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1 Positive associations among rare species and their persistence in ecological

2 assemblages

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54	
55	According to the competitive exclusion principle, species with low competitive
56	abilities should be excluded by more efficient competitors, and yet they generally
57	remain as rare species. Here, we describe the positive and negative spatial
58	association networks of 326 disparate assemblages, showing a general organization
59	pattern that simultaneously supports the primacy of competition and the
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71 persistence of weak competitors and the high diversity observed in natural

assemblages^{2,3}. Explanations for this diversity paradox include the differential roles of
niche partition, intraspecific competition, facilitation, indirect and neutral interactions⁴⁻⁹,
among others. Yet, there is no consensus to explain rare species persistence across taxa
and environmental conditions so far.

The spatial arrangement of individuals plays a crucial role for unveiling the 76 mechanisms underpinning species assembly and coexistence¹⁰⁻²¹. Because individuals 77 within assemblages are not homogeneously distributed, their spatial organization may 78 both reflect important assembly processes^{10,11} and induce species coexistence per se¹². 79 80 For example, the patchy distribution of a dominant species might prevent the monopolization of resources and allow the existence of its subordinate species^{12,13} 81 Hence, considering spatial aspects of coexistence appears to be an important step in 82 83 elucidating assembly mechanisms¹². The spatial sorting of species can be the outcome of divergent habitat preferences, dispersal abilities, and biotic interactions, although the 84 role of interactions is thought to prevail under rather homogeneous environmental 85 conditions, and especially at very fine spatial scales^{11,14,15}. The organization of species 86 within assemblages can be translated into association networks of species that are 87 88 spatially aggregated (positive networks) or segregated (negative networks). Association networks of disparate biological assemblages can provide valuable empirical evidence 89 of the main forces driving the assembly of species¹⁶⁻²⁰, helping to reveal general 90 mechanisms underlying species coexistence. 91

Here we describe a general pattern of positive and negative species associations that is consistent with the competitive exclusion paradigm but, at the same time, can explain the persistence of rare species in natural assemblages. We base our results on a dataset of 326 assemblages²¹ that meet the following criteria: (i) each assemblage

comprises taxa from only one trophic guild, thereby excluding the possibility that 96 97 species associations result from direct predation or parasitism; (ii) each assemblage shows reduced spatial extent and low environmental heterogeneity, to increase the 98 likelihood that species associations are mainly due to biotic interactions; (iii) the 99 abundance of at least ten species is recorded in a minimum of ten samples, to improve 100 101 statistical power (Appendix S1); (iv) the dataset represents a wide variety of biomes 102 (e.g., tropical forests, deserts, temperate steppes and polar climates), thus avoiding 103 biome-specific results; and (v) it encompasses a diversity of taxa (such as bryophytes, vascular plants, and insects among others), to ensure the generalization of our results 104 105 across taxonomic and functional groups. We generated positive and negative association networks for each assemblage by comparing the observed spatial association patterns 106 among species to a null model²². Species pairs that significantly deviate from random 107 108 expectations receive positive or negative links in their respective association networks (Fig. 1). 109

We first analysed whether the structure of positive and negative association 110 networks can reflect predictions from the competitive exclusion principle. Given that 111 112 competition is heavily emphasized in the literature², one would expect species to be more segregated than aggregated in natural systems. If so, negative networks should be 113 114 more densely connected (*i.e.*, more links per species) than their positive counterparts. In accordance, negative networks were significantly more connected than their positive 115 pairs in a notable 93.2% of all assemblages (t = 17.01, P < 0.001, Fig. 2a). Differences 116 in connectivity remained similar after accounting for differences in network size (t = -117 16.81, P < 0.001, for 78.8% of the assemblages) or when calculating differences in the 118 average number of links (i.e. average species degree; t = -14.69, P < 0.001, for 69.0% of 119 the assemblages). Furthermore, if abundance is considered to be an expression of the 120

species' competitive abilities²³, the number of segregations should be monopolized by 121 122 the most abundant species. Accordingly, results indicated a strong positive correlation between abundance and species degree in negative networks (mean Spearman's $\rho =$ 123 124 0.65, SD = 0.23), but a weak or even negative correlation in positive networks (mean ρ = 0.02, SD = 0.38), with differences between networks being statistically significant (t=125 -23.88, P < 0.001, Fig. 2b). Moreover, we found evidence showing that a particular 126 127 species is more often involved in negative associations when it becomes abundant (Appendix S2). Both the greater density of links and the relationship between species 128 129 degree and abundance in negative networks support current knowledge about the 130 prevailing role of competitive interactions in sustaining the dominance of abundant 131 species.

132 Yet, if the competitive exclusion principle is supported across several assemblages, how can rare species persist? To search for potential mechanisms 133 134 answering this question we looked at the role played by rare species in association networks. Curiously, we found that rare species are mostly involved in positive 135 associations in 91.7% of the assemblages studied, where positive networks showed a 136 higher incidence of less abundant species than their negative pairs (t= 22.42, P < 0.001, 137 Fig. 2c). Such spatial aggregations, however, do not occur among every rare species in 138 the assemblage. In fact, we found that 91.1% of positive networks were more modular 139 than their negative counterparts (t=39.68, P<0.001, Fig. 2d). This result remained 140 similar after accounting for network size and connectivity (t=11.31, P<0.001, for 141 67.3% of assemblages). Moreover, while 60.7% of positive networks were significantly 142 modular, only 13.8% of negative ones showed this pattern. Taken together, these 143 findings show that rare species tend to generate modular networks of positive spatial 144 145 associations.

The patterns of negative and positive associations networks remain largely 146 147 invariant regardless of different probability thresholds to detect significant associations, the use of quantitative links and assumptions of disparate null models (Appendix S1). 148 149 This robust and conspicuous spatial organization suggests that the underlying mechanisms can also be responsible for the persistence of rare species. On the one hand, 150 dissimilar habitat preferences between dominant species and groups of weak 151 competitors¹⁴ may generate this pattern, enhancing also rare species persistence. Indeed, 152 numerical simulations show that this possibility increased the probabilities of 153 154 reproducing realized association network patterns, regardless of different interaction 155 networks reflecting hypothesized assembly mechanisms (Fig. 3a and Appendix S3). This, however, mainly occurs when habitat preferences are strong, a situation that 156 157 should arise under marked environmental gradients most likely far from the reality of 158 the fine-scaled assemblages studied here. Complementarily, positive interactions within groups of rare species may also contribute and/or generate these modular positive 159 160 networks. This may moreover increase the persistence of weak competitors since, just as 161 in harsh abiotic environments²⁴, the biotic harshness produced by superior competitors could be counterbalanced by positive interactions among rare species. Accordingly, 162 simulations show that the inclusion of positive interactions within groups of weak 163 competitors increases the chance of species persistence by 58.2% compared to 164 assemblages ruled by competition alone. Our simulations also reveal that this 165 hypothesis most likely reproduces the observed patterns in association networks 166 compared to other stabilizing mechanisms such as neutral colonization-extinction 167 dynamics⁹, intransitive competition^{25,26}, differential density-dependent effects^{27,28} or 168 facilitation by nurse species⁷ (Figs. 3b). Interestingly, the combination of habitats and 169 positive interactions yields the highest probability of reproducing the observed network 170

patterns (Fig. 3a). This further suggests that, even under strong differences in habitat
preferences, stabilizing forces, such as facilitation or complementarity, would enhance
the coexistence of groups of rare species in reduced microhabitats^{15,17}. Besides habitat
selection, it seems that modular positive interactions among rare species can contribute
to the pattern we found and the persistence of these species, which agrees with recent
experimental evidence²⁹.

177 Overall, our results show that ecological assemblages are consistently organized 178 in positive and negative association networks across the main biological groups (*i.e.*, 179 animals and plants) and geography (Fig. 4 and Extended Table 1). This ubiquity sheds 180 light on the long-standing diversity paradox as the potential mechanisms leading to this organizational pattern can also enhance the persistence of rare species. Modular positive 181 interactions among weak competitors emerged as a plausible mechanism even when 182 183 assessed in conjunction with different microhabitat preferences. Questions remain about 184 the relative contribution and feedbacks of these positive interactions and microhabitats. Nevertheless, the generality of the findings presented here bring us closer to 185 186 understanding the assemblage of the vast biodiversity on Earth.

187 Materials and Methods

188 Data acquisition

Assemblage data were collected from published studies in peer-reviewed journals and our own surveys²¹ (Appendix S4 and Supplementary Table 1). Each assemblage consists of at least ten samples where the abundance of at least ten species of the same trophic guild was recorded. In order to minimize the effects of environmental heterogeneity and dispersion on spatial patterns, we only included datasets that showed (i) low environmental variability across samples (excluding surveys where any kind of environmental gradient was reported or no clear information about it was provided), (ii)

a very reduced spatial extent (median = 0.1 ha; ranging from 0.01 to 25.6 ha), (iii) a 196 197 very small grain size to increase the probability of physical and/or chemical contact among all species in the samples (median = 100 m^2 ; ranging from 0.002 to 400 m², 198 respectively), and (iv) standardization among samples to avoid sampling biases (e.g. 199 effects of area). Following these criteria, we gathered a total of 385 datasets distributed 200 worldwide and representing a wide taxonomic spectrum, including bryophytes (n = 71), 201 202 tracheophytes (n=279), anthozoans (n=7), and insects (n=28). Abundance was estimated 203 as the number of individuals per sample in most of the assemblages, but a small number of assemblages included abundance data estimated as the percentage cover of the 204 205 sampled surface (especially in bryophytes and plants). Since some null models only accept integer data (see below), we rounded percentages when necessary. Finally, we 206 207 only used those assemblages where both positive and negative networks showed at least 208 two links (n=326).

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210 <u>Generation of association networks</u>

For each assemblage, we calculated similarity in abundance distribution across samples for each species pair *i* and *j* using the Schoener's index³²,

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$$S(i,j) = 1 - \sum_{k=1}^{N} |p_{ik} - p_{jk}|/2$$

where *N* is the number of samples and p_{ik} is the proportion of the total abundance of species *i* present in sample k ($p_{ik}=x_{ik}/\sum_{k=1}^{N} x_{ik}$). We compared observed similarities to 999 null values obtained through randomization of species abundances using a fixedfixed algorithm (i.e., row and column totals are kept constant). For each observed similarity value, two one-tailed p-values were calculated as the proportion of null values (plus the observation) that were higher than or equal to and lower than or equal to the

observed value for positive and negative associations, respectively. We considered an 220 221 aggregation or segregation significant in those cases where associated p-values in any of the two tests were lower than or equal to 0.05. Alternative probability thresholds and 222 223 null models provided quantitatively and qualitatively similar results (Appendix S1; Supplementary Figures 1 and 2). Significantly aggregated and segregated species pairs 224 were used to generate unweighted links in the positive and negative association 225 226 networks of each assemblage, respectively. It is important to note that the frequency of spurious associations (i.e., Type I errors) may be thought to be relatively high in species 227 rich assemblages due to multiple comparisons (but see ref³³). However, species pairwise 228 229 similarities were compared against null values generated using a fixed-fixed assemblage-wise null model (i.e., a strict null model making null hypotheses among 230 comparisons to be different but intrinsically interdependent). This partially alleviates the 231 232 detection of false positives while preventing the use of powerful false discovery rate methods³⁴. Nevertheless, we used the same nominal error (i.e., $\alpha = 0.05$) to detect both 233 234 positive and negative associations, making the rate of false discoveries equal in both types of networks, and allowing unbiased comparisons of their structures. Indeed, 235 results remained largely constant when using different nominal errors (Appendix S1 and 236 237 Supplementary Figure 1).

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239 <u>Network structure comparison</u>

To explore whether positive and negative association networks reflected competitive processes we compared their connectivity and their relationships between abundance (calculated as the sum of the abundances across samples) and species degree (i.e., species' number of links) for each pair of network types. Connectivity is defined as the number of realised links relative to the number of potential links. This measure of

connectivity may be negatively correlated with network size. Hence, we also used residual connectivity obtained from the residuals of a linear regression between the number of observed and potential links, both log-transformed³⁵. On the other hand, the relationship between abundance and species degree was assessed using the Spearman's ρ correlation coefficient. Finally, to search for differences between network types we used a paired Student's t-test, where the alternative hypothesis was that negative networks present higher means than their positive pairs.

252 To determine if rare species have a larger participation in positive association networks, we compared the average relative abundance, weighted by the number of 253 254 links of each species in the network, between the species involved in positive and negative networks. We also explored if positive networks were more modular than their 255 negative pairs calculating modularity with the index proposed by Newman³⁶ (Q) along 256 with the optimisation algorithm of Louvain³⁷. The algorithm was run 100 times, and we 257 258 selected the partition that showed the highest modularity value. Since modularity can be related to network size and connectivity, we compared observed and null modularity 259 260 values from random networks generated using a null model that maintains the number of links and nodes, as well as the degree sequence (implemented in the RandNetGen 261 software³⁸). Then, we computed relative modularity values as $Q_r = -2(P - 0.5)$, 262 where *P* represents the proportion of null cases showing modularity higher than or equal 263 264 to the observation. A paired Student's t-test was used to explore the differences between network types in all cases. 265

Finally, we explored whether the probability of finding the above-explained differences of positive and negative networks was related to the number of samples per assemblage (as indicative of sampling effort), an approximation of null model degrees of freedom (Appendix S1), latitude, longitude, taxonomic group (i.e., animals or plants) and species richness. To do so, we firstly generated four binomial dependent variables,
based on whether i) the negative networks of each assemblage were more densely
connected than their positive pairs; ii) the negative networks present higher positive
abundance-degree relationships; iii) the positive networks tend to be composed of less
abundant species; and iv) the positive networks were more modular. Then, we fitted
logistic models with a logit link function.

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277 <u>Numerical simulations</u>

278 We ran simulations to explore whether different interaction matrices and/or habitat 279 preferences can generate the patterns observed in association networks. We designed a simulation model composed of 20 samples and ten species, whose individuals were 280 randomly distributed at the outset. Individuals reproduce, colonise a randomly chosen 281 282 sample or die, with probabilities dependent on the density of individuals and the sample carrying capacity (K = 100). We subsequently incorporated the effects of both 283 284 competition and positive interactions by modifying these probabilities depending on the species identities of co-occurring individuals (Appendix S3). That is, individuals of 285 dominant species reduce the probability of reproduction and colonisation, while 286 287 increasing mortality probability, of co-occurring individuals of subordinate species. Benefactor individuals have the opposite effects on beneficiary individuals' 288 probabilities (Supplementary Figure 3a and b). 289 290 We further incorporated the effects of dissimilarities in habitat preferences by setting four habitats preferred by different groups of species (Supplementary Figure 3c). 291 Specifically, the probabilities of reproduction, survival (i.e., one minus the mortality 292 probability) and colonisation in non-preferred habitats were multiplied by a habitat-293

tolerance coefficient, β , ranging between 0 (null tolerance) and 1 (total tolerance;

Appendix S3). Hence, when $\beta = 0$, individuals are highly specialist and only allowed to reproduce, survive or colonize in preferred habitat, whereas $\beta = 1$ corresponds to a neutral habitat scenario.

298 We ran simulations following six hypotheses explaining species assembly and coexistence (Fig. 3 and Appendix S3). i) A neutral interaction model, where all species 299 were ecologically equivalent⁹. ii) A hierarchical competition model with one strong 300 301 competitor. iii) An intraspecific density-dependent model, where superior competitors 302 suffer more from intraspecific competition⁵. iv) A model incorporating intransitive competition²⁶, where the superior competitor is outcompeted by three species, which, in 303 304 turn, outcompete all species except specific pairs (i.e., theoretically promoting the generation of empirical association patterns; see Appendix S3). v) A nurse model⁷ with 305 306 four superior competitors, three of which facilitate different pairs of subordinate 307 species. vi) A model reflecting positive interactions within three groups of three rare species. Fourteen additional matrices with different settings to these six general models 308 309 were also explored (see Appendix S3; Supplementary Figure 4). 310 Simulations were run using a wide range of combinations (n=216) where demographic rates (i.e., reproduction, mortality and dispersal) had different relative 311 312 importance (Appendix 3.4). In addition, we also used five values of the habitattolerance parameter (β). For each interaction matrix and parameter combination, we ran 313 25 replicates of 5,000 iterations each. We quantified the probability of simulated 314 315 association networks showing empirical patterns (i.e., differences between positive and negative networks in connectivity, abundance-degree relationship, abundance and 316 modularity), as well as the probability of persistence of all species (i.e., non-extinction), 317 as the proportion of all our replicates showing these patterns. Finally, these probabilities 318 were averaged across the parameter space defined by demographic rates where the 319

320 *Competition* model, under neutral habitat preferences, fulfilled expectations from the 321 competitive exclusion principle (i.e., weak competitors went extinct; P (non-extinction) 322 = 0; see Appendix S3 and Supplementary Figure 5).

323

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- 349 contributed to the development and writing of the paper.
- 350 Code availability. The R scripts used in this study are freely available at
- 351 https://doi.org/10.6084/m9.figshare.9906092
- **Data availability.** The dataset used in this study is freely available at
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440

Fig. 1. Approaching assembly mechanisms through the lens of positive and negative 441 442 association networks. a, Species segregations and aggregations can inform on the main mechanisms underlying ecological assemblages. These spatial patterns are measured 443 between species pairs using the similarity in the spatial distribution of their individuals. 444 445 Observed similarities are compared with those obtained by a null model to distinguish 446 actual associations from those generated by chance. Species pairs whose individuals are more aggregated in samples than expected by chance receive a positive link in association 447 networks (blue nodes). Species pairs whose individuals are more segregated than 448 449 randomly expected receive a negative link in association networks (red nodes). b, Positive (blue) and negative (red) networks of a tropical rainforest tree assemblage (see 450 451 "Barra Paraguacu" in Supplementary Table 1). The size of the nodes is proportional to 452 the species' abundances at the assemblage level. Networks were plotted using the Fruchterman-Reingold force-directed layout algorithm³⁰. 453







466

Fig. 3. Positive interactions among weak competitors alone or together with 467 468 habitat preferences reproduce realized association patterns. a, Dissimilarities in habitat preferences between dominants and groups of rare species may generate the 469 empirical patterns of association networks, regardless of different assembly mechanisms. 470 471 However, this only occurs when habitat specialization is strong. Moreover, the combination of habitats and positive interactions among weak competitors (*Positive rare*) 472 yields the highest probabilities. The y-axis represents the average probabilities of finding 473 the four empirical patterns, and the x-axis depicts a gradient of habitat specialisation (see 474 Methods and Appendix S3). Error bars depict confidence intervals at $\alpha = 0.05$. **b**, All 475 theoretical models explaining species coexistence increase the chance of species 476 persistence (Non-extinction) relative to simulated assemblages only driven by 477

hierarchical competition. However, positive interactions among groups of rare species is 478 the most likely model to generate simulated assemblages showing the same association 479 networks as empirical assemblages (Connectivity, Fig. 2a; Abundance-degree, Fig. 2b; 480 Abundance, Fig. 2c; and Modularity, Fig. 2d). The y-axis represents the probability of 481 simulated association networks showing empirical differences between positive and 482 negative networks across different combinations of reproduction, mortality and dispersal 483 rates where interactions are expressed (see Methods and Appendix S3). In boxplots the 484 centre line shows median probabilities, being the box between 25th and 75th percentiles 485 and the whiskers at minimum and maximum probability once outliers are discounted. 486 487 Outliers are not shown. P: positive networks. N: negative networks.



Fig. 4. Organization of association networks remains invariant across the
globe and regardless of taxa. Circles and triangles represent plant and animal
assemblages, respectively. Green colour depicts assemblages where positive networks
were both composed of less abundant species and more modular than negative
counterparts, whereas red colour shows assemblages where these patterns were not found.
Map colours represent the Earth climatic zones proposed by Bailey³¹.