i$ 1 and  $E_i$ 2 are effect on the climatic forcing of  $E_{tree}$ 3 are performed an additional GAMM with day-length normalised  $E_i$ 3. Tor-ngren  $E_i$ 4 and  $E_i$ 5. For  $E_i$ 6 and PAR,  $E_i$ 6 and  $E_i$ 7 and  $E_i$ 8 are performed an additional GAMM with day-length normalised  $E_i$ 8. Tor-ngren  $E_i$ 8 and  $E_i$ 9 and PAR,  $E_i$ 9 and

We estimated our ability to close the water balance by calculating P minus  $(R + D_g + S + E_t)$  from Eq. 2. We computed the overall water balance for the study site, with site P, R,  $D_g$  and average (n = 6 rings) S and  $E_t$ . We calculated the terms of the water

balance for each season (summer, DJF; autumn, MAM; winter, JJA; and spring, SON). We considered that we had closed the water balance when the sum of the water balance components (Eq. 2) was at least 75% of precipitation for that period, i.e.  $|(P - R + D_g + S + E_t)/P| < 0.25$  (Schreiner-McGraw *et al.*, 2016).

## Results

Meteorological parameters and precipitation

All the data and analyses presented in this manuscript are published here for the first time, except for the raw climatic data (temperature, precipitation and air relative humidity, Gimeno *et al.*, 2016) and the leaf area index (L, Duursma *et al.*, 2016). Prior to the start of the e $C_a$  treatment, the EucFACE site experienced a very wet summer and early autumn ( $P_{cum}$  December 2011-April 2012: 647 mm, or 2/3rds of long-term annual average), followed by an average autumn and winter. During the e $C_a$  ramp-up, the site experienced a wet and warm spring and summer, and a heat wave when we recorded the highest D on site for the study period (7.9 kPa, Fig. 1). In late January 2013, the site received  $P_{cum}$  = 191 mm in 7 days (Fig. 2) that led to temporary standing water on site for 48 h. The first year of full e $C_a$  treatment (commencing on February 2013) was characterized by a warm and dry winter, followed by an unusually hot and dry early spring that boosted daily D and  $E_p$  close to typical midsummer values (Fig. 1). This was followed by a rainy spring ( $P_{cum}$  = 218 mm in November 2013, Fig. 2) that preceded a drier than usual summer ( $P_{cum}$  = 87 mm in February 2014) and finally an average autumn and winter in 2014 (Fig. 1, Table 1).

Over the study period,  $P_{\text{cum}}$  exceeded maximum soil water storage capacity (108 mm) during one precipitation event (27 January 2013; Fig. 2) with an excess of 39 mm over 24 h. During this period  $E_{\text{p}}$  was 8 mm, so R was estimated as 31 mm (Table 1). Furthermore, the site experienced one event (15 November 2013) that should have exceeded soil infiltration capacity, but the soil was not saturated and standing water was absorbed before any R was generated.

From July 2013 to October 2014, mean groundwater depth was 12.8 m and ranged from 12.64 to 12.96 m. The variability in the groundwater depth did not show any daily or seasonal patterns (Fig. S2). The isotopic signature of xylem water under dry and wet conditions did not match the signature of groundwater (Fig. S3). These analyses, together with the absence of live roots below 1 m depth observed during the augering of 15 holes of 4.5 m depth each, suggests that the EucFACE deep-rooted vegetation (trees) did not access groundwater. Henceforth, we argue that observed changes in the groundwater depth were likely associated with regional groundwater  $\delta$  surface water interactions governed by the water-level in the Hawkesbury River. Our approach to calculate  $D_g$  (Eq. 3) should be valid for the temporal (2 years) and spatial (~1 ha of instrumented study area) scale of this study.

The contribution of  $D_{\rm g}$  to the water balance varied from 20% (spring 2012) to 2% in summer 2013 (Table 1), although it should be noted that seasonal  $D_{\rm g}$  and P could be temporally uncoupled. Coupling depends on the P dynamics and the level of antecedent saturation in the soil column. At our site,  $D_{\rm g}$  might be lagged with respect to seasonal P when the upper soil column (0-3 m, sand and sandy clay loam) was saturated with water, but not the deep soil (below 3 m, clay). This would have led to an initial increase in deep soil water storage that would have later drained below the root-zone. This was the case in autumn 2013 when  $D_{\rm g}$  according to our calculation was negative (i.e. apparent reduction in the amount of

water below the rooting zone). With the exception of this latter season, our approach showed that over the study period, there was an excess of water draining beyond the root zone.

Effect of elevated  $CO_2$  on soil water storage (S)

Initial measurements of S (June 2012) were the maximum over the study period.  $S_{\text{max}}$  varied among rings due to differences in soil texture (ring 6 with the highest clay content had the highest  $S_{\text{max}}$ : 828 mm) and micro-topography ( $S_{\text{max}}$  in ring 5 with the lowest elevation was 758 mm, while in ring 1: 657 mm). We found that S decreased continuously in all rings during 2012, until early 2013, when large precipitation events increased S close to  $S_{\text{max}}$  (Figs. 2 and 3a). In 2013, S also decreased until another series of rain events in November, when S increased, but did not reach  $S_{\text{max}}$  (Fig. 3a). During the first half of 2014, S decreased until a series of precipitation events in the middle of the year (August) interrupted this trend (Fig. 3a), yet S did not reach  $S_{\text{max}}$ . The complete overlapping of the 95% CI of the fitted GAMM to S over time between S levels indicated that there were no significant differences in S between S levels (Fig. 3a). Similarly, we found that S integrated over 0.25 or 0.5 m depth intervals did not differ between S (Fig. S5).

We found that S decreased significantly for most of the study period, (dS/dt < 0, p < 0.05), particularly during the autumn-winter (Fig. 3b). During wet periods, S increased, but dS/dt was significantly positive only in summer 2013 and spring 2014. The 95% CI of the fitted GAMM for ambient and  $eC_a$  overlapped over the entire period, indicating that there were no significant differences in dS/dt (Fig. 3b). We found similar results for dS/dt calculated for specific depths up to 2 m (Fig. S6). Below 2 m depth, in 2012 (pre-treatment and ramp-up), dS/dt in  $eC_a$  was positive or zero when our measurements commenced and then it declined progressively to stable negative values, non-significantly different from those in ambient  $C_a$ . This result was strongly driven by the contrasting trends observed in two of

the study rings that happened to be randomly assigned to different  $C_a$  levels and it is not likely to have been generated by the  $eC_a$  treatment *per se*. Instead, this resulted from the preceding heavy rainfall that most likely led to lateral water redistribution towards ring 5, which has the lowest elevation and which happened to be randomly assigned to the  $eC_a$  treatment. Additionally, this could have also resulted from a greater rate of soil water decline observed in one of the ambient  $C_a$  rings (ring 6), which was not mimicked by the other two ambient rings (2 and 3), but yet affected the overall mean of the ambient  $C_a$  treatment. We suggest that vertical heterogeneity in the soil texture structure was responsible for differences among ambient  $C_a$  rings.

Stem flow, throughflow and interception under elevated CO<sub>2</sub>

We found that  $S_f$ , measured adjacent to the EucFACE rings, was significantly correlated (p < 0.001) to tree basal area, and the quantity and duration of P events (Table S1). The estimated contribution of  $S_f$  within each ring was less than 2% of precipitation.

 $E_i$  did not differ between  $C_a$  levels (Table 2). The best model for  $E_i$  included L, quantity and duration of the P event, but it did not include  $C_a$ , or its interactions (Table 2). Since L did not respond to  $eC_a$ , indirect  $eC_a$  effects on  $E_i$  were discarded. Across the seasons, the contribution of  $E_i$  to  $E_t$  was not negligible (Fig. 4) and ranged from 5% (in winter 2013) to 24% (summer 2013). The main driver of  $E_i$  was  $P_{cum}$  (Table 2) so differences in the relative contribution of  $E_i$  to  $E_t$  between years and within season were due to differences in P (Fig. 4 and Table S2).

Canopy transpiration and understorey evapotranspiration under elevated CO<sub>2</sub>

A leaf flushing event occurred shortly after the start of the implementation of the full  $eC_a$  treatment, thus the potential direct effect of  $eC_a$  on leaf-level transpiration would have been

realized since the beginning of the experimental treatment. Over the study period, neither  $E_{\rm tree}$ , nor  $E_{\rm floor}$  differed between  $C_{\rm a}$  levels, as evidenced by the consistent overlap of the 95% GAMM confidence intervals (Fig. 5, 6, S7 and S8).  $E_{\rm tree}$  constituted the largest proportion of seasonal  $E_{\rm t}$ , followed by  $E_{\rm floor}$ , in all seasons (Fig. 4). The mean contributions of  $E_{\rm tree}$  and  $E_{\rm floor}$  to  $E_{\rm t}$  were 63% and 20%, respectively, and ranged from 72% (winter 2013) to 58% (summer 2013) for  $E_{\rm tree}$  and from 23% (spring 2013) to 15% (winter 2014) for  $E_{\rm floor}$ . Daily  $E_{\rm tree}$  showed the typical three phase response to  $D_{\rm z}$  variation, initially rising with increasing  $D_{\rm z}$  until it reached a plateau and then decreasing, with no significant differences between  $C_{\rm a}$  levels (Fig. 6). The GAMM also showed that  $E_{\rm tree}$  was strongly driven by total daily PAR, again with no significant differences between  $C_{\rm a}$  levels (Fig. S7).  $E_{\rm floor}$  was strongly controlled by  $\theta_{\rm v}$  followed by  $T_{\rm soil}$  and understorey D with no significant differences between  $C_{\rm a}$  levels (Fig. S8).

The overall water balance

We quantified the water balance components for our site and according to our criteria,  $|(P - R - D_g - S - E_t)/P| < 0.25$ , we achieved good closure in summer and spring 2013 and in winter 2014. Also, we were able to account for 44% of P in winter 2013 (Table 3). We were unable to close the water balance in spring 2012, autumn 2013 and summer 2014; three seasons preceded by large precipitation events (Fig. 2), when soil water storage increased by more than 100 mm (Table 1).

### Discussion

Our study constitutes the most comprehensive quantification of the hydrological balance of a tree-dominated FACE experiment (Leuzinger & Körner, 2010, Schäfer *et al.*, 2002). Previous

effect on understorey evapotranspiration (except from Schäfer *et al.*, 2002) or on the partitioning to S,  $D_g$  and R. Furthermore, none of these were conducted in water-limited sites and while some climatic land-surface models predict an increase in S and eventually in R and  $D_g$  (Betts *et al.*, 2007, Gedney *et al.*, 2006); this prediction does not appear to hold for water-limited regions (Ukkola *et al.*, 2016). Furthermore, all these predictions are based on retrospective analyses and thus are limited to present-day  $C_a$  and cannot account for projected  $C_a$  increases for most of the  $21^{st}$  century. For water-limited regions, process-based theoretical models predict that increased L offsets reduced leaf-level transpiration under  $eC_a$  (Donohue *et al.*, 2017, Macinnis-Ng *et al.*, 2011). Here, for our water-limited woodland, we had hypothesised that reduced  $E_{tree}$  under  $eC_a$ , would not be offset by increased L (Duursma *et al.*, 2016) and since the site is water-limited, any excess water resulting from reduced  $E_{tree}$  would be quickly lost via  $E_{floor}$ , meaning no net increase in S. We found no changes in  $E_{tree}$ ,  $E_{floor}$  or S, thus  $eC_a$  did not reduce stand water-use in this mature water-limited woodland.

Canopy transpiration under ambient and elevated CO<sub>2</sub>

Contrary to our expectations, we did not find a reduction in  $E_{\text{tree}}$  under  $eC_a$ , neither in daily mean nor maximum  $v_s$ . Some previous forest FACE studies had found non-significant reductions in  $E_{\text{tree}}$  under  $eC_a$  (Bobich *et al.*, 2010, Ward *et al.*, 2013); but in these studies, reductions in leaf-level transpiration were offset by increased E, which we did not observe (Duursma *et al.*, 2016). In our case, E0 of the canopy-dominant tree (E1. *tereticornis*) temporary decreased by 20%, but this reduction was transient and became non-significant when water availability became most limiting and E1 peaked (Gimeno *et al.*, 2016). Furthermore, any given decrease in E1 is usually translated into a weaker transpiration response because there are additional sources of variability affecting the upscaling from leaf-

to canopy-level processes such as micrometeorology, canopy patchiness and/or vertical variations in leaf anatomy, even in well-coupled canopies, such as ours (Jarvis & McNaughton, 1986). Hence it is not surprising that the partial reduction observed in  $g_s$  from discrete campaigns restricted to the upper part of dominant trees did not scale to the canopy level. Additionally, our observations from this native woodland are inherently affected by the natural variability. For example, the mean coefficient of variation for mean daily  $v_s$  within rings (i.e. among trees) was 38%, whereas within  $C_a$  levels (i.e. among rings) it was 24%, despite selection of the most representative and comparable trees that contributed up to 50% of the total basal area within each ring. Given that maximum measured reduction in  $g_s$  was 20% (Gimeno *et al.*, 2016); we cannot discard that potential transient reductions in canopy transpiration could have been obscured by the natural variability among trees for this two-year study (Paschalis *et al.*, 2017).

Besides the expected direct effect of  $eC_a$  on  $E_{tree}$ , we also expected indirect effects, beyond changes in L that did not occur (Duursma et al., 2016). Elevated  $C_a$  could have also indirectly affected  $E_{tree}$  by modifying climatic forcing of transpiration; for example, the slope of the relationship between D and transpiration can decrease under  $eC_a$  (Duursma et al., 2014, Wullschleger & Norby, 2001). In our study, there were no significant differences between  $C_a$  levels in the response of  $E_{tree}$  to D, including a much larger D (up to 7.9 kPa) than experienced previously at another forested FACE experiment (Tor-ngern et al., 2015). This result is consistent with the lack of a significant effect of  $eC_a$  on the combined sensitivity of stomata to  $C_a$  and D in eucalypts (Gimeno et al., 2016, Kelly et al., 2016). Taken together, these results suggest that  $eC_a$  is unlikely to alleviate increasing atmospheric drought stress in a climate change scenario with warmer temperatures and higher D (Nelson et al., 2004), at least in this mature eucalypt woodland.

*Understorey evapotranspiration and canopy interception under elevated CO*<sub>2</sub>

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We had hypothesised that excess water resulting from reduced  $E_{\text{tree}}$  under e $C_a$  would be lost via enhanced  $E_{\text{floor}}$ , but here,  $E_{\text{tree}}$  did not decrease under e $C_{\text{a}}$  and there was no increase in  $E_{\text{floor}}$ . Consistently, soil water storage (S) did not differ between ambient and e $C_{\text{a}}$  plots at any depth and neither did S over the study period, which vertically extends similar results for the upper soil (0-30 cm) on the same site (Drake et al., 2016, Pathare et al., 2017). Previous studies had reported that soil moisture was not conserved in  $eC_a$  in water-limited regions (Nowak et al., 2004) and without increased water availability; it is not surprising that there was no change in  $E_{\text{floor}}$ . We did not find an indirect effect on  $E_{\text{floor}}$  either, as the response of  $E_{\text{floor}}$  to  $T_{\text{soil}}$  and  $\theta_{\text{v}}$  was unaffected by e $C_{\text{a}}$ . With our approach, we cannot separate the contributions of understorey transpiration and soil evaporation to  $E_{floor}$ , which in this type of woodland are both likely to constitute an important fraction of ecosystem water-use (Ferretti et al., 2003, Nolan et al., 2014). In our study, the evaporative component could not have been affected by eCa because soil water did not increase (Drake et al., 2016) and the amount of incident radiation in the understorey did not decrease, as L was unaffected (Duursma et al., 2016). In contrast, we could have still expected that transpiration by the understorey responded to  $eC_a$ , in addition to indirect environmental effects (via changes in soil moisture or incident radiation). Nevertheless, three years of measurements on the dominant understorey grasses on-site revealed that under  $eC_a$  neither  $g_s$  decreased, nor did herbaceous biomass increase (Collins et al., 2018, Pathare et al., 2017).

We quantified the contribution of  $E_i$  to  $E_t$  and whether this component changed under  $eC_a$ . In agreement with previous studies (Crockford & Richardson, 2000, Gash, 1979, Soubie  $et\ al.$ , 2016), quantity and duration of the P events were the main drivers of  $E_i$ ,  $T_f$  and  $S_f$ . More abundant and longer events resulted into larger  $E_i$ , but contrary to expectations, we found a negative effect of L on  $E_i$ . The prediction that  $E_i$  increases with L is based on

observations from densely packed canopies with horizontally angled leaves (Kergoat, 1998). However, in most Eucalypt woodlands, including ours, leaves are angled vertically or near-vertically; hence, P falling on the vegetation mostly contributes to  $T_f$  instead of  $E_i$  (Crockford & Richardson, 2000). Nevertheless, given that neither L (Duursma  $et\ al.$ , 2016) nor tree radial growth rate increased under  $eC_a$  (Ellsworth  $et\ al.$ , 2017), we are unlikely to observe any change in the partitioning of P into  $T_f$ ,  $S_f$  and  $E_i$  under  $eC_a$ , in mature Eucalypt woodlands. Even under a scenario where L and/or growth responded to  $eC_a$ , we would still predict that  $E_i$  would not change because the contribution of  $S_f$  is negligible (and thus so would be potential increases in  $S_f$  due to radial growth increments) and greater L would not increase  $E_i$  with these leaf angles. This later result is relevant for improving our ability to estimate ecosystem water-use and to predict vegetation-atmospheric coupling under future atmospheric conditions. Currently, most process-based models assume that  $E_i$  increases with  $eC_a$  (De Kauwe  $et\ al.$ , 2013, Zhang  $et\ al.$ , 2016), but our results suggest otherwise for this type of woodland, over the study period.

Lack of effective water-savings under elevated CO<sub>2</sub>

Over the study period, potential evapotranspiration ( $E_p$ ) exceeded precipitation (P) in all seasons and total  $E_t$  was always less than  $E_p$ , which indicates that our site was water-limited during the study period, at this time-step. We found that despite being water-limited, seasonal  $E_t$  was often less than P, which allowed some P to be partitioned to S, R and  $D_g$ . Here, we followed a conservative approach to calculate  $D_g$  from deep soil water dynamics assuming an effective rooting depth of 3 m. With this approach, we are likely overestimating the decrease (or underestimating the increase) in S and thus we are underestimating the amount partitioned to  $D_g$ . For those seasons where we calculated a decrease in S, our estimate of  $E_t$  was not similar to S plus P, minus R and  $D_g$ . Our estimates of  $E_{tree}$  and  $E_{floor}$  are comparable

to observations from similar (Nolan *et al.*, 2014) and nearby forests (Bourne *et al.*, 2015) and the proportion of seasonal  $E_i$  is within the range of other studies (Kergoat, 1998, Soubie *et al.*, 2016). Hence, it is not reasonable to believe that we largely underestimated  $E_t$  (by nearly 100%). A more plausible explanation is that we overestimated the effective rooting depth (Macinnis-Ng *et al.*, 2010), but without a detailed survey of root distribution we are unable to provide a more realistic S. This latter explanation would agree with the estimates from a recent modelling study (Yang *et al.*, 2016a), which estimated an effective rooting depth of 1.2 m in the region. Here, we opted for a more conservative approach and established an effective rooting depth based our own observations and characterization of the soil texture profile. Nevertheless, despite the uncertainty regarding effective rooting depth, we found that  $eC_a$  had no effect on the temporal dynamics of S or S at any depth during the entire study period, further supporting our argument that  $eC_a$  did not increased soil water-storage at any depth in this woodland.

In addition to our estimate of rooting depth, there may be other sources of uncertainty, such as our coarse approach to estimate R from measurements of P and soil properties. We established that R would only occur at times when the soil was fully saturated and precipitation exceeded the infiltration capacity, yet since EucFACE occurs on an alluvial floodplain, soil saturation may not occur homogeneously across the site. Indeed, some unquantified R might have been generated in areas where the soil saturated faster than the overall site mean due to spatial heterogeneity in soil texture. Furthermore, we did not account for the contribution of possible deep lateral flow that can occur in multilayered strongly contrasting textured soils (Cox & Pitman, 2002), such as at our site. These uncertainties could explain our inability to fully close the overall water balance for our study site for those seasons preceded by very rainy season, when we would have been more likely to underestimate  $D_{\rm g}$ , R and lateral flow.

We examined the impact of  $eC_a$  on the hydrological balance of a native, mature woodland at the stand level for 30 months and during periods of water-limitation. Elevated  $C_a$  did not alter S,  $E_{tree}$ ,  $E_{floor}$ ,  $E_i$  or  $E_t$  during this time Furthermore,  $eC_a$  did not indirectly affect  $E_t$  through changes in growth, phenology or  $E_t$ . In addition, we did not find significant effects of  $eC_a$  on the climatic forcing of transpiration, such that under a future climate change scenario (i.e. altered precipitation patterns and warmer global surface temperatures), more severe water-stress due to an increase in evaporative demand would not be alleviated under  $eC_a$  in this type of woodland. Based on this study, in water-limited catchments dominated by mature woodlands we should not expect changes in the amounts of precipitation partitioned to e and e0 in response to future increases in e1.

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**Table 1.** Total seasonal potential evapotranspiration ( $E_p$ ), precipitation (P), surface runoff (R), drainage ( $D_g$ ) change in soil water storage (S) and total evapotranspiration ( $E_t$ ).  $E_t$  is the sum of canopy interception ( $E_i$ ), canopy transpiration ( $E_{tree}$ ) and soil evaporation and understorey transpiration ( $E_{floor}$ ).  $E_p$ , P, R and  $D_g$  were measured or calculated at the site level. Depicted values of S,  $E_t$ ,  $E_{tree}$  and  $E_{floor}$  are the mean ( $\pm$ se) of the three rings for each atmospheric  $CO_2$  level (ambient, a, and elevated, e). There were no significant differences (p > 0.01) between  $CO_2$  levels for any of the hydrological components. All values in mm per season.

Season	$CO_2$	$E_{ m p}$	P	R	$\Delta S$	$E_{t}$	$E_{\rm i}$	$E_{ m tree}$	$E_{ m floor}$
Spring-2012	a	429	92	0	-106±10	97±5	10±2	64±3	23±1
	e				$-109\pm23$	$108\pm20$	17±9	68±11	23±1
Summer-2013	a	486	377	31	133±17	190±22	47±9	109±15	$35\pm2$
	e				119±26	206±32	50±10	$122\pm21$	$33\pm2$
Autum-2013	a	296	155	0	-113±13	153±9	20±1	99±12	34±4
	e				-89±26	151±21	$24\pm7$	100±18	$28\pm3$
Winter-2013	a	197	84	0	-45±17	84±9	3±1	61±8	19±1
	e				-48±18	81±10	5±2	57±11	19±1
Spring-2013	a	488	250	0	$114\pm40$	120±13	21±8	75±6	24±1
	e				$110\pm40$	126±16	32±8	69±12	24±1
Summer-2014	a	536	151	0	-149±30	159±10	16±4	106±8	36±1
	e				-139±23	$172\pm23$	23±6	111±21	38±4
Autum-2014	a	275	170	0	-26±12	132±3	30±7	76±9	$27\pm2$
	e				-34 <u>+</u> 4	$142\pm22$	$28\pm5$	89±17	15±1
Winter-2014	a	195	150	0	25±3	80±6	18±1	50±6	13±0.2
	e				14±4	87±11	20±6	54±12	$13\pm0.5$

**Table 2.** Results (estimated coefficients  $\pm$  se, t and p-value) of the best linear mixed model fit to canopy interception ( $E_i$ , log-transformed). Selected fixed factors were: event precipitation ( $P_{\text{cum}}$  in mm, log-transformed), event duration (in h) and leaf area index (L, in m<sup>2</sup> m<sup>-2</sup>). The CO<sub>2</sub> treatment did not significantly affect  $E_i$  or the any of the effect sizes.

Fixed	Estimate	t	p
$Log(P_{cumm})$	$0.322 \pm 0.02$	15.2	< 0.001
Duration	$0.03 \pm 0.006$	5.5	< 0.001
L	$-0.18 \pm 0.09$	-2.1	0.038

Year	2012		20	13	2014			
Season	Spring	Summer	Autumn	Winter	Spring	Summer	Autumn	Winter
$P/E_{\rm p}$	0.21	0.78	0.53	0.42	0.51	0.28	0.62	0.77
P	92	377	155	84	250	151	170	150
R	0	31	0	0	0	0	0	0
$D_{ m g}$	19	6	-4	11	20	18	4	11
S	-107 (11)	126 (14)	-101 (14)	-47 (11)	112 (25)	-144 (17)	-30 (6)	19 (3)
$E_{t}$	103 (9)	198 (18)	152 (10)	82 (6)	123 (9)	165 (12)	137 (10)	84 (6)
Error	78	16	108	37	-5	111	58	36
Error/P	0.84	0.04	0.69	0.44	0.02	0.74	0.34	0.24

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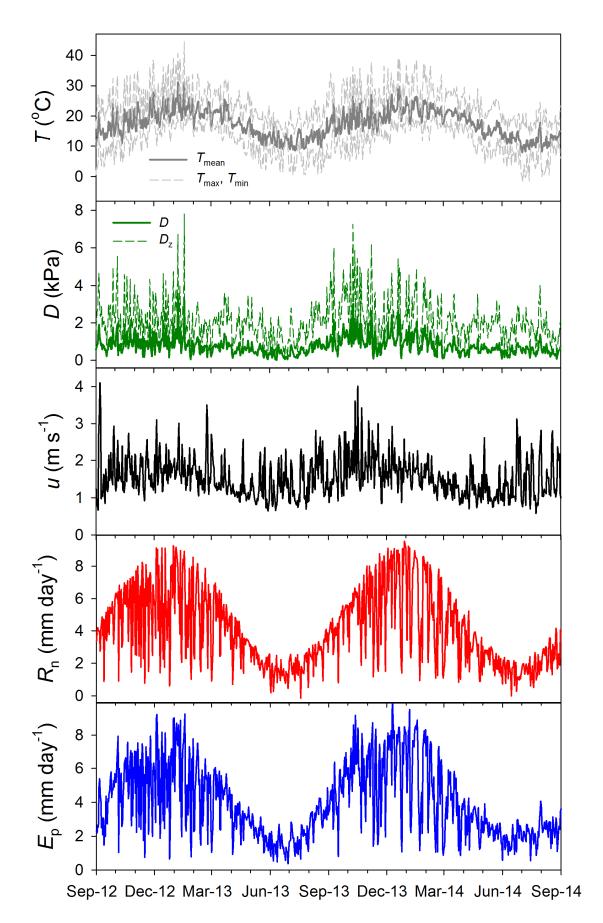
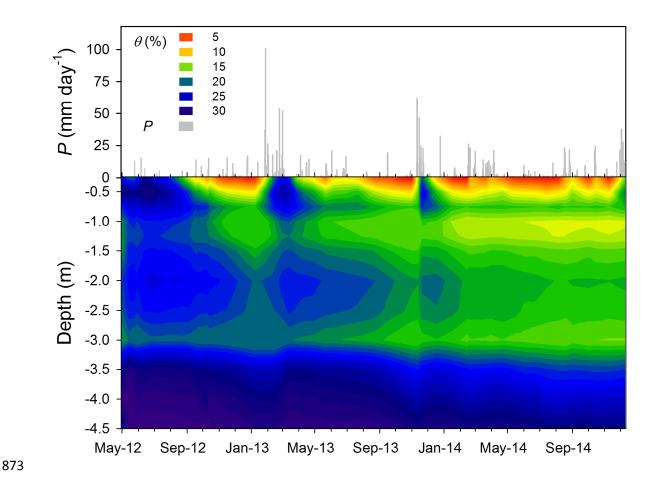
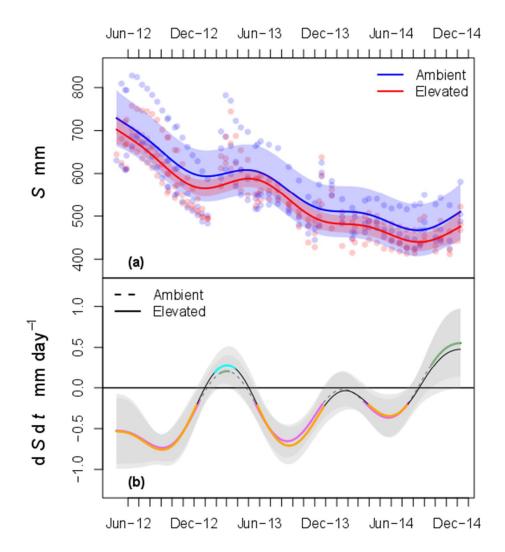


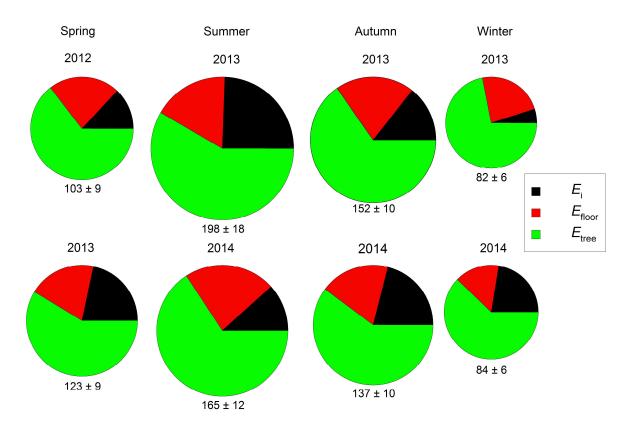
Fig. 1 Meteorological variables during the study period from top to bottom: maximum ( $T_{\rm max}$ ) and minimum ( $T_{\rm min}$ ) daily temperatures (dashed grey lines), mean daily temperature ( $T_{\rm mean}$ ), mean daily water pressure deficit (D, continuous green line) and day-length normalized D ( $D_{\rm z} = D \, n_{\rm d}/24$ , where  $n_{\rm d}$  is the number of daylight hours dashed green line), mean daily wind speed (u), total net radiation ( $R_{\rm n}$ ) and total Penman potential evapotranspiration ( $E_{\rm p}$ ).



**Fig. 2** Daily precipitation (P, grey bars) and temporal evolution of the vertical profile of soil volumetric water content ( $\theta$ ) inferred from mean (n = 6 rings) periodical measurements.



**Fig. 3 (a)** Soil water storage (S) over a soil column of 3 m depth, each point is the mean of two locations within each ring, lines and polygons represent the fitted generalized additive models with their 95% confidence intervals (CI) for each  $CO_2$  treatment level. (**b)** Mean estimated daily changes in S (dS/dt, lines) and 95% CIs (polygons). Rates of change not significantly different from 0 are indicated with dashed (ambient) or continuous (elevated) black lines. Significantly negative changes are indicated with pink (ambient) and orange (elevated) lines and positive changes with cyan (elevated) and green (ambient) lines.



**Fig. 4** Partitioning of total evapotranspiration among: canopy interception  $(E_i)$ , canopy transpiration  $(E_{\text{tree}})$  and understorey transpiration together with floor evaporation  $(E_{\text{floor}})$ . The size of each pie-chart is proportional to the corresponding seasonal evapotranspiration (in mm per season) indicated (site mean  $\pm$  se, n = 6 rings).

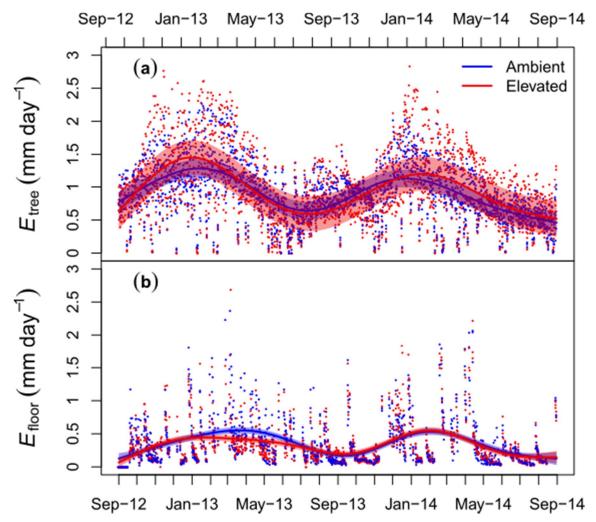


Fig. 5 (a) Estimated daily canopy tree transpiration ( $E_{\rm tree}$ ) and (b) understorey evapotranspiration ( $E_{\rm floor}$ ) for each ring under different  $C_{\rm a}$  concentrations levels: ambient (blue) and elevated (red). Lines and polygons represent the fit of the GAMM for each  $C_{\rm a}$  level with their 95% confidence intervals.  $E_{\rm tree}$  was estimated from mean (n=3-4 trees per ring) sapflow velocities and  $E_{\rm floor}$  was estimated from mean (n=2 locations per ring) changes in shallow (5 cm) soil volumetric water content.

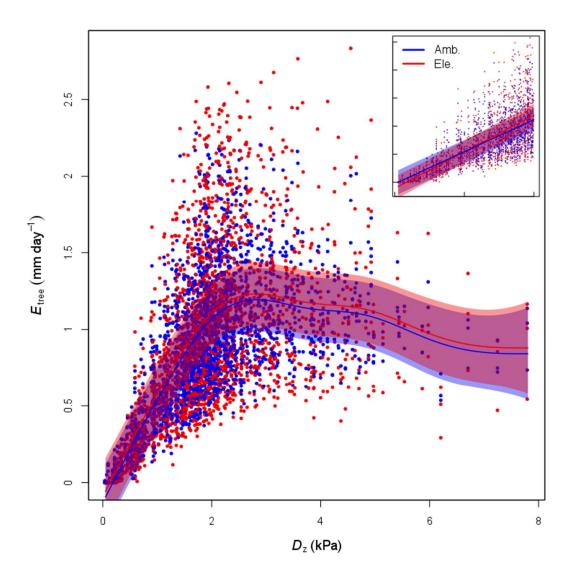


Fig. 6 Estimated daily canopy tree transpiration ( $E_{\rm tree}$ ) under different CO<sub>2</sub> concentrations: ambient (blue) and elevated (red) plotted against day-length normalised mean daily vapour pressure deficit ( $D_z$ ). Lines and polygons represent the estimated fit of the GAMM for each CO<sub>2</sub> level with their 95% confidence intervals (CI).  $E_{\rm tree}$  was estimated from mean (n = 3-4 trees per ring) sapflow velocities. Figure inset represent the fits and CIs of a linear mixed model restricted to  $D_z < 2$  kPa, where daily  $E_{\rm tree}$  increased linearly with  $D_z$  (t = 20.6, p < 0.01) and depicted lines have slopes ( $\pm$ se) of  $0.57 \pm 0.03$  and  $0.67 \pm 0.01$  mm day<sup>-1</sup> kPa<sup>-1</sup>, for ambient and elevated CO<sub>2</sub> levels, respectively.