

1 Benefits from water ecosystem services in Africa and adaptation to climate 2 change.

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11

12 **Abstract:** The present study collects original monetary estimates for water related ecosystem service
13 benefits on the African continent from 36 valuation studies. A database of 178 monetary estimates is
14 constructed to conduct a meta-analysis that, for the first time, digs into what factors drive water related
15 ecosystem services values in Africa. We find that the service type, biome and other socioeconomic
16 variables are significant in explaining benefits from water related services. In order to understand the
17 importance that benefits from water related ecosystem services have for climate change, we explore
18 the relationship between these benefits and the countries vulnerability and readiness to adapt to
19 climate change. We find that countries face synergies and trade-offs in terms of how valuable their
20 water related ecosystem services are and their potential vulnerability and adaptation capacity. While
21 more vulnerable countries are associated with lower benefits from ecosystem services, countries with a

22 higher readiness to adapt are also associated with lower ecosystem services values. Results are
23 discussed in light of natural capital accounting and ecosystem-based adaptation.

24 **Keywords:** Adaptation; Africa; Ecosystem Services; Meta-analysis; Natural Capital; ND-GAIN; Readiness;
25 Valuation; Vulnerability; Water.

26 **JEL classification:** N57: Africa • Oceania. O13: Agriculture • Natural Resources • Energy • Environment •
27 Other Primary Products. Q57: Ecological Economics: Ecosystem Services • Biodiversity Conservation •
28 Bioeconomics • Industrial Ecology. Q54: Climate • Natural Disasters • Global Warming.

30 **1 Introduction**

31 The concept of ecosystem services (ES), understood as the contribution of the benefits derived passively
32 or actively from ecosystems towards current and future human well-being (Fisher et al., 2009), has
33 gained increasing recognition in the last decade. Mainstreamed by the Millennium Ecosystem
34 Assessment (MA) Program (2005), ES were at the focus of the United Nations Environment Programme
35 (UNEP) led study on The Economics of Ecosystems and Biodiversity (TEEB, see de Groot et al., 2012), and
36 are still evolving under the currently developing Intergovernmental Science-Policy Platform on
37 Biodiversity and Ecosystem Services (IPBES) initiative (Díaz et al., 2015). The conservation and
38 improvement of ecosystems has been identified as a central challenge to sustaining livelihoods for the
39 XXIst century (Gleik et al., 2003; Guerry et al., 2015), and research programs as well as conservation
40 initiatives have been launched at local, national and international levels (Díaz et al., 2015). In this
41 context, research to synthesize available evidence on ES monetary values is of prime importance, and
42 understanding what drives these values and how they relate to countries' climate vulnerability can
43 provide policy guidance regarding the potential of ES for climate change adaptation.

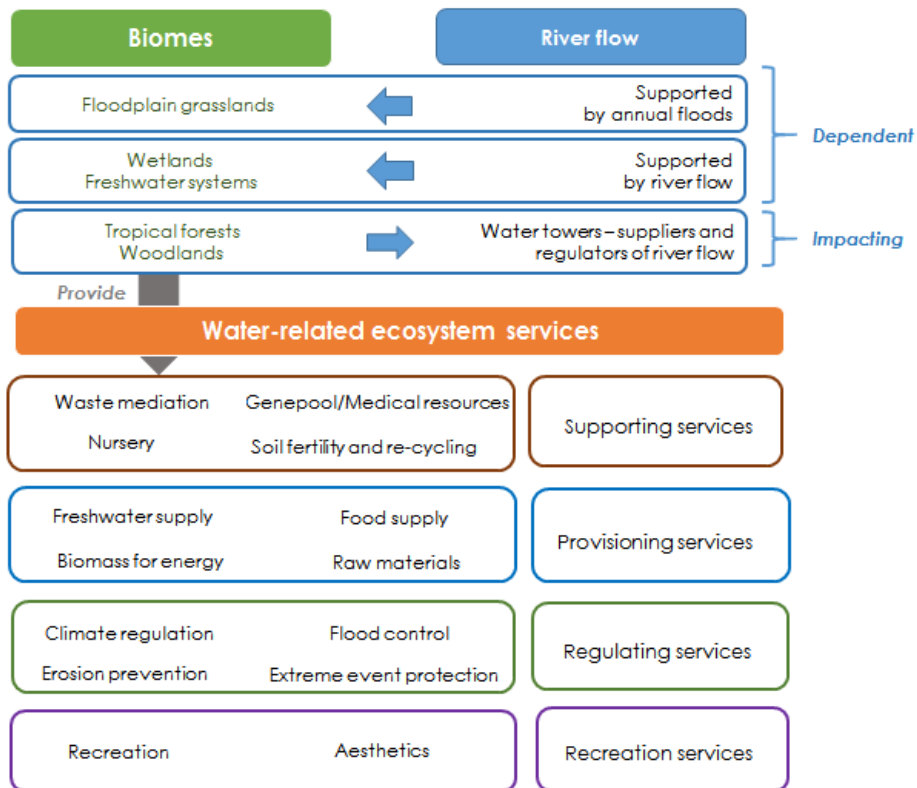
44 The present paper focuses on water related ES in Africa and their links to climate change vulnerability
45 and adaptation. Water-related ES are understood as the services provided by biomes that are river flow
46 impacting or river flow dependent (see the concept of natural infrastructure in Mul et al., 2017)^a. In
47 other words, biomes that impact or are predominantly dependent on river flow, as opposed to being
48 predominantly rain fed, deliver water related ES. This landscape approach considers biomes as the entry
49 point to identify the set of ES produced. The water related ES category draws on the MA and TEEB
50 classifications (MA, 2005; de Groot et al., 2012) and encompass more ES than hydrological services

^a For more on this distinction, please see the WISE UP project <http://www.waterandnature.org/initiatives/wise-climate>

51 (Grizzetti et al., 2016). Figure 1 presents the biomes included in the present study which interact with
 52 surface river flow and provide water related ES.

53 Previous research has paid a lot of attention to water related ES in other regions mainly due to the
 54 development of Payment for Ecosystem Services (Lele, 2009), but no previous studies have analysed the
 55 values of water related ES in relation to climate vulnerability and adaptation. In this paper, the focus is
 56 on the African continent, for three main reasons: 1) River flows are pivotal to the delivery of ES crucial to
 57 millions of livelihoods (WWAP, 2016); 2) the African continent presents in general a high climate change
 58 vulnerability exacerbating the need for immediate policy solutions (World Bank, 2007), and; 3) water
 59 related ES in Africa continue to be inadequately investigated with very poor coverage (Lele, 2009).

60 Figure 1: Water related services from biomes linked to river flows.



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Source: adapted from Mul et al., 2017 and the MA, 2005.

63 Water related ES are affected by a very high variability of all climate and water resources characteristics
64 - in turn exacerbated by climate change (Faramarzi et al., 2013; IPCC, 2014). Understanding the benefits
65 of water related services delivery through economic valuation and the factors that affect these
66 economic benefits can provide guidance for water resources management and climate change
67 adaptation.

68 Africa is not the continent with the largest ES valuation literature (for details on ES valuation methods
69 see de Groot et al., 2012; Pascual et al., 2010). Only 19% of the valuation studies referenced in TEEB are
70 located in Africa. Most studies are located in the Americas (33%) and Asia (26%) (based on Mc Vittie and
71 Hussain, 2013). Moreover, the valuation literature in Africa is geographically disparate: Southern and
72 Eastern Africa gather the highest number of studies while North, West and central sub-Saharan Africa go
73 under-represented. Valuation studies on water related ES in Africa represent 28% of all water related ES
74 valuation studies globally. The most frequently valued water related ES are raw materials and food
75 provision, mainly due to two different reasons: 1) these services are relatively easy to value using the
76 direct market pricing method (Van der Ploeg et al., 2010) and; 2) dependence on provisioning services is
77 high and proportionally larger in African developing countries than in developed countries, hence an
78 early focus on estimating values for this type of service (Egoh et al., 2012; Mc Vittie and Hussain, 2013).
79 Indeed, ES' consumptive outputs (e.g. crops, fish etc.) contribute to subsistence livelihoods and
80 constitute a very important share of households' income in African developing countries, thus
81 participating in poverty alleviation and reducing vulnerability to negative shocks (Egoh et al., 2012; Suich
82 et al., 2015).

83 The role of ES in reducing vulnerability and in contributing to adaptation is particularly important in the
84 face of climate change (Jones et al., 2012; Munang et al., 2013a). Adaptation to climate change can be
85 rooted in ES sustainability - known as 'ecosystem based adaptation' (Ojea, 2015). It is defined as an

86 approach that “harness the capacity of nature to buffer human communities against the adverse
87 impacts of climate change through the sustainable delivery of ES” and is expected to provide cost-
88 effective adaptation resulting in resilient socio-ecological systems (Jones et al., 2012). Such adaptation
89 option is hailed as particularly beneficial as carbon sequestering ecosystems^b such as forests, wetlands
90 and peatlands can contribute to achieving mitigation targets set under the 2015 Paris agreement as well
91 as the sustainable development goals of the United Nations while delivering on adaptation to climate
92 change (Munang et al., 2013b). Early evidence on ecosystem based adaptation supports this is the case
93 (Doswald et al., 2014). However, little is known yet on the linkages between adaptation and the value of
94 ES at a regional scale (Ojea et al., 2015). Indeed, ecosystem-based adaptation approaches have not been
95 mainstreamed yet, with only little evidence in the literature (Jones et al., 2012). Indeed, ES valuations
96 are mostly conducted in isolation of climate change and adaptation considerations. To fill this gap, one
97 feasible approach is to explore to what extent water related ES values are related to higher (or lower)
98 vulnerability and higher (or lower) leverage to adapt to climate change in countries. The present paper
99 addresses these questions to explore the potential links between the value of water related ES and
100 countries vulnerability and potential to adapt to climate change.

101 To do this, the paper synthesises water related ES values elicited for Africa in the last three decades
102 using a meta-analysis. Meta-analyses – the analysis of analyses as defined by (Glass, 1976) - have been
103 increasingly used in the field of environmental valuation (Brander et al., 2006; Ghermandi et al., 2008)
104 as it allows for a rigorous testing of a central tendency across a large number of studies while controlling
105 for the effect of several parameters (Nelson and Kennedy, 2009). In this context, a meta-analysis for
106 water related ES values is carried out to: 1) provide a quantitative answer to what factors drive water

^b Recent review highlights that much of the claimed climate regulation benefits of EbA, beyond carbon sequestering ecosystems, relate to local temperature regulation rather than mitigation (McVittie et al., 2017).

107 related ES values in Africa and; 2) understand the relationship between climate change vulnerability and
108 readiness to adapt and the benefits obtained from ES.

109 Next section introduces the methodology, outlines the data selection, standardization and coding
110 carried out in order to perform the meta-regression. Section 3 presents the model specification and
111 section 4 its associated results. Section 5 discusses the result implications before the concluding section.

112 **2 Methodology**

113 *2.1 Existing meta-analyses of water-related ES*

114 Studies aimed at understanding the benefits from ES have so far conducted meta-analyses focused on
115 one ecosystem type, such as coral reefs (Brander et al., 2007; Ghermandi and Nunes, 2013), coastal and
116 marine ecosystems (Liu and Stern, 2008), wetlands (Brander et al., 2006; Brouwer et al., 1999;
117 Chaikumbung et al., 2016; Ghermandi et al., 2008; Woodward and Wui, 2001), forests (Barrio and
118 Loureiro, 2010; Ojea et al., 2016), or mangroves (Brander et al., 2012). Other studies focus on one or a
119 bundle of ES for a specific ecosystem, such as recreational services from forests (Ojea et al., 2015;
120 Zandersen and Tol, 2009); water ES from forests (Ojea et al., 2015; Ojea and Martin-Ortega, 2015);
121 regulating services from wetlands (Brander et al., 2013) and non-carbon services from forests (Ojea et
122 al., 2016). The geographic coverage of these meta-analyses is slightly biased towards North America,
123 especially if the study is focused on wetlands (Ghermandi et al., 2008). Most studies have adopted a
124 global coverage while a few have specifically focussed on developing or emerging economies (wetlands
125 in developing countries in Chaikumbung et al., 2016; water and recreation services from forests in
126 central America in Ojea et al., 2015; and water services from forests in central and south America in
127 Ojea and Martin-Ortega, 2015).

128 The present work is, to our knowledge, the first meta-analysis study on the economic valuation of water
129 related ES focussed on the African continent. For this, an original dataset is constructed based on
130 secondary data from published literature, gathering information on the ES, its monetary value, and
131 additional socioeconomic variables following our understanding of the context where the values occur
132 (section 2.2). A meta analytical model is estimated (section 3.3) to explain the observed variations in
133 water related ES economic values while controlling for a set of study and context characteristics (Stanley
134 et al., 2013).

135 *2.2 Context for variable selection*

136 The selection of potential variables affecting ES values in the meta-analysis is guided by previous studies
137 (e.g. Brander et al., 2012; Ghermandi et al., 2008; Ojea et al., 2010; Richardson and Loomis, 2009) and
138 the understanding of the system and processes where the ES occur (Figure 2). The water system (the
139 biome) supports the delivery of ES (categorized as surface area of production^c and type of ES), which
140 yields a benefit to people that can be measured in monetary terms and could potentially depend on the
141 valuation methodology used and the authors' familiarity with the case study area. This monetary or
142 economic value is also dependent on the wider context where it occurs, and will be influenced by
143 context variables on a larger scale, including socio economic and demographic factors (e.g. population,
144 GDP, education level), biodiversity richness, and climate change adaptation readiness and vulnerability.
145 At the same time, the ES economic value also impacts the water system. In turn, it can have a feedback
146 effect on the delivery of ES (depletion, for example) as well as on the context (e.g. reduced poverty).

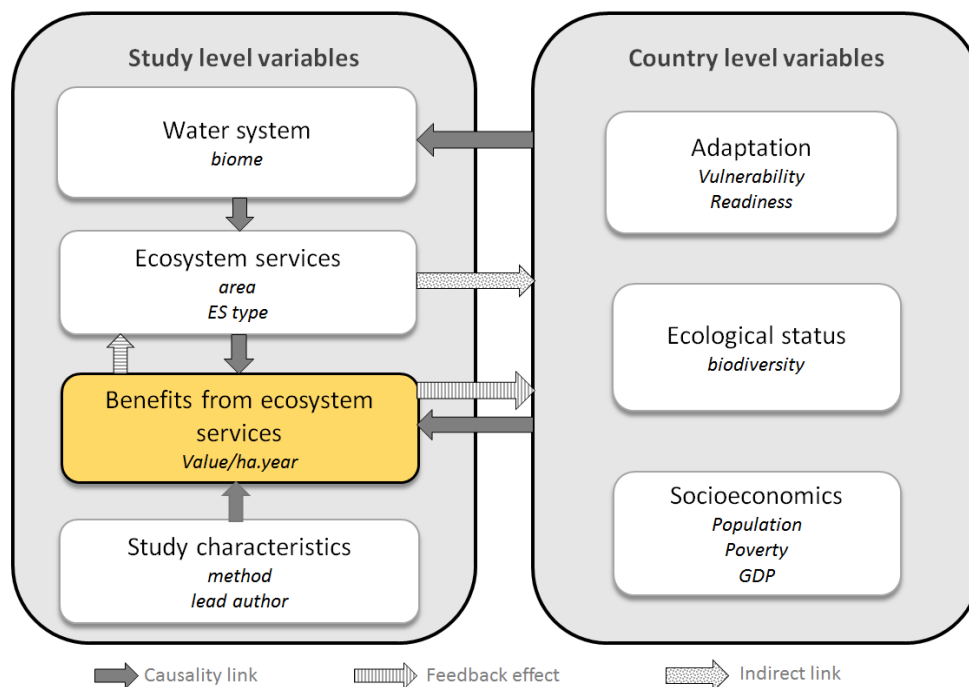
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^c Standardization by production unit area is necessary to allow comparability across estimates.

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Figure 2: Potential variables affecting ES values in the meta-analysis



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151 Previous meta-analytical approaches for ES support this reasoning. These studies include variables
 152 related to the context, the study and the ecosystem, that are impacting the economic values of the ES -
 153 the dependent variable (Brander et al., 2013; Chaikumbung et al., 2016; Ojea et al., 2010; Ojea and
 154 Martin-Ortega, 2015). The next sub section details the selection process for the dependent variable. The
 155 full list of variables and their summary statistics are presented in Table 1. In addition, a more detailed
 156 definition of each variable is given in Appendix 1.

157 **2.3 Database building**

158 A peer reviewed literature search was conducted through electronic journal databases including EVRI^d,
 159 SCIEDIRECT and Google Scholar during the months of March to August 2014 using all different
 160 combinations of the keywords “Economic Valuation”, “Africa”, “Valuation”, “Ecosystem” and

^d Accessible at <http://www.evri.ca/en>

161 “Ecosystem service” in the title, topic and keywords. Studies were collected from 1980 to 2014, as the
162 number of studies using the concept of ES has increased steadily since the 1990s (Fisher et al., 2009).
163 The grey literature was screened as well using web-based search engines with the same keywords. This
164 was to avoid publication bias and reflect that some ES valuation studies are intended for policy makers
165 and might not be published as journal papers but as reports or policy briefs (Ghermandi et al., 2008;
166 Ojea and Martin-Ortega, 2015). Backward literature search was also performed. The global TEEB
167 valuation database by Van der Ploeg et al. (2010) was screened as it gathers a comprehensive collection
168 of valuation data updated to 2010. 36 data points drawn from 12 studies were extracted from this
169 database.

170 The valuation of water related ES in an African country constituted the main criterion for inclusion of a
171 study in the dataset i.e. the study would provide a clear definition of an ES that falls under the definition
172 of water related, (i.e. an ecosystem that impacts or is dependent on river flow^e, cf. Figure 1), with a
173 stated valuation methodology and value unit. To ensure homogeneity of the dependent variable entries
174 (the ES value), the goods and services valued in the studies had to comply with the MA or TEEB ES
175 definition. Indeed, this ensures that the same shared concept is measured across studies, a key point for
176 a meta-analysis (de Ayala et al., 2014). On a second screening, studies were selected if containing
177 primary valuation data that was explicitly associated to a given service, for a given ecosystem type and
178 elicited with a clearly laid out valuation method^f. Third, a monetary value per hectare per year unit was
179 adopted to ensure comparability between values, another crucial element when undertaking a meta-
180 analysis (de Ayala et al., 2014). If the data was not readily available in this unit, only values which could
181 be recalculated to the standard unit with information presented within the studies were included. When
182 necessary, values given for a whole area were divided by the surface area under valuation, if the latter

^eTo check for this, we relied on information given within the paper and complementary cross checks in the scientific literature when necessary.

^f These information were added as dummy explanatory variables, see 3.1.

183 was available in the study. If studies were unclear on how the values were calculated, or the values
184 presented were secondary data or did not provide enough data for standardisation per ha, they were
185 excluded from the dataset.

186 Care was taken to avoid double counting by only reporting disaggregated primary data. As a result, 36
187 studies out of the 72 derived from the search were not included due to qualitative or secondary
188 valuations, data incompleteness or to the impossibility to convert the value to the standard unit.

189 All observations were deflated and standardised for comparability to 2014 international USD using the
190 World Bank GDP deflator and purchasing power parity dataset (World Bank, 2015). This is standard
191 procedure due to the various time period reported and to adjust for the different currencies, income
192 and consumption levels among African countries (Brander et al., 2006; Ojea and Martin-Ortega, 2015;
193 Woodward and Wui, 2001).

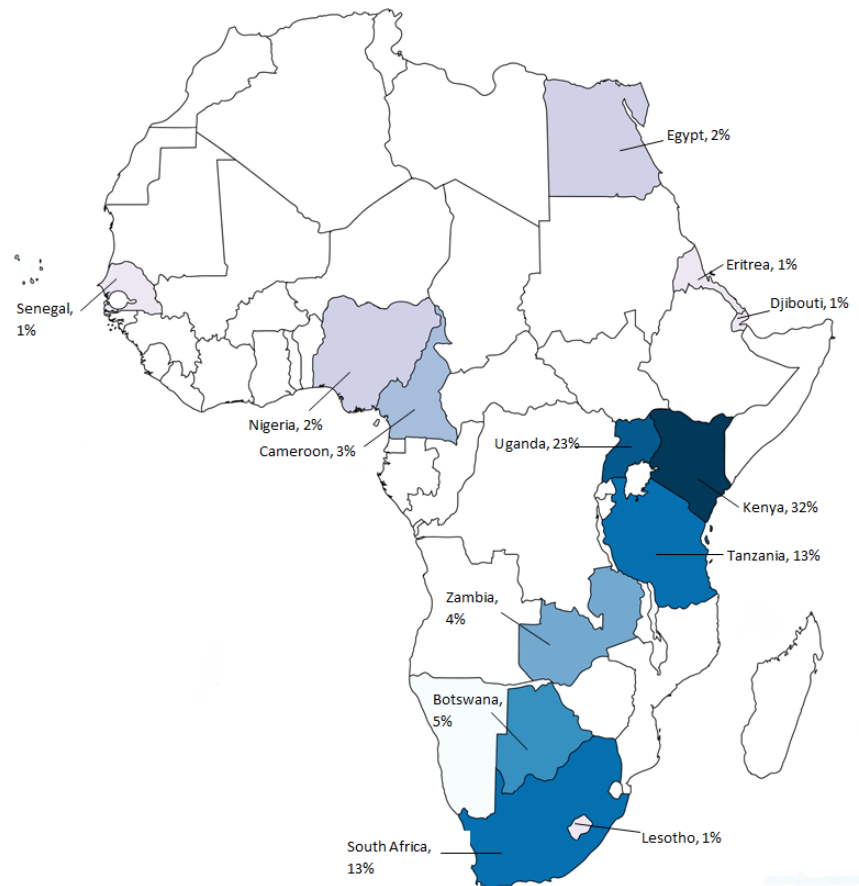
194 The semi-systematic search resulted in an original dataset of 178 ES value observations drawn from 36
195 studies dating from 1982 to 2014 and spanning 13 countries (see Figure 3). Data is distributed across
196 Kenya, 32% of the observed values, representing 16% of the studies; Uganda, 23% of total data points
197 representing 27% of included studies; and Tanzania and South Africa, 13% of the reported values,
198 respectively representing 8% and 18% of all studies. East Africa makes up more than half the data
199 points. Other countries provided 19% of data points representing 29% of the studies.

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Figure 3: Geographic distribution of the ES value observations



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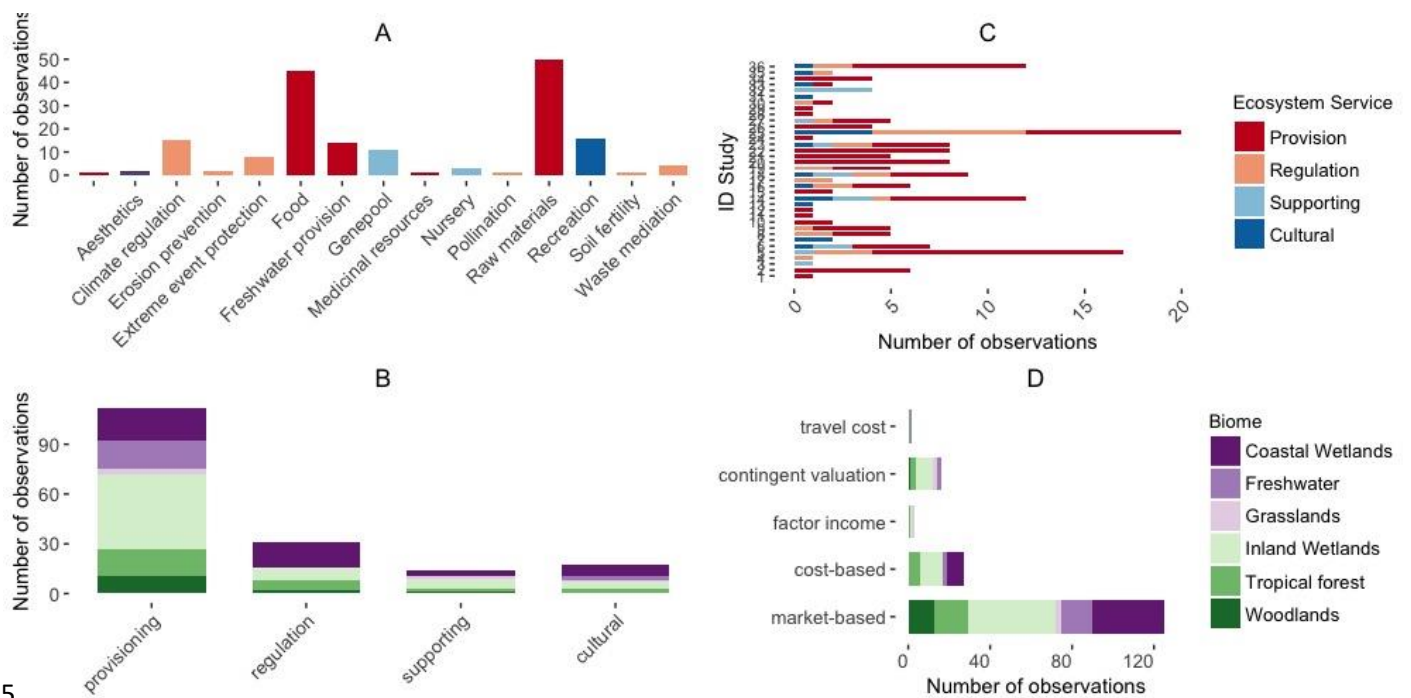
204 A detailed description of the dataset is presented in Figure 4. Most observations are provisioning
205 services, such as food, raw materials and freshwater provision (Figure 4-A). On average, each study
206 provided 5 observations with one outlier study containing 20 observations (Emerton, 2014) and 10
207 studies providing a single one (e.g. Naidoo and Adamowicz, 2005; Turpie and Joubert, 2001) (see Figure
208 4-C). Biomes are represented across all ES categories as observed in Figure 4-B. Market based methods
209 are the most used methods and are present in every biome (Figure 4-D). A list of studies included in the
210 analysis is available in Appendix 2 as well as a cross tabulation of the water related ES values per biome
211 in international dollars in Appendix 3.

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Figure 4: Summary statistics of the water related ES in Africa dataset



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216 Number of observations per sub-type of ES (A); Number of observations per ES type and biome (B); Number of

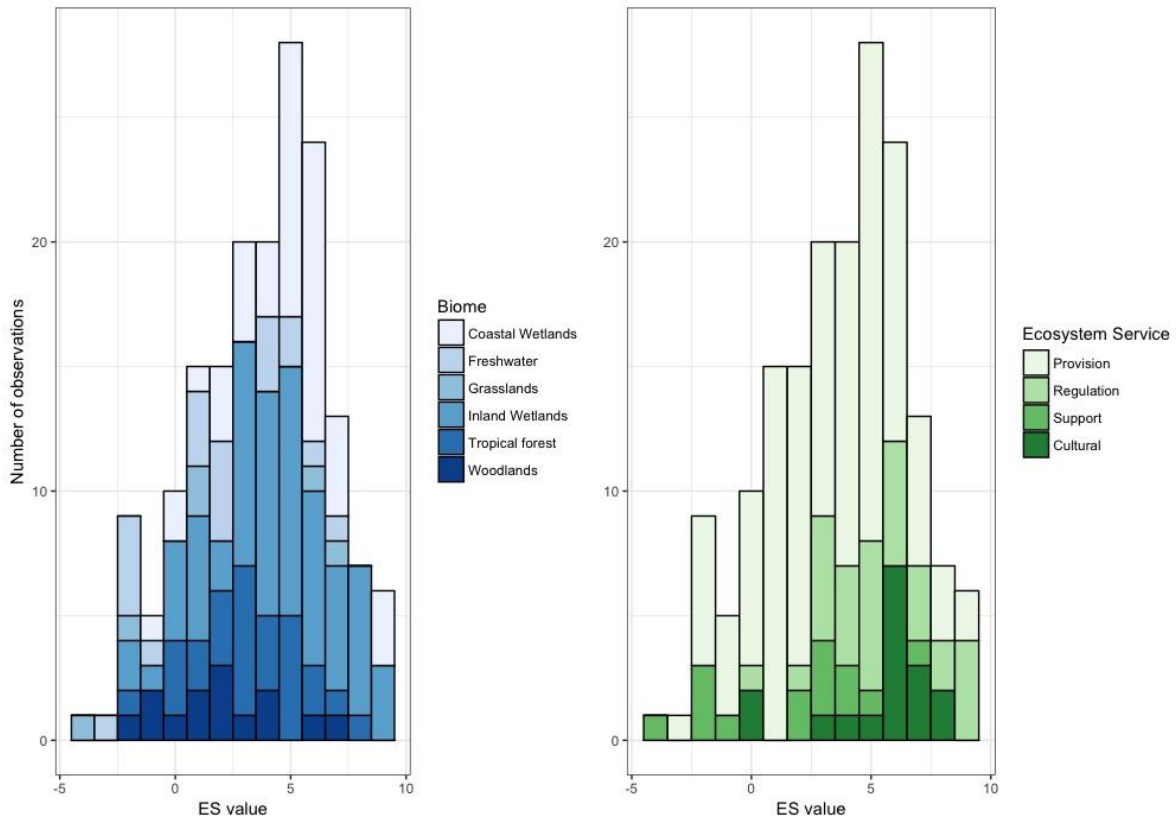
217 observations per original study and ES type (C); and number of observations per methodology and biome (D).

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Figure 5: Histogram of ES values (ln(\$/ha)) per biome and ES type.



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222 Figure 5 provides further descriptive statistics on the values of ES in the original studies. Values per
223 hectare per year are log-transformed to normalize the data, therefore ranging between negative and
224 positive values in the histograms. Colours represent biome types on the left chart, and ES types on the
225 right chart. The most commonly valued type of biome corresponds to inland wetlands, while the most
226 commonly valued ES types is provisioning services.

227 3 Model and specification

228 3.1 Explanatory variables

229 We shall distinguish between study-specific variables that are obtained from the original studies and
230 context variables that are excerpted from global development datasets and from natural indices

231 databases. Description, sourcing and summary statistics of all variables used in the model are available
232 in Table 1.

Table 1: Variable description and summary statistics

Variable	Type	Description	Variable name	Coding	Number of observations	Mean (Std. Dev.)	Range [Min; Max]
Dependent variable							
<i>lnVAL</i>	Numeral	Natural logarithm of the ES value in international \$/ha.year (2014 value)			178	3.84 (3.00)	[-4.35; 11.35]
Explanatory variables							
<i>Study variables</i>							
<i>BIO</i>	Dummy	Type of biome where the service is provided	B_IWT	Inland wetlands (=1)	64	0.36 (0.48)	[0; 1]
			B_CWT	Coastal wetlands (=1)	45	0.25 (0.44)	[0; 1]
			B_FWT	Freshwater ^g (=1)	20	0.11 (0.32)	[0; 1]
			B_WDL	Woodlands (=1)	14	0.08 (0.23)	[0; 1]
			B_TRO	Tropical forest (=1)	27	0.15 (0.36)	[0; 1]
			B_GRAS	Grasslands (=1)	8	0.04 (0.21)	[0; 1]
<i>SERV</i>	Dummy	Type of ecosystem service as per the TEEB classification	PROV	Provisioning (=1)	113	0.63 (0.48)	[0; 1]
			REG	Regulating (=1)	35	0.18 (0.39)	[0; 1]
			SUPP	Supporting (=1)	15	0.08 (0.28)	[0; 1]
			CULT	Cultural (=1)	18	0.10 (0.30)	[0; 1]
<i>logHA</i>	Numeral	Log of the surface area of the ES in hectares	logHA		178	10.35 (3.60)	[-.47 ^h ; 18.19]
<i>METD</i>	Dummy	Original valuation method used in the primary valuation	METD_M	Market –based methods: direct market price, cost-based, factor income (=1)	158	0.90 (0.31)	[0; 1]
			METD_NM	Non-market- based methods: Contingent valuation and travel cost (=1)	19	0.10 (0.31)	[0; 1]

^g Freshwater biomes include rivers, lakes and floodplain in line with the categorisation of the TEEB (2010)

^h The negative values are due to the <1ha figures for certain ES.

Variable	Type	Description	Variable name	Coding	Number of observations	Mean (Std. Dev.)	Range [Min; Max]
<i>LEAD</i>	Dummy	Whether the lead author of the study is based in a local or international institution located in Africa.	LEAD	First author based in Africa (=1) other (=0)	178	0.80 (0.40)	[0; 1]
<i>Context variables</i>							
<i>Socio economic and demographic</i>							
<i>PMRY_ENROL</i>	Numerical	Primary school enrolment rate, both sexes, in percentage (World Bank, 2015)			177	102.94 (17.13)	[30.61; 131.27]
<i>GDP</i>	Numerical	GDP per capita in thousands of 2014 PPP USD (World Bank, 2015)			178	3.30 (3.27)	[0.61; 12.3]
<i>POP_R</i>	Numerical	Percentage of rural population (World Bank, 2015)			178	74.86 (12.00)	[23.56; 88.17]
<i>POVTY_R</i>	Numerical	Rural poverty headcount ratio at national poverty line in percentage (World Bank, 2015)			175	50.17 (19.09)	[22.4; 92.2]
<i>Biodiversity</i>							
<i>GEF</i>	Numerical	Composite index by the Global Environmental Facility of relative biodiversity potential for each country. (Global Environmental Facility, 2015)			178	9.37 (6.67)	[0.31; 23.52]
<i>Climate change</i>							
<i>VUL</i>	Numerical	Composite index scoring the vulnerability of each country to climate change. (Notre Dame University, Canada, 2016)			178	1.01 (0.024)	[0.98; 1.11]
<i>READ</i>	Numerical	Composite index scoring the readiness of a country to leverage investment in climate change adaptation policies. (Notre Dame University, Canada, 2016)			178	0.99 (0.06)	[0.88; 1.09]

234 Study-specific variables include the methodology applied in the original valuation exercise and other
235 characteristics of the case studies. Biome (*BIO*) is based on what is defined in the original publication
236 and can be an inland wetland (B_IWT), a coastal wetland (B_CWT), a freshwater system i.e. river, lake,
237 floodplains (B_FWT), woodlands (B_WDL), tropical forest (B_TRO), or grassland (B_GRAS) (Table 1). The
238 number of observations for terrestrial and aquatic ecosystems is 49 and 129, respectively. Both types of
239 biomes present similar average values per hectare with 2014 PPP USD 1,457 for terrestrial and 1,469 for
240 aquatic biomes. Ecosystem services (*SERV*) are classified following the MA and TEEB categorisation into
241 provisioning (PROV), regulating (REG), habitat or supporting (SUPP) and cultural (CULT) services (Table
242 1). The valuation method (*METD*) can be market-based (METD_M), i.e. direct market pricing, cost based
243 methods, factor income and production function; or non-market based (METD_NM) i.e. contingent
244 valuation and travel cost. The surface area is included in log-transformed hectares (*logHA*) and refers to
245 the area of the ES provision. Finally, information on the lead author is collected to identify any
246 “authorship effect” (Brouwer et al., 1999), recording if the lead author has an affiliation to a research
247 centre or an international organisation based in Africa (*LEAD*)ⁱ. The literature shows mixed evidence on
248 authorship effects. On one side, one can expect that first authors affiliated to an institution located in
249 Africa might be more likely to report higher ES values, as they may have better knowledge of the local
250 context and of the communities where the market and non-market based valuation methods are
251 implemented, therefore providing more accurate and comprehensive estimates. On the other side, in
252 the case of market-based methods, these local authors may have access to finer scale market data that
253 could lower the estimates (Brander et al., 2006), and have better knowledge of cultural and social norms
254 that may help design unbiased non-market preference elicitation approaches.

255 Context variables related to socio-economic traits, biodiversity level, vulnerability and adaptation to
256 climate change at the national level are also expected to influence the value of water related ES (see

ⁱ It is assumed that affiliation between publication time and research time has not changed.

257 Figure 2) and were included in the dataset. Each data point for the context variables corresponds to the
258 study's country and year^j. First, socio-economic and demographic variables such as GDP per capita
259 (*GDP*), education level as the percentage of the population of official primary education age enrolled in
260 primary school (*PMRY_ENROL*), rural population share expressed as the percentage of population living
261 in rural areas (*POP_R*) and rural poverty, the percentage of rural population living below the national
262 poverty lines (*POVTY_R*). The last two variables above are at rural level to reflect that ES provision in the
263 dataset mainly occurs in rural areas. All variables relate to a country's development levels and can
264 potentially explain data heterogeneity. Indeed, it can be expected that more developed countries would
265 tend to present higher ES values as highlighted in previous meta-analyses (Barrio and Loureiro, 2010;
266 Brander et al., 2006; Ghermandi et al., 2008; Ojea et al., 2010).

267 Second, a variable reflecting the country's biodiversity status is also included with the biodiversity
268 richness indicator elaborated by the Global Environmental Facility (*GEF*). Indeed, biodiversity
269 fundamentally underpins ecosystems, supporting their capacity to provide services to humans
270 (Cardinale et al., 2012; Ojea et al., 2010). Higher biodiversity levels are associated with water related ES
271 (Balvanera et al., 2014). However, given that a single service may result from multiple functions, positive
272 and negative effects of biodiversity richness can counteract each other and the resulting net effect is still
273 unknown (Balvanera et al., 2014). Less evidence is available regarding the effect of biodiversity on the
274 economic value of those ecosystem services and the present study wants to contribute in this respect.

275 Third, climate change indices developed by Notre Dame University^k for vulnerability to climate change
276 and readiness to adapt are also considered (*VUL* and *READ*). These indices are included to explore the
277 extent to which ES values are related to climate change vulnerability and potential adaptation leverage
278 in study countries. It is expected that higher ES values are associated with less vulnerable and more

^j As an example, for a 2012 study in Uganda, GDP per capita and all other context variables will correspond to year 2012 for Uganda.

^k ND Gain country index <http://index.gain.org/>

279 ready to adapt countries, as a high value ES can reflect the state of the ecosystems and the associated
 280 level of benefits society receives. Each index considers several dimensions of a country's vulnerability
 281 and readiness (see Appendix 1). The adjusted for GDP indices are used, they measure the actual
 282 performance of the country compared to its expected performance given its GDP. A detailed
 283 explanation on all context variables and their sources is available in Appendix 1. Care was taken when
 284 selecting the variables to minimize potential collinearity^l. The tests for collinearity produced a diagnostic
 285 of no correlation problem as the Variance Inflation Factors (VIF) returned values lower than 6 for all
 286 variables^m (Ojea et al., 2010). Correlation coefficients between each variable are available in Appendix 4.

287 *3.2 Model specification*

288 The dependent variable in the models ($\ln y_{in}$) is a vector of the water related ES monetary values
 289 converted to 2014 international US\$ per hectare per year. It is expressed in logarithmic terms (see Table
 290 1) based on the analysis of the histograms of the dependent variable in log and non-log form as well as
 291 on the result of the Box-Cox model test (Cameron and Trivedi, 2009, chapter 3)ⁿ. Semi-logarithmic
 292 regression is also the resulting functional form in previous meta-analyses of ES values (Barrio and
 293 Loureiro, 2010; Brander et al., 2007; Johnston et al., 2005; Lindhjem, 2007; Liu and Stern, 2008;
 294 Richardson and Loomis, 2009; Rolfe and Brouwer, 2012; Woodward and Wui, 2001). The explicit
 295 specification of the meta-regression model can be described as follows:

$$296 \quad \ln y_{i,j} = \alpha + X_{s,i,j} \beta_s + X_{c,i,j} \beta_c + \varepsilon_i + u_j, \quad (1)$$

^l For example, the adjusted for GDP ND gain indices were chosen over the non-adjusted ones to limit collinearity.

^m Mean VIF for model 1 is 2.36 ranging from 1.25 to 3.84 and 2.69 for model 2, ranging from 1.36 to 4.95.

ⁿ The Box-Cox test resulted in a value of -1038 ($\chi^2 = 129.45$) hence the null hypothesis of no difference between semi-log and linear model was rejected at a 1% significance level (i.e. models are significantly different at 99% confidence level in terms of goodness of fit). In addition, we obtain an estimate of $\hat{\theta} = 0.04$, which gives much greater support for a log-linear (or semi-log) model ($\theta = 0$) than the linear model ($\theta = 1$) (see Cameron and Trivedi, 2009, chapter 3).

297 where i denotes each specific study ($i=1, 2, \dots, N$), j refers to the value estimate reported in the
 298 study ($j=1, 2, \dots, M_i$), α is the usual constant term or intercept and the β vectors are the
 299 coefficients to be estimated in the meta-analysis. Each β coefficient is associated to a type of
 300 explanatory variable: either study specific (X_s) or context specific (X_c) (see Table 1). Where each
 301 study i provides a single estimate j , then $M_i=1$ and ε_i collapses into u_j . However, where a study
 302 gives more than one value estimate, it is necessary to account for the common error across estimates
 303 (u_j) and the individual-specific effect or panel error within a study (ε_i).

304 **3.3 Model estimation**

305 There are several approaches to estimating this model depending on assumptions regarding the error
 306 variance-covariance matrix (Lindhjem, 2007). Table 2 presents the different estimators used in recent
 307 meta-analysis literature in environmental economics. These include Weighted Least Squares (WLS),
 308 Generalized Least Squares (GLS), explicit specifications of panel models with fixed or random effects,
 309 and Ordinary Least Squares (OLS) usually applied with Huber-White adjusted standard errors clustered
 310 by study. This last estimator has been most commonly used in the environmental economics literature
 311 (see Table 2). Meta-regression models dealing specifically with data heterogeneity, heteroscedasticity
 312 and correlated observations are described in Nelson and Kennedy (2009).

313 **Table 2: Models estimated in meta-analysis studies**

Estimation technique	Study
OLS	Brander et al., 2012; Ghermandi et al., 2008; Lindhjem, 2007; Liu and Stern, 2008; Loomis and White, 1996; Ojea et al., 2016, 2010; Richardson and Loomis, 2009; Shrestha and Loomis, 2001
OLS with Huber–White adjusted SE	Barrio and Loureiro, 2010; Brander et al., 2006; Ghermandi and Nunes, 2013; Johnston et al., 2003; Lindhjem, 2007; Woodward and Wui, 2001; Zandersen and Tol, 2009
Weighed OLS with Huber White	Ghermandi and Nunes, 2013
Multi-level OLS	Bateman and Jones, 2003; Brander et al., 2007; Brouwer et

	al., 1999; Ghermandi et al., 2008; Johnston et al., 2003
GLS	Ojea et al., 2015; Ojea and Loureiro, 2011
Fixed GLS	Ojea and Martin-Ortega, 2015
RE GLS	Chaikumbung et al., 2016; Ojea and Loureiro, 2011
GLS cluster SE	Chaikumbung et al., 2016
Weighed GLS with cluster SE	Chaikumbung et al., 2016; Johnston et al., 2003

314 Note: some studies estimate more than one model and hence are reported multiple times. Generalized Least Square (GLS),
315 Ordinary Least Squares (OLS), Fixed Effects (FE), Random Effects (RE), Standard Errors (SE).

316

317 Since most studies in the database report more than one monetary value estimate - a panel of
318 observations - estimates from the same study are likely to be correlated. Therefore the meta-regression
319 specification defined in (1) can be estimated with data-panel structure (Nelson and Kennedy, 2009). The
320 appropriateness of including the study specific error term ε_i was tested by applying the Breusch Pagan
321 Lagrange Multiplier test for random effects (Torres-Reyna, 2007; Zandersen and Tol, 2009)^o. The null
322 hypothesis of no panel effect was rejected at 5% significance level (χ^2 value of 6.92 with
323 Prob.> χ^2 = 0.0043). In addition, the Hausman test was used to determine whether the random effects
324 model (as opposed to the fixed effects one) is the correct specification. This procedure tests whether a
325 significant correlation between unobserved individual-specific random effects (ε_i) and the explanatory
326 variables (X_i) exists (Cameron and Trivedi, 2009, chapter 8; Wooldridge, 2002, chapter 10). Under the
327 null hypothesis, ε_i in (1) is purely random, implying that it is uncorrelated with regressors X_i in (1). The
328 Hausman specification test resulted in a χ^2 value of 11.46 with Prob. > χ^2 = 0.32, yielding to not reject
329 the null hypothesis of non-correlation at 5% significance level, and therefore supporting the adoption of
330 a random effects model. Cluster-robust standard errors were specified for the random effects panel
331 data models estimated in section 4 (Cameron and Trivedi, 2009,chapter 8).

^o This test helps choosing between a random effects regression and a simple OLS regression (Torres-Reyna, 2007)

332 **4 Results**

333 To better explain the variations in the value observations and check for the robustness of the results
334 obtained, Model 1 and extended Model 2 with a focus on climate change vulnerability and readiness to
335 adapt are estimated. In addition, cross-products of variables are computed to further interpret the
336 results (section 4.2).

337 **4.1 Model 1 and 2**

338 Both models are random effects panel data models with cluster-robust standard errors and are
339 estimated in STATA (V.14.1)^p. The two models perform well with reasonable R square for this type of
340 study^q. The estimated coefficients along with their standard errors and 95% confidence intervals are
341 presented in Table 3:

342

^p A GLS model corrected for heteroscedasticity and an OLS with cluster robust standard errors were also estimated for both models (model 1 and model 2) and similar results were obtained in terms of coefficients significance and behavior.

^q The overall R-sq is in line with previous published work using the same model (Mattmann et al., 2016) as well as with other model results (Brander et al., 2012, 2006; Brouwer et al., 1999; Chaikumbung et al., 2016; Ghermandi et al., 2008; Ojea et al., 2010, 2015; Shrestha and Loomis, 2001; Woodward and Wui, 2001).

Table 3: Meta-analysis regression model 1 and 2 results.

Variable	Model 1		Model 2	
	Coefficient (Std. Error)	95% CI	Coefficient (Std. Error)	95% CI
<i>B_FWT</i>	-1.086** (0.376)	[-1.822 -0.349]	-1.023** (0.337)	[-1.684 -0.363]
<i>PROV</i>	-1.481* (0.859)	[-3.165 0.204]	-1.461* (0.868)	[-3.163 0.241]
<i>REG</i>	-0.166 (0.713)	[-1.564 1.232]	-0.215 (0.727)	[-1.639 1.210]
<i>SUPP</i>	-1.668* (0.951)	[-3.531 0.196]	-1.810** (0.914)	[-3.603 -0.019]
<i>logHA</i>	-0.357*** (0.084)	[-.523 -0.192]	-0.295*** (0.083)	[-0.458 -0.133]
<i>METD_M</i>	-0.617 (0.852)	[-2.288 1.053]	-0.587 (0.859)	[-2.271 1.097]
<i>LEAD</i>	1.949** (0.817)	[0.349 3.550]	2.044** (0.749)	[0.575 3.512]
<i>PMRY_ENROL</i>	-0.035 (0.031)	[-0.096 0.027]	-0.0342 (0.026)	[-0.085 0.017]
<i>GDP</i>	0.311* (0.189)	[-0.060 0.681]	0.367** (0.143)	[0.087 0.648]
<i>POP_R</i>	0.039 (0.044)	[-0.048 0.126]	0.0482 (0.040)	[-0.029 0.126]
<i>POVTY_R</i>	-0.040** (0.017)	[-0.074 -0.007]	-0.044*** (0.014)	[-0.071 -0.018]
<i>GEF</i>	-0.019 (0.075)	[-0.166 0.128]	-0.139* (0.074)	[-0.284 0.005]
<i>VUL</i>			-46.302*** (12.166)	[-70.147 -22.458]
<i>READ</i>			-12.971** (6.840)	[-26.377 0.435]
<i>Constant</i>	9.985** (3.930)	[2.282 17.688]	9.950** (4.165)	[1.785 18.114]
Observations	174		174	
Groups	34		34	
R-sq:	0.3917		0.4818	

Note:

***, **, *: Significance at the 1%, 5% and 10% levels, respectively.

CI: Confidence Interval

Other combinations of variables were tried but gave no significant result

If the regressions had included METD_NM instead of METD_M the coefficients for this variable would have been the reversed of the ones presented here i.e. 0.617 and 0.587 for Model 1 and 2, respectively.

345 The coefficients for the dummy variables can be interpreted as constant proportional changes given an
346 absolute change in the variable.

347 For the study characteristics, freshwater ecosystems (*B_FWT*) resulted into a negative and significant
348 coefficient indicating that freshwater ecosystems have in general, lower ES benefits than other types of
349 biomes in the dataset (grasslands, wetlands, tropical forests and woodlands). Provisioning (*PROV*) and
350 habitat or supporting services (*SUPP*) display significant negative coefficient estimates, with respect to
351 cultural services as the omitted variable (*CULT*). This result indicates that provisioning and habitat
352 services are, in general, related to lower ES monetary value as compared to cultural services. One
353 explanation could be that revenues from international tourism can be substantially larger than the
354 economic value derived from generally low value provisioning goods (e.g. fish catch), as obtained in
355 other analyses (UNEP, 2010). Indeed, international users may place higher values than local users on
356 services such as tourism, but lower values on regulation services, while local users may do the opposite.
357 Most original studies included in the database did not provide explicit information on end users.
358 However, it can be expected that end users of cultural services are most often foreign visitors, who are
359 wealthier than end users of provisioning and regulating services, who are mostly local communities.
360 Another potential explanation lies in the common use of the market price valuation method for
361 provisioning services valuation, which in the literature is recognized for providing slightly lower
362 estimates than other methodologies (e.g. Brander et al., 2006).

363 Regarding the valuation method of the primary studies, market-based valuation methods (*METD_M*)
364 seem not to be significantly different from non-market methodologies in our dataset. However,
365 environmental valuation literature generally shows higher values with non-market valuation techniques
366 than with market-based valuation methods (Brander et al., 2006).

367 The coefficient for surface area is also negative and significant, showing that, on average, the larger the
368 area where the ES is produced, the lower the marginal benefit per hectare. This tendency is in line with
369 other studies on environmental valuation and is due to decreasing marginal returns with size (Brander et
370 al., 2006; Chaikumbung et al., 2016; Ghermandi et al., 2008).

371 The African affiliation of the study lead author (*LEAD*) has a significant and positive impact on the values,
372 which indicates that, on average, valuation studies led by a researcher based on the African continent
373 tend to provide higher benefit estimates. One reason behind this could be that locally based
374 researchers, being more aware of the country and community context, can design and implement
375 questionnaires and focus groups that have greater success in estimating true preferences, which can
376 translate into a higher ES estimate. Further analysis on this effect is needed to understand what
377 particular factors drive this finding, specifically related to the methodologies involved.

378 ES values greatly differ depending on context characteristics such as GDP and rural poverty. *GDP* per
379 capita is positively related with the ES values, albeit for a very low effect. The rural poverty measure
380 (*POVTY_R*) has, on average, a significant negative effect on ES benefits. Potentially, rural poverty might
381 have a negative impact on the observed values due to the lower market prices practiced in these areas –
382 since the direct market pricing method dominates the dataset (see Figure 4-D), the effect of this
383 methodology could be felt in this relationship. Another possible explanation (not in opposition to the
384 previous one) is that a higher poverty rate in a rural setting translates into a higher reliance on natural
385 resources, which subjected to heightened human pressure degrade and provide services of lesser value
386 (either due to lower quality or quantity). This is a two-way effect and the opposite argument could also
387 be made, as having low ES values leads to greater poverty rates. Primary education enrolment
388 (*PMRY_ENROL*) and percentage of rural population (*POP_R*) are on average not significant in either
389 model. Our expectation was that education level might impact positively or negatively ES values but this
390 is not shown in the analysis.

391 Model 2 results are very similar to model 1 with the exception of the biodiversity indicator (*GEF*) that
392 becomes statistically significant. The negative coefficient for *GEF* suggests a trade-off between a
393 country's biodiversity potential and its water related ES values. Such trade-off has been observed for ES
394 provision (i.e. the service delivery not the value) and biodiversity. For regulating services, Phelps et al.

395 (2012) suggest there may be important trade-offs between biodiversity level and the delivery of
396 regulating services such as carbon uptake by forests. In the case of provisioning services, it could be
397 expected that ecosystems with higher provisioning services extraction level (food, raw materials, etc.)
398 would be more degraded sites and can be associated with lower biodiversity levels (Butchart et al.,
399 2010; Rey-Benayas et al., 2009; Vitousek et al., 1997). However, when it comes to ES values, little
400 evidence exists, Ojea et al., (2010) show a positive link between biodiversity and provisioning service
401 values. These issues are further investigated in section 4.2.

402 Model 2 also shows that the coefficient for vulnerability to climate change of a given country (*VUL*) has a
403 significant negative effect. Higher vulnerability in a country is related to lower water related ES benefits,
404 what may indicate the importance of highly valued ES for adaptation, as less vulnerable countries have a
405 comparatively smaller adaptation gap (UNEP, 2017). A feedback loop pattern could be at play: an
406 increased vulnerability can in part be due to a degradation of ecosystems, potentially translating into
407 lower values, and a heightened degradation could lead to a reinforced vulnerability. It is to be noted
408 that in our database GDP and vulnerability levels are not correlated^f (see Appendix 4), suggesting that in
409 our case, GDP levels are not associated with higher or lower vulnerability to climate change. To
410 understand the causal relationship between vulnerability to climate change and ES values, a case study-
411 based analysis where vulnerability and adaptation levels are available at a finer spatial scale would need
412 to be developed. This is not possible with the present dataset, which supports a more exploratory
413 analysis. Our results on *VUL* are in line with the expectations and results from previous literature,
414 showing for some cases (but not at country level) that promoting ES can be a cost-effective adaptation
415 measure by reducing vulnerability (Doswald et al., 2014; Jones et al., 2012; Munang et al., 2013a).

416 The readiness to adapt to climate change index (READ) displays, on average, a negative relationship with
417 ES values. This suggests that in countries where institutions are less ready and less able to leverage

^f *VUL* and GDP correlation coefficient corresponds to 0.159 at the 5% level (see Appendix 4)

418 finance for climate change adaptation and implement adaptation policies, the values associated with ES
 419 are higher. This result is somehow surprising and it may be pointing out to a larger issue a country may
 420 face. Indeed, the readiness to adapt index is a ranking in absolute that involves economic, institutional
 421 and social readiness (see Appendix 1) which mainly rely on non-natural capital. Since ES values mostly
 422 contribute to natural capital (Daily et al. 2009), countries may be facing a trade-off between their
 423 natural and non-natural capital, where the former is related to climate vulnerability and the latter is
 424 related to readiness to adapt. Further research is needed to confirm this hypothesis.

425 4.2 Interactions

426 Cross-effects between multiple variables allow to further explore the results of the meta-models and
 427 understand the interactions between variables (Ghermandi et al., 2008). A few interactions were
 428 investigated in model 2⁵ (see Table 4). This was carried out to: 1) check the interplay between
 429 biodiversity levels and the different types of ES (GEF*REG); 2) explore the authorship effect with the
 430 methodologies (LEAD*METD_M); 3) further investigate vulnerability to climate change and ES types
 431 (VUL*PROV and VUL*REG); and 4) examine effects of GDP on vulnerability to climate change
 432 (VUL*GDP). Results are available in Table 4.

433 **Table 4: Cross products**

Name of cross product	Coefficient (Std. Error)
<i>GEF*REG</i>	0.131 ** (0.061)
<i>GEF*PROV</i>	-0.032 (0.083)
<i>LEAD*METD_M</i>	-4.475*** (0.976)
<i>VUL*PROV</i>	- 41.666 ** (16.835)
<i>VUL*REG</i>	- 73.602 *** (16.586)
<i>VUL*GDP</i>	-9.121 ***

⁵ The cross products were included in model 2, one at a time, to analyse each interaction independently.

(3.588)

Note: ***, **, *: Significance at the 1%, 5% and 10% levels, respectively.

Absence of sign means the interaction was not significant.

434 The interactions between *GEF* and the type of ES (Table 4) further investigate the link between a
435 country's biodiversity potential and its ES values. While biodiversity is affecting ES values in a negative
436 direction in the general model, the cross products of biodiversity and ES values (*GEF*REG* and
437 *GEF*PROV*) are yielding mixed results. There is a positive relation for regulating services values and no
438 significant effect for provisioning. Given these results, a more detailed analysis with study site specific
439 biodiversity levels would be necessary to disentangle the effects of biodiversity on ES values.

440 The cross-effect of the author's institution (*LEAD*) and the valuation method (*METD_M*) further explains
441 the authorship effect. When the lead author is based in an institution located in Africa and uses market
442 based valuation methods, the ES benefits obtained are lower (*LEAD*METD_M* in Table 4). One reason
443 for this can be access to and understanding of more reliable market data, at a finer scale for a locally
444 based researcher that may avoid over estimation bias. Of course, this interpretation comes with caution
445 as it is unclear whether this explanation applies if the researcher is based in a country different from the
446 one where they do the research. The inverse effect applies and if the lead author uses non-market
447 based methods, the values of the ES benefits will be higher[†].

448 The interaction between the vulnerability index and the type of service further informs us about the
449 importance of the different benefits from ES on adaptation to climate change. Countries more
450 vulnerable to climate change present lower values both for provisioning and regulating ES (Table 4),
451 while results for cultural and supporting services are not significant. This result reinforces the role of
452 both provisioning and regulating ES in reducing climate vulnerability. Finally, higher vulnerability to
453 climate change in our sample is related to lower GDP, as the negative coefficient for the cross effect
454 between the vulnerability index and the GDP shows (Table 4).

[†] *LEAD*METD_NM*= 4.475*** (Std. Error = 0.976).

455 **5 Discussion**

456 Livelihoods and economies of African developing countries are especially vulnerable to climate change
457 due to their climate sensitive economies that are largely underpinned by ES and natural resources
458 management (McCarthy, 2001). At a time when the 5th International Panel on Climate Change (IPCC)
459 assessment states with high confidence that “African ecosystems are already being affected by climate
460 change and future impacts are expected to be substantial” (Niang et al., 2014), understanding how
461 managing and enhancing ES value can foster a society’s capacity to adapt to climate change is necessary
462 (Doswald et al., 2014). In this context, the present work is novel for two main reasons: 1) to the best of
463 our knowledge, this is the first meta-analysis on benefits from water related ES values in Africa and; 2)
464 we find supporting evidence of a link between the value of water ES and the vulnerability level of African
465 countries at the national scale.

466 This work synthesizes existing evidence and effect of factors driving water related ES values in Africa.
467 Results suggest that water related ES present different values depending on the type of service, biome,
468 lead author’s affiliation and socioeconomic factors such as GDP per capita, rural poverty ratio and
469 biodiversity levels, as well as a country’s vulnerability and readiness to adapt to climate change. More
470 precisely, the analysis highlights that a country’s poverty level and vulnerability to climate change are
471 directly linked to low water related ES benefit values. These interlinks between ES values and - what are
472 essentially - proxy indicators for development levels (poverty, GDP and vulnerability) make the case for
473 ecosystem-based adaptation. Indeed, by bringing evidence of the existing synergy between
474 development levels and the value of water related ES, the analysis gives quantitative evidence that
475 ecosystem-based adaptation could fulfil its “win-win” promise described in Munang et al. (2013b) and
476 Seddon et al. (2016) of contributing to adaptation to climate change while delivering on the United
477 Nations Sustainable Development Goals (SDGs) (see UN, 2015). However, we only find this link for
478 vulnerability to climate change as the results also show a negative effect of water related ES values on

479 readiness to adapt. More research will be needed to understand what drives this novel result by
480 addressing the question of the extent to which natural and non-natural capital are facing inherent trade-
481 offs that might limit the capacity of a country to leverage adaptation action.

482 This new evidence comes in the context of a recent shift in focus in the adaptation agenda of the
483 international community towards ecosystem-based adaptation. Indeed, under the Paris agreement
484 negotiated within the United Nations Framework convention on Climate Change (UNFCCC), every five
485 years, countries submit Intended Nationally Determined Contributions (INDCs) that comprise a National
486 Adaptation Plan of Action (NAPA) (see UNFCCC, 2016). The review of the first set of INDCs submitted in
487 2016 by Seddon et al. (2016) states that the INDCs of 25 African countries have developed detailed
488 NAPAs with tangible ecosystem-based adaptation targets. Now, given how ES values can vary with
489 biomes, type of service etc., research and policy should address who the end users are and how much
490 they benefit from ES. As ultimately, the end users are key to natural resources management
491 enforcement, especially in rural Africa, particular attention should be paid to ES end users so that
492 ecosystem-based adaptation policies can affect targeted populations.

493 **6 Conclusions**

494 ES are important for achieving sustainability and have been successfully used in management and policy
495 around the world. Studies have also highlighted the importance of ES in adaptation, where investing in
496 recovering and maintaining ES may be a climate proof policy. However, less has been shown on how the
497 values from ES interact with vulnerability and adaptation to climate change. In this study, we address
498 this last question with a meta-analysis of water related ES in Africa. We find that higher ES values are
499 related to lower vulnerability to climate change reinforcing the case for ecosystem-based adaptation in
500 Africa. However, we also find that high ES values are related to lower readiness to adapt and further

501 research should look in this direction to explore trade-offs between natural and non-natural capital in
502 adaptation.

503 Further research should address what drives ES values at the local scale by combining observed values
504 with spatial information that can explain variation at a finer scale. This was not possible for exploring
505 biodiversity, adaptation and vulnerability to climate change in the African case studies. But it may be a
506 necessary approach to understand the specific dynamics of the service users and providers, the area of
507 the ecosystems producing the services and potential seasonal variations in the service provision that
508 may have an effect on their value.

Appendices

Appendix 1 – List of variables

ACRONYM	VARIABLE	DESCRIPTION	UNIT	TYPE
VAL	VALUE	ES value in 2014 purchasing power parity (PPP) \$ per hectare (ha) and year	2014 PPP \$ per ha and year	Quantitative
ECOLOGICAL VARIABLES				
BIO	BIOME	Type of biome in which the service is provided	n.a.	Qualitative
SERV	SERVICE	Type of ecosystem service considered as per the TEEB classification in 4 categories: provisioning (PROV), regulating (REG), supporting (SUPP) and cultural (CULT) Source: http://www.teebweb.org/resources/ecosystem-services/	n.a.	Qualitative
STUDY VARIABLES				
METD	METHOD	Original valuation method used for obtaining the value estimate of the ES. Note: Benefit transfer valuation method was replaced by the original valuation method of the original study for Seyam et al., (2001) and Turpie et al.,(2000).	n.a.	Qualitative
HA	SURFACE AREA IN HA	Surface area in hectares where the ES is delivered	Hectares	Quantitative
LEAD	LEAD	Whether the lead of the paper (first author) is affiliated to either an organisation located in Africa, either an international organisation with offices in Africa at the time of publication.	n.a.	Qualitative
SOCIO ECONOMIC INDICATORS				
PMRY_ENROL	GROSS ENROLMENT RATIO, PRIMARY BOTH SEXES, PERCENTAGE	Total enrolment in primary education, regardless of age, expressed as a percentage of the population of official primary education age. The ratio can exceed 100% due to the inclusion of over-aged and under-aged students because of early or late school entrance and grade repetition. Note: Data is not always available for the study year. When this was the case the closest year with data available was entered. Source: World Bank indicator, can be accessed at http://data.worldbank.org/indicator/SE.SEC.ENRR/countries	Percentage	Quantitative
GDP	GDP PER CAPITA IN THOUSANDS OF 2014 PPP \$	GDP per capita based on purchasing power parity (PPP). Data are in current international dollars based on the 2011 ICP round. Note: For year 1982 in Zambia, data was not available in 2014 PPP. The current 2014 USD data was taken from the World Bank. Current 2014 USD is equivalent to PPP 2014 USD. Source: World Bank indicator, can be accessed at http://data.worldbank.org/indicator/NY.GDP.PCAP.PP.CD	2014 PPP USD	Quantitative
POP_R	PERCENTAGE OF RURAL POPULATION	Rural population refers to people living in rural areas as defined by national statistical offices. It is calculated as the difference between total population and urban population. Aggregation of urban and rural population may not add up to total population because of different country coverages. Source: World Bank indicator, can be accessed at http://data.worldbank.org/indicator/SP.RUR.TOTL.ZS	Percentage	Quantitative

ACRONYM	VARIABLE	DESCRIPTION	UNIT	TYPE
VULNERABILITY/ ES RELIANCE INDICATORS				
POVERTY INDICATOR				
POVTY_R	RURAL POVERTY HEADCOUNT RATIO AT NATIONAL POVERTY LINE IN PERCENTAGE	Rural poverty headcount ratio is the percentage of the rural population living below the national poverty lines. Source: World Bank indicator, can be accessed at http://data.worldbank.org/indicator/SI.POV.RUHC	Percentage	Quantitative
ENVIRONMENTAL INDICATOR				
GEF	GEF BENEFITS INDEX FOR BIODIVERSITY	GEF benefits index for biodiversity is a composite index of relative biodiversity potential for each country based on the species represented in each country, their threat status, and the diversity of habitat types in each country. The index has been normalized so that values run from 0 (no biodiversity potential) to 100 (maximum biodiversity potential) Source: World Bank indicator, can be accessed at https://www.thegef.org/gef/sites/thegef.org/files/documents/GBI_Biodiversity_0.pdf	Index	Quantitative
CLIMATE CHANGE INDICES				
VUL	VULNERABILITY INDEX ADJUSTED FOR GDP	The adjusted for GDP Notre Dame Global Adaptation Index (ND-GAIN) for vulnerability is an index assessing the vulnerability of a country by considering six life supporting sectors: food, water, health, ES, human habitat, infrastructure. Each sector is represented by six indicators that span the three cross cutting components of vulnerability: - exposure to climate related hazards; - sensitivity of that sector to climate related hazards; - adaptive capacity of the sector to cope with these impacts Index ranges from - 0.989 to 0.222. Lower scores indicate lower vulnerability. We used the adjusted for GDP version of the index as there is a correlation between the ND-Gain scores and GDP per capita. The adjusted for GDP score is defined as “the distance of a country's measured ND-GAIN score and its expected value based on the regression of ND-GAIN and GDP”. Source ND-GAIN website, can be accessed at http://index.gain.org/	Index	Quantitative
READ	READINESS INDEX ADJUSTED FOR GDP	The adjusted for GDP Notre Dame Global Adaptation Index (ND-GAIN) for adaptation is an index measuring readiness by considering a country's ability to apply economic investments to adaptation actions. It considers three components: - economic readiness; - governance readiness; - social readiness Index ranges from -0.387 to 1.228. A lower score indicates a lower performance. We used the adjusted for GDP version of the index as there is a correlation between the ND-Gain scores and GDP per capita. The adjusted for GDP score is defined as “the distance of a country's measured ND-GAIN score and its expected value based on the regression of ND-GAIN and GDP”. Source ND-GAIN website, can be accessed at http://index.gain.org/ Note: Education in the index is the enrolment rate at tertiary school level, not primary school like the variable we used in our model.	Index	Quantitative

n.a: not applicable

Appendix 2 – Studies in the database

1. Acharaya, G. and Barbier E.B., 2000, Valuing groundwater recharge through agricultural production in the Hadejia-Nguru wetlands in northern Nigeria. *Agricultural Economics* 22(3): 247-259.
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3. Arntzen, J., 1998, Economic valuation of communal rangelands in Botswana: a case study. IIED, London, UK.
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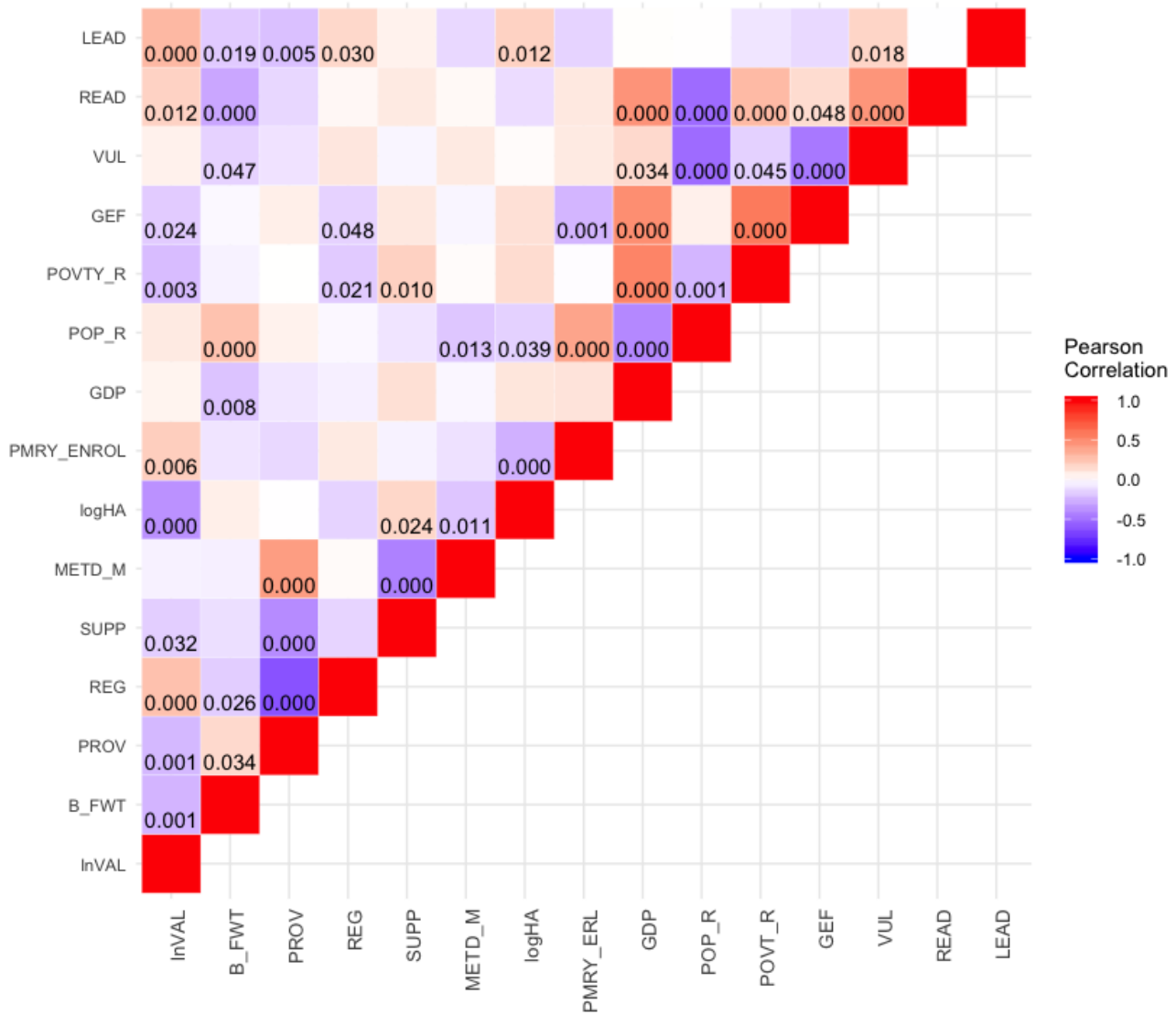
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Appendix 3 – Cross tabulation of the value of water related ES and biomes in 2014 PPP USD

Biome\ES sub type	Climate regulation	Aesthetics	Erosion prevention	Extreme event protection	Food	Genepool	Medicinal resources	Nursery	Pollination	Raw materials	Recreation	Soil fertility	Waste mediation	Freshwater provision
Inland Wetlands	239 (375)	2,304 (3,058)		10,735 (10,785)	444 (908)	235 (491)	97	16		912 (2,112)	518 (648)		3,862	512 (1,111)
Coastal Wetlands	476 (270)		6,541	1,775 (2,865)	271 (247)	5		28,406 (49,043)		191 (451)	337 (203)			
Freshwater					52 (68)					2 (4)	622 (582)			43 (53)
Woodlands	43 (50)				2 (1)	1				254 (574)				
Tropical forest	42 (33)		287		9 (16)	20 (13)			39	69 (76)	1,260 (1,488)	150		233 (319)
Grasslands					3 (0.2)	0.1 (0.1)				1,012	5,552 (7,478)			51,552

Appendix 4 – Correlation matrix



The figures in the matrix correspond to the correlations significant at the 5% level.

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