

COMPARISON BETWEEN THE STIFFNESS METHOD AND THE HYBRID METHOD APPLIED TO A CIRCULAR RING

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ABSTRACT

This study deals with the comparison of stresses and displacements of a circular ring obtained by two numerical formulations, namely the Stiffness Method and the Hybrid Method, applied to isoparametric quadrilateral elements. After explaining the formulation of the hybrid method in finite elements, an orthogonalization method proposed previously for hybrid elements is applied. Then, the computational cost per element of the stiffness method and of the hybrid method with and without orthogonalization have been evaluated for the first time. A circular ring loaded by two opposing forces is analyzed in order to compare the solution obtained by the hybrid method and the stiffness method with experimental and analytical results. The agreement between analytical and experimental results with those numerical is better in the case of the hybrid method than in the case of the stiffness-method. It is observed that the element ratio needed to obtain a given relative error is one magnitude order greater in the stiffness method than in the case of the hybrid method.

Keywords: Force method; Stiffness method; Hybrid formulation; Circular ring

Nomenclature

$\{a^i\}, \{a^l\}$	nodal displacements vector of the element and of the structure
a	thickness of the circular ring
A	cross sectional area of the circular ring

1	$[B]$	displacement-strain matrix
2	e	difference between the average radius and the radius of the neutral surface
3	$[E], [\bar{E}^*]$	equilibrium matrix
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5	E	Young's modulus of material
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7	$\{F^j\}$	stress parameters vector
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9	$[G]$	flexibility matrix
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11	G	shear modulus of material
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13	I^*	equivalent moment of inertia
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15	$[K^e], [K]$	SFM stiffness matrix of the element and of the structure
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17	k	stiffness constant of the dynamometer
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19	$[L]$	matrix of differential operators
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21	M	bending moment
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23	$[N]$	matrix of displacement interpolation functions
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25	N	axial force
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27	N_i	displacement interpolation functions
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29	$\{P^i\}, \{P^l\}$	external forces vector of the element and of the structure
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31	P	concentrated force acting on the circular ring
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33	r_i, r_o	inner and outer radius of the circular ring
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35	(r, θ)	polar coordinates
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37	R_a	average radius of the circular ring
38		
39	R_e	radius of the neutral surface of the circular ring
40		
41	$[S]$	compliance matrix of the material
42		
43	t	element thickness
44		
45	$\{u\}$	vector of displacements at any point in the element
46		
47	U^*	complementary strain energy
48		
49	X	the redundant unknown
50		
51	$[Y]$	matrix of stress interpolation functions
52		
53	δ	displacement
54		
55	$\{\varepsilon\}$	strain field vector in element domain
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57	ν	Poisson's ratio
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59	ξ, η	natural coordinates
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$\{\sigma_i\}$	stress field vector in element domain
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1. Introduction

The Stiffness Method (SM) applied to finite element method (FEM) has been widely used in structural mechanics and mechanics of solids [1, 2]. This method is derived from the application of the Principle of Virtual Work (PVW). In the SM a compatible displacement field is assumed in each element. The equilibrium conditions are considered in terms of nodal displacements that are the unknowns of the problem.

The other basic approach for structural analysis is the Force Method (FM) [2]. This method is derived from the application of the Principle of Complementary Virtual Work (PCVW), assuming an equilibrated stress field. Then, the compatibility equations are satisfied as a function of assumed stress parameters.

Fraeijs de Veubeke [3] showed that the SM and the FM are the lower and upper bounds of the exact solution, respectively. In the SM approach, all the admissible displacements of the system are not included. Therefore, the modeled system is more constrained than the actual case and the solution is over-stiff, being its energy a lower bound. In the FM all the admissible stresses of the system are not included, being the modeled system less constrained than the actual one. Thus, it is more flexible and its energy is an upper bound.

Regarding FM, Patnaik [4] formulated the Integrated Force Method (IFM) for discrete structures, introducing the use of both equilibrium equations and compatibility conditions. IFM and FM were compared analytically and numerically [5]. The advantage of IFM with respect to FM is that it is not necessary to select the redundant forces. Then, the governing equations of IFM can be directly automated for computer analysis. The formulation of IFM was extended for continuous systems in ulterior studies [6, 7]. New elements for finite element analysis via IFM have been proposed [8-13].

The Dual Integrated Force Method (IFMD) was also proposed by Patnaik *et al.* [14]. As IFMD is a dual form of IFM, both provide identical solutions for stresses and displacements. The formulation of IFMD is equivalent to that of IFM, but the structure of the governing equation of IFMD is similar to SM, as the primary unknowns of IFMD are the nodal displacements.

1 On the other hand, Pian [15] introduced the Hybrid Method (HM). Pian and Chen [16] proposed
2 some alternative ways to formulate HM. Punch and Atluri [17] analyzed the stability and
3 coordinate invariance of linear and quadratic serendipity hybrid stress elements. Pian [18]
4 presented a review of the evolution of hybrid and mixed FEM. Wu and Cheung [19] proposed
5 two approaches in order to improve the HM performance. Pian [20] pointed out some remarks
6 on the first developments of the HM and proved the equivalence of the elements proposed by
7 different authors.
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14 HM are usually formulated based on the Hellinger-Reissner variational principle. Recently,
15 Adarraga *et al.* [21] showed that this principle is equivalent to the application of the PVW and
16 the PCVW which are equivalent to equilibrium and compatibility conditions, respectively.
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21 Therefore, the variational formulation of HM includes compatibility conditions, besides
22 equilibrium conditions. In the same article, it has been shown that IFMD can be derived directly
23 from the application of PVW and PCVW. The consequence is that IFMD and HM are
24 equivalent. As they are based on the fulfillment of equilibrium equations as SM and
25 compatibility conditions as FM, in the ensuing analysis the denomination Stiffness-Force
26 Method (SFM) is used for the first time. It is worth noting that compatibility conditions are
27 related to the fact that displacement and rotation fields are exact differentials [22]. That means
28 that not only displacements, but also rotations are continuous single valued functions.
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39 Therefore, from a mathematical point of view, the continuity of third order derivatives of the
40 displacements or C^3 continuity is required [23, 24]. Nevertheless, in the formulation of
41 quadrilateral elements by SM only the continuity of displacements or C^0 continuity is required.
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One of the significant issues regarding SFM is the selection of the assumed optimal stress modes [25-29]. Zhang *et al.* [30] proposed a method to determine the assumed stress modes free of spurious zero energy modes, based on the basic orthogonal deformation modes. Recently, a new method to select the optimal stress field in a systematic and quantitative way has been developed [31].

Furthermore, in SFM the inversion of the flexibility matrix to compute the stiffness matrix is required, increasing the computational cost. In order to minimize the computational

requirements, Saether [32, 33] proposed an explicit formulation. Zhang *et al.* [34, 35] suggested an orthogonalization method based on the definition of an inner product.

The aim of the present study is to compare SFM with SM in the case of general quadrilateral elements. With this purpose, the formulation of SFM has been briefly reviewed and the orthogonalization method proposed by Zhang *et al.* [35] has been applied. Then, an analysis of the computational cost of each element in the different FEM formulations has been carried out. A circular ring acted on by two opposing forces is analyzed. This problem has been selected because experimental results of stiffness concerning a commercial dynamometer are available. Moreover, analytical results are determined by applying the theorem of Engesser-Castigliano [36]. Finally, numerical results obtained by SFM and SM are compared with experimental and analytical results.

2. Stiffness-Force Method

2.1. Formulation

SFM is developed based on PVW and PCVW. Displacements and stresses are interpolated separately and the governing equation has the nodal displacements as unknowns. Stresses are not obtained from the constitutive relations after differentiating the displacements in order to determine strains, but they are obtained directly from stress parameters. Usually, the absence of numerical differentiation of displacements is argued as the reason for obtaining better results in SFM. Nevertheless, from our point of view the fact of having introduced compatibility conditions by means of PCVW in the formulation is other important factor.

According to PVW [37] and assuming that each element has n_e independent displacements, the virtual work done by the external forces in an element is equal to the virtual work of the stresses:

$$\int_V \{\delta\varepsilon\}^T \{\sigma\} dV = \{\delta a^i\}^T \{P^i\} \quad (1)$$

Where V is the volume of the element; $\{\delta\epsilon\}$ and $\{\delta a^i\}$ are the virtual strain and nodal displacement vectors; $\{\sigma\}$ is the stress vector; $\{P^i\}$ is the nodal external forces vector; and $i = 1$ to n_e are the displacement degrees of freedom of the element.

The displacements $\{u\}$ at any point of the element are calculated from the nodal displacements by means of the interpolation functions of SM:

$$\{u\} = [N]\{a^i\} \quad (2)$$

Where $\{a^i\}$ is the nodal displacements vector and $[N]$ is the matrix of displacement interpolation functions.

Element displacements $\{u\}$ are related to the strains $\{\epsilon\}$ through the matrix of differential operators $[L]$:

$$\{\epsilon\} = [L]\{u\} \quad (3)$$

Therefore, replacing Eq. (2) in Eq. (3), the strains $\{\epsilon\}$ can be related directly to the nodal displacements $\{a^i\}$:

$$\{\epsilon\} = [L][N]\{a^i\} = [B]\{a^i\} \quad (4)$$

Where $[B]$ is the nodal displacement-strain matrix.

In SFM, an independent stress field is also adopted. Considering that each element has m_e independent stress modes, the stresses $\{\sigma\}$ at any point of the element are interpolated in terms of the stress parameters $\{F^j\}$ [21]:

$$\{\sigma\} = [Y]\{F^j\} \quad (5)$$

Where $[Y]$ is the matrix of stress interpolation functions and $\{F^j\}$ are the stress parameters, with $j = 1$ to m_e .

The stress interpolation functions are formulated from the stress functions that are derived from complete polynomials [2] that satisfy equilibrium equations. Replacing Eqs. (4) and (5) in Eq. (1), it results:

$$\{\delta a^i\}^T \left(\int_V [B]^T [Y] dV \right) \{F^j\} = \{\delta a^i\}^T \{P^i\} \quad (6)$$

As $\{\delta a^i\}$ are arbitrary, the equilibrium Eq. (6) can be written as follows:

$$[E]\{F^j\} = \{P^i\} \quad (7)$$

Where $[E]$ is the element equilibrium matrix of order $n_e \times m_e$, defined as:

$$[E] = \int_V [B]^T [Y] dV \quad (8)$$

Otherwise, the PCVW [37] states that:

$$\int_V \{\delta \sigma\}^T \{\varepsilon\} dV = \{\delta P^i\}^T \{a^i\} \quad (9)$$

Where $\{\delta \sigma\}$ and $\{\delta P^i\}$ are the virtual stress and external forces vectors.

Taking into account the relation between strains $\{\varepsilon\}$ and stresses $\{\sigma\}$:

$$\{\varepsilon\} = [S]\{\sigma\} \quad (10)$$

Where $[S]$ is the compliance matrix of the material. Replacing Eqs. (5), (7) and (10) in Eq. (9),

it results:

$$\{\delta F^j\}^T \left(\int_V [Y]^T [S][Y] dV \right) \{F^j\} = \{\delta F^j\}^T [E]^T \{a^i\} \quad (11)$$

As $\{\delta F^j\}$ are arbitrary, Eq. (11) can be written as follows:

$$[G]\{F^j\} = [E]^T \{a^i\} \quad (12)$$

Where $[G]$ is the flexibility matrix of the element, defined as:

$$[G] = \int_V [Y]^T [S][Y] dV \quad (13)$$

The stress parameters $\{F^j\}$ of the element are obtained from Eq. (12), being:

$$\{F^j\} = [G]^{-1} [E]^T \{a^i\} \quad (14)$$

Now, replacing Eq. (14) in the equilibrium equation defined in Eq. (7), it gives:

$$\{P^i\} = ([E][G]^{-1}[E]^T)\{a^i\} \quad (15)$$

Equation (15) can be written as:

$$[K^e]\{a^i\} = \{P^i\} \quad (16)$$

Where $[K^e]$ is the SFM stiffness matrix of the element given by:

$$[K^e] = [E][G]^{-1}[E]^T \quad (17)$$

The stiffness matrix of the structure $[K]$ is obtained by the assembly of the elemental stiffness matrices like in the case of SM. Hence, the governing equation of SFM is:

$$[K]\{a^l\} = \{P^l\} \quad (18)$$

Where $l = 1$ to n_s and $k = 1$ to m_s , being n_s and m_s the degrees of freedom of displacements and forces of the complete system, respectively.

Once the nodal displacements are known the stress parameters are obtained from Eq. (14) and the stresses $\{\sigma\}$ at any point of the element are determined by Eq. (5). The strains $\{\varepsilon\}$ are obtained from strain-stress relation of Eq. (10).

One of the main drawbacks of SFM is the requirement of determining the inverse of the flexibility matrix $[G]$ to compute the stiffness matrix. In this way, different approaches have been proposed to improve the computational efficiency of SFM [32-35].

2.2. *Quadrilateral elements*

In this section, interpolation functions of forces and displacements of an isoparametric quadrilateral element shown in Fig. 1 are described [26]. The displacement interpolation functions are the same as those used in SM:

$$\begin{aligned} u &= \sum_{i=1}^4 N_i u_i = \frac{1}{4} \sum (1 + \xi \xi_i)(1 + \eta \eta_i) u_i \\ v &= \sum_{i=1}^4 N_i v_i = \frac{1}{4} \sum (1 + \xi \xi_i)(1 + \eta \eta_i) v_i \end{aligned} \quad (19)$$

Where ξ_i and η_i are the natural nodal coordinates.

Using the stress interpolation functions defined in [26, 35] for the four-node isoparametric quadrilateral element, Eq. (5) can be written as:

$$\begin{Bmatrix} \sigma_x \\ \sigma_y \\ \tau_s \end{Bmatrix} = \frac{1}{t} \begin{Bmatrix} N_x \\ N_y \\ N_s \end{Bmatrix} = \frac{1}{t} \begin{bmatrix} 1 & 0 & 0 & a_1^2 \eta & a_3^2 \xi \\ 0 & 1 & 0 & b_1^2 \eta & b_3^2 \xi \\ 0 & 0 & 1 & a_1 b_1 \eta & a_3 b_3 \xi \end{bmatrix} \begin{Bmatrix} F_1 \\ F_2 \\ F_3 \\ F_4 \\ F_5 \end{Bmatrix} \quad (20)$$

Zhang *et al.* [34, 35] proposed an orthogonalization method to avoid the determination of the inverse of $[G]$. Moreover, they carried out an explicit formulation in order to obtain the stiffness matrix of quadrilateral hybrid stress elements.

2.3. Number of floating-point operations

Considering the same number of elements in SM and SFM, the global stiffness matrix is of the same order in both cases. Then, the main difference between them is the construction of the stiffness matrix of each element. Table 1 shows the computational cost for the stiffness matrix of 2D four-node isoparametric element in three cases:

- Basic SFM without diagonalization and with numerical integration
- SFM formulated in explicit manner according to the orthogonalization proposed in [34, 35]
- SM with numerical integration

Table 1. FLOPs concerning the calculation of the stiffness matrix of the 2D 4-node isoparametric element.

Step	Basic SFM	SFM explicit formulation	SM
$[E]$ and $[G]^a$	2179	-	-
$[\bar{E}^*]^b$	-	289	-
$[K]^c$	579	360	1766
TOTAL	2758	649	1766

^aBasic SFM: Eq. (8) and Eq. (13), integration with 4 Gauss points, one matrix product (8x3, 3x5), one matrix product (5x3, 3x3), one symmetric product (5x3, 3x5); SFM explicit and SM: not applicable.

^bSFM explicit: see [35]; Basic SFM and SM: not applicable.

^cBasic SFM: Eq. (17), inverse by Cholesky (5x5), one triangular back substitution and one matrix product (5x5, 5x8), one symmetric product (8x5, 5x8); SFM explicit: see [35], one symmetric product (8x5, 5x8); SM: integration with 4 Gauss points, one matrix product (8x3, 3x3), one symmetric product (8x3, 3x8).

Figure 2 is a symbolic representation of the FLOP comparisons shown in Table 1. The saving of the computational cost concerning the explicit formulation of SFM is 60 % with respect to SM and 75 % with respect to Basic SFM.

Otherwise, the formulation used to compute stresses is different in SFM and SM. Table 2 shows the computational cost of stress calculation for 2D four-node isoparametric element in the same cases as in Table 1.

Table 2. FLOPs concerning the calculation of stresses of the 2D 4-node isoparametric element.

Step	Basic SFM	SFM explicit formulation	SM
$\{F^j\}^a$	2702	369	-
$\{\varepsilon\}^b$	-	-	572
$\{\sigma\}^c$	240	393	60
TOTAL	2942	762	632

^aBasic SFM: Eq. (14), repeated calculation of $[E]$ and $[G]$, inverse by Cholesky (5x5), two matrix products (5x5, 5x5 and 5x5, 5x8), one matrix-vector product (5x8, 8); SFM explicit: see [35], repeated calculation of $[\bar{E}]$, one matrix-vector product (5x8, 8); SM: not applicable.

^bSM: one matrix-vector product (3x8, 8); Basic SFM and SFM explicit: not applicable.

^cBasic SFM and SFM explicit: Eq. (5) and see [35], one matrix-vector product (3x5, 5); SM: one matrix-vector product (3x3, 3).

Figure 3 is a symbolic representation of the FLOP comparisons shown in Table 2. The saving of the computational cost of SFM with explicit formulation with respect to Basic SFM is 70 %. The reduction of the computational cost of SM with respect to SFM explicit is 20 %. If the differences in the calculation of the stiffness matrix and the stresses are added, the saving of computational cost of the explicit SFM with respect to SM is approximately 40 % and with respect to Basic SFM it is 75 %.

3. Analytic approach of a circular ring acted on by two forces

3.1. Circumferential stresses

A scheme of the circular ring under study is shown in Fig. 4. The outer radius of the circular ring is represented by r_o and the inner radius by r_i . The cross section of the ring is rectangular, its thickness is defined as a and its width as t . According to the approach of Winkler for curved bars [36, 38], the circumferential normal stress distribution is given by:

$$\sigma_{\theta} = \frac{N}{A} + \frac{M(r - R_e)}{Aer} \quad (21)$$

Being (r, θ) the polar coordinates; N the axial force; A the cross sectional area; M the resultant moment; $R_e = a / (\ln r_o / r_i)$ the radius of the neutral surface; $e = R_a - R_e$ the difference between the average radius and the radius of the neutral surface and $R_a = r_o + a/2$ the distance from O to the centroid of the cross section.

In curved beams significant radial stresses can be developed owing to the initial curvature of the beam. These radial stresses are inversely proportional to the radius of curvature of the beam, r [36]. As in the case under study the radius of curvature is large, radial stresses σ_r and shear stresses $\tau_{r\theta}$ are assumed to be negligible compared with circumferential stresses σ_{θ} .

3.2. Displacements

In order to calculate displacements, the theorem of Engesser-Castigliano is used. As a first approach, the effect of radial stresses is neglected and that concerning shear stresses is introduced assuming that they have a parabolic distribution through the thickness. As a

consequence, the value of shear factor $6/5$ corresponding to straight beams is used. Thus, the derivative of the complementary strain energy with respect to a force F_i is given by:

$$\frac{\partial U^*}{\partial F_i} = \int_L \frac{MM'}{EI^*} dl + \int_L \frac{NN'}{EA} dl + \frac{6}{5} \int_L \frac{VV'}{GA} dl \quad (22)$$

$$\text{Being : } I^* = \frac{A^2 e^2}{R_e^2 \beta - A} \quad \beta = \frac{ta}{r_i r_o}$$

These parameters are a consequence of the hyperbolic distribution of circumferential stresses of Winkler's approach, given in Eq. (21).

After having determined the internal forces and moments, the vertical displacement at B can be computed using Eq. (22):

$$\delta_B = \left(\frac{-1}{\pi} + \frac{\pi}{8} \right) \frac{PR_a^3}{EI^*} + \frac{\pi}{8} \frac{PR_a}{EA} + \frac{3\pi}{20} \frac{PR_a}{GA} \quad (23)$$

The relative displacement between points B and C of Fig. 4 is $\delta_{BC} = 2\delta_B$.

4. Analytic, numerical and experimental results

4.1. Displacements

The circular dynamometer of rectangular cross section shown in Fig. 5 has been analyzed.

Experimental load-displacement data provided by the manufacturer CONTROLS will be used for comparative purposes [39]. The geometrical features and the elastic properties provided by the manufacturer are:

$$r_o = 91 \text{ mm}, \quad r_i = 78 \text{ mm}, \quad a = 13 \text{ mm and } t = 51 \text{ mm}$$

$$E = 210 \text{ GPa}, \quad G = 80.8 \text{ GPa and } \nu = 0.3.$$

The load-displacement relationship provided by the manufacturer is linear. The values of the stiffness k of the dynamometer determined experimentally, analytically, and numerically by SM and SFM are shown in Table 3. The numerical results have been obtained with a mesh of 48 elements. The values obtained by SFM are closer to the experimental value and the analytical approach than that given by SM.

The analytic value of the stiffness obtained from Eq. (23) agrees with the experimental one, being the relative error 0.8%. Therefore, hereinafter numerical and analytical results will be compared.

Table 3. Stiffness constant k of the dynamometer in N/mm

	Experimental	Analytical approach	SFM	SM
$k(\text{N/mm})$	20756	20914	21385	27223

The displacements of the dynamometer have been determined numerically by SM and SFM, both implemented in MATLAB. The problem has been solved with different meshes of 2D 4-noded quadrilateral elements. Figure 6 shows the model carried out with 48 elements.

Figure 7 shows the values of the normalized displacements with respect to analytical values for different meshes obtained by SFM and SM. The convergence to the analytic approach is faster with SFM solution than with SM.

The relative errors with respect to those analytical are shown in Fig. 8. The relative error for a mesh of 48 elements obtained by SFM for displacements is close to 2%. In order to obtain that relative error by SM it is necessary a mesh of 1200 elements. It is apparent that in the current example the computational cost is not an issue. Nevertheless, besides the low computational cost per element pointed out previously, the model needed is 25 times coarser in order to get the same error. In other words, it is possible to model larger systems for the same computational cost in the case of plane problems that include bending.

4.2. Stresses

The experimental value of the stiffness constant of the dynamometer is given by the manufacturer and the analytical value is obtained from the complementary strain energy, based on the stress distribution. Furthermore, experimental and analytical displacement results agree. As the stress field of Eq. (21) leads to correct displacements, the analytical stress distribution is considered suitable and the numerical results will be compared with respect to it.

1 Figure 9 shows circumferential stresses of section A of Fig. 4 at the inner and outer radius of the
2 dynamometer obtained by SFM and SM for different meshes. The convergence is faster for
3 SFM than for SM. Figure 10 shows the distribution of circumferential stresses along the
4 thickness of section A for a mesh of 48 elements. SFM results agree better with the analytical
5 approach than the stresses given by SM. The relative errors with respect to values obtained by
6 Eq. (21) are shown in Fig. 11. In the case of SFM that error is less than 2 % at the inner and
7 outer radius. In order to obtain that relative error by SM it is necessary a mesh of 600 elements.
8 Therefore, in the case of stresses the model needed is 12 times coarser in order to get the same
9 error.
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21 **5. Summary and conclusions**

22 The FEM has been implemented in 2D four-node isoparametric quadrilateral elements using the
23 hybrid formulation, namely Stiffness Force Method, that is based on the two fundamental
24 principles of virtual work.
25

26 An analysis of the number of FLOPs of the Stiffness Method and the Stiffness Force Method
27 has been carried out. Explicit SFM is the method with the lowest computational cost.
28

29 A circular ring acted on by two opposing forces has been analyzed analytically and numerically
30 by SM and SFM. The analytic approach in displacements has been verified by comparing it
31 with experimental data. The numerical results show that the displacements and stresses obtained
32 by SFM converge faster to analytical results than those obtained by SM. Moreover, it is
33 observed that the number of elements needed to obtain a given relative error is much greater in
34 the case of SM than in SFM. Therefore, using SFM, besides the improvement in computational
35 cost per element, the number of elements for a given model could be significantly reduced.
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Figure captions

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6 **Fig. 3** FLOP comparisons for obtaining the stresses
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13 FEM model of the circular ring
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15 **Fig. 7** Vertical displacement at B obtained with SFM and SM normalized with respect to
16 analytical values
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18 **Fig. 8** Relative error of the vertical displacement at B with respect to analytical values
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20 **Fig. 9** FEM results of the stresses at A, normalized with respect to analytical values: (a) at the
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23 **Fig. 10** Analytical and FEM solutions of the stresses throughout the thickness at A, for 48
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