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**Highlights**

- Episodes of drought-induced conifer mortality are becoming more frequent in Europe
- Conifer mortality triggers secondary succession of autochthonous broadleaf species.
- Tree mortality triggers complex cascading effects which ultimately affects  $R_s$
- Changes in fine root specific root length (SRL) correlates negatively with  $R_H$ .

1 **Cascading effects associated with climate-change-induced conifer mortality in**  
2 **mountain temperate forests result in hot-spots of soil CO<sub>2</sub> emissions**

3

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19

20 **Keywords:** *Soil respiration, heterotrophic respiration, secondary succession, tree*  
21 *mortality, fine roots functional traits, cascading effects*

22

23

24 **Summary**

25 As a widespread phenomenon affecting terrestrial ecosystems worldwide, the extent and  
26 spatio-temporal scales at which the increasing number of reported events of climate-  
27 change-induced tree mortality could affect the ecology and carbon (C) sink capacity of  
28 terrestrial soils, remains unknown. We here study how regional-scale drought-induced tree  
29 mortality events registered after a very dry 2012 year in the Carpathians mountain range  
30 (Romania), which affected three of the most widely distributed conifer species: Scots pine,  
31 Black pine, and Silver fir, resulted in hot-spots of biogenic soil CO<sub>2</sub> emissions (soil  
32 respiration; R<sub>s</sub>). Four to five years after the main mortality event, R<sub>s</sub>-related soil CO<sub>2</sub>  
33 emissions under dead trees were, on average, 21% higher than CO<sub>2</sub> emissions under living  
34 trees (ranging from 18 to 35%). Total (R<sub>s</sub>) and heterotrophic (R<sub>H</sub>)-related soil CO<sub>2</sub>  
35 emissions were strongly determined by the soil environmental alterations following tree  
36 mortality (e.g. changes in quantity and quality of soil organic matter, microclimate, pH or  
37 fine root demography). Moreover, the massive mortality event of 2012 ultimately resulted  
38 in a stronger dominant role of successional vegetation (broadleaf seedlings, shrubland and  
39 grasses) in controlling those environmental factors that either directly or indirectly affected  
40 biotic soil fluxes (R<sub>s</sub> and R<sub>H</sub>). We, therefore, show that apart from the well-known direct  
41 effects of climate change over soil CO<sub>2</sub> emissions, cascading effects triggered by climate-  
42 change-induced tree mortality could also exert a strong indirect impact over soil CO<sub>2</sub>  
43 emissions, altering the magnitude and the environmental controls of R<sub>s</sub> and hence  
44 determining ecosystem C budget and their response to climate.

45

## 46        **1. Introduction**

47        The number of episodes of forest defoliation and mortality associated with climate change  
48        has increased substantially during the last decades (Allen et al., 2010; Carnicer et al., 2011),  
49        and it is further expected to increase even more in future decades (IPCC 2014). In this  
50        regard, understanding physiological and ecological causes of tree mortality as well as  
51        predisposition of trees to die is nowadays a hot-topic that has attracted many attention and  
52        studies (Allen et al., 2010, 2015; Anderegg et al., 2012; McDowell et al., 2015; Sangüesa-  
53        Barreda et al. 2015; Rogers Brendan et al., 2016; Neumann et al., 2017; Lloret and  
54        Kitzberger, 2018). There is, however, a knowledge gap on how ecosystems are actually  
55        responding to such perturbations, i.e. whether and at which extent tree mortality could  
56        affect ecosystem functioning (Anderegg, et al. 2013) and more particularly how tree  
57        mortality could affect soil respiration ( $R_s$ ), which represents the total biogenic  $CO_2$   
58        produced and emitted from soils (Vargas et al., 2010), and is the major outgoing flux of  
59         $CO_2$  from ecosystems to the atmosphere (Curiel Yuste et al., 2005; Davidson et al., 2005;  
60        Barba et al., 2018). Trees have the capacity to modulate the belowground environment  
61        (Flores-Rentería et al., 2015, 2016) triggering cascading causal-effect relations that could  
62        result in substantial changes in the biological functioning of the soil system and in  
63        fundamental alterations of the soil nutrient and soil  $CO_2$  emissions (Flores-Rentería et al.,  
64        2018). However, data and evidences on how these alterations occur and at which extent tree  
65        mortality could affect patterns and controls of  $CO_2$  emissions from terrestrial soils are  
66        scarce.

67

68        Besides altering soil abiotic conditions, tree mortality limits the supply of substrate in the  
69        form of carbohydrates (e.g. exudates) or nutrients (litter) demanded by belowground organs

70 (fine roots), symbionts (e.g. mycorrhiza), and soil biological communities from the  
71 rhizosphere and the soil (e.g. Högberg et al., 2001; Binkley et al., 2006; Barba et al., 2016).  
72 The disruption of the C flow to belowground has been directly related to almost immediate  
73 decreases in  $R_s$  (Högberg et al., 2001; Binkley et al., 2006; Nave et al., 2011; Levy-Varon  
74 et al., 2014), due to a parallel decrease in autotrophic (fine root and mycorrhiza) and  
75 heterotrophic (respirations from microbes and soil fauna) respiration. On medium-long  
76 term, the death of trees may have critical effects over key soil biogeochemical cycling  
77 (Rodríguez et al. 2016), resulting in chronic losses of key nutrients such as nitrogen (N),  
78 with unknown consequences for the capacity of the systems to recover pre-perturbations  
79 pools and functions (García-Angulo et al. in prep). This happens because the disruption of  
80 the flow of C from plants to soils and the changes in the microclimatic conditions  
81 associated with tree mortality may prominently alter the composition, structure and  
82 functionality of soil biological communities (Curiel Yuste et al. 2012, Avila et al. 2016)  
83 resulting in irreversible losses of key functional groups that sustain important soil functions  
84 such as N fixation or mineralization of essential nutrients (Gómez-Aparicio et al. 2017).

85

86 Few studies have been designed, however, to investigate in depth how processes triggered  
87 by tree mortality could affect biogenic soil CO<sub>2</sub> emissions and at which extent. In this  
88 regard, tree mortality has been associated with ecosystems reaching new equilibriums,  
89 resulting in important changes in the diversity of soil biota and soil functions (Curiel Yuste  
90 et al., 2012; Lloret et al., 2015, Avila et al., 2016), as well as in the overall biogenic  
91 emissions of CO<sub>2</sub> from soils (Moore et al. 2013; Avila 2018). Depending on the magnitude  
92 of the tree mortality event and/or legacies from historical management, forest ecosystems  
93 are able to counteract potential negative effects of tree mortality and recover pre-

94 perturbations functioning rates relatively fast (Nave et al., 2011; Gough et al., 2013; Levy-  
95 Varon et al., 2014; Barba et al., 2016). This is because tree mortality, depending on the  
96 ecosystem's characteristics and its initial conditions, triggers a process of recolonization by  
97 seedlings of the same species (regeneration) or of other species better fitted to present  
98 conditions (secondary succession), which slowly replace the niche left by the death of the  
99 trees (e.g. Vayreda et al., 2016; Ruiz-Benito et al., 2017). How these complex aboveground  
100 ecological processes could actually impact belowground functioning, subsequently  
101 affecting magnitude and controls of biotic CO<sub>2</sub> emissions remains unknown.

102

103 The objective of this study was to deepen our mechanistic understanding of the effects of  
104 large-scale tree die-off events on total soil respiration ( $R_s$ ). For that, we here show a  
105 regional scale study, spanning for two consecutive years (2016, “year 1”; and 2017, “year  
106 2”), on rates of  $R_s$  in stands located in the Carpathians mountain range (Braşov county,  
107 Romania), where recent extremely dry years, such as 2012, have resulted in extended  
108 mortality rates. These mortality events have mainly affected conifer tree species, especially  
109 Scots pine (*Pinus sylvestris* L.), Silver fir (*Abies alba* Mill.), and Black pine (*Pinus nigra*  
110 Arnold). The conifers’ mortality observed in 2012 and estimated to extend over large areas  
111 in the forests situated at different altitudes around Braşov (Forest Districts of Râşnov,  
112 Săcele, and Kronstadt), followed a sequence of several extraordinary dry and hot years  
113 registered during the first decade of the century  
114 ([http://www.meteoromania.ro/anm/?lang=ro\\_ro](http://www.meteoromania.ro/anm/?lang=ro_ro)). These affected conifers are slowly  
115 replaced by autochthonous broadleaf species, especially *Quercus robur*, *Fagus sylvatica*,  
116 *Fraxinus ornus*, *Fraxinus excelsior*, *Carpinus betulus*, *Quercus petraea* or *Acer campestre*  
117 (*Table 1*). Additionally, the study collected detailed information on variables potentially

118 sensitive to tree die-off and directly/indirectly associated with  $R_s$ , e.g, soil water content  
119 (SWC) and temperature ( $T_{soil}$ ), soil C and nutrients pools, soil heterotrophic respiration  
120 ( $R_H$ ), and fine root biomass and functional traits (e.g. specific root area, SRA and root  
121 length, SRL).

122

123 We, therefore, hypothesized that abiotic/biotic changes promoted by tree mortality will  
124 trigger a cascade of causal-effect relations that could have resulted in substantial changes in  
125 the biological functioning of the soil system, subsequently altering the soil  $CO_2$  emissions.  
126 In particular, we hypothesized that in these coniferous forests, where tree mortality is  
127 giving way to forests dominated by native hardwood species, the process of secondary  
128 succession associated with mortality of conifers would be associated with profound  
129 transformations of the microclimate, biology and chemistry of the soil, which ultimately  
130 will affect the magnitude and controls of soil  $CO_2$  emissions.

131

## 132 **2. Materials and Methods**

### 133 *2.1. Study sites*

134 For this study, we selected a total number of 9 conifer stands (3 for Silver fir, 3 for Scots  
135 pine, and 3 for Black pine), all of them affected by recent mortality events (i.e., following  
136 the 2012 drought) (Table 1). These forests were located in the Transylvanian side of the  
137 Eastern Romanian Carpathians Mountain range (Braşov County). As we wanted to  
138 avoid/limit as much as possible other disturbance factors (e.g. management), forests were  
139 selected either in protected areas or in areas where management intensity has been minimal  
140 for the last decades. All stands were located on slopy terrains (slopes ranging from 17 at to  
141 37 °). Both pine species stands were located between  $\approx$  450 and 700 m a.s.l., while the



142 Silver fir stands were situated starting from  $\approx 800$  m a.s.l) (Table 1). Both pine species are  
143 almost pure stands, that were artificially regenerated  $\approx 100$  years ago, whereas the Silver fir  
144 stands are uneven, naturally regenerated, mixed stands with *Fagus sylvatica* (up to 35% in  
145 the forest composition) of more than 150 years old (Hereş et al., in prep). Soil type in the  
146 Silver fir stands is mainly Eutricambisols, while Rendzina is the main soil type of the pine  
147 stands (Table 1). Both mean annual precipitations (MAP) and mean annual temperatures  
148 (MAT) (Climate Research Unit Time Series, CRU TS3.10; via <http://climexp.knmi.nl>)  
149 were relatively low and not very variable among locations, ranging, respectively from 593  
150 to 693 mm and from 3.7 to 6.6 °C (Table 1). Our study also shows a natural understory  
151 gradient (tree saplings and seedlings) and grass cover, from sites with very scarce  
152 understory/grass cover (7%) to sites with understory/grass cover averaging up to 70%  
153 (Table 1). The drought-induced mortality rate was estimated to round 19-23% for Silver  
154 fir, 16-27% for Black pine, and 17-22% for Scots pine (Table 1).

155

## 156 *2.2. Field measurements*

### 157 *2.2.1. Experimental design and tree age estimation*

158 At each of the 9 conifer stands affected by mortality, 5 pairs of standing adult dead and  
159 living trees were sampled (see below) along a transect perpendicular to the slope. We used  
160 a paired sampling design (Bigler and Bugmann 2004), in which the selected living trees had  
161 similar size (diameter at breast height, DBH), competition level, and microsite conditions  
162 with the dead ones. Trees noticeably affected by biological agents (e.g. pathogens, fungus),  
163 wind, or human influences were avoided during the sampling. The sampling of the 5 pairs  
164 was carried out in transects starting at a random point within each stand and maintaining a  
165 constant altitude, and thus similar humidity conditions, until the required number of trees

166 were sampled. Distance between sampled pairs was always >5 m. To establish the age of  
167 the living trees and the mortality year for the dead trees, from each tree, two wood cores  
168 were extracted at breast height (1.3 m), approximately orthogonal to the slope, using  
169 increment borers (Hereş et al., in prep). At the same time, for all trees, we recorded the  
170 following variables: species, status (dead or living), DBH, height and crown diameters. All  
171 trees within a 5 m radius from the trunk of the sampled trees (i.e., reference trees) and with  
172 a DBH>10 cm were inventoried, and their taxonomic identity (species), DBH and distance  
173 to the reference sampled trees were registered. We calculated a tree competition index as  
174 the sum of the diameters of all trees with DBH>10 cm within 5 m radius around the  
175 reference tree. Within the same considered 5 m radius, the percentage cover (%) of the  
176 understory vegetation (woody species with a DBH < 10 cm, shrubs) and grass cover were  
177 visually assessed. To quantify light availability, we took a hemispherical photo near every  
178 collar during the 2016 summer with a Nikon digital camera with fisheye lens and a self-  
179 leveling mount. Photos were processed with the Winscanopy software (Regents  
180 Instruments Inc., Sainte-Foy, Quebec, 2003). As a measure of light intensity, we used the  
181 total site factor (TSF) in percent of above canopy light, and LAI (leaf area index).

182

### 183 2.2.2. *Soil respiration ( $R_s$ ) measurements*

184 Starting from spring 2016 (“year 1”), at each study site two PVC collars (10 cm in  
185 diameter, and 8 cm in height) per each of the selected dead and living trees were inserted  
186 into the soil at an average depth of 2.5 cm to measure  $R_s$ . Collars set at this depth were  
187 stable and caused minimal disturbance to fine roots. They were installed at around a 50 cm  
188 distance, on the left and right-hand sides of the trunk of each sampled living and dead tree,  
189 in a fictitious line perpendicular to the slope. Measurements of  $R_s$  were carried out within

190 each collar with a portable infrared gas analyzer (IRGA) connected to a soil respiration  
191 chamber (EGM-4 and SRC-1; PP Systems, USA). We further added the to the chamber  
192 volume of the commercial chamber (1171 cm<sup>3</sup>) the extra volume generated from the  
193 collars. The increase of CO<sub>2</sub> within the chamber was measured during 120 seconds. Soil  
194 CO<sub>2</sub> efflux measurements were always performed between 9 a.m. and 6 p.m. R<sub>s</sub> was not  
195 measured during rainy days. When a major rain event occurred (e.g., daily precipitation >  
196 15 mm), R<sub>s</sub> measurements were postponed 36 h in order to minimize the effects of extreme  
197 precipitation on R<sub>s</sub>. Each R<sub>s</sub> measurement campaign was performed within an interval of 3-  
198 4 days. In total, R<sub>s</sub> measurements were performed in 7 different time series: April 2016  
199 (spring), July 2016 (summer), September 2016 (autumn), November 2016 (winter), April  
200 2017 (spring), July 2017 (summer), and October 2017 (autumn), covering thus all seasons.  
201 Periods when the snow layer was thick, covering the soil, were not considered.

202

203 Both soil temperature (T<sub>soil</sub>) and soil water content (SWC) were measured simultaneously  
204 with R<sub>s</sub>. T<sub>soil</sub> was measured using a Soil Temperature Probe (STP, PP Systems) that was  
205 inserted at 5 cm depth into the soil. Soil water content (SWC) was measured at 6 cm depth,  
206 using the ThetaProbe ML2X soil moisture sensor (Delta-T Devices, UK) coupled to a data  
207 logger (Infield7, UMS GmBh Munchen). We performed 3 different measurements of soil  
208 moisture around each collar and recorded the average value of them which was used in  
209 further analyses.

210

### 211 2.2.3. *Soil sampling*

212 Apart from the R<sub>s</sub> measurement campaigns, a campaign was carried out for the collection  
213 of soil samples in late summer 2016 (first week of August). We collected soil samples near

214 each PVC collar to determine: soil chemical composition,  $R_H$ , fine root biomass, and the  
215 different fine roots functional traits (see below). We sampled three soil cores in about  
216 maximum 10 cm distance around each PVC collar. Soil cores were taken using a cylinder  
217 tube sampler (diameter of 5 cm) that was introduced at a depth of 10 cm in the soil after  
218 removing the not yet decomposed litter ( $O_L$  horizon) of the previous year. Afterwards, all  
219 three soil cores were merged into one single composite sample per each PVC collar, put  
220 into bags and stored at cool temperature ( $<4^\circ\text{C}$ ) in mobile ice boxes till further processing  
221 in the lab. A total of 180 composite soil samples (9 conifer sites x 5 tree couples x 2 status  
222 (living and dead) x 2 PVC collars per each sampled tree) were collected.

223

## 224 *2.3.Laboratory analyses*

### 225 *2.3.1. Chemical and physical soil analysis*

226 In the laboratory, soil water content (SWC) for each soil sample collected in 2016 was  
227 measured gravimetrically by sampling 20 g of fresh soil (avoiding stones), and drying it at  
228  $105^\circ\text{C}$  during 48 hours. Both stones and roots (fine and coarse) were manually separated  
229 from all collected soil samples. Stones were then weighted to obtain their total mass. The  
230 remaining soil was sieved at 2 mm, dried and stored in a dark place for subsequent  
231 analyses. Water Holding Capacity (WHC) and bulk density (McKenzie et al, 2014) were  
232 calculated, and soil pH was measured in distilled water with a soil –  $\text{H}_2\text{O}$  ratio of 1:20 for  
233 each soil sample.

234

235 To analyze the concentrations of total organic carbon (TOC), and nitrogen (TN) and  
236 nutrient content in soils, the sieved soil was further grinded by hand using a mortar and  
237 then divided in two aliquots. One aliquot was used to calculate the nutrient content (Al,

238 Mg, K, Na, P, Ca, S, and Mn) of the soil using an inductively coupled plasma optical  
239 emission spectrometry (ICP-AES; Thermo Scientific iCAP 6500DUO, ThermoFisher  
240 Scientific, Waltham, MA, USA), while the other aliquot was used to measure the TOC and  
241 TN using an elemental analyzer (TruSpec CN, LECO, Saint Joseph, MI, USA).

242

### 243 *2.3.2. Root measurements*

244 All the roots that were separated from each soil sample (see above) were first carefully  
245 cleaned with distilled water to remove adhered soil particles and then sorted into two  
246 diameter classes: fine roots (diameter < 2 mm) and coarse roots (diameter > 2mm). No  
247 distinctions between fine roots from grasses and trees could be made. Cleaned fine roots  
248 were then scanned and processed with WinRHIZO (Regents Instruments Inc., Quebec,  
249 Canada) to obtain fine root length, fine root diameter, and fine root surface area. Finally,  
250 both fine and coarse roots were dried at 65°C for 5 days to reach a constant weight, and  
251 afterwards weighed to the nearest 0.1 g. Based on these measures we determined the  
252 demography of the fine root population based on different fine root functional traits: fine  
253 root biomass (FRB, g/g soil), fine root volume (FRV, cm<sup>3</sup>/g soil), specific root length  
254 (SRL, ratio of fine root length to dry weight, m g<sup>-1</sup>) and specific root area (SRA, ratio of  
255 fine root area to dry weight, cm<sup>2</sup> g<sup>-1</sup>).

256

### 257 *2.3.3. Soil R<sub>H</sub> measurements under controlled conditions*

258 R<sub>H</sub> was measured using 40 g of dry, sieved soil that was introduced into a sample jar of 150  
259 mL volume and was rewetted to 60 % of its WHC. Once the desired WHC was achieved,  
260 this soil was incubated 48 hours in an environmental chamber (at 20 ° C and 80% of  
261 moisture) to avoid potential anomalous pulses of CO<sub>2</sub> (“Birch effect”; Birch, 1958).

262 Afterwards, it was again incubated controlling water content, this time following a  
263 temperature gradient from 5 to 35 ° C to cover a wide range of temperatures.  $R_H$  was  
264 measured every 10 ° C (i.e., 5 °C, 15 °C, 25 °C, and 35 °C) during 60 seconds with an EGM-  
265 4 and the net CO<sub>2</sub> increases were calculated following a similar protocol to that of Curiel  
266 Yuste et al. (2007, 2011). The  $R_H$  that we further used to do analyses represents the  
267 averaged of the  $R_H$  values measured at each temperature (i.e., 5 °C, 15 °C, 25 °C, and 35  
268 °C), thus covering the  $R_H$  variability of this microclimatic range.

269

#### 270 *2.4. Statistical analysis*

271 A principal component analysis (PCA) was conducted to reduce the n-dimensional of soil  
272 nutrients data into two linear axes explaining the maximum amount of variance  
273 (Supplementary material, Fig. S1). According to the plot of the two first PCA components  
274 the soils' elemental composition splits as it follows: PC1 reflects a gradient of nutrients'  
275 availability related with higher amounts of C, N, P, Ca, S, and Mg, whereas PC2 reflects a  
276 gradient of soil organic matter (SOM) availability (Fig. S1). Hence, both PC1 and PC2  
277 were subsequently used in models as a measure of the nutritional status and of the substrate  
278 available in soils.

279

280 We performed a preliminary evaluation of the potential effects of inter-annual variability  
281 (year 1 vs year 2), tree species (Silver fir, Scots pine, and Black pine), and tree status  
282 (differences among dead and living trees) over  $R_s$  and soil microclimate (Tsoil and SWC).  
283 To do so, we firstly averaged  $R_s$ , Tsoil and SWC values for the two different PVC collars  
284 installed per each tree (see above *Soil respiration ( $R_s$ ) measurements*) at each field  
285 campaign. Since none of the variables were normally distributed, we used a non-parametric

286 one-way analyses of variance (Kruskal-Wallis tests) followed by a pairwise Wilcoxon post-  
287 hoc test with a Bonferroni correction.

288

289 Because our first aim was to investigate the drivers of soil CO<sub>2</sub> production across this  
290 regional gradient we used linear mixed-effects models (nlme R package; Pinheiro et al.,  
291 2016) to analyze the influence of tree mortality and environmental data (e.g. biotic and  
292 abiotic variables that could potentially affect R<sub>s</sub>) on R<sub>s</sub> and R<sub>H</sub>. In order to focus in drivers  
293 of R<sub>s</sub> and R<sub>H</sub> variability across sites we then averaged all seasonal values ( $n=7$ ) to one  
294 single averaged value per tree. As R<sub>s</sub> and R<sub>H</sub> data were not normally distributed, we  
295 logarithmic transformed them. The model was “forced” to include tree status as a fixed  
296 variable in order to test and further discuss potential differences in fluxes and controls  
297 associated to tree status. Besides the status of the tree, the fixed part of the models also  
298 accounted for other environmental factors that could explain variability in R<sub>s</sub> and R<sub>H</sub>: e.g.  
299 soil microclimatic conditions (T<sub>soil</sub> and SWC), aboveground tree and forest structure  
300 (DBH, Tree competition index or yunderstorey/grass cover), SOM content (PC2), soil  
301 nutritional status (PC1), fine root biomass (FRB), and fine root specific length/area  
302 (SRL/SRA). For all models tree species nested within site identification were introduced as  
303 a random effect. To look for differences between vigor groups, the least-squares means  
304 were analyzed applying a Tukey correction. The coefficients were estimated using the  
305 restricted maximum likelihood method. The residuals of the models fulfilled the conditions  
306 of normality ( $p > 0.05$ ). The selection of the final models was based on the Akaike's  
307 information criterion (AIC) (i.e. minimal models with the lowest AIC).

308

309 Structural equation models (SEMs) were finally used to test the direct and indirect  
310 influence of the different biotic and abiotic factors on  $R_s$ . Since the sample size was  
311 relatively small ( $n = 88$ ), the number of predictors included in the model was thoroughly  
312 limited, as recommended by Shipley (2002). Our models considered a complete set of  
313 hypothesis based on literature, previous exploratory analyses (Kruskal-Wallis, correlations,  
314 etc.), and our own previous experience (Flores-Rentería et al., 2016, 2018; Pérez-Izquierdo  
315 et al., 2017, see Fig. S2). The model assumed that aboveground vegetation structure (size of  
316 the sampled living and dead trees, tree competition index, and the % of understory and  
317 grass cover) would affect the abiotic (microclimate, pH) and the biotic (root demography)  
318 soil environment, as well as the nutritional status (PC1) and the organic matter content  
319 (PC2) in soils under trees. Overall, both  $R_H$  and  $R_s$ , would be strongly controlled by  
320 changes in all these environmental factors which are ultimately controlled by the  
321 aboveground vegetation structure.

322

323 Several SEMs were run and the best-fitted ones were finally selected according to the  
324 covariance proximity between observed and expected data (goodness-of-fit  $\chi^2$ ). From the  
325 general model we used multigroup SEM to test whether the studied factors were linked by  
326 the same causal structure in each tree status (dead or living) and to identify the paths that  
327 did not behave similarly in the two conditions (Shipley, 2002; García-Camacho et al.,  
328 2010). For this analysis, we used the same hypothetical model used for each status group  
329 separately. A constrained model in which all free parameters were forced to be equal across  
330 the two conditions was built and contrasted with field data. Since a lack of fit was detected  
331 in the fully constrained multigroup model, a series of nested models, where equality  
332 constraints were removed one at a time, were developed to detect which one would



333 significantly improve the model (Shipley, 2002; García-Camacho et al., 2010). Differences  
334 in  $X^2$  and AIC statistics between the fully constrained model and the models freed from a  
335 constraint were used to test for differences in parameter values between the two conditions.  
336 Standardized path coefficients were estimated by using the maximum likelihood algorithm  
337 (Shipley, 2002). SEM analyses were performed using SPSS® and SPSS® AMOS 20.0  
338 software's (IBM Corporation Software Group, Somers, NY).

339

### 340 **3. Results**

341 Both SWC and Tsoil varied significantly between years, being “year 1” (2016) warmer and  
342 wetter on average than “year 2” (2017), although no such significant trend was found for  $R_s$   
343 (Figs. 1 and S3). No differences in  $R_s$  were found between the three conifer species,  
344 although the soils from Silver fir stands were significantly colder (lower average Tsoil) and  
345 wetter (higher average SWC) than the ones from the Black pine and Scots pine stands (Fig.  
346 S3). When looking for differences in SWC, Tsoil and  $R_s$  considering tree status (living or  
347 dead), living trees differed significantly from the dead ones only in SWC and  $R_s$ .  
348 Specifically, dead trees showed higher SWC and  $R_s$  values than the living ones (Figs. 1 and  
349 S3).

350

351 Overall,  $R_s$  was consistently higher under dead trees with respect to living ones (Fig. 2,  
352 Table S1). According to our results, CO<sub>2</sub> emissions under dead trees were on average 21%  
353 higher than under living ones. Furthermore,  $R_H$ , specific root length/area (SRL/SRA), TOC,  
354 TON, and SWC were among the environmental variables that were consistently higher,  
355 although not always significantly, under dead trees comparing with the living ones (Fig. 2,  
356 Table S1). Other variables such as pH, C:N ratio, or total fine root biomass/volume (FRB

357 and FRV, respectively) showed less sensitivity to tree mortality and/or less consistent  
358 trends across tree species, although FRB tended to be lower under dead trees (Fig. 2, Table  
359 S1). No clear differences were found between living and dead trees when the grass and  
360 understory cover, or the tree competition index were considered (Table S1). This happened  
361 because cover of understory of broadleaf seedlings and grasses was independent of the  
362 health status of the sampled trees and very dependent on site-specific conditions.

363

364 Both mean soil temperature and quantity of SOM (PC2) were, together with tree status  
365 (living and death) the variables that better explained  $R_s$  variability across sites (Fig. 3, Table  
366 S1). As expected for ecosystems generally limited by temperature,  $T_{soil}$  explained a large  
367 portion of across-site variability in  $R_s$  (Fig. 3, Table S2), whereas  $R_s$  was also strongly  
368 driven by SOM quantity (PC2, Fig. 3, Table S2). No differences in  $R_H$  between soil  
369 collected under dead and living trees were found (Figure 4). On the other hand, we found a  
370 strong effect of the nutritional status (PC1) and the quantity of SOM (PC2) on  $R_H$  (Fig. 4,  
371 Table S3). Additionally, the best obtained model also included a significant negative effect  
372 of SRL over  $R_H$  (Fig. 4, Table S3).

373

374 SEMs showed the complex causal-effect cascade of processes controlling  $R_s$  and  $R_H$  (Fig.  
375 5). Specifically, this analyses highlighted how strongly forest structure (tree DBH, tree  
376 competition, understory and grass cover) influenced, directly or indirectly, the observed  
377 variability of soil abiotic (microclimate, pH, nutrient content) and biotic (SRL, FRV,  $R_H$ )  
378 variables, resulting in the observed variability in  $R_s$  across sites. Both, trees (i.e. size and  
379 tree competition) and understory cover exerted a strong effect over soil microclimate  
380 ( $T_{soil}$ ), soil pH, and nutrients (PC1). While conifers tend to acidify soils, we here observe

381 how an increasing cover of broadleaf understory was associated with increases in pH,  
382 which, on the other hand, was also behind the observed improvement of soil nutritional  
383 status (PC1) and SOM sequestration (PC2). The presence of grasses was also directly  
384 associated with an increase in SOM (PC2) and SRA, but a decrease in FRV. Multigroup  
385 SEM further showed a tighter control of  $T_{\text{soil}}$  over  $R_s$  under dead than under living trees, as  
386 illustrated by the significantly higher ML coefficient obtained. Moreover, multigroup SEM  
387 also showed how conifers and successional vegetation exerted an opposite effect over the  
388 demography of fine roots (SRL and FRV). Specifically, living trees exerted an overall  
389 negative effect over SRL, whereas the presence of grasses was positively associated with  
390 SRL. On the other hand, the FRV was stimulated in poor soils (high PC1) and under high  
391 SOM contents (low PC2), but was also negatively correlated with the presence of grasses  
392 and the increase in broadleaf understory cover. Furthermore, we here show how,  
393 independently of the health status of the trees, increase in the SRA negatively affected  $R_H$ .  
394 Also, controls of  $R_H$  differed depending on the conifer health status (living or dead): living  
395 trees exerted a positive control over  $R_H$ , but when tree dies  $R_H$  variability was mainly  
396 controlled by nutrient status (PC1) and SOM quantity (PC2) (besides SRL). Finally,  
397 observed variability of  $R_s$  seemed to be partially explained by variability of  $R_H$  under dead  
398 trees while under living conifers no relation was found between both fluxes.

399

#### 400 **4. Discussion**

401 We here reported large events of drought-induced tree mortality on stands dominated by  
402 three of the conifer tree species most widely distributed in the Carpathian mountain range  
403 (Table 1). This drought-induced mortality coincides with globally increasing reported  
404 events of conifer decline, which are being generally attributed to historical management

405 practices (e.g. favoring/planting conifer species outside their climatic and structural  
406 optimum; Urbietta et al., 2008; Ruiz-Benito et al., 2012), or to climate-change induced  
407 increases in temperature and droughts intensity and frequency that seem to affect more the  
408 conifer-like than the angiosperms-like related functional traits (Henne Paul et al., 2015;  
409 McIntyre et al., 2015; Ruiz-Benito et al., 2017). Indeed, the observed increasing presence of  
410 an understory vegetation of seedlings mainly composed by native broadleaf species (e.g.  
411 *Fagus sylvatica*, *Fraxinus excelsior*, *Fraxinus ornus*, *Acer campestre*, *Quercus petraea*,  
412 *Ulmus glabra*) (Table 1) coincides with these mentioned above observations and are,  
413 therefore, in accordance with this general decline in conifer dominance, especially  
414 ubiquitously observed in European forests.

415

416 Drought induced conifer mortality resulted in large increases in biogenic soil CO<sub>2</sub>  
417 emissions (R<sub>s</sub>), averaging a 21% increase under the dead trees comparing with the living  
418 ones, and persisting during two consecutive years (2016-2017), four to five years after the  
419 mortality event occurred in 2012. Given the observed large proportion of tree mortality  
420 observed after the 2012 drought (Table 1), this tree mortality-induced hot-spot of CO<sub>2</sub>  
421 emissions might be responsible for decelerating the capacity of these ecosystems to recover  
422 pre-mortality levels of C sequestration (e.g. Moore et al, 2013). Our results are not in  
423 accordance with decreases in R<sub>s</sub> observed under experimental tree girdling manipulations  
424 (e.g. Högberg et al., 2001; Binkley et al., 2006; Nave et al., 2011; Levy-Varon et al., 2014),  
425 or under natural conditions, when tree mortality events were massively caused by bark  
426 beetle attacks (e.g. Moore et al. 2013) or by infections with pathogens, e.g. *Phytophthora*  
427 *cinammoni* (Avila et al., 2016). Accordingly, literature generally shows how tree death

428 results in an almost immediate and dramatic decrease in  $R_s$  rates associated with the  
429 decrease in the supply of newly plants-fixed carbohydrate for belowground metabolic  
430 activity, e.g. autotrophic respiration, mycorrhiza activity and rhizosphere heterotrophic  
431 respiration (Subke et al., 2004; Högberg et al., 2007). This  $R_s$  drop associated with tree  
432 mortality may last for decades in monospecific forest (e.g. Moore et al. 2013, Avila et al.,  
433 2016), while other studies have shown how depending on the level of the perturbation and  
434 the secondary successional processes,  $R_s$  may recover pre-perturbation values after several  
435 years (e.g. Levy-Varon et al., 2014; Barba et al., 2016), or even increase during favorable  
436 seasons in mixed forest (Barba et al., 2013). Hence, due to the initial physiological collapse  
437 that tree mortality produces in a system, the functional recovery of this system in general  
438 and of the  $R_s$  in particular depends on the degree of perturbation but also on secondary  
439 successional process triggered by tree mortality (Levy-Varon et al., 2014; Lloret et al.,  
440 2015; Barba et al., 2018).

441

442 In these conifer forests so representative for the Carpathians' landscape, the observed hot-  
443 spots of  $CO_2$  under dead trees were strongly dominated by  $R_H$  (Fig. 2 and 5). We here  
444 postulate that these mortality-triggered hot-spots of  $R_H$  and  $R_s$  were mostly explained by an  
445 increase in the quality and quantity of SOM which results from both the increase in  
446 senescent material and from the successional processes following tree death (Fig. 2, 4 and  
447 5). The observed increase in topsoil SOM under dead trees (increase in TOC, Figure 2)  
448 could be attributed, at least partially, to the accumulation of senescent plant material  
449 (leaves, roots and branches) which generally accumulate under dead trees (Moore et al.  
450 2013). However, SOM accumulation under dead conifers alone cannot explain the observed  
451 increase in  $R_H$  because, as observed,  $R_H$  was also very sensitive to the increase in soil

452 nutrient availability (PC1) (Figure 4, Table S3). Besides, we here observed how shifts in  
453 the controls of  $R_s$  after the massive mortality event of 2012 ultimately resulted in a stronger  
454 dominant role of the successional vegetation (broadleaf seedlings, shrubland and grasses)  
455 over the belowground environmental factors, directly or indirectly affecting  $R_s$  and  $R_H$   
456 fluxes (Figure 5). This shift towards greater understory control over soil functions was in  
457 detriment of the former control exerted by the conifers which influenced the microclimate  
458 (SWC and  $T_{soil}$ ), the abiotic soil environment (pH), the nutrient quality (PC1), SOM  
459 (PC2), and the fine root demography (specifically SRA/SRL, FRV) (Figs. 2, 3, 4 and 5).  
460 These changes were further reflected in changes in the magnitude and the controls of biotic  
461 soil fluxes ( $R_s$  and  $R_H$ ) (Figure 2 and 5).

462

463 For instance, the increase in pH and grassland cover resulting from the shift in the  
464 aboveground vegetation dominance also played a critical role in increasing SOM (PC2; Fig.  
465 5). This is because, under conifer influence, the generally low soil pH and the low quality  
466 of the residues due to the high proportion of recalcitrant compounds, e.g. lignin and/or  
467 allelopathic molecules (e.g. Curiel Yuste et al. 2005; Fernández-Alonso et al., 2018) slow  
468 down the breakdown of the litter and its incorporation to SOM in the mineral soil. On the  
469 other hand, incorporation of litter in SOM occurs generally faster in ecosystems dominated  
470 by broadleaf species because the generally higher pH and higher quality of the produced  
471 residues stimulates bioturbation (Frouz et al., 2009). Indeed, the multigroup SEM further  
472 showed how the increase in pH associated with the increasing presence of the understory  
473 vegetation had a direct and strong positive effect over SOM quality (PC1), suggesting that  
474 on top of the increase in SOM under dead trees, the secondary successional processes  
475 triggered by conifer mortality was positively affecting the quality of the substrate. Our

476 results, therefore, clearly indicate how the shift in vegetation dominance associated with  
477 conifer mortality had a strong impact over the quantity and quality of SOM, resulting in  
478 increased  $R_H$ , which subsequently affected  $R_s$ .

479

480 This dominant role of successional vegetation after tree death was also reflected in a  
481 substantial increase in the surface of absorption of the radical system (increase in SRL and  
482 SRA; Fig. 2) which corresponds to a shift towards a fine-root demography optimized to  
483 maximize nutrients acquisition (Roumet et al., 2016). This shift, associated with an increase  
484 in the presence of grasses (Fig. 5), suggest that the belowground niche left by the death of  
485 the conifers creates an opportunity to the surrounding early successional vegetation to  
486 obtain resources (nutrients and moisture) (Curiel Yuste et al., 2012; Barba et al., 2013)  
487 whose acquisition is, otherwise, subjected to strong competition, especially in nutrient-  
488 poor, low pH conifer sites as those considered in this study. Indeed, the multigroup SEM  
489 showed how the poor nutrient conditions under conifers (PC1), while alive, promoted a  
490 bigger radical system (higher FRV), but with relatively less very fine roots (suppressing  
491 SRL).

492

493 The consistent increase in the specific length and surface (SRL/SRA) of fine roots under  
494 dead trees was paralleled by the observed increase in  $R_s$  (Figure 2). This was expected,  
495 given the general observed linear relation between, on one hand, SRL and the fine roots  
496 turnover rates (Silver and Miya, 2001; Hobbie et al., 2010; Roumet et al., 2016), and, on  
497 the other hand, SRL and rates of root respiration ( $R_A$ ) (Reich et al., 2008; Makita et al.,  
498 2012; Picon-Cochard et al., 2012). Although  $R_A$  were not measured in this study, we did  
499 not observe a significant increase in SOM turnover (rates of  $R_H$  per unit of soil C; data not

500 shown) under dead trees, suggesting that it is most plausible that the increase of SRL was  
501 associated with a parallel increase in  $R_A$ . Rather than stimulating  $R_H$ , our models also  
502 showed a very consistent negative relation between SRL and  $R_H$  (Figure 4 and 5),  
503 suggesting that besides this expected positive effect of SRL over autotrophic activity, the  
504 net effect over  $R_H$  was negative. It, therefore, could be that by increasing their capacity to  
505 absorb nutrients (increase in SRL), successional vegetation competes more efficiently for  
506 the same resources with the soil heterotrophic community (negative priming, Kuzyakov  
507 2002), resulting in the observed suppression of  $R_H$ . Indeed, an increase in competition for  
508 key nutrients (e.g. N, P, K) between roots and heterotrophs could be maximal in soils when  
509 nutrients are generally limiting, thereby resulting in the suppression of  $R_H$  (e.g. Schimel et  
510 al., 1989; Wang and Bakken 1997; Kuzyakov 2002).

511

## 512 **5. Conclusions**

513 We here collected compelling evidences to support our initial hypotheses: cascading  
514 mechanisms triggered by selective tree mortality and a subsequent secondary successional  
515 process played a critical role in regulating soil functioning and soil CO<sub>2</sub> emissions during  
516 transitional states. Specifically, we here show how conifer mortality resulted in an average  
517 increment of biogenic emissions of 21%, 4-5 years after the large mortality event of 2012,  
518 which might be further responsible for decelerating the capacity of these ecosystems to  
519 recover pre-mortality levels of C sequestration. These transitional states after tree death  
520 resulted in a stimulation of the heterotrophic activity ( $R_H$ ), favored by the increase in  
521 senescent material but also by changes in the soil microenvironment (e.g. climate, pH and  
522 SOM) partially controlled by successional vegetation. A shift towards a more efficient  
523 resource-acquisitive strategy of fine roots (increase in SRL), triggered by tree mortality and



524 also associated with the increasing dominance of the successional vegetation, was also  
525 behind the observed changes in the magnitude and controls of  $R_H$  and  $R_s$ . Our results,  
526 hence, call the attention on how above-belowground ecological processes triggered by tree  
527 mortality may substantially determine dynamics of key biogeochemical cycles (e.g. C and  
528 N) at local and regional scales. One of the drawbacks of this study might be the fact that the  
529 effects of tree-mortality were only evaluated during a relatively short-term (2 years), at sub-  
530 decadal time scale (4-5 years after the main mortality event), and in a limited number of  
531 sites (9). Despite its limitations, this is one of the first studies evidencing the complexity of  
532 the controls over  $R_s$  in climate-change-induced tree mortality scenarios, and as such, it  
533 might serve as a base to develop further, more extended studies on this topic. In a changing  
534 world where episodes of tree mortality associated with climate change are substantially  
535 incrementing, more studies should, therefore, be designed to deepen the observed potential  
536 impacts of tree mortality and subsequent successional processes at larger temporal and  
537 spatial scales

538

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723

724 **Fig. captions**

725

726 **Fig. 1.** Evolution of soil water content (SWC), soil temperature ( $T_{\text{soil}}$ ) and soil respiration  
727 ( $R_s$ ) as a function of time and trees' health status (living or dead). Error bars represent the  
728 standard error of the mean.

729

730 **Fig. 2.** Changes in soil abiotic (microclimate, pH) and biotic (fine root demography,  $R_s$ ,  $R_H$ )  
731 variables, as well as soil total organic carbon (TOC) and total organic nitrogen (TON)  
732 values evaluated under dead trees relative to the values evaluated under living trees.  
733 Therefore, positive values (right hand side of the vertical bar) represent an increase in that  
734 particular variable under dead with respect to under living trees. Error bars represent  
735 standard error of the mean. Asterisk represent significant from zero differences ( $p$  value  $>$   
736  $0.05$ ; t-test).

737

738 **Fig. 3.** Linear mixed-effects models for soil respiration ( $R_s$ ). Solid lines represent modeled  
739  $R_s$  responses under dead trees, whereas dotted lines represent modeled  $R_s$  responses under  
740 living trees. Where,  $T_s$  = soil temperature at 5 cm depth; PC2 = second dimension of the  
741 PCA, here representing SOM.

742

743 **Fig. 4.** Linear mixed-effects models for heterotrophic respiration ( $R_H$ ). Solid lines represent  
744 modeled  $R_s$  responses under dead trees, whereas dotted lines represent modeled  $R_s$   
745 responses under living trees. SRL = specific root length; PC1= first dimension of the PCA,  
746 here representing soil nutrients; PC2 = second dimension of the PCA, here representing  
747 SOM.

748

749 **Fig. 5.** Multigroup SEM representation. Path diagrams representing hypothesized causal  
750 relationships between aboveground vegetation, biotic and abiotic variables, soil respiration  
751 ( $R_s$ ) and soil heterotrophic activity ( $R_H$ ) under living (a) and dead (b) conifer trees. Arrows  
752 depict causal relationships: positive and negative effects are indicated by solid and dashed  
753 lines respectively, with numbers indicating standardized estimated regression weights  
754 (SRW). Arrow widths are proportional to the significance values according to the legend.  
755 Paths with non-significant coefficients are represented in gray. Coefficients in bold  
756 characters represent those causal relationships where the strength of the relation differed  
757 between soils under living (green) and under dead (orange) trees.  $\chi^2= 86.81$ , NFI= 0.83 y  
758 RMSEA=  $<0.0001$ ,  $df= 122$ ,  $p= 0.99$

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site	species	Coordinates	Soil Type	Mort %	Alt m.a.s.l.	SL Deg	OR Deg	MAP mm	MAT °C	DBH cm	Height m	CAP m <sup>2</sup>	Age y	LAI m <sup>2</sup> /m <sup>2</sup>	UC %	GC %
DAMBU MORII	AA	45°34'47.86"N	Eutricambisol	21	825	37	234	593	6.4	55	28	32	147	3.5	23 (7Fs3Aa)	18
KRONSTADT	AA	45°34'59.54"N	Eutricambisol	23	945	37	213	593	6.4	50	30	23	149	3.6	20 (9Fs1Aa)	53
RASNOV	AA	45°29'32.48"N	Eutricambisol litic	19	1250	37	213	693	3.7	54	30	30	145	3.6	8 (6Fs3Pa1Aa)	34
LEMPEŠ	PN	45°43'31.50"N	Eutricambisol	27	561	17	225	593	6.4	39	23	27	99	3.4	41 (2Tc2Fs3Ap1Ug1Ac1Fo)	19
RACADAU	PN	45°37'58.37"N	Leptosol	16	753	30	121	593	6.4	43	24	28	96	4.3	69 (5Fo3Ug1Tc1Ac)	9
SCHEI	PN	45°37'56.32"N	Litic rendzina	18	456	36	117	593	6.4	45	25	30	97	3.8	55 (2Tc1Ac1Ug1Cb3Ap2Fs1Fo)	10
LEMPEŠ	PS	45°44'6.75"N	Eutricambisol	22	545	24	115	593	6.4	37	19	20	111	2.7	68 (9Fe1Ug)	73
CODLEA	PS	45°42'9.70"N	Eutricambisol	18	712	18	139	599	6.6	45	24	21	115	3.3	37 (2Ap4Cb1Ug2Ac1Fs)	29
TELIU	PS	45°41'55.69"N	Regosol	17	606	33	190	601	5.6	40	25	28	114	3.9	7 (2Fs4Cb1Ac2Qp1Ps)	4

**Table 1.** Location, identity of the dominant conifer and structural characteristics of the 9 different sites under study. Mort= Mortality rate (%); Alt= Site Altitude (m); SL = Slope (°); OR= Orientation (°); MAP= mean annual precipitation (mm); MAT= mean annual temperature (°C); DBH =diameter of breast height (cm), CAP= canopy area (m<sup>2</sup>); LAI- leaf area index, UC= Understorey cover(%), GC = Grassland cover (%); Understorey cover= (*Fs-Fagus sylvatica*, *Fo-Fraxinus ornis*, *Fe-Fraxinus excelsior*, *Pa-Picea abies*, *Cb-Carpinus betulus*, *Ug-Ulmus glabra*, *Ac-Acer campestre*, *Tc-Tilia cordata*, *Ap-Acer platanoides* and *Acer pseudoplatanus*, *Qp-Quercus petraea*, *Ps-Pinus sylvestris*, *Aa-Abies alba*)

Fig.1  
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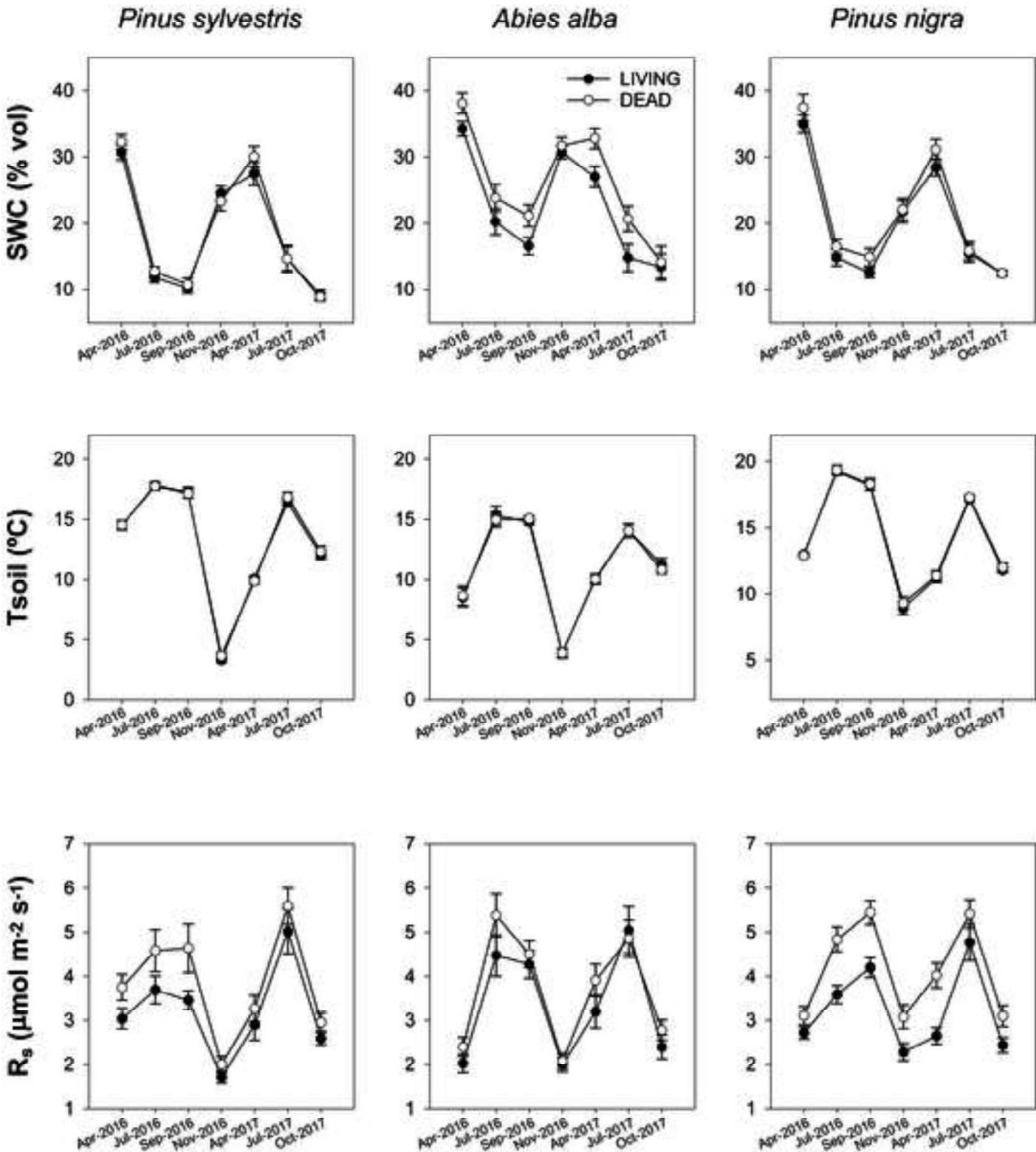


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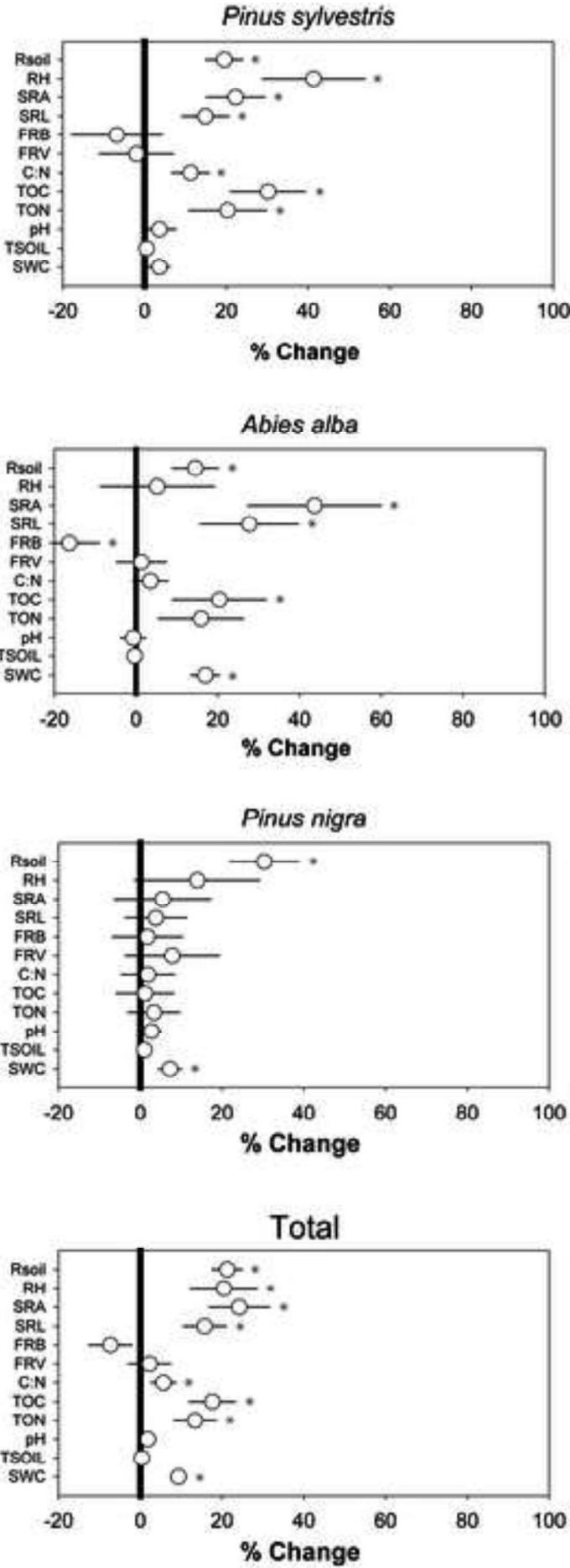


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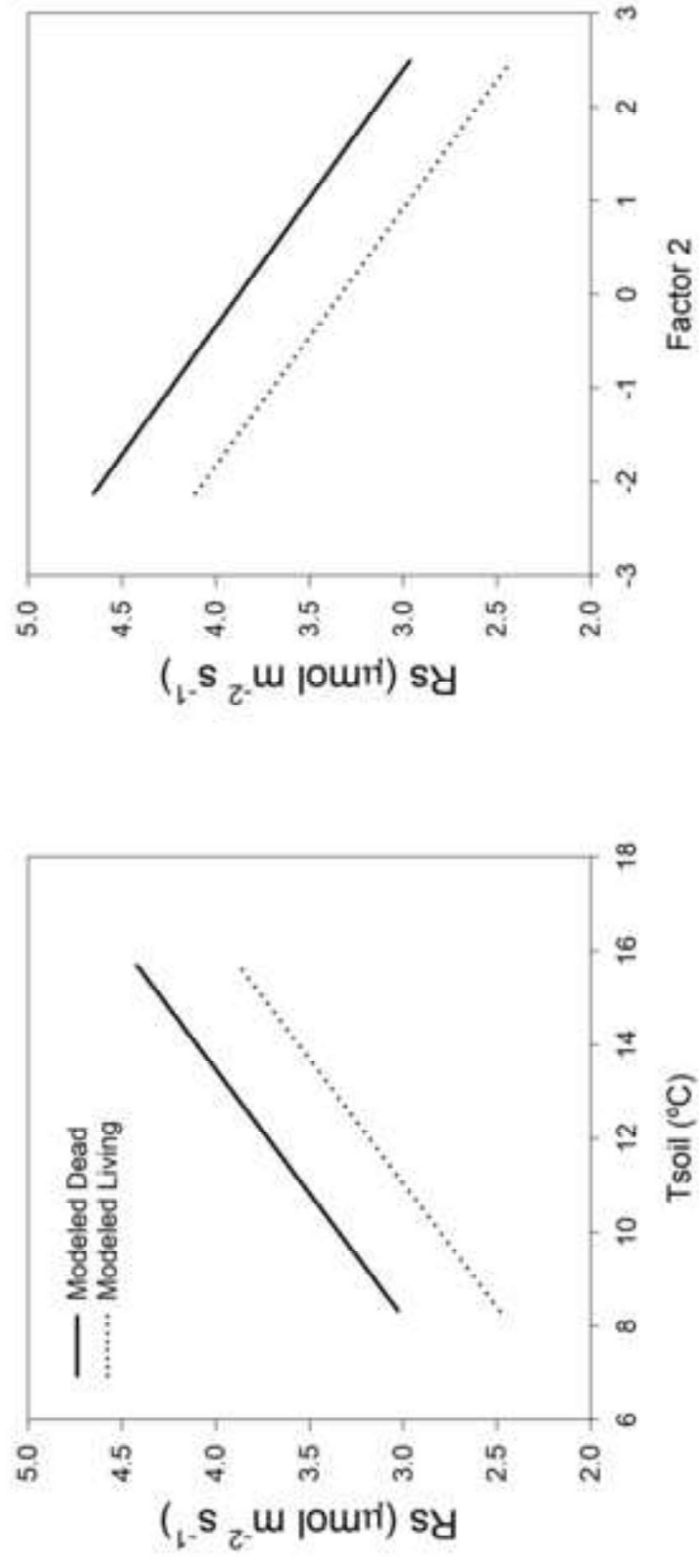


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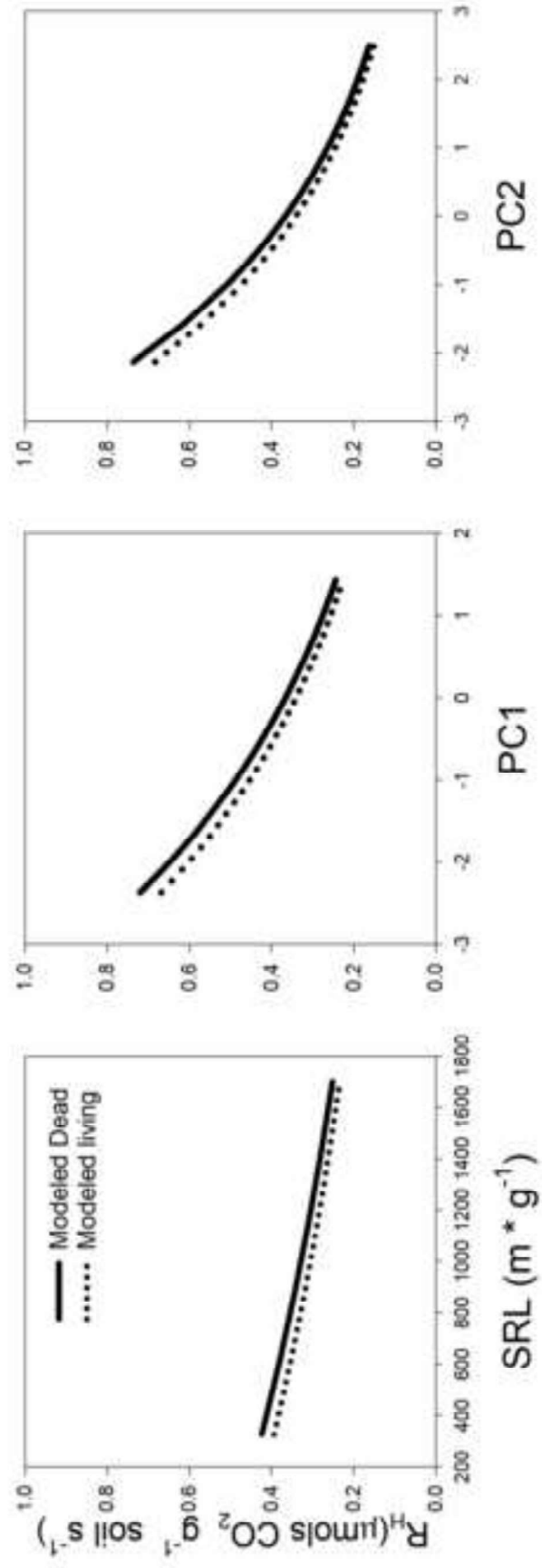
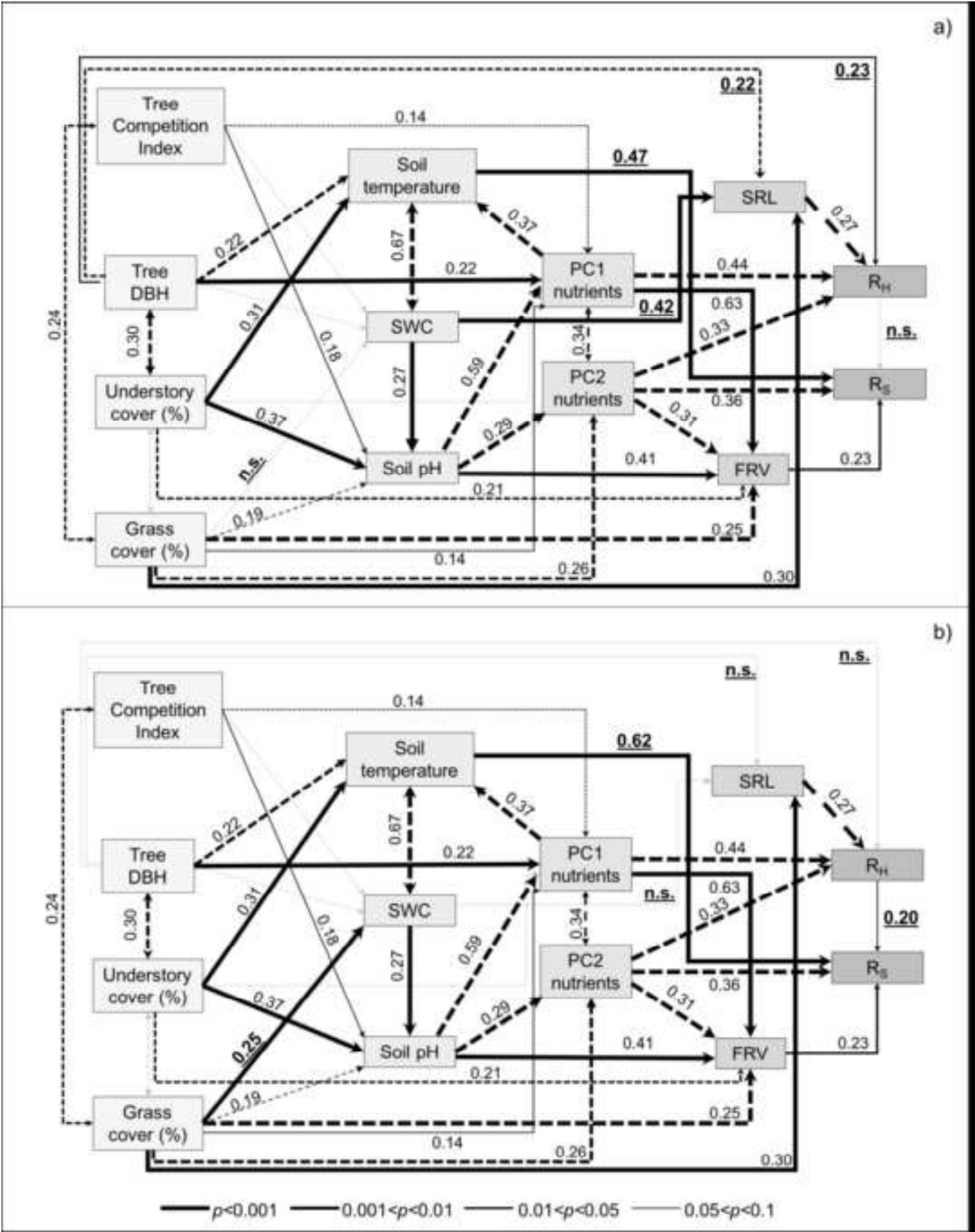




Fig.5  
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