

1 **THE ECOSYSTEM CARBON SINK IMPLICATIONS OF**
2 **MOUNTAIN FOREST EXPANSION INTO ABANDONED**
3 **GRAZING LAND: THE ROLE OF SUBSOIL AND CLIMATIC**
4 **FACTORS**

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39 **KETWORDS**

40 Land-use change, Woody encroachment, Carbon sequestration, Soil carbon pools,
41 Italy, Winter air temperatures

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43 **HIGHLIGHTS**

- 44 ➤ Woody encroachment over pasture can help mitigate the effects of climate
45 change
- 46 ➤ Soil and biomass C pools were estimated in six areas with a chronosequence
47 approach
- 48 ➤ Belowground C pools' (soil + belowground biomass) changes lead ecosystem C
49 dynamics
- 50 ➤ Winter air temperatures are the best predictors for sites' overall SOC stock
51 changes
- 52 ➤ Subsoil omission leads to substantial ecosystem C underestimation

53

54 **ABSTRACT**

55 Woody encroachment is a widespread phenomenon resulting from the abandonment of
56 mountain agricultural and pastoral practices during the last century. As a result, forests
57 have expanded, increasing biomass and necromass carbon (C) pools. However, the
58 impact on soil organic carbon (SOC) is less clear. The main aim of this study was to
59 investigate the effect of woody encroachment on SOC stocks and ecosystem C pools in
60 six chronosequences located along the Italian peninsula, three in the Alps and three in
61 the Apennines. Five stages along the chronosequences were identified in each site.
62 Considering the topsoil (0-30 cm), subsoil (30 cm-bedrock) and whole soil profile, the
63 temporal trend in SOC stocks was similar in all sites, with an initial increment and

64 subsequent decrement in the intermediate phase. However, the final phase of the woody
65 encroachment differed significantly between the Alps (mainly conifers) and the
66 Apennines (broadleaf forests) sites, with a much more pronounced increment in the
67 latter case. Compared to the previous pastures, after mature forest (>62 years old)
68 establishment, SOC stocks increased by: 2.1(mean) \pm 18.1(sd) and 50.1 \pm 25.2 MgC.ha⁻¹
69 in the topsoil, 7.3 \pm 17.4 and 93.2 \pm 29.7 MgC.ha⁻¹ in the subsoil, and 9.4 \pm 24.4 and
70 143.3 \pm 51.0 MgC.ha⁻¹ in the whole soil profile in Alps and Apennines, respectively.
71 Changes in SOC stocks increased with mean annual air temperature and average
72 minimum winter temperature, and were negatively correlated with the sum of summer
73 precipitation. At the same time, all other C pools (biomass and necromass) increased by
74 179.1 \pm 51.3 and 304.2 \pm 67.6 MgC.ha⁻¹ in the Alps and the Apennines sites, respectively.
75 This study highlights the importance of considering both the subsoil, since deep soil
76 layers contributed 38% to the observed variations in carbon stocks after land use
77 change, and the possible repercussions for the carbon balance of large areas where
78 forests are expanding, especially under pressing global warming scenarios.

79 1 INTRODUCTION

80 Anthropogenic land-use change (LUC) is a key process greatly contributing to the
81 observed changes in CO₂ and other greenhouse gases (GHGs) in the atmosphere
82 (Houghton, 2003; Kalnay and Cai, 2003). The contribution of LUC to observed
83 changes in soil and vegetation carbon stocks is widely recognised (Foley *et al.*, 2005),
84 but its contribution to atmospheric CO₂ sequestration and C storage is still a major
85 uncertainty in the global carbon balance (Smith *et al.*, 2014).

86 Human activities have transformed between one-third and one-half of the Earth land
87 surface (Vitousek *et al.*, 1997), particularly since the industrial revolution (Goldewijk
88 and Battjes, 1997). Two main opposite processes are responsible for forest surface
89 variation over time: deforestation and forest expansion (for both natural and human
90 induced forces). According to FAO (2016), between 2010 and 2015, the worldwide
91 forest surface has decreased at a rate of 3.3 million ha/yr, suggesting a net prevailing
92 effect of deforestation. The same authors indicated the tropical ecosystems of South
93 America, Southeast Asia and Africa as the main deforested zones in the last decades.
94 On the opposite, a net forest increment has been described by FAO (2016) in boreal and
95 temperate zones (including Mediterranean ones). Focusing at the European level, FAO
96 (2016) reported a mean net forest surface increment of 0.4 million ha/yr (period 2010-
97 2015). Zooming to Italy, Corona *et al.* (2012) and Marchetti *et al.* (2012) recorded a
98 forest expansion of more than 500 kha (~1.7% of country area) between 1990 and 2008.
99 These results are in line with Archer (2010), who noticed that, after the Second World
100 War, a substantial proportion of the global LUC has resulted from agricultural land
101 abandonment. This trend has been widely observed in Europe (e.g. MacDonald *et al.*,
102 2000; Tasser *et al.*, 2007; Fuchs *et al.*, 2013) and in Italy (Tasser and Tappeiner, 2002;

103 Höchtl *et al.*, 2005; Falcucci *et al.*, 2007). Land abandonment in mountain territories, as
104 a result of the movement of people in search of economic opportunities (Lambin *et al.*,
105 2001), has led to widespread woody plant invasion on former pastures and croplands.
106 As a consequence, new forests have generally established in less accessible and
107 productive areas (Tappeiner *et al.*, 2008; Zimmermann *et al.*, 2010). Fuchs *et al.* (2013)
108 estimated that, from 1950 to 2010, about 8% of the Southern Europe land had been
109 transformed from grazing land to woody vegetation. In Italy, Corona *et al.* (2012) and
110 Marchetti *et al.* (2012) have estimated that, from 1990 and 2008, pastures area has been
111 reduced by about 1%.

112 Understanding the effect of woody encroachment on total ecosystem C stocks requires
113 estimating all the C pools: soil organic carbon (SOC), above-ground biomass (AGB),
114 below-ground biomass (BGB), woody debris and litter (Penman *et al.*, 2003). Both
115 human induced (e.g. plantations) and natural (e.g. natural forest expansion because of
116 the reduction of human pressure) establishment of woody plant species lead to an
117 increase in the structure and biomass of vegetation as well as in the amount of
118 deadwood and litter and, in turn, to changes in SOC (Thuille and Schulze, 2006; Alberti
119 *et al.*, 2008; Guidi *et al.*, 2014a; 2014b). While woody encroachment and
120 afforestation/plantation over croplands often leads to a significant increase in SOC
121 stocks (Post and Kwon, 2000; Guo and Gifford, 2002; Laganière *et al.*, 2010; Poeplau
122 and Don, 2013; Deng *et al.*, 2016), grazing land afforestation generally decreases (e.g.
123 Guo and Gifford, 2002; Thuille and Schulze, 2006; Li *et al.*, 2012) or does not
124 significantly affect SOC stocks (Laganière *et al.*, 2010; Poeplau *et al.*, 2011; Deng *et*
125 *al.*, 2016). Differences in SOC stock change results due to the latter LUC are, generally,
126 linked to tree species plantation, with a clearer SOC stock decrement under conifer with

127 respect to broadleaves (Guo and Gifford, 2002). In addition, afforestation/plantation
128 processes are generally characterised by a previous site preparation for new woody
129 species allocation (Poeplau *et al.*, 2011). According to Don *et al.* (2009), this practice
130 encourages the soil organic matter mineralization and causes the removal of grassland
131 vegetation, leading, at least in the few years following the woody species plantation, to
132 a decrease in SOC stock. On the other side, the SOC stock development for natural and
133 spontaneous woody encroachment on pastures and grasslands, a process which is not
134 characterised by the site preparation (Paul *et al.*, 2002), is less clear (Poeplau *et al.*,
135 2011; Guidi *et al.*, 2014a). Indeed, recent studies show contrasting results, with negative
136 (e.g. Alberti *et al.*, 2008; Guidi *et al.*, 2014a; Pinno and Wilson, 2011; La Mantia *et al.*,
137 2013), positive (e.g. Feldpausch *et al.*, 2004; Fonseca *et al.*, 2011; La Mantia *et al.*,
138 2013; Chiti *et al.*, 2016) or statistically non-significant effects (Risch *et al.*, 2008; La
139 Mantia *et al.*, 2013) of woody encroachment on SOC stocks. According to Jackson *et al.*
140 *al.* (2002) and Alberti *et al.* (2011), these differences in SOC stock changes over time
141 among sites are driven by study areas' climatic conditions, in particular by the mean
142 annual precipitation.

143 In the Italian peninsula, most of the studies dealing with woody encroachment have
144 been carried out in the Alps (e.g. Alberti *et al.*, 2008; Risch *et al.*, 2008; Guidi *et al.*,
145 2014a), where generally mean annual precipitation is higher than the 1000 mm/yr
146 threshold and where a summer dry stress does not generally occur. However, regions
147 with more typically Mediterranean climatic conditions such as the Apennines, which are
148 characterised by lower mean annual precipitations values and ordinary summer dry
149 stress occurrence, have also undergone considerable woody encroachment. These areas
150 are much less studied than Alps.

151 To accurately describe the changes occurring in the different C pools with woody
152 encroachment on former pastures in Italy, we used a chronosequence approach (Walker
153 *et al.*, 2010) in six different areas located in the Alps and along the Apennines mountain
154 ranges. Specific aims were: (i) to investigate the effect of woody encroachment on SOC
155 stocks considering the whole soil profile (top and subsoil); (ii) to investigate the effect
156 of climate on SOC stock changes in Mediterranean conditions and (iii) to determine the
157 effect of woody encroachment on whole ecosystem C stocks (including all the pools
158 listed in the IPCC). Particular attention was given to the transient dynamics between the
159 endpoints of pasture and mature forest succession stages, for which no information is
160 currently available in central and Southern Italian mainland. We hypothesised that: (i)
161 since shrubs and trees develop much deeper roots than grass species, the subsoil SOC
162 stock is fundamental to accurately quantify potential changes in soil carbon pool, (ii)
163 precipitation and temperature are the main determinants of productivity in mountain
164 areas, and thus of changes in soil and ecosystem carbon stocks, and (iii) the effect of
165 woody encroachment differs between conifer and broadleaf forests not only because of
166 plant species but also because of local climatic conditions.

167 **2 MATERIALS AND METHODS**

168 **2.1 Study sites and chronosequence approach**

169 The woody encroachment process was examined in two study areas: Alps and
170 Apennines. Three sites each were selected along a longitudinal (or East-West) gradient
171 in the Alps and along a latitudinal (or North-South) gradient in the Apennines (Figure
172 1), corresponding to the main orientation of the two mountain ridges. The sites differ in
173 bedrock, soil type, climate and forest vegetation characteristics (Table 1). Monthly

174 climatic data (average of minimum air temperature, average of mean air temperature,
175 average of maximum air temperature and precipitation) for each site for the period
176 1951-2015 were provided by Bologna ISAC-CNR who estimated them using the
177 methodology of Brunetti *et al.* (2012).

178 In addition to mean annual precipitation (MAP) and mean annual temperature (MAT),
179 we calculated winter cold (T_{min_w}) and summer dry stress (P_s) derived from Mitrakos
180 (1980), and the potential net primary productivity ($potNPP$):

- 181 • T_{min_w} , which is the average of minimum winter temperatures of the three
182 coldest months (December, January and February);
- 183 • P_s , which is the sum of averaged summer precipitation in the three summer
184 months (June, July and August);
- 185 • The Lieth (1972) potential net primary productivity ($potNPP$) estimated on the
186 base of the limiting factor of MAP or MAT (Eq. 1), as

$$187 \quad potNPP = \min (NPPt, NPPp), \quad [1]$$

188 Where

$$189 \quad NPPt = 3000 \times (1 + \exp (1.315 - 0.119 \times MAT))^{-1}, \text{ and} \quad [2]$$

$$190 \quad NPPp = 3000 \times (1 - \exp (-0.000664 \times MAP)). [3].$$

191 The variable $potNPP$ is expressed in grams of dry matter per square metre per year.

192 Site selection was based on the information collected from local research groups, forest
193 rangers, available literature and local population. The validity of the previous
194 information was verified by comparison with airborne digital orthophoto series for the
195 last 60-70 years at each site. The most representative images of each site were
196 downloaded by Geoportale Nazionale website (MATTM, 2015) or bought from the
197 Istituto Geografico Militare (IGM, 2014) and analysed by visual interpretation on a

198 GIS. This process allowed the application of the chronosequence approach based on the
199 *space-for-time* substitution concept (Austin, 1981; Huggett, 1998; Walker *et al.*, 2010).
200 This consists on a synchronic (i.e. at the same time) analysis of a series of spatially
201 separated areas, representing the different succession stages of the considered woody
202 encroachment process. Depending on the site, five or six succession stages per
203 chronosequence were identified: two extreme succession stages (pasture T0; and mature
204 forest T5) which had not shown any substantial variation in vegetation cover during the
205 time span covered by the orthophoto series; and three or four intermediate succession
206 stages (T1, T2, T3 when present, and T4). These stages were characterised by a grazing
207 land cover at the beginning of the considered period (in the oldest orthophoto) and had
208 been encroached by woody plant species in different moments in the past. In particular,
209 at the present time:

- 210 • T1 succession stages are characterised by a predominant grass vegetation cover
211 with only some woody plants species (mean of 12.5 years from pastures
212 abandonment);
- 213 • T2 succession stages are characterised by a more dense shrubs vegetation with
214 respect to T1 and perennial grasses (mean of 24 years from pastures
215 abandonment);
- 216 • T3 succession stages are characterised by a young forest vegetation sometime
217 mixed to underlying shrubs coverage (mean of 30 years from pastures
218 abandonment);
- 219 • T4 succession stages are characterised by adult tree species with or without
220 underlying shrubs stratum (mean of 52 years from pastures abandonment).

221 Because of the extreme medium- and small-scale landscape heterogeneity of the
222 mountain territories where the woody encroachment takes place in Italy, the
223 succession stages unavoidably showed some variability in slope position, exposure,
224 steepness and elevation (Table 2). All these parameters were recorded and the
225 exposure data were broken down into sine and cosine of the original aspect angle in
226 order to consider both the variability along East–West and North–South axes,
227 respectively. The variations of all these parameters were minimised by selecting all the
228 succession stages from each chronosequence in a close-range area, no more than 2 km
229 apart from each other, and excluding zones with significant variation in lithology,
230 pedology and phytoclimate. In addition, all the sources of variability listed above have
231 been taken into account as a potential source of bias. Finally, the succession stages
232 were described on the basis of their woody plant species (Table 2).

233 **2.2 Soil sampling and processing**

234 The soil sampling design followed the protocol proposed by the Joint Research Centre
235 of the European Commission (Stolbovoy *et al.*, 2007). In each succession stage, three
236 squared cells were selected with a pseudo-random sampling approach, satisfying the
237 original cells non-contiguity constraint. Although the original method is based on the
238 guidelines recommended by Penman *et al.* (2003), some modifications were adopted. In
239 particular, we considered the whole soil profile reaching the bedrock depth: 0-5, 5-15,
240 15-30 (topsoil), and 30-50, 50-70 cm (subsoil), depth intervals.

241 In each sampling cell, soil samples were collected following a grid of 5x5 sampling
242 points. For each layer, a homogeneous composite sample ($n = 25$) was collected and
243 transported to the laboratory for physico-chemical analyses. In the central point of each
244 sampling plot a small profile was dug down to 30 cm depth to describe the topsoil

245 horizons according to Schoeneberger *et al.* (2002). Samples for bulk density (BD)
246 estimation were collected from the three upper layers (0-5, 5-15, 15-30 cm) using a
247 metal cylinder with a known volume (diameter = 5 cm, height = 5 cm, vol. = 34.3 cm³)
248 according to Blake (1965). No BD samples were collected from the layers below 30 cm
249 depth because of the excess of the rock fragments in the subsoil. For these layers, BD
250 was estimated using the pedotransfer function (Eq. 4) proposed by Adams (1973),
251 which showed the best performance according to the review of De Vos *et al.* (2005).

$$252 \quad BD = \frac{1}{(a + b \times \%C)}, \quad [4]$$

253 where BD is the soil bulk density (kg m⁻³), *a* and *b* are constants, and % *C* is the soil *C*
254 percentage. We decided to applied the values of *a* = 0.686 and *b* = 0.085 suggested by
255 Chiti *et al.* (2012) for the 30-100 cm soil compartment because BD estimations with the
256 coefficients used by the authors for the 0-30 cm soil compartment fitted very well with
257 our topsoil BD measurements.

258 All the soil samples were dried out in an oven at 60 °C until they reached a constant
259 mass. The dry samples for physico-chemical analyses were crushed and sieved at 2 mm.
260 Both the coarse rock fragments (> 2 mm) and the fine earth (< 2 mm) fractions were
261 weighed to estimate the percentage of each component.

262 The fine earth (i.e. the soil) pH was potentiometrically measured in deionised water
263 with a soil–liquid ratio of 1:2.5 (Van Reeuwijk, 2002), with the Mettler Toledo Easy pH
264 Titrator System. To determine SOC concentration, aliquots of each fine earth sample
265 were pulverised to a soil dust and weighted with a precision scale (± 1µg). Then, they
266 were treated two consecutive times with 40 µl of 10% HCl solution to remove inorganic

267 carbonates. The organic C (C_{org}) was measured by dry combustion using a CHN-
268 Elemental Analyser (Thermo-Finnigan Flash EA112 CHN).

269 Finally, the SOC_{stock} (kg Cm^{-2}) was determined for each layer according to Boone *et al.*
270 (1999) equation [5] as:

$$271 \quad SOC_{stock} = C_{org} \times BD \times d \times \left[1 - \left(\frac{\%mass_r}{100}\right)\right], [5]$$

272 where C_{org} is the organic C concentration (kg C.kg^{-1} soil), BD is the bulk density (in kg
273 soil m^{-3}), d is the depth of the considered layer (cm) and $\%mass_r$ is the percentage in
274 mass of rock fragments.

275 In addition, for each soil profile, the SOC stocks of each layer were added together in
276 order to estimate topsoil (0 - 30 cm), subsoil (30 - 70 cm) and whole profile (0 - 70 cm)
277 SOC stocks. The SOC stocks of different soil profiles were compared on the basis of the
278 equivalent soil depth approach. This approach was preferred to the equivalent soil mass
279 method suggested by Ellert and Bettany (1995) for two main reasons: (1) the Stolbovoy
280 *et al.* (2007) sampling design used in this study is based on the equivalent soil depth
281 approach; and (2) we assume that root systems affect biopores formation and thus the
282 soil bulk density. Therefore, in our case, the possible differences in soils bulk density
283 had to be attributed to the root system growth rather than to the result of agricultural
284 tillage practices (as described by Ellert and Bettany, 1995).

285 **2.3 Living biomass estimation**

286 AGB and dead organic matter were estimated in three succession stages per
287 chronosequence: T0 (pasture), T2 (shrubland) and T5 (mature forest). In each of them,
288 we selected three circular sampling plots (13 m of radius), around the profiles used for
289 soil description, following the Italian national forest inventory protocol of the Italian
290 Ministry of Agricultural and Forestry Policies (MPAF, 2006) and Bovio *et al.* (2014).

291 The original sampling protocol version was applied for pastures and mature forests,
292 while it was slightly modified for the shrubland succession stages. Indeed, the high
293 shrubs spatial heterogeneity in T2 succession stages forced us to estimate their biomass
294 in the whole circular sampling plots of 13 m radius, instead of the smaller 2 m radius
295 areas as described in the original sampling protocol (see Table S3 and Figure S8). Trees
296 AGB was estimated according to allometric equations suggested by Tabacchi *et al.*
297 (2011). The same approach was adopted for *Corylus avellana* L. and *Rosa canina* L.,
298 which were the only two shrub species we found in the field with published allometric
299 equations in the consulted literature (Alberti *et al.*, 2008; Blujdea *et al.*, 2012). For the
300 other shrub species, all the individuals of each species were counted in each sampling
301 area. Only one representative (in terms of diameter at collar height, total plant height,
302 and the two crown diameters dimensions) plant per species was collected in the field
303 and transported to the laboratory for the dry mass procedure. The same approach was
304 adopted for all grass species.

305 Because of the lack of specific root-to-shoot ratios (R/S) for all the woody and grasses
306 species observed, the BGB was estimated by adopting the vegetation-specific R/S
307 according to Mokany *et al.* (2006).

308 **2.4 Dead organic matter estimation**

309 For dead organic matter estimation, we followed the sampling protocol by Alberti *et al.*
310 (2008) summarised in Table S3 and Figure S8. Dead organic matter was divided into
311 litter, fine (FWD; $\emptyset < 5$ cm) and coarse woody debris (CWD; $\emptyset > 5$ cm) on the forest
312 floor, and standing dead trees. The litter layer was collected in the succession stages
313 where it was present using a 20 x 20 cm plot randomly placed inside each sampling
314 plot. FWD was collected in four sampling areas of 0.25 m² per plot. Litter and FWD

315 mass was estimated drying out and weighting the collected samples in the laboratory.
316 For the CWD, because no samples were collected to estimate wood density data, it was
317 only possible to estimate the volume (V_{CWD} in $\text{m}^3 \text{ha}^{-1}$) according to the methods (Eq. 6)
318 of Harmon and Sexton (1996).

$$319 \quad V_{\text{CWD}} = 9.869 \times \sum \left(\frac{d^2}{8L} \right), \quad [6]$$

320 where d is the fragment diameter (m) and L (m) is the sum of the lengths of both North-
321 to-South and East-to-West 26 meters-long transects.

322 The volume of standing dead trees (V_{SDT} in m^3) was estimated using the formula (Eq. 7)
323 suggested by Alberti *et al.* (2008):

$$324 \quad V_{\text{SDT}} = 0.5 \times \left(\frac{\pi}{4} \right) \times \text{DBH}^2 \times H, \quad [7]$$

325 where DBH (cm) and H (m) are diameter at breast height and total height, respectively.

326 Then, the measurements were converted into mass by means of a wood density
327 estimation measured by species-specific fresh wood density (Global Wood Density
328 Database, 2015) and the decrease decay wood density class values described by Alberti
329 *et al.* (2008).

330 Finally, all living biomass and dead organic matter pools were converted to carbon,
331 adopting the 0.475 conversion factor proposed by Magnussen and Reed (2004).

332 **3 CALCULATION AND STATISTICAL ANALYSES**

333 Considering the soil C pool, the prevalent role of the succession stage in explaining
334 the SOC stock changes with respect to the other variables (elevation, steepness,
335 exposure and slope position) was tested in all the sites together and separately for each
336 of the two study areas, by means of a linear mixed-effect model. Then, the values of
337 each continuous explicatory variable were feature-scaling standardised (normalised)

338 on the basis of the variability inside each site. Finally, succession stage, slope position,
339 elevation, steepness, North and East exposures were considered as fixed-effect of the
340 saturated model, while site was considered as random (intercept). The saturated model
341 was progressively simplified removing the variables that did not significantly affect
342 the SOC stock changes. The simplest model that does not statistically differ from the
343 saturated one (ANOVA likelihood comparison with $P = 0.05$) was preferred. The
344 weight of the selected variables was evaluated removing one by one in the simplest
345 model and comparing the associated Akaike Information Criterion (AIC) values. For
346 the simplest model, residuals homoskedasticity and normality assumptions were
347 verified by means of residuals vs. fitted plot, quantile-quantile plot and by means of
348 Shapiro-Wilk normality test. Each of these analyses was performed three times: one
349 for the whole soil profile (0 cm – bedrock), one for the topsoil (0-30 cm depth) and
350 one for the subsoil (30 cm – bedrock).

351 The difference in proportional SOC stock change from pasture to forest (i.e. forest
352 SOC stock / pasture SOC stock) among the study areas was tested by a non-parametric
353 Kruskal-Wallis comparison, both for the topsoil and subsoil, as a previous test on the
354 residuals showed that they were not normally distributed.

355 SOC concentration changes along the three main chronosequence succession stages
356 (pasture [T0], shrubland [T2] and mature forest [T5]) for both soil profiles were tested
357 by a two-way ANOVA. The following pairwise t-test comparison was performed
358 when necessary. These analyses were performed separately for each study area.

359 The proportional SOC stock change from pasture to forest in each chronosequence
360 was plotted against the values of the climatic indexes (see Table 1). A linear model
361 was applied to the data in order to explain the relationship between SOC stock

362 changes and climatic predictors. No normality assumption was made because of the
363 limited number of sites ($n = 6$) considered in the present study.

364 Finally, each C pool was considered separately. The mean C stocks were compared
365 among succession stages and study areas, by means of two-way ANOVAs. The
366 following pairwise t-test comparison was performed when necessary. The same
367 analyses were performed considering the whole ecosystem C stock (i.e., the sum of all
368 the stock of each pool).

369 All the statistical analyses were performed in RStudio (RStudio Team, 2015). Linear-
370 mixed models, Kruskal-Wallis comparison, linear regressions and two-way ANOVAs
371 were performed by means of the following functions, respectively: *lmer* contained in
372 the R package *lme4* (Bates *et al.*, 2017), *kruskal.test*, *lm*, *anova(lm)*.

373 **4 RESULTS**

374 **4.1 Effect of woody encroachment on SOC stocks**

375 The simplest statistical model included only the following variables: the succession
376 stage, the exposure along North-to-South axis, and the interaction between succession
377 stages and study areas (Table S4); the “site” was instead treated as a random intercept.
378 High significant differences between the simplest model without the succession stage
379 factor and the corresponding model without the exposure one were confirmed by the
380 ANOVA statistics ($\chi^2(9) = 86.71$, $P < 0.001$). In addition, the higher AIC of the
381 simplest model without the succession stage factor with respect to that of the simplest
382 model without the exposure factor indicated that the succession stage was more
383 important than the exposure in explaining the SOC stock changes over time in both
384 study areas.

385 In the Alps sites (Figure 2a), only the shrubland succession stage [T2] showed a
386 significantly higher SOC stock ($+65.5 \pm 13.4 \text{ Mg C ha}^{-1}$; $P < 0,001$) with respect to the
387 pasture succession stage [T0]. In the Apennines (Figure 2b), significantly higher SOC
388 stock with respect to pasture succession stage [T0] were estimated in the first
389 encroached succession stage [T1] ($P < 0.01$), in shrubland [T2] ($P < 0.001$) and in the
390 mature forest [T5] ($P < 0.001$) (Table S4).

391 The simplest model outputs showed that woody encroachment in the Alps sites
392 affected SOC stocks differently than in the Apennines sites. Indeed, highly significant
393 differences ($P < 0.001$) were observed when comparing Alps and Apennines mature
394 forest succession stages, with higher SOC stock values observed in the latter one
395 (Figure 2, Table S4).

396 For the topsoil, the comparison between Alps and Apennines sites showed
397 considerable differences only for the first succession stage [T1] ($P = 0.076$) and
398 mature forest [T5] ($P < 0.001$), with higher SOC stock in the Apennines than in the
399 Alps (Table S5). While in the Alps sites there were no significant differences between
400 succession stages ($P = 0.068$), in the Apennines, higher SOC stock than in pasture
401 [T0] were estimated for the first succession stage [T1] ($P = 0.04$), shrubland [T2] ($P =$
402 0.005) mature forest [T5] ($P < 0.001$) (Table S5). Similarly to the whole profile, high
403 significant differences between the simplest model without the succession stage factor
404 and the corresponding model without the exposure factor have been estimated ($\chi^2(9) =$
405 33.38 , $P < 0.001$) with a higher AIC estimator in the former case (AIC of 898.3) than
406 in the latter one (AIC of 882.9).

407 In the subsoil, the comparison between Alps and Apennines sites showed significant
408 differences only for the mature forest succession stage [T5] ($P < 0.001$), with

409 significantly higher SOC stocks in the Apennines than in the Alps. In both, the Alps
410 and Apennines sites, the shrubland [T2] had significantly higher (Alps: $P < 0.001$,
411 Apennines: $P < 0.001$) SOC stock than the initial pasture [T0] sites. However, in the
412 subsoil of Apennine sites, significantly higher values in SOC stocks with respect to
413 initial pasture [T0] were estimated for the first succession stage [T1] ($P = 0.025$) and
414 mature forest [T5] ($P < 0.001$) in the subsoil (Table S6).

415 When differences in SOC stocks between succession stages were significant for the
416 whole soil profile, it was mainly attributable to subsoil SOC stock changes (Figure 3).
417 Indeed, the proportional changes in the SOC stocks from pasture to mature forest were
418 significantly different between sites located in the Alps (mature forest SOC stock /
419 pasture SOC stock = 1.06 and 1.11) and those in the Apennines (2.06 and 3.66) for the
420 whole soil profile and the subsoil compartment, respectively. No significant
421 differences were observed for the topsoil.

422 **4.2 Changes in SOC through the soil profile**

423 Considering the three main chronosequence stages (pasture, shrubland and mature
424 forest), in each of them the SOC concentrations progressively decreased through the
425 soil profiles (Figure 4; lower case letters describe statistically significant differences in
426 each panel). This trend can be observed in both Alps and Apennines sites groups. In
427 the Alps, no significant differences were observed among succession stages (Figure 4;
428 capital letters denote significant differences between stages in each column). However,
429 shrublands had consistent, although not highly significant, higher C contents with
430 respect to both pastures ($P = 0.053$) and to mature forest stages ($P = 0.114$). In the
431 Apennines group, mature forests had significantly higher C contents with respect to
432 both pastures ($P = 0.003$) and mature forest stages ($P = 0.014$).

433 **4.3 The role of climate on the effect of woody encroachment on SOC stocks**

434 SOC stock increased with mean annual temperature (MAT) (Figure 5a). In addition,
435 SOC stock increased with increasing average of minimum winter temperature (T_{min_w})
436 (Figure 5b), and decreased with increasing sum of the summer precipitation (P_s)
437 (Figure 5c). In all cases the slope of the linear regressions is significantly different
438 from zero ($P < 0.05$) and with a goodness-of-fit (r^2) higher than 0.65. Even if SOC
439 stock is significantly correlated with both T_{min_w} and P_s , our results show that T_{min_w}
440 explains 10% more variability than P_s . Neither MAP nor other climatic variables
441 statistically affected SOC stock changes.

442 **4.4 Effect of woody encroachment on ecosystem C stocks**

443 While a significant difference (increment) appeared only in SOC stock between
444 pasture [T0] and shrubland [T2], above-ground living biomass, woody debris, litter
445 and below-ground living biomass C stocks increased over time with a significant
446 difference ($P < 0.05$) between mature forest stage [T5] and the previous ones (pasture
447 [T0] and shrubland [T2], see Figure 6). Woody encroachment had similar effects in
448 the Alps and Apennines for all the C pools and all the succession stages, with the
449 exception of litter and SOC stocks in the mature forest. Indeed, Alps mature forests
450 were characterised by both significantly higher litter stocks and significantly lower
451 SOC stocks compared to corresponding succession stages in the Apennines.

452 The ecosystem C stock estimated by adding all the C pools together showed a
453 progressive and significant increase along the woody encroachment process. The post-
454 hoc comparison revealed that significant differences were present between each pair of
455 succession stages ($P < 0.05$). No significant differences were observed between the
456 sites in the Alps and in the Apennines (Figure 7).

457 **5 DISCUSSION**

458 This study showed that the most important parameter explaining SOC stock changes
459 along the woody encroachment process was the time since abandonment (succession
460 stage). Site exposure was also found to have a significant effect on SOC stock
461 changes, in particular due to the variation along the North-to-South facing slopes, with
462 an increase observed from North to South. This result is in line with Yimer *et al.*
463 (2006) and Sigua and Coleman (2010), and we argue that the exposure can be consider
464 as a proxy for other environmental parameters (like temperature and radiation)
465 because it is linked to the higher forest potential net primary productivity (NPP) on
466 warm South-exposed slopes with respect to the cooler North-exposed ones. This
467 explanation is consistent with the insight obtained by applying the methodology of
468 Lieth (1972), which identifies low temperature, rather than precipitation, as the main
469 limiting factor for the vegetation potential NPP estimation of the sites considered
470 (Pignola, the most southern one, being an exception).

471 In addition, this study showed that the subsoil stores a significant percentage of the
472 whole profile SOC stock (an average of 38%) and that the effect of woody
473 encroachment on SOC stock changes are significant not only on the topsoil
474 compartment, but also in the subsoil one.

475 **5.1 Effect of woody encroachment on SOC stocks**

476 Unlike previous studies (e.g. Montané *et al.*, 2007; Alberti *et al.* 2008; Pinno and
477 Wilson, 2011; Guidi *et al.* 2014a), non-monotonic SOC stocks trends were observed in
478 all the study sites. Indeed, we observed a significant SOC stock increment in the initial
479 phase of the process (from pasture to shrubland succession stages) followed by
480 comparable decrease during the intermediate phase (between shrubland and young

481 forest succession stages). A similar trend was observed by La Mantia *et al.* (2013) in
482 thermomediterranean climatic conditions, and by Thuille *et al.* (2000). The latter
483 study, in the slightly different context of afforestation, observed a similar increase in
484 the 25-year-old succession stage in one site in the Italian Alps. The authors attributed
485 this increase to the extensive grazing, which could have added a significant amount of
486 C to the soil through animal faeces. Although in the present study some grazing
487 pressure was observed in the intermediate succession stage of some sites (e.g. Castello
488 Tesino and Mel), it cannot be considered extensive. However, we observed that
489 intermediate succession stages (shrublands) were characterised by higher woody plant
490 species diversity and spatial heterogeneity, and higher grass biomass compared to the
491 other succession stages. Therefore, it could be hypothesised that, in intermediate
492 succession stages, the observed higher plant taxonomic and functional diversity was
493 associated with an increase in the exploration of belowground resources and niches
494 (e.g. high investment in fine roots for maximization of resource acquisition and
495 colonization of deeper layers of soil profile), facilitating the organic C accumulation in
496 the soil compartment.

497 The reduction of the SOC stocks between shrubland [T2] and the establishment of
498 young forests [T4] is more difficult to explain. Other possible causes, not (or only
499 indirectly) linked to the woody encroachment process, were considered as potential
500 explanations, in particular: 1) the older succession stages of selected chronosequences
501 (T4 and T5) are located in areas with steeper slope, lower accessibility and lower soil
502 fertility with respect to younger succession stages; 2) SOC leaching and 3) a SOC
503 mineralisation process potentially promoted in some succession stages with respect to
504 others. However:

505 1) The linear mixed-effect model did not identify slope position and steepness as
506 significant parameters in explaining SOC stock changes, suggesting that their
507 variability in each chronosequence did not significantly affect soil erosion/deposition;
508 2) SOC concentration gradually decreased with soil depth similarly in all the
509 succession stage of each chronosequence, suggesting no evidence of more intense
510 leaching processes in one of them. Moreover, according to theory, we hypothesised
511 that the progressive canopy closure woody encroachment processes facilitate SOC
512 accumulation reducing both horizontal soil erosion and vertical C_{org} translocation and;
513 3) No consistent correlations were observed between SOC stock and microbial
514 biomass, a microbial synthetic enzymatic index and soil heterotrophic respiration in
515 the 0-5 and 5-15 cm soil depth intervals of all pasture, shrubland and mature forest
516 succession stages (Pellis *et al.* in preparation).

517 Though it was not possible to definitively exclude the contribution of these causes and
518 the role of their interactions, the main likely cause of the SOC stock decrease during
519 the intermediate phase of the process was the change in vegetation type, from a
520 taxonomic and functionally diverse intermediate state to a tree-dominated ecosystem.
521 Therefore, young forest succession stages, where slow accumulation of recalcitrant
522 compounds derived from woody vegetation (above- and below-ground litter) occurs,
523 were arguably unable to compensate for the drop of the input of more labile
524 compounds like herbaceous litter (Thuille and Schulze, 2006; Poepalu *et al.*, 2011)
525 and manure. In addition, according to Muys *et al.* (1992) and Poeplau *et al.* (2011),
526 trees coverage likely suppresses bioturbation activity, especially under conifer stands.

527 All sites were characterised by similar SOC stock patterns over time during the young
528 forest succession stages of woody encroachment. However, marked differences

529 between Alps and Apennines sites were observed in the mature forest succession
530 stages [T5]. While in the sites located in the Alps woody encroachment did not affect
531 soil carbon stocks, in those located in the Apennines soil carbon stocks increased.
532 These differences may be explained by both vegetation type and climate. Indeed,
533 temperature does not only affect the dead organic matter degradation rate (its
534 mineralisation is exponentially correlated with temperature (Jenkinson *et al.*, 1991)),
535 but also tree plant species composition: conifers presence (in the Alps) and their
536 absence (in the Apennines) (Paul *et al.*, 2002). Moreover, these taxa differ not only in
537 root depth distribution (generally deeper in broadleaves than in conifers) but also in
538 the absence of herbaceous vegetation in conifer forests (Vesterdal *et al.*, 2002, Pérez-
539 Cruzado *et al.*, 2011, Poeplau and Don 2013), in the different litter quality (C/N
540 conifers > C/N broadleaves) (Rey and Jarvis, 2006) and, therefore, in litter
541 decomposability rate (Vesterdal *et al.*, 2002; Vesterdal *et al.*, 2008; Pérez-Cruzado *et*
542 *al.*, 2011, Poeplau and Don 2013). Furthermore, the higher amount of recalcitrant
543 chemical compounds in the conifers forest floor (high C/N, phenols, lignins, suberins,
544 etc.) tends to reduce litter palatability and soil pH, which in turn, negatively affect
545 both nutrient availability for microbes (Miles, 1985; Lucas-Borja *et al.*, 2010) and
546 earthworm activity (Muys *et al.*, 1992).

547 On average, SOC stocks measured in the whole soil profile ranged between 136 and
548 249 Mg C ha⁻¹. This is somewhat consistent with the slightly lower values (101-140
549 Mg C ha⁻¹) observed by Hiltbrunner *et al.* (2013) in the 0-80 cm depth layer.
550 Comparisons with other studies in the Alps region (Thuille *et al.*, 2000; Thuille and
551 Schulze, 2006; Risch *et al.*, 2008; Guidi *et al.*, 2014a) are less reliable because in these
552 studies SOC stock was estimated in a shallower soil portion. No comparison is

553 possible instead for the Apennines mountain ridge, due to the lack of previous studies
554 in that region.

555 **5.2 Changes in SOC through the soil profile**

556 Generally, studies that deal with LUC effects on SOC stock focus only on the topsoil
557 (e.g. Harrison *et al.*, 2011; Hiltbrunner *et al.*, 2013; Poeplau and Don, 2013) because it
558 is subjected to a more important C input and a more rapid SOC turnover (Rey *et al.*,
559 2008; Conant *et al.*, 2001) with respect to the subsoil, as well as simply being easier to
560 sample. However, our results showed that the subsoil is an important reservoir of
561 organic C in all the considered succession stages (average of 38%). This result is
562 supported by the marked capacity of the subsoil to store C as observed by several
563 authors (e.g. Batjes, 1996; Jobbágy and Jackson, 2000; Lorenz and Lal, 2005; Don *et*
564 *al.*, 2007; Poeplau *et al.*, 2011; Poeplau and Don, 2013). In agreement with other
565 studies (Poeplau *et al.* 2011), changes of SOC stocks over time identified by the linear
566 mixed-effect models occurred both in topsoil and subsoil compartments (see Table S5
567 and Table S6).

568 The SOC stock increments observed in the subsoil were in line with the main theories
569 regarding SOC inputs in deep soil layers. Indeed, on the one hand, maximum values
570 were observed under shrubs and mature broadleaves, plant functional types that are
571 characterised by deeper root systems than those of grasses and conifers (Jackson *et al.*,
572 1996; Jobbágy and Jackson, 2000), and that, therefore, directly release exudates and
573 dead organic matter (root turnover) in the subsoil (Jobbágy and Jackson, 2000;
574 Poeplau and Don, 2013). On the other hand, the higher subsoil SOC stock observed in
575 broadleaf mature forests compared to conifer mature forests can also be explained by
576 higher soil pH, higher litter palatability and higher grass biomass in the former. In

577 addition, low pH and low litter palatability typical of needle-leaf forests strongly limit
578 the abundance and activity of earthworms (Muys *et al.*, 1992), main
579 macroinvertebrates responsible for the SOC translocation from upper to lower soil
580 layers (Seeber *et al.*, 2005; Poeplau and Don 2013).

581 **5.3 The role of climate on the effect of woody encroachment on SOC stocks**

582 The role of forest type (i.e. conifers and broadleaves) on SOC stock changes is also
583 inevitably linked to site climate parameters. In this study, we observed that SOC stock
584 proportional changes (ratios) have a good correlation with i) mean annual temperature
585 (MAT), ii) the average of the minimum winter temperatures (T_{min_w}) and iii) the sum
586 of summer precipitations (Ps); note however that these three factor are highly
587 correlated. No significant correlation between mature forest to pasture SOC stock ratio
588 (or difference) and mean annual precipitation (MAP) was found. A possible
589 justification is the fact that the MAP range considered in this study (957-1670 mm yr⁻¹)
590 ¹) was smaller than that considered in others (200-1100 mm yr⁻¹ in Jackson *et al.*,
591 2002; and 650-2415 mm yr⁻¹ in Alberti *et al.*, 2011) and we did not study extremely
592 dry or extremely wet sites. Instead, the latitudinal and elevation gradient of the sites
593 along the Italian peninsula allowed a large range of temperature values (4.6 °C and 11
594 °C). In addition, the significant role of both T_{min_w} and Ps indicate that, in the studied
595 areas specific climatic condition of summer and winter periods can have a
596 considerable role in the SOC stock changes over time, especially in the occurrence of
597 extreme frost and drought events as demonstrated by Frank *et al.* (2015).
598 Our results, differing from those of Jackson *et al.* (2002) and Alberti *et al.* (2011) who
599 observed that SOC changes from grazing land to succession stage are negatively
600 correlated with MAP, are more in line with several studies in different parts of the

601 world where SOC stock changes due to secondary succession processes do not depend
602 on MAP (e.g. Pinno and Wilson, 2011; Fonseca *et al.*, 2011, Chiti *et al.*, 2016). In
603 addition, the role of temperature has also been recognised as an important determinant
604 of SOC stock changes along secondary successions by Jobbágy and Jackson (2002),
605 Alberti *et al.* (2011), Guidi *et al.* (2014a), Thuille and Schulze (2006), but all these
606 studies still consider the MAP as the dominant factor. However, in accordance with
607 Poeplau *et al.* (2011) and La Mantia *et al.* (2013), our results indicate a more
608 substantial role of temperature with respect to precipitation in affecting SOC changes
609 along woody encroachment process.

610 Chianocco site (CH) does not fit with the linear regression in any of the Figure 5 plots,
611 maintaining a SOC stock proportional change (ratio) close to 1, similar to that of the
612 other conifer dominated sites (CT and ME) located in the Alps. Therefore, two
613 groups of sites can be identified: conifer dominated sites with, a negligible effect on
614 SOC stock change, and broadleaves dominated sites, with an evident positive effect on
615 it. This outcome confirms the results of Guo and Gifford (2002), who pointed out that
616 conifer and broadleaf forest plantations over pasture can have different effect on SOC
617 stock changes.

618 On one side, these results are based on only 6 sites along Italian peninsula and,
619 therefore, generalisations should be made with caution.

620 On the other side, these results could be particularly helpful for future researches,
621 because:

- 622 • They are based on data which were collected following standardised
623 methodological procedures. Therefore, their analyses can lead to more robust sites'
624 comparison with respect to that based on review studies.

625 • They specifically refer to woody encroachment, a much less studied process than
626 afforestation/plantation, which could substantially affect SOC stock changes
627 because of the site preparation.

628 **5.4 Effect of woody encroachment on ecosystem C stocks**

629 The increment in AGB observed in this study with woody encroachment is in
630 agreement with other studies (see Thuille *et al.*, 2000; Thuille and Schulze, 2006;
631 Alberti *et al.*, 2008; Risch *et al.*, 2008; Fonseca *et al.*, 2011; Hiltbrunner *et al.*, 2013;
632 Guidi *et al.*, 2014a). As the BGB was estimated as a function of AGB by means of the
633 root-to-shoot ratio (R/S ratio), it followed the same positive trend over time as
634 described by Pinno and Wilson (2011).

635 Dead organic matter followed the same increment along the process as that observed
636 for AGB and BGB. At the end of the process, it reached a maximum C stock similar to
637 that estimated by Alberti *et al.* (2008) for a 75-year-old forest succession stage studied
638 in the Eastern Prealps. Similar results have also been reported in other studies carried
639 out in the Alps (see Thuille *et al.*, 2000; Thuille and Schulze, 2006; Risch *et al.*, 2008;
640 Hiltbrunner *et al.*, 2013). This trend could be explained not only by the increment in
641 the dead organic matter input (Brown and Lugo, 1990) and the reduction in the dead
642 organic matter degradability previously discussed, but also by the reduction in summer
643 temperatures and soil moisture with forest canopy closure (Thuille and Schulze, 2006).
644 Indeed, soil temperature and moisture are known to strongly affect litter and soil
645 organic matter decomposition (Trofymow *et al.*, 2002; Zhang *et al.*, 2008; Rey *et al.*,
646 2008).

647 The differences in litter accumulation between the sites in the Alps and Apennines
648 were mainly linked to the diversity in litter quality between broadleaves and conifers.

649 At ecosystem level, the living biomass acted as a significant C sink mostly in the last
650 part of the process, while in the initial succession stages its contribution was limited.
651 Similar results have been observed by Thuille *et al.* (2000), Risch *et al.* (2008), Alberti
652 *et al.* (2008), Hiltbrunner *et al.* (2013) and Guidi *et al.* (2014a). On the other hand, the
653 main C pool was always the soil, which never decreased below the 40% threshold. In
654 the mature forest succession stage, this percentage was significantly higher than the
655 20-25% reported by Thuille and Schulze (2006) and Guidi *et al.* (2014a). This may be
656 explained by both the relatively high SOC content of our selected sites and by the
657 deeper soil profile considered for the SOC stock estimation.

658 Jackson *et al.* (2002) suggested that, at ecosystem level, a possible SOC loss could be
659 large enough to offset the increase in plant biomass. However, all the study areas
660 considered here showed an increase in ecosystem C stocks without reduction of the
661 SOC stock along the woody encroachment process. Therefore, it is possible to
662 conclude that in the Italian peninsula, land-use changes from pastures/grasslands to
663 forest act as a sink for organic carbon. For this reason, the process of woody
664 encroachment could represent an important strategy to reduce the amount of CO₂ in
665 the atmosphere.

666 **6 CONCLUSIONS**

667 Woody encroachment resulted in a significant increase in soil carbon stocks in the first
668 phase of the successional process (from pasture to shrubland) in all mountainous sites.
669 However, in the second phase SOC stocks changes significantly diverged between sites:
670 while SOC stocks decreased in mature conifer forest, they increased in mature broadleaf
671 forests with increasing stand age. We attribute these differences in the response of plant
672 functional types to differences in root system development and litter quality. As a

673 consequence, we propose that the default period of 20 years suggested by the IPCC for
674 reaching SOC stock equilibrium after land use change should be revised. Our results
675 show that, in the Alps sites characterised by conifer forest, a steady state is reached
676 approximately 28 years after the woody encroachment's start. Other studies in the Alps
677 report even higher values, though in relation to different vegetation types: 35 years for
678 Guidi *et al.* (2014a), who after that did not detect significant SOC stock differences with
679 mature forest stages (150 years old), despite registering a slight decrement; and no less
680 than 55 years for Alberti *et al.* (2008), who noticed a significant SOC stock increase
681 between then and the 70 years old forest. However, it is worth mentioning that these
682 authors considered only the topsoil compartment and mix and broadleaf forest types,
683 respectively. There are no other studies in the Apennines, but our results there show
684 that, even after 70 years, a steady state might have not been reached for broadleaf
685 forests. Therefore, a single criterion for the time required to reach the SOC stock steady
686 state might be difficult to formulate, as values appear to depend on both climate
687 conditions and forest vegetation types.

688 In addition, we observed that the subsoil was an important C reservoir, storing 38% of
689 the whole SOC stock and exceeding the amount of carbon stored in the topsoil in some
690 cases. Moreover, the SOC stock changes in the subsoil were, in general and
691 unexpectedly, larger than those of the topsoil. Therefore, this study highlights the
692 importance of considering the entire soil profile, and not only the topsoil compartment,
693 for an accurate estimation of the impact of secondary successions on terrestrial
694 ecosystem C stocks. Furthermore, this finding suggests that current estimates could be
695 clearly underestimated since only the topsoil is considered.

696 Even if previous studies identify MAP as the main driving factor controlling SOC stock
697 changes along woody encroachment, we observed that MAT, the average of minimum
698 winter temperatures (T_{min_w}) and the sum of summer precipitations (Ps) were the best
699 predictors. Because of the widespread woody encroachment worldwide, these results
700 will be particularly helpful in refining the estimation of the ecosystems C storing
701 capacity under pressing global warming scenarios. However, future studies should be
702 focussed in the Mediterranean, a region where both high temperature increment and
703 precipitation reduction are expected (Giorgi and Lionello, 2008). Indeed, only a few
704 studies on this topic are available for this region.

705 Finally, our results revealed that the woody encroachment process over abandoned
706 grazing land in the Italian mountain territory always acted as a C sink. Nevertheless,
707 between the stable conditions of both grazing land and mature forest, there is a transient
708 phase with interesting dynamics in terms of SOC stocks, living biomass and spatial
709 heterogeneity of the vegetation, which is as yet poorly understood. These results should
710 be taken into account when refining the quantification of the land-use change C
711 sink/source effects, as requested by the United Nations Framework Convention on
712 Climate Change.

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Table 1. List of biophysical characteristics of each selected site.

Variable	Site					
	Castello Tesino	Mel	Chianocco	Firenzuola	Farindola	Pignola
Site abbreviation	CT	ME	CH	FI	FA	PI
Lon. / Lat. (WGS84)	11.650 / 46.125	12.071 / 45.969	7.202 / 45.177	11.320 / 44.140	13.783 / 42.433	15.819 / 40.583
Study area	Alps	Alps	Alps	Apennines	Apennines	Apennines
Bedrock	Calcareous	Calcareous	Calcareous	Chaotic	Calcareous	Calcareous
Soil type (WRB, 2015)	Phaeozems	Cambisols	Cambisols	Cambisols	Phaeozems	Phaeozems
Forest type	conifers	conifers	Mix (mainly conifer)	broadleaves	broadleaves	broadleaves
MAP (mm) ¹	1286	1670	967	1620	1136	957
MAT (°C) ²	4.6	6.5	8.4	10.1	9.8	11
Tmin _w (°C) ³	-6.5	-5.3	-3.4	-0.4	-1.4	0.9
Ps (mm) ⁴	403.1	422.5	249.5	183.4	204.9	104.8
potNPP (g _{dm} m ⁻² yr ⁻¹) ⁵	951.0	1103.5	1265.4	1415.3	1388.6	1410.9

¹ MAP = Mean Annual Precipitation;

² MAT = Mean Annual Temperature;

³ Tmin_w = Average of minimum winter temperature of the three coolest months (December, January and February);

⁴ Ps = Average of the sum of the summer precipitation in the three months June, July and August;

⁵ potNPP = potential net primary productivity estimated according to Lieth (1972). This variable is expressed in grams of dry matter per square metre per year.

Table 2 Summary of the main succession stage characteristics for each site. Woody plant species nomenclature follows The Plant List (2013)

Site	Succession stage	Age ¹	Elevation (m.a.s.l.)	Exposure	Steepness (%)	Position ²	Land Use	Soil pH	Woody plant species
CT	T0	0	1700	S	26.24	footslope	pasture	5.0	none
CT	T1	9	1650	SE	48.85	backslope	pasture	6.8	trees: <i>Picea abies</i> (L.) H.Karst.
CT	T2	18.5	1900	S	62.82	backslope	unmanaged shrubland	4.9	trees: <i>P. abies</i> , <i>Larix deciduas</i> Mill.; shrubs: <i>Rhododendron</i> sp., <i>Calluna vulgaris</i> (L.) Hull, <i>Junioperus communis</i> var. <i>Saxatilis</i> Pall.
CT	T3	28	1450	SE	27.9	footslope	unmanaged forest	5.5	trees: <i>P. abies</i>
CT	T4	51.5	1750	S	64.13	backslope	unmanaged forest	6.4	trees: <i>P. abies</i> , <i>L. decidua</i>
CT	T5	>62	1750	SE	71.01	backslope	unmanaged forest	5.7	trees: <i>P. abies</i> , <i>L. decidua</i>
ME	T0	0	1325	N	31.6	shoulder	pasture	4.8	none
ME	T1	5	1270	N	29.97	shoulder	unmanaged grassland	4.7	Shrubs: <i>Rubus idaeus</i> L.
ME	T2	29	1260	W	53.07	backslope	pasture and shrubland	5.2	trees: <i>P. abies</i> ; shrubs: <i>Rubus idaeus</i> L., <i>J. communis</i> , <i>Corylus avellana</i> L., <i>Sorbus aria</i> (L.) Cranz
ME	T3								
ME	T4	40	1250	N	38.63	backslope	forest plantation	4.3	trees: <i>P. abies</i> , shrubs: <i>Vaccinium myrtillus</i> L.
ME	T5	>62	1225	NW	29.21	backslope	forest plantation	4.1	trees: <i>P. abies</i>

CH	T0	0	1200	SW	37.35	backslope	grassland	6.5	none
CH	T1	12	1200	SW	47.49	backslope	unmanaged shrubland	6.3	Shrubs: <i>Rubus ulmifolius</i> Schott
CH	T2	22	920	W	75.65	backslope	unmanaged shrubland	6.9	trees: <i>Tilia cordata</i> Mill., <i>Fraxinus excelsior</i> L., <i>Quercus pubescens</i> Willd., <i>C. avellana</i> , <i>Laburnum alpinum</i> (Mill.) Bercht. & J.Presl, <i>Pinus sylvestris</i> L., <i>Acer pseudoplatanus</i> L., <i>J. communis</i> , <i>Prunus avium</i> (L.) L.
CH	T3								
CH	T4	42.5	110	SW	40.96	backslope	unmanaged forest	6.2	trees: <i>Fagus sylvatica</i> L., <i>P. sylvestris</i>
CH	T5	>62	110	W	46.89	backslope	unmanaged forest	5.6	trees: <i>F. sylvatica</i> , <i>P. sylvestris</i>
FI	T0	0	875	SE	15.03	backslope	pasture	7.1	none
FI	T1	19	900	SE	20.23	backslope	pasture and shrubland	7.4	shrubs: <i>Rosa canina</i> L., <i>J. communis</i>
FI	T2	25	925	SW	16.28	backslope	pasture and shrubland	7.1	trees: <i>Pyrus communis</i> L.; shrubs: <i>Prunus spinosa</i> L., <i>Crataegus monogyna</i> Jacq., <i>R. canina</i> , <i>J. communis</i> , <i>Ligustrum vulgare</i> L.
FI	T3	32.5	860	SE	37.34	backslope	unmanaged forest	7.0	trees: mix broadleaves; shrubs: <i>P. spinosa</i> , <i>C. monogyna</i> , <i>R. canina</i> , <i>J. communis</i> , <i>L. vulgare</i>
FI	T4	64	850	S	17.44	backslope	unmanaged forest	6.8	trees: mix broadleaves with <i>Quercus cerris</i> L., <i>Q. pubescens</i> ; shrubs: <i>P. spinosa</i> , <i>C. monogyna</i> , <i>R. canina</i> , <i>J. communis</i> , <i>L. vulgare</i>
FI	T5	>68	925	SE	26.07	backslope	unmanaged forest	6.7	trees: <i>Q. cerris</i> , <i>Acer campestre</i> L., <i>P. communis</i> , <i>Ulmus minor</i> Mill., <i>A. campestre</i> , <i>Fraxinus ornus</i> L., <i>Acer opalus</i> Mill.; shrubs: <i>C. monogyna</i> , <i>L. vulgare</i>

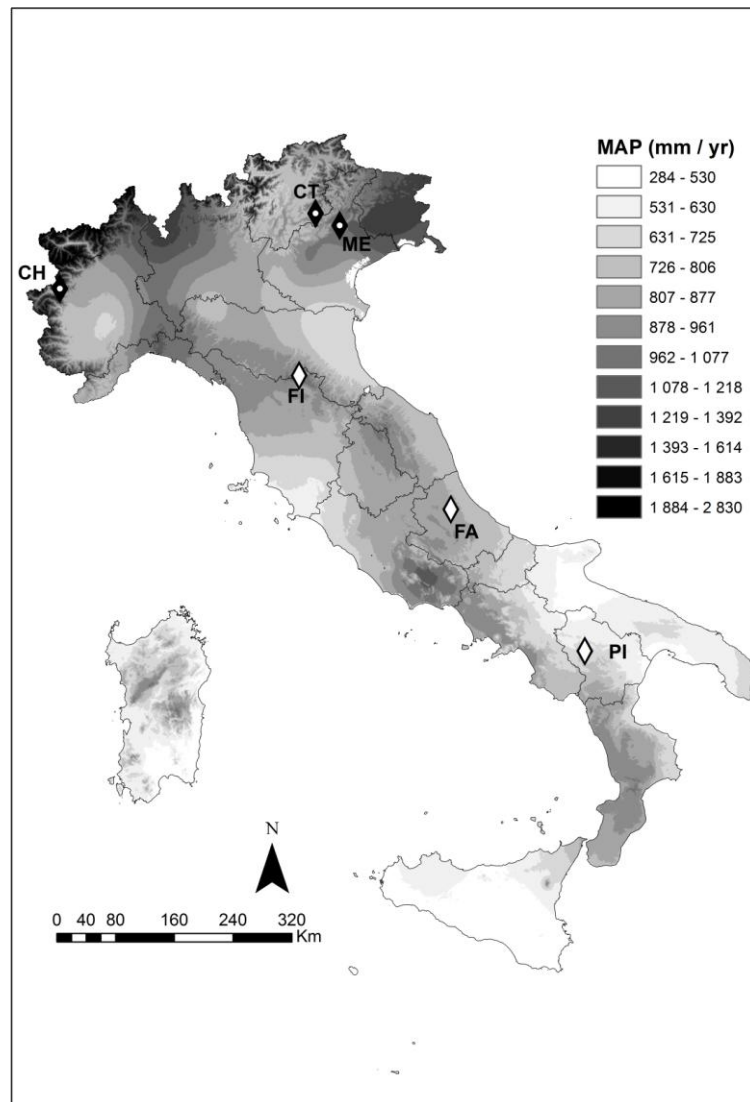
FA	T0	0	1140	SW	19.52	backslope	pasture	6.4	none
FA	T1	12	1160	S	28.29	backslope	pasture and shrubland	7.1	shrubs: <i>R. canina</i> , <i>P. spinosa</i>
FA	T2	24	1050	S	27.94	backslope	unmanaged shrubland	6.3	trees: <i>A. campestre</i> , <i>P. communis</i> ; shrubs: <i>R. canina</i> , <i>C. monogyna</i> , <i>R. ulmifolius</i> , <i>P. spinosa</i>
FA	T3								
FA	T4	65.5	1190	SW	39.48	shoulder	tracked forest	6.7	<i>F. sylvatica</i>
FA	T5	>70	1270	E	32.64	backslope	unmanaged forest	6.4	<i>F. sylvatica</i>
PI	T0	0	1000	E	20.15	backslope	pasture	7.3	none
PI	T1	18	980	N	18.1	backslope	pasture and shrubland	6.6	shrubs: <i>C. monogyna</i> , <i>P. spinosa</i> , <i>R. canina</i> , <i>R. ulmifolius</i>
PI	T2	24.5	1000	N	16.7	backslope	pasture and shrubland	7.1	shrubs: <i>C. monogyna</i> , <i>P. spinosa</i> , <i>R. canina</i> , <i>Spartium junceum</i> L., <i>Lonicera caprifolium</i> L., <i>R. ulmifolius</i> and <i>P. communis</i>
PI	T3								
PI	T4	50.5	1030	N	22.26	backslope	tracked forest	6.1	trees: <i>Q. cerris</i>
PI	T5	>60	1200	N	37.53	backslope	managed forest	6.0	trees: <i>Q. cerris</i> ; shrubs: <i>Ilex aquifolium</i> L.

¹ Age column represents the time since the woody encroachment started and is measured in years before present. The intermediate date between the two subsequent airborne images (of each site photograph series) showing interpretable differences in the canopy cover was considered as the date of secondary succession start for each intermediate succession stage.

² Position parameter refers to the location of each succession stage along the mountains slope.

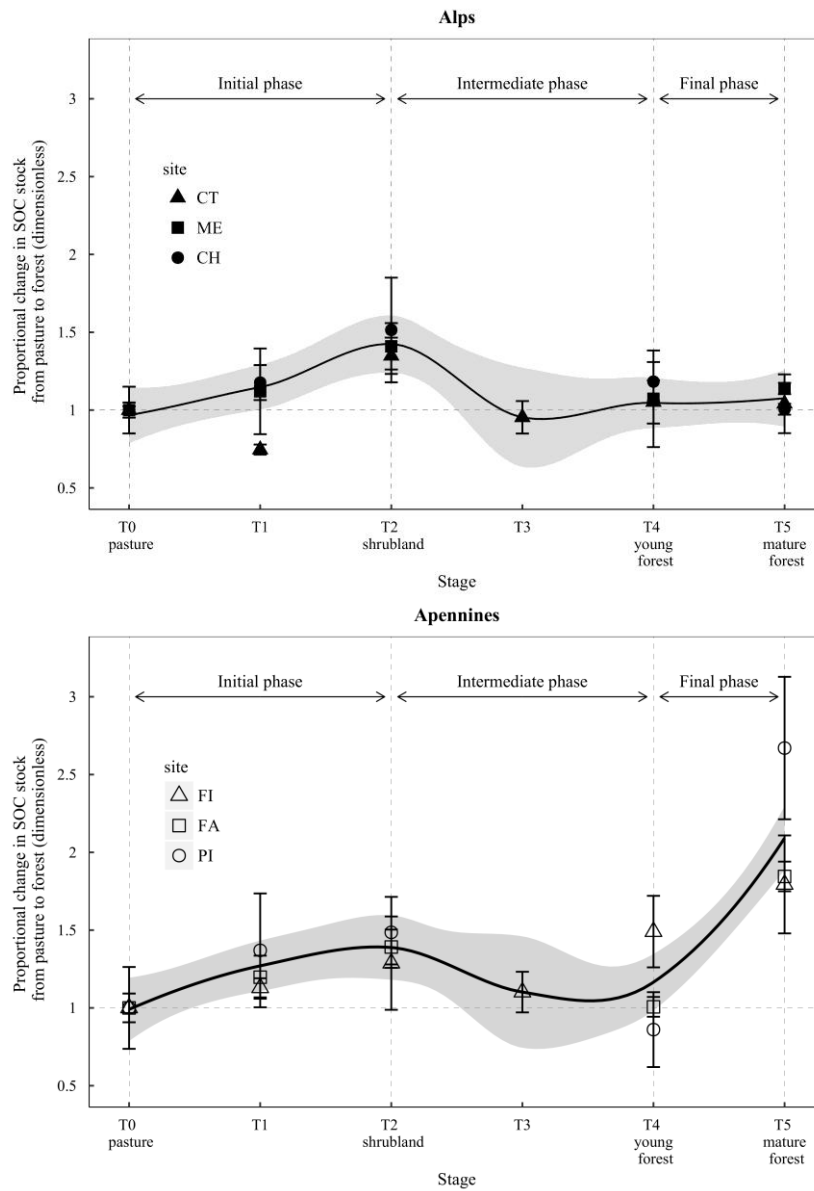
Figure legends

Figure 1



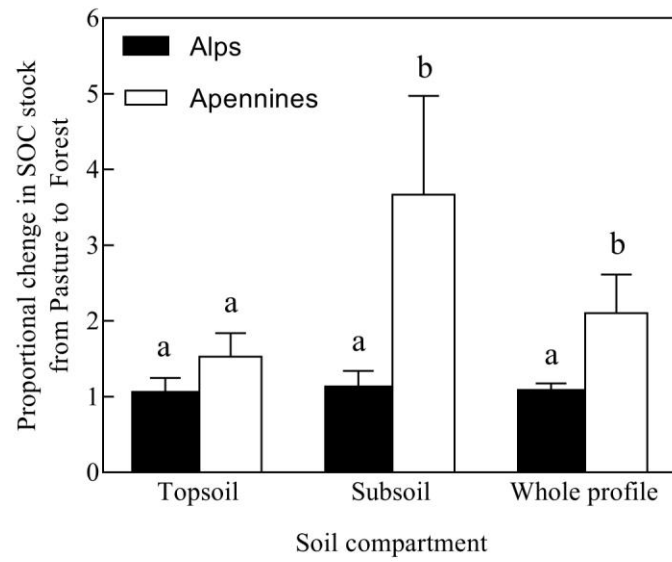
Selected sites located along the Italian peninsula,. Filled symbols represent Alps sites (mainly conifers), while Apennines ones (broadleaves only) are represented by empty symbols. The Alps sites are Castello Tesino (CT), Mel (ME) and Chianocco (CH). The Apennine sites are Firenzuola (FI), Farindola (FA) and Pignola (PI) according to the sites' abbreviation described in Table 1. The background grey scale summarises the Italian mean annual precipitation gradient from dry (pale colours) to wet areas (dark colours).

Figure 2



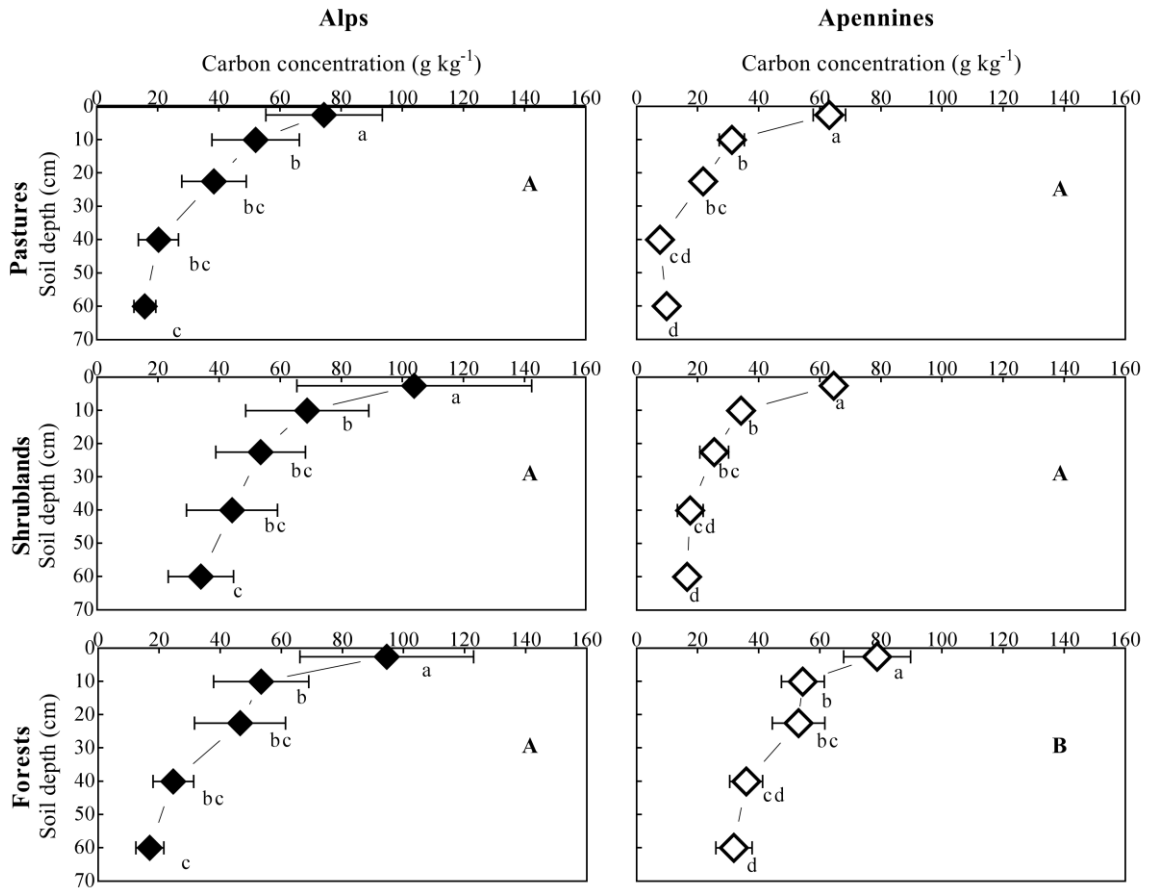
Whole profile SOC stock proportional changes with respect to the mean of previous pasture SOC stock for (a) sites with conifers (Alps), and (b) without conifers (Apennines). All the symbols represent mean values \pm standard deviations. The shaded areas represent the confidence intervals (mean \pm standard deviation) around the mean curves.

Figure 3



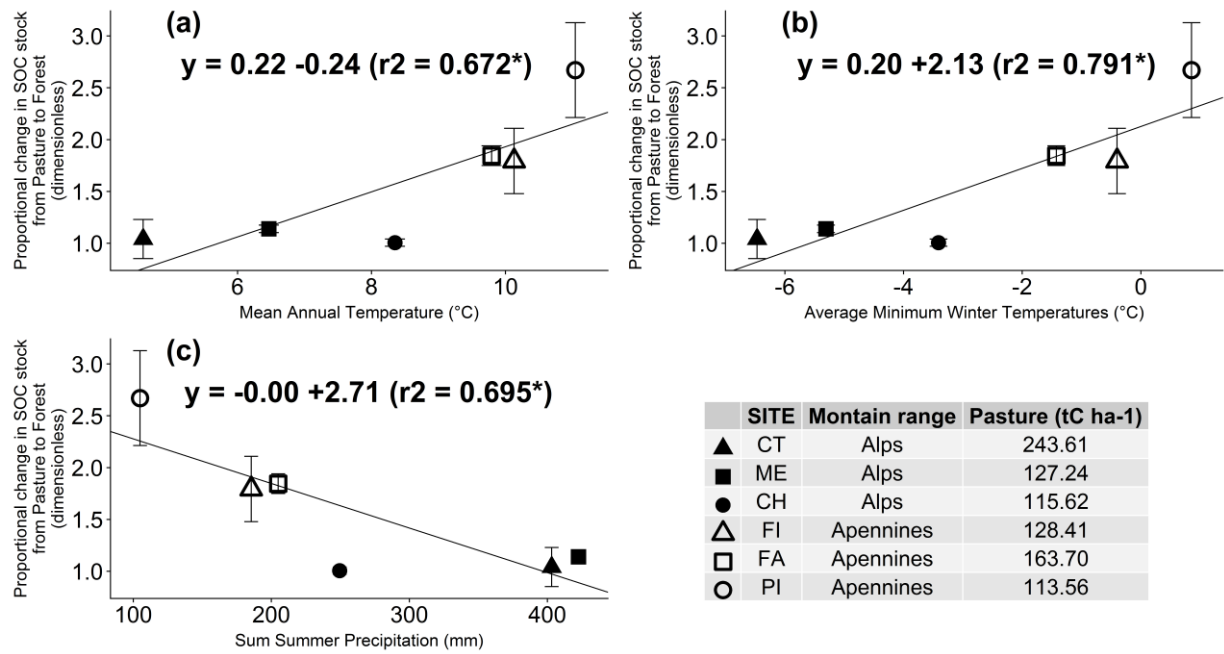
Proportional SOC stock change between forest and previous pasture for topsoil, subsoil and the whole soil profile in the Alps (filled bars) and Apennines (empty bars). Bars represent mean values, while the error bars represent the standard deviation. Letters indicate significant or not significant differences among the two sites groups ($P = 0.05$).

Figure 4



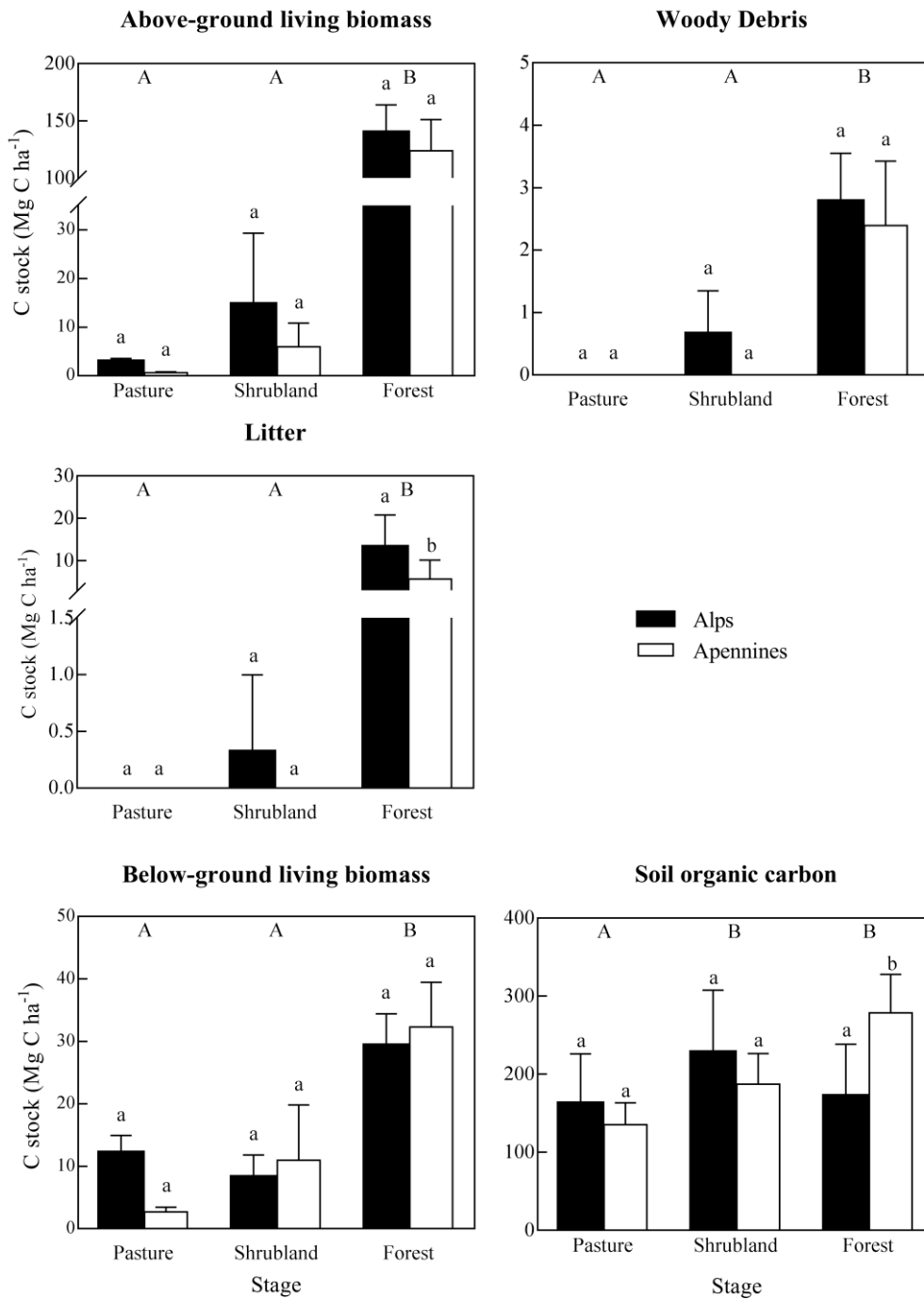
C concentration (mean \pm se) along the soil profile in the three most representative succession stages of the process. The panels are grouped in Alps (on the left) and Apennines (on the right). Lower case letters indicates significant ($P < 0.05$) differences among depth layer C concentration in each panel, while capital case letters indicates significant ($P < 0.05$) differences among succession stages in each group of sites.

Figure 5



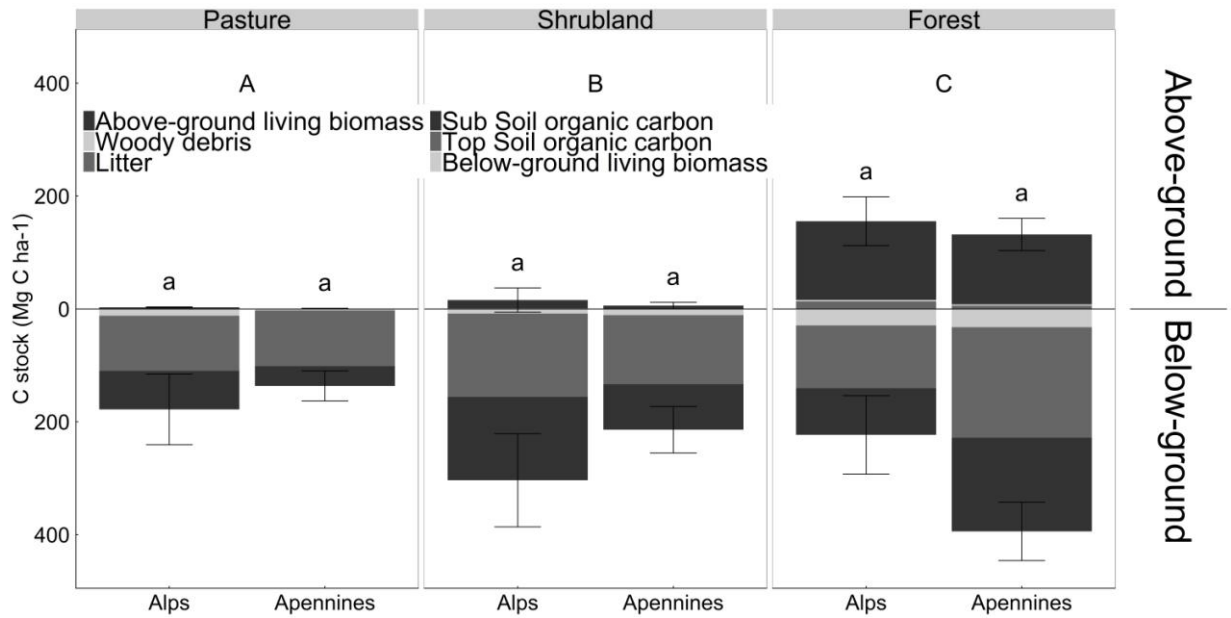
Forest to pasture SOC stock changes as a function of sites' mean annual temperatures – MAT – (a) the average of minimum temperature of winter period – T_{min_w} (b), the sum of summer months' precipitation – P_s – (c). Sites symbols (mean \pm sd) are divided in filled (presence of conifers, Alps) and empty ones (absence of conifers, Apennines).

Figure 6



C stocks amounts (mean \pm sd) in all the ecosystem pools, separately. Data refers to the three main woody encroachments' stages only (pastures, shrublands and forest). Results were organised by sites' groups: Alps (black bars) and Apennines (empty bars). For each pool, C stocks were labelled to highlight significant differences ($P < 0.05$) among between group of sites (lower case letters) or among succession stages (capital letters).

Figure 7



Ecosystem C stocks (mean \pm sd) along the three main succession stages (figure panels) of the woody encroachment process in both Alps and Apennines. All the bars are divided by C pools. Each of them is represented by a specific colour according to the legend. There is only one legend that refers to all the panels of the figure. It is subdivided in two columns: the first one describes the above-ground C pools (upper part of the figure), the second column describes the below-ground C pools (lower part of the figure). Capital letters refer to significant differences ($P < 0.05$) in the C stock among succession stages (they are not panel labels). Lower case letters indicate that there are no significant differences in ecosystem C stocks between correspondent succession stages in Alps and Apennines.

