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## 1 Lack of association between deficient mismatch repair expression and outcome in

- 2 endometrial carcinomas of the endometrioid type
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### 18 Abstract

Objective: Endometrial carcinomas of the endometrioid type (EEC) are associated with a good prognosis. However, about 20% of them recur and new prognostic markers are needed. Microsatellite instability (MSI), associated with mismatch repair (MMR) deficiency, is a frequent alteration in EECs that has been associated with prognosis. However, its prognostic impact on EECs remains unclear. The aim of the present study was to clarify the relationship between MMR deficiency and outcome in a large cohort of well classified EECs.

*Methods:* A total of 212 EEC samples were analyzed by immunohistochemistry for the
MMR genes MLH-1, MSH-2, MSH-6 and PMS-2. Kaplan-Meier survival analysis and
log-rank tests were performed to study the prognostic significance of MMR deficiency
taking into account clinical and pathological parameters.

30 *Results:* We observed no association between MMR deficiency and OS or FFS in our 31 212 EEC patients (p-value=0.6565 and 0.4380, respectively). When we performed the 32 analysis in different FIGO-stage groups, we did not find association between MMR and 33 OS or FFS in stage I, I/II or III/IV. When we analyzed the specific group of patients 34 with lymphatic invasion separately, MMR expression was not associated with OS or 35 FFS either.

36 *Conclusions:* MMR deficiency does not seem to be a good prognostic marker in
 37 endometrioid type endometrial carcinomas.

38

#### 39 Keywords

40 Mismatch repair, endometrioid carcinomas, immunohistochemistry, outcome

41

#### 42 Introduction

43 Endometrial carcinoma (EC) is the most common malignant tumour of the female 44 genital tract. From a pathogenic viewpoint, EC falls into two different types: type I and 45 II [1]. Type I ECs are represented by low grade endometrioid carcinomas (EECs), which account for approximately 80% of all ECs. These tumors are estrogen related, 46 47 occur in premenopausal and perimenopausal women, arise in a background of 48 endometrial hyperplasia and are associated with good prognosis [2]. However, about 49 20% of EECs recur. Therefore, several studies have aimed to find new prognostic 50 markers in order to detect from the beginning patients at high risk of recurrence [3].

51

52 EECs frequently have genetic alterations such as microsatellite instability (MSI) [4].
53 MSI is thought to result from the accumulation of mutations during DNA replication
54 and to be associated with inactivating mutations in mismatch repair (MMR) genes [5].
55 Presumably, loss of MMR gene expression leads to increased genomic instability. There
56 are four MMR genes of clinical interest: MLH1, MSH2, MSH6 and PMS2 [6].

57

58 Clinicopathologic impact of MMR deficiency or MSI on EECs remains unclear. As far 59 as we know, four studies have been performed with EEC patients. Two studies have 60 associated MSI+ with poor prognosis in EECs. Nout et al found association with 61 decreased survival in 65 FIGO stage I EEC patients [7]. An et al found association with poor prognostic indicators in 86 EECs [8]. By contrast, Zighelboim et al did not find 62 63 any association with outcome in 446 EECs [9]. Mackay et al did not find association 64 with survival in 131 EECs but when only the 78 FIGO stage I/II patients were 65 considered, there was association with a decreased survival [10]. In addition, other studies including EECs in combination with other EC tumours have reported 66

67 contradictory associations with better [6, 11] or worse outcome [12] or no association68 [13].

69

The aim of the present study was to clarify the relationship between MMR deficiencyand outcome in a large cohort of well classified EECs.

72

### 73 Methods

For the current study, 212 EEC samples of paraffin-embedded specimens collected during the period 2001–2007 were retrieved from the archives at the Department of Pathology at University Hospital Donostia. Ethical committee approval was obtained. Clinical and pathological data were obtained from medical records, including age, histological grade, FIGO-stage, myometrial infiltration, lymphatic invasion and outcome.

80

There are two equivalent screening methods to assess MMR deficiency: PCR based microsatellite instability and immunohistochemistry (loss of MLH1, MSH2, MSH6 or PMS2). The agreement between both methods is excellent (>90%). Our election was Immunohistochemistry because it is described as more accessible, inexpensive and undergoes stringent inter-laboratory quality assurance [14].

86

Eight tissue microarrays (TMA) were constructed from paraffin-embedded blocks of
212 EEC cases. Representative tumor areas were selected from hematoxilin-eosin
sections and marked on individual paraffin blocks. Two tissue cores (1mm diameter)
were obtained for each tumor. They were arrayed in a new paraffin block using Manual
Tissue Arrayer MTA-1 (Beecher Instruments, Wisconsin, USA).

Four micrometer sections of TMAs were analyzed by immunohistochemistry using
antibodies for MLH-1 (ref. 790-4535, Ventana, Tucson, AZ), MSH-2 (ref. 760-4531,
Ventana), MSH-6 (ref. 790-4455, Roche) and PMS-2 (ref. 760-4531, Ventana). The
staining was performed using a Bench Mark ULTRA system (Ventana).

97

MLH1, MSH2, MSH6 and PMS2 protein expression was considered lost when less than 5% of tumour cells stained positive in presence of an internal positive control of normal lymphocytes and/or stromal cells [6]. Furthermore, when tumours showed loss of MLH1, MSH2, MSH6 or PMS2 in the TMA samples, full sections of tumour were stained to avoid false-negative interpretations (due to heterogeneous positivity of the tumours for this markers) (Fig. 1). A tumour sample was considered MMR deficient (dMMR) when at least one of the MMR proteins was lost.

105

The association between MMR protein deficiency and clinical parameters was analyzed with chi-square test. Kaplan-Meier survival analysis and log-rank tests were performed in order to study the prognostic significance of dMMR. Overall survival (OS) was calculated from the date of diagnosis until last follow-up or death, while failure-free survival (FFS) was calculated from date of diagnosis to progression, end of the followup period or death. The significance level was set at 5%. Stata/SE 8.0 software was applied for all calculations.

113

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114 Results
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115 Clinical data were available for all the 212 patients and are summarized in table 1.

| 117 | Among the 212 patients analyzed, 64 (30.2%) were considered MMR deficient                     |
|-----|---|
| 118 | (dMMR). The other 148 patients were MMR normal (nMMR). Association between                    |
| 119 | MMR deficiency and clinical parameters is summarized in table 2.                              |
| 120 |   |
| 121 | There was not statistically significant association between MMR deficiency and age,           |
| 122 | grade, FIGO-stage or myometrial infiltration.   |
| 123 |   |
| 124 | Using long-rank test, we observed no association between MMR deficiency and OS or             |
| 125 | FFS in our 212 EEC patients ( $p = 0.6565$ and 0.4380 respectively) (Fig 2).                  |
| 126 |   |
| 127 | We also analyzed the prognostic value of MMR expression in different FIGO-stage               |
| 128 | groups. In the 135 patients with FIGO-stage I, we did not find association between            |
| 129 | MMR and OS or FFS ( $p = 0.6104$ and 0.1711 respectively) (fig. 3). When we analyzed          |
| 130 | patients in stage I/II (163 patients) and in stage III/IV (35 patients), MMR expression       |
| 131 | did not show association with OS ( $p = 0.9032$ in stage I/II patients and $p = 0.3772$ in    |
| 132 | stage III/IV patients) or FFS ( $p = 0.2409$ in stage I/II patients and $p = 0.8361$ in stage |
| 133 | III/IV patients).   |

Finally, we analyzed the specific group of patients with lymphatic invasion separately. Only 13 out of our 212 EEC patients had lymphatic invasion described. MMR expression was not associated with OS or FFS in these 13 patients (p = 0.4524 and 0.9097 respectively). However, cases with deficient MMR expression tended to have lower OS (fig. 4).

140

141 **Discussion** 

142 Although low grade endometrioid carcinomas (EECs) are associated with a general 143 good prognosis, about 20% of them recur [3]. Therefore, new prognostic markers are 144 needed, in order to detect patients at risk of recurrence from the beginning. Considering 145 that microsatellite instability (MSI) is a frequent alteration in EECs (30%), its 146 prognostic value has been studied. However, the prognostic significance of MSI or the 147 associated dMMR in EECs remains controversial [1]. Contradictory results among 148 studies could be due to small or non-homogeneous populations, differences in treatment 149 protocols among studies, or the use of different prognostic criteria. In the present study, 150 we evaluated the clinicopathologic and prognostic impact of MMR deficiency in a large 151 and well-characterized population of 212 EECs.

152

153 In the present study, we have not found any association between MMR deficiency and 154 OS or DFS in EEC patients. This result is in agreement with two previous studies 155 carried out in 131 and 446 EECs respectively, in which they did not find association 156 between MSI and survival [9, 10]. On the other hand, An et al, in a smaller population 157 of 93 EECs, found association between MSI and poor prognosis indicators [8]. They did 158 not directly analyze survival, which could be one of the reasons for differences in 159 results. In their study, they included higher histological grade, lymphovascular invasion, 160 deep myometrial invasion, higher clinical stages and higher cyclin A and skp2 161 immunoreactivity. When we analyzed the relationship between MMR deficiency and 162 some of those clinicopathologic parameters in a larger population of 212 EEC patients, 163 we did not find correlation with histological grade, myometrial invasion or clinical 164 stage.

166 Considering that the association with survival could be stage-specific, we stratified our 167 population according to FIGO stage and performed the analysis for each group. We did 168 not find association between dMMR and survival, OS or FFS, in the 135 FIGO stage I 169 patients or in the 163 FIGO stage I/II patients. By contrast, two previous studies had 170 shown associations in such low stage patients. Nout et al, found associations between 171 MSI and lower survival in 65 FIGO stage I EECs [7] and Mackay et al found the same 172 result in 83 EECs in stage I/II but not in 53 stage III/IV or in the combined population 173 [10]. Nevertheless, these studies were carried out with smaller sample sizes and their 174 statistical power might be limited.

175

176 Finally, other studies including ECCs in combination with other EC tumours have 177 reported contradictory associations with better [6, 11] or worse outcome [12] or no 178 association at all [13]. However, this could be due to the inclusion of patients with other 179 more aggressive histology. In particular, Terada et al, described an association with 180 better survival in presence of dMMR in 66 EC patients with lymphatic invasion [6]. 181 Therefore, we studied the subgroup of patients with described lymphatic invasion in our 182 group of well-characterized EECs and we did not find any association with OS or FFS. 183 In this case, our population included 13 patients. Despite the low number of cases 184 included, we found a tendency to decreased OS, which is the opposite. Also, differences 185 in results could be due to the inclusion of cases with non-endometrioid histology in the 186 study by Terada et al, as mentioned above.

187

Taking our results and previous reports into account, we consider that MMR deficiency does not seem to be a good prognostic marker in endometrial carcinomas of the endometrioid type.

## 192 **Disclosure Statement**

193 Authors reported no potential conflicts of interest.

194

### 195 **Role of the funding source**

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200 or in the decision to submit the paper for publication.

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- 243

- 244 Table legends
- 245 **Table 1:** Clinical features of patients
- 246 OS, overall survival; FFS, failure free survival
- 247 **Table 2:** Association between MMR deficiency and clinical parameters

249 Figure legends

- 250 Fig. 1. Cases positive for MLH-1, MSH-2, MSH-6 and PMS-2, respectively, in the
- 251 immunohistochemical analysis.
- 252 Fig. 2. Kaplan-Meier analysis of overall survival and failure-free survival based on
- 253 MMR protein expression in 212 EEC patients.
- 254 Fig. 3. Kaplan-Meier analysis of overall survival and failure-free survival based on
- 255 MMR protein expression in 135 patients with FIGO-stage I.
- 256 Fig. 4. Kaplan-Meier analysis of overall survival and failure-free survival based on
- 257 MMR protein expression in 13 EEC patients with lymphatic invasion.

# 259 Tables

Table 1: Clinical features of patients

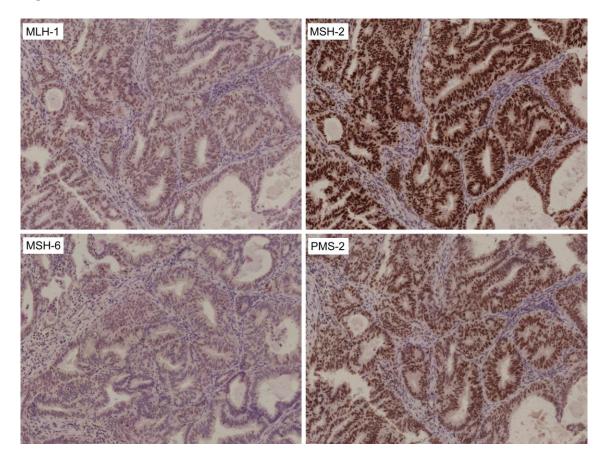
| Parameter               |                    | N (%)      |
|-------------------------|--------------------|------------|
| Age                     | $\leq$ 65 years    | 116 (54.7) |
| Age                     | > 65 years         | 96 (45.3)  |
|                         | Ι                  | 93 (44.1)  |
| Grade                   | II                 | 96 (45.5)  |
|                         | III                | 22 (10.4)  |
|                         | Ι                  | 135 (68.2) |
| FICO stage              | II                 | 28 (14.1)  |
| FIGO stage              | III                | 17 (8.6)   |
|                         | IV                 | 18 (9.1)   |
| Magazzataial infilmatio | <50%               | 155 (73.1) |
| Myometrial infiltration | ≥50%               | 57 (26.9)  |
| Lymphatic invasion      | Yes                | 13 (6.1)   |
|                         | No / not described | 199 (93.9) |
| 05.5                    | Yes                | 181 (95.8) |
| OS 5 years              | No                 | 8 (4.2)    |
| EES 5 voors             | Yes                | 169 (88)   |
| FFS 5 years             | No                 | 23 (12)    |

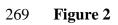
OS, overall survival; FFS, failure free survival

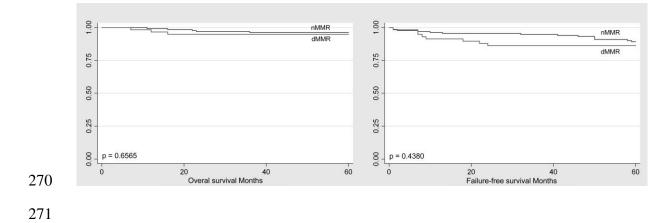
| Parameter               | MMR deficient | MMR normal | P value |  |
|-------------------------|---------------|------------|---------|--|
| rarameter               | (N, %)        | (N, %)     |         |  |
| Age                     |               |            |         |  |
| <65 years               | 36 (56.3)     | 80 (54)    | 0.768   |  |
| ≥65 years               | 28 (43.7)     | 68 (46)    |         |  |
| Grade                   |               |            |         |  |
| Ι                       | 29 (46)       | 64 (43.2)  |         |  |
| II                      | 29 (46)       | 67 (45.3)  | 0.734   |  |
| ш                       | 5 (8)         | 17 (11.5)  |         |  |
| FIGO stage              |               |            |         |  |
| Ι                       | 44 (73.3)     | 91 (65.9)  |         |  |
| П                       | 6 (10)        | 22 (15.9)  | 0.609   |  |
| III                     | 4 (6.7)       | 13 (9.5)   |         |  |
| IV                      | 6 (10)        | 12 (8.7)   |         |  |
| Myometrial infiltration | 1             |            |         |  |
| <50%                    | 50 (78.1)     | 105 (70.9) | 0.279   |  |
| ≥50%                    | 14 (21.9)     | 43 (29.1)  |         |  |

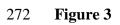
**Table 2:** Association between MMR deficiency and clinical parameters

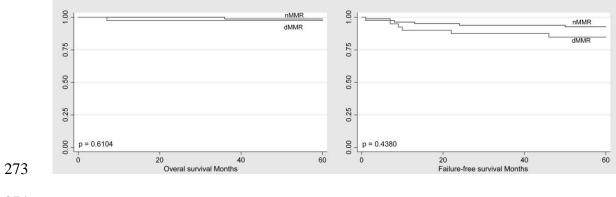
- **Figures**
- **Figure 1**



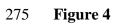


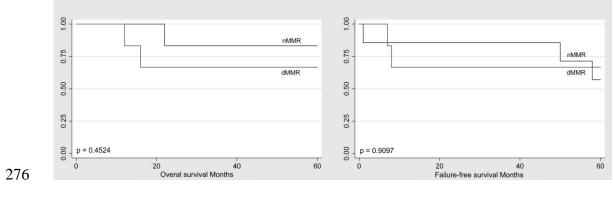












# 278 Highlights

- 279 Deficient mismatch repair is not associated with outcome in endometrioid carcinomas
- 280 Mismatch repair deficiency is not associated with outcome in FIGO stage subgroups