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# 1 Solvent and catalyst effect in the formic acid 2 aided Lignin-to-liquids

3 Mikel Oregui-Bengoechea<sup>\*a,b</sup>, Inaki Gandarias<sup>b</sup>, Pedro L. Arias<sup>b</sup> and Tanja Barth<sup>a</sup>

4 <sup>a</sup> *Department of Chemistry, University of Bergen, Allégaten 41, 5007 Bergen, Norway*

5 <sup>b</sup> *Department of Chemical and Environmental Engineering, School of Engineering,  
6 University of the Basque Country (EHU/UPV), C/Alameda Urquijo s/n, 48013 Bilbao,  
7 Spain*

## 8 **Abstract**

9 The effect of the type of solvent, ethanol or water, and a Ru/C catalyst were studied in  
10 the formic acid aided lignin conversion. The best results were obtained in the presence  
11 of the Ru/C catalyst and using ethanol as solvent at 300 °C and 10 h (i.e. 75.8 wt.% of  
12 oil and 23.9 wt.% of solids). In comparison to the water system, the ethanol system  
13 yields a significantly larger amount of oil and, at 300 °C and 10 h, a smaller amount of  
14 solids. The main reasons for this positive effect of the ethanol solvent are i) the  
15 formation of ethanol-derived esters, ii) C-alkylations of lignin fragments and iii) the  
16 generation of more stable lignin derivatives. The Ru/C exhibits significantly higher  
17 lignin conversion activity compared to other Ni-based catalysts, especially at 300 °C,  
18 which is related to the enhanced activity of the Ru<sup>0</sup> sites towards hydrogenolysis,  
19 hydrodeoxygenation and alkylation reactions.

20 **Keywords:** lignin, LtL, formic acid, Ru/C, bio-oil, ethanol, water

## 21 **1. Introduction**

22 The transition from a fossil-based to a more sustainable economy is the major challenge  
23 that faces today's society. While energy can be produced from different renewable  
24 sources, other crude oil based consumer products (i.e. chemicals) can only be produced  
25 from biomass (Popa, 2018). In particular, the lignocellulosic biomass found in both  
26 agricultural and forestry residues is an abundant and versatile resource with enormous  
27 potential for replacing conventional fossil sources (IEA, 2012). However, processing  
28 large quantities of sugars into fuels and chemicals – from the cellulose and  
29 hemicellulose- will generate a huge amount of lignin waste (Zakzeski et al., 2010).  
30 Besides, as lignin is the only renewable source of aromatic hydrocarbons, its efficient  
31 conversion is crucial for the production of renewable aromatic-derived consumer  
32 products (Lange et al., 2013; Zakzeski et al., 2010). Hence, the viability of future  
33 biorefineries is highly dependent on the development of inexpensive lignin conversion  
34 strategies.

35 Among all lignin conversion methods, catalytic hydroprocessing is one of the most  
36 popular and efficient strategies applied in lignin deconstruction (Li et al., 2015). Highly  
37 promising building block chemicals such as low depolymerized lignin, catechol,  
38 guaiacol and phenol could be obtained (Abioye and Ani, 2015; Azadfar et al., 2015;  
39 Kleinert and Barth, 2008). Further upgrading of such compounds can lead to  
40 hydrocarbon value-added commodity chemicals and fuels (Li et al., 2015).

41 The Lignin-to-Liquids (LtL) process is a relatively new lignin hydroprocessing method  
42 that can effectively convert the biopolymer in a highly deoxygenated bio-oil (Kleinert et  
43 al., 2009; Kleinert and Barth, 2008). This method involves the use of formic acid (FA)  
44 together with a solvent, either ethanol or water, and meets all the sustainability and

45 green process criteria, since all the reactants can be obtained from renewable resources.  
46 Formic acid is one of the main sub-products from the cellulose and hemicellulose  
47 hydrolysis and sugar conversion processes (Hayes et al., 2008; Jönsson et al., 2013).  
48 Ethanol can be produced through the conversion of the cellulose and hemicellulose  
49 fractions of lignocellulose (Chandrakant and Bisaria, 1998). Water, in particular, is a  
50 promising solvent choice due to its low cost and large availability. However, to make  
51 this process competitive with fuels and chemicals obtained from crude oil, some  
52 important process parameters (i.e. reaction time and temperature) need to be improved.

53 One possibility to address these challenges is the use of heterogeneous catalysis.  
54 Traditionally mixed sulfides of NiMo, NiW, CoMo and CoW are widely used in  
55 hydrotreating processes (Li et al., 2015). However, such catalysts could deactivate due  
56 to the high oxygen content of lignin (Wang et al., 2011) and induce the sulfur  
57 contamination of the bio-oil products (Nie et al., 2014). Furthermore, these catalyst are  
58 known to undergo severe deactivation in the presence of water (E. Laurent and Delmon,  
59 1994; Etienne Laurent and Delmon, 1994). Thus, noble metal-based catalyst would be  
60 a preferred choice for the conversion of lignin in both water and ethanol. The activity of  
61 a wide variety of noble metal-based catalysts have been studied for the LtL or for  
62 similar lignin conversion processes, such as Rh/Al<sub>2</sub>O<sub>3</sub> (Oregui Bengoechea et al., 2017),  
63 Pd/Al<sub>2</sub>O<sub>3</sub> (Oregui Bengoechea et al., 2017), Ru/Al<sub>2</sub>O<sub>3</sub> (Oregui Bengoechea et al., 2017),  
64 Rh/C (Pepper and Lee, 1969), Pd/C (Pepper and Lee, 1969), Ru/C (Huang et al., 2018;  
65 Kloekhorst et al., 2015) and Pt/C (Xu et al., 2012).

66 We recently reported a study comparing the activity of Ru/Al<sub>2</sub>O<sub>3</sub>, Rh/Al<sub>2</sub>O<sub>3</sub> and  
67 Pd/Al<sub>2</sub>O<sub>3</sub> catalyst in the LtL reaction (Oregui Bengoechea et al., 2017). Higher oil  
68 yields were obtained when using Ru as the active metal (Oregui Bengoechea et al.,  
69 2017). Regarding the support, hydrotreating catalyst supported on activated carbons

70 (ACs) are promising alternatives to traditional metal oxide supports. This is due to: (i)  
71 less coking (Boorman et al., 1992; Vissers et al., 1987); (ii) lower cost; (iii) the  
72 possibility of recovering the active metals from spent catalysts by burning off the  
73 carbon, and (iv) the possibility of being produced from lignocellulosic biomass, lignin,  
74 or even solid organic residues of the LtL process (Abioye and Ani, 2015; Hao et al.,  
75 2017, 2014; Suhas et al., 2007).

76 In this study, we analyze the effect of the type of solvent, either ethanol or water, for the  
77 non-catalyzed and the Ru/AC catalyzed LtL process. Several studies have focused in the  
78 catalytic LtL process in either ethanol (Huang et al., 2018; Kloekhorst and Heeres,  
79 2015; Oregui-Bengoechea et al., 2017; Oregui-Bengoechea et al., 2017b; Xu et al.,  
80 2012) or water (Liguori and Barth, 2011; M. Oregui Bengoechea et al., 2017; Oregui  
81 Bengoechea et al., 2015). The effect of the solvent type for the non-catalyzed LtL  
82 process has also been briefly analyzed (Løhre et al., 2016). However, to the best of our  
83 knowledge, no systematical analysis of the distinctive solvent effect of ethanol and  
84 water has been carried out for the catalyzed LtL process.

## 85 **2. Materials and methods**

### 86 *2.1 Chemicals*

87 Formic acid (>98%), tetrahydrofuran (THF) (>99.9%), ethyl acetate (EtAc) (99.8%),  
88 hexadecane (>99.8 %) and anhydrous sodium sulphate (>99.0 %) were purchased from  
89 Sigma Aldrich and used as supplied. Anhydrous RuCl<sub>3</sub> and activated carbon (AC) were  
90 purchased from Strem Chemicals Inc.

91 Rice straw lignin from strong acid carbohydrate dissolution pre-treatment was received  
92 from the Technical College of Bergen. The lignin was ground, and sieved (<500 μm)  
93 prior to use. The inorganic components of the lignin were also determined.

## 94 2.2 Catalyst

### 95 2.2.1 Synthesis of the catalysts

96 The Ru/AC catalyst was prepared by incipient-wetness impregnation of the AC support  
97 with an aqueous solution of RuCl<sub>3</sub>. Prior to impregnation, the AC was dried under  
98 vacuum at 160 °C for 12 h. After drying, 4 g. of the AC support were impregnated with  
99 20 mL of an aqueous solution of RuCl<sub>3</sub> (0.0335 M). The nominal Ru loading was thus  
100 4.3 wt.%, so that the number of moles of Ru resembles de number of Ni moles of a Ni-  
101 based catalysts used in a previous study (Oregui-Bengoechea et al., 2017b). After  
102 impregnation, the catalyst was dried at 105 °C for 20 min. The solid was then thermal-  
103 treated under a N<sub>2</sub> flow (10 mL/min) at 470 °C for 2 h using a heating ramp of 2  
104 °C/min. Finally, the catalyst was activated in a H<sub>2</sub>/N<sub>2</sub> (10/90, vol/vol) flow (10 mL/min)  
105 at 450 °C for 2 h with the same heating ramp of 2 °C/min.

106 The catalyst was characterized by N<sub>2</sub>-adsorption, X-ray diffraction (XRD), CO-  
107 chemisorption, TPR of the non-activated Ru/AC catalyst and ICP-EOS.

## 108 2.3 LtL experiments

### 109 2.3.1 Experimental set-up

110 Rice straw lignin (2 g), formic acid (1.5 g), the catalyst (0.2 g), if any, and either ethanol  
111 (2.5 g) or water (2.5 g), were added to a stainless steel reactor (Parr 4742 non-stirred  
112 reactor, 25 mL volume). The reactor was closed and heated in a Carbolite™ LHT oven  
113 at 300 °C for 10 h (LT conditions), or at 340 °C for 6 h (HT conditions). The reactor  
114 was not purged after closing. Two replicates were carried out for each experiment, and  
115 the results refer to the related average. In case that the oil or solid yield values differed  
116 more than 3.0 percentage points (wt.% points), an additional experiment was carried

117 out. The experiments are named after the type of catalyst, the type of solvent, either  
118 ethanol or water and the reaction conditions.

### 119 2.3.2 *Work-up procedure*

120 After the reaction, the reactor was removed from the oven and cooled to ambient  
121 temperature by natural convection. The reactor was opened and the liquid reaction  
122 mixture was extracted with a solution of ethyl acetate-tetrahydrofuran (90:10). The  
123 solid phase (unreacted lignin, inorganic and organic lignin residues and catalyst) was  
124 filtered off and dried at ambient conditions for 2 days before weighing.

125 The subsequent work-up steps differed depending on the solvent of choice. For the  
126 water system, two well-separated liquid phases were obtained (organic top phase and  
127 aqueous bottom phase). The phases were separated by decantation and the organic  
128 phase was dried over  $\text{Na}_2\text{SO}_4$  and concentrated at reduced pressure (ca. 160 mbar) at 40  
129 °C. For the ethanol system, a single dark-brown organic liquid phase was obtained. This  
130 was dried over anhydrous  $\text{Na}_2\text{SO}_4$  and concentrated at a reduced pressure (ca. 160  
131 mbar) at 40 °C.

132 The final oil and solid yields were determined by weight (amount of oil or solids  
133 (g)/amount of introduced lignin (g)) after the work-up procedure was completed. The  
134 solid yield for the catalyzed systems was calculated after subtracting the amount of  
135 catalyst introduced, hence, the solid yield referred to the sum of the organic solids  
136 (hydrochar) and the inorganic lignin ashes. The oil was characterized by elemental  
137 analysis, GC-MS and GPC-SEC. GPC-SEC results are reported as average  $M_w$  only, due  
138 to very similar shapes of the chromatogram peaks for all samples.

139

### 140 **3. Results and Discussion**

#### 141 *3.1 Catalyst characterization*

142 The N<sub>2</sub> adsorption-desorption isotherm of the AC bare support and its pore size  
143 distribution indicate that the AC is mainly of microporous nature with some small size  
144 mesopores (i.e. 2-4 nm). The specific surface area of the AC bare support is of 1415  
145 m<sup>2</sup>/g. After supporting the Ru species, the surface area decreases to 1185 m<sup>2</sup>/g,  
146 however, the nature of the solid (i.e. microporous solid with some small size  
147 mesopores) is not altered, as observed from the N<sub>2</sub> adsorption-desorption isotherm.

148 The XRD diffractograms of the AC support and the activated Ru/AC exhibit a sharp  
149 crystalline peak at  $2\theta = 26.2^\circ$  (PDF: 00-026-1076) corresponding to graphite and a  
150 broad scattering peak at  $2\theta = 20^\circ - 30^\circ$  corresponding to amorphous carbons (Divakar et  
151 al., 2007; Liu et al., 2012). These diffraction peaks correspond to the AC activated  
152 carbon. Besides, the Ru/AC catalyst exhibits additional diffraction peaks corresponding  
153 to the hexagonal Ru<sup>0</sup> structure. The diffraction peaks at  $2\theta = 38.4^\circ$ ,  $42.2^\circ$  and  $43.9^\circ$   
154 correspond to the (1 0 0), (0 0 2) and (1 0 1) planes of the hexagonal Ru<sup>0</sup> structure  
155 (PDF: 00-006-0663). An additional diffraction peak around  $2\theta = 67.7^\circ$  typical for  
156 hexagonal Ru structure is also observed (PDF: 00-006-0663). To further confirm the  
157 oxidation state of the Ru species during the LtL process a TPR of the non-activated  
158 Ru/AC catalyst was carried out. The Ru/AC catalysts exhibits two main reduction  
159 peaks: a low temperature peak centered around 100 °C and a high temperature broad  
160 peak centered around 375 °C. The low temperature peak is attributed to the reduction of  
161 Ru oxide species to Ru<sup>0</sup>, while the high temperature peak is associated with the  
162 degradation of the AC carbon; consumption of H<sub>2</sub> associated with CH<sub>4</sub> formation  
163 (Rossetti et al., 2003). The Ru catalyzes the breaking of the weakest C-C bonds at the  
164 surface of active carbon giving rise to this high temperature peak (Rossetti et al., 2003).



165 Thus, the TPR analysis suggests that under LtL conditions, high temperatures in a  
166 highly reducing environment due to formic acid decomposition, the Ru species of the  
167 activated Ru/AC catalyst will be mainly in the form of Ru<sup>0</sup>. The Ru/AC catalyst has a  
168 Ru loading of 3.7 wt.%, as measured by ICP-EAS. The amount of chemisorbed CO is  
169 4.4 μmol CO/g catalyst, as measured by CO-chemisorption. Thus, considering a CO:Ru  
170 stoichiometry of 0.6:1(Kowalczyk et al., 2008), the number of Ru<sup>0</sup> active sites is 7.3  
171 μmol/g catalyst.

## 172 *3.2 LtL results*

### 173 *3.2.1 Oil, solid and lignin recovery yield*

174 The LtL-process results for the non-catalyzed (NC), AC and Ru/AC catalyzed  
175 experiments are summarized in Table 1. These results correspond to the average of both  
176 replicates. No significant differences are observed within the replicates, which confirms  
177 that the catalytic LtL experiments are highly reproducible. Note that the content of  
178 inorganic ashes of the lignin (i.e. rice straw lignin) used in these experiments is 14.9  
179 wt.%; therefore, the actual organic solid yield is considerably smaller than the solid  
180 yield shown in the table. The recovery yield presented in Table 1 refers to the  
181 proportion of lignin that has been recovered in the form of oil and solid. It is an indirect  
182 measurement of the amount of lignin converted into gas and/or the oil and solid that  
183 may have been lost during the work-up procedure.

184

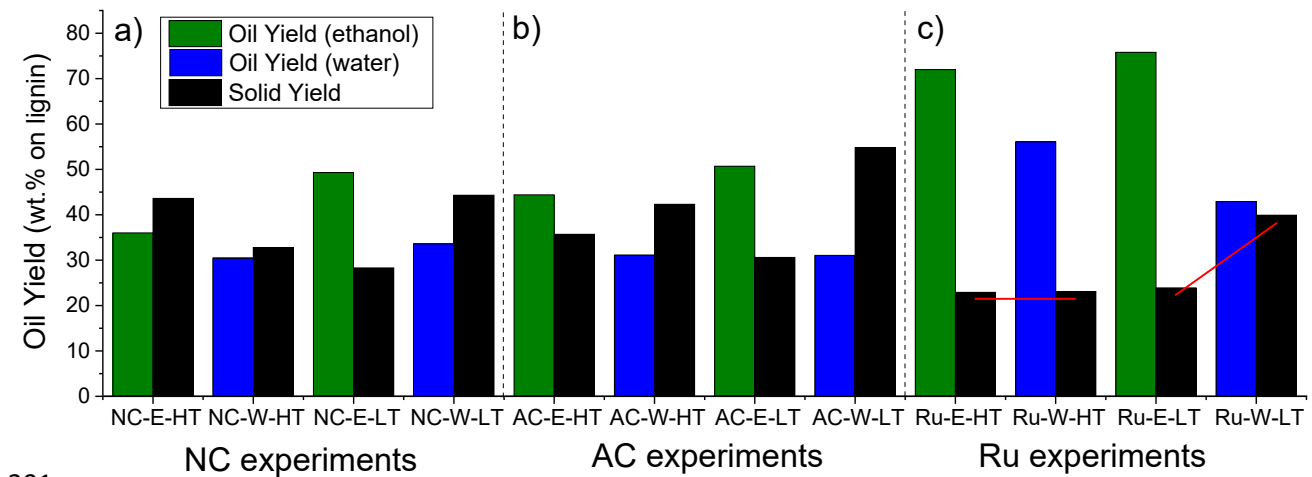
185 Table 1: Oil, solid and lignin recovery yields, and elemental analysis and  $M_w$  of the oils  
 186 for the NC, AC and Ru experiments.

Entry	Experiment A <sup>a</sup> -B <sup>b</sup> -X <sup>c</sup>	Oil Yield (wt.%) <sup>d,e</sup>	Solid Yield (wt.%) <sup>d,e</sup>	Lignin recovery yield (wt %) <sup>d,e</sup>	$M_w^e$ (Da)	Elemental analysis of the oils	
						H/C <sup>e</sup>	O/C <sup>e</sup>
1	NC-E-HT	36.0	43.6	79.6	347	1.24	0.13
2	NC-W-HT	30.5	32.8	63.3	222	1.13	0.16
3	NC-E-LT	49.3	28.3	77.5	552	1.18	0.17
4	NC-W-LT	33.6	44.3	77.8	280	1.13	0.20
5	AC-E-HT	44.4	35.7	80.1	340	1.35	0.15
6	AC-W-HT	31.1	42.3	73.3	225	1.21	0.15
7	AC-E-LT	50.7	30.6	81.9	483	1.22	0.18
8	AC-W-LT	31.0	54.8	85.8	280	1.26	0.20
9	Ru-E-HT	72.0	22.9	94.9	415	1.33	0.14
10	Ru-W-HT	56.0	23.1	79.1	319	1.32	0.17
11	Ru-E-LT	75.8	23.9	99.6	498	1.33	0.20
12	Ru-W-LT	42.9	39.9	82.8	360	1.23	0.17

187 <sup>a</sup>Type of catalyst: non-catalyzed (NC), bare activated carbon (AC) and activated Ru/AC  
 188 catalyst (Ru) <sup>b</sup>Type of solvent: ethanol (E) or water (W) <sup>c</sup>Reaction conditions: 340 °C  
 189 and 6 h (HT) and 300 °C and 10 h (LT) <sup>d</sup>Relative to lignin input <sup>e</sup> Average value of the  
 190 replicates

191 The results obtained for the non-catalyzed (NC) experiments are shown in Table 1  
 192 (*entries 1-4*). The lignin recovery yields are 77 wt.% or above, except for the NC-W-HT  
 193 experiment, where only 63.3 wt.% of the initial lignin was recovered in the form of oil  
 194 and solid. At both reaction conditions, HT and LT, the oil yields for the ethanol system  
 195 are higher than the ones obtained for the water system (Figure 1a). For the HT  
 196 experiments (e.g. 340 °C and 6 h), the oil yield for the ethanol system is 36.0 wt.%,  
 197 (NC-E-HT experiment), while only 30.5 wt.% for the water system (NC-W-HT  
 198 experiment). This difference is even higher at LT conditions (e.g. 300 °C and 6 h), with

199 an oil yield 49.3 wt.% for the NC-E-LT experiment and only 33.6 wt.% for the NC-W-  
 200 LT.



201

202 Figure 1. Oil and solid yield for the (a) NC, (b) AC and (c) Ru experiments. Oil yield  
 203 using ethanol as solvent (green), oil yield using water as solvent (blue) and solid yield  
 204 (black).

205 The results obtained for the AC experiments are shown in Table 1 (*entries 5-8*). All  
 206 lignin recovery yields, except for the AC-W-HT experiment, are above 80 wt.%. The oil  
 207 and solid yields are comparable to the ones obtained for the NC experiments, except for  
 208 the AC-E-HT experiment, where a higher oil and lower solid yields are obtained in  
 209 comparison to the NC counterpart. These results indicate that the AC support exhibits  
 210 some activity towards lignin conversion, but only for the ethanol system and at HT  
 211 conditions. In any case, as already observed for the NC experiments, the oil yields  
 212 obtained for the AC ethanol system are again considerably higher than the ones  
 213 obtained for the AC water system (Figure 1b). This difference is 13.3 percentage points  
 214 for the HT experiments, and 19.7 percentage points for the LT. The solid yields are  
 215 comparable to the ones obtained for the NC experiments, except for the AC-E-HT  
 216 experiment.

217 The results obtained for the Ru/AC catalyst are shown in Table 1 (*entries 9-12*). The  
218 lignin recovery yields are close to 100 wt.% in the case of the ethanol experiments, and  
219 around 80 wt.% in the case of water. The Ru/AC catalyst has a substantial positive  
220 effect on the LtL process for all cases: for both reaction systems (i.e. water and ethanol)  
221 and at both reaction conditions (i.e. HT and LT). Considerably higher oil yields and  
222 considerably lower solids yields are obtained for the Ru experiments in comparison to  
223 their AC and NC counterparts (Table 1, *entries 1-12*). This indicates that the Ru/AC  
224 catalyst has a positive effect on the de-polymerization of the lignin biopolymer and the  
225 stabilization of the lignin fragments.

226 As observed, the effect of the type of solvent in the Ru/AC catalyzed results is still  
227 significant (Figure 1c). Considerably higher oil yields are again obtained when ethanol  
228 is used as a solvent. The Ru/AC catalyzed experiments are also affected by the reaction  
229 conditions (i.e. HT or LT), especially the solid yield. At HT conditions, the oil yields  
230 are 75.2 wt.% for the ethanol system (Ru-E-HT) and only 56.0 wt.% for the water  
231 system (Ru-W-HT). Remarkably, both experiments yield comparable amount of solids,  
232 with 20.3 wt.% for the Ru-E-HT and 23.1 wt.% for the Ru-W-HT (Figure 1c). At LT  
233 conditions, the ethanol system yields considerably larger amount of oil, 75.8 wt.% for  
234 the Ru-E-LT while only 42.9 wt.% for the Ru-W-LT. However, at LT conditions, the  
235 ethanol system yields significantly smaller amount of solids: 23.9 wt.% of solids for the  
236 Ru-E-LT while 39.9 wt.% for the Ru-W-LT (Figure 1c).

237

238 3.2.2 Oil properties ( $M_w$  and H/C and O/C ratios) and its composition (GC-MS)

239 The type of solvent system also affects the quality of the bio-oil and its composition.

240 When using ethanol as solvent, oils with significantly higher average molecular weight

241 ( $M_w$ ) are obtained. At HT conditions the  $M_w$  of the oil is of 347 Da for the NC-E-HT

242 experiment, while only 222 Da for the NC-W-HT. At LT, this difference is more

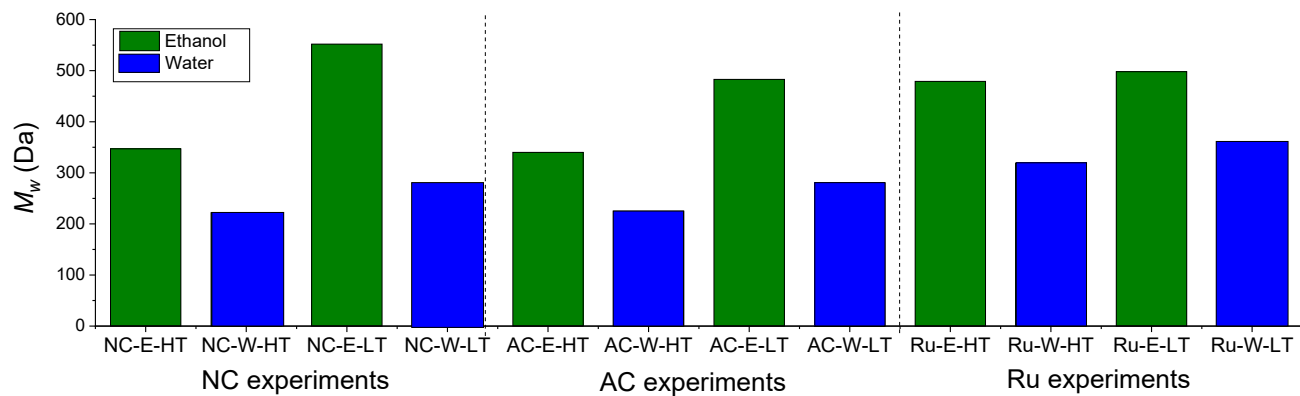
243 pronounced: 552 Da for the NC-E-LT while only 280 Da for the NC-W-LT (Figure 2,

244 *above*). The H/C and O/C ratios of the NC oils presented in Table 1 (*entries 1-4*)

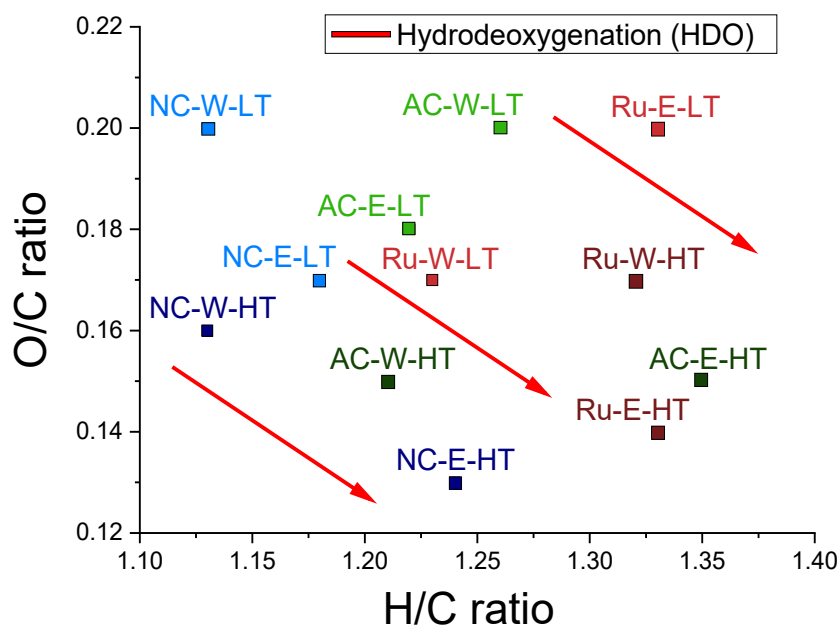
245 indicate that the ethanol system yields more hydrodeoxygenated oils than the water

246 system. At both HT and LT conditions, the ethanol oils exhibit higher H/C and in most

247 cases lower O/C ratios (Figure 2, *below*).



248



249

250 Figure 2. Average molecular weight of the NC, AC and Ru experiments (*above*) and

251 H/C and O/C ratio of the NC, AC and Ru experiments (below).

252

253 **Table 2:** Semi-quantitative GC-MS analysis of the HT oils

Compound	Experiment (Oil)					
	NC-E-HT	NC-W-HT	AC-E-HT	AC-W-HT	Ru-E-HT	Ru-W-HT
2-ethyl-1-hexanol	2.82	0.31	2.11	1.08	0.88	0.75
Ethyl benzoate	2.64	– <sup>a</sup>	2.28	– <sup>a</sup>	1.05	– <sup>a</sup>
Diethyl succinate	0.69	– <sup>a</sup>	0.82	– <sup>a</sup>	0.37	– <sup>a</sup>
Phenol	0.56	0.70	0.72	0.96	0.28	1.34
o-Cresol	0.36	0.33	0.41	0.46	0.15	0.36
p-Cresol	0.44	0.85	0.49	1.19	0.23	1.25
o-Ethylphenol	0.51	– <sup>a</sup>	0.60	– <sup>a</sup>	0.31	– <sup>a</sup>
p-Ethylphenol	0.62	0.91	0.70	1.21	0.35	1.35
p-Propylphenol	– <sup>a</sup>	– <sup>a</sup>	– <sup>a</sup>	– <sup>a</sup>	0.16	1.07
EtMtP <sup>b</sup>	0.85	0.29	1.15	0.45	0.41	– <sup>a</sup>
Diethylphenol	0.71	– <sup>a</sup>	1.18	– <sup>a</sup>	0.86	– <sup>a</sup>
Propofol	– <sup>a</sup>	– <sup>a</sup>	– <sup>a</sup>	– <sup>a</sup>	0.25	– <sup>a</sup>
Catechol	– <sup>a</sup>	0.31	– <sup>a</sup>	0.57	– <sup>a</sup>	– <sup>a</sup>
Methylcatechol	– <sup>a</sup>	0.49	– <sup>a</sup>	0.59	– <sup>a</sup>	– <sup>a</sup>
Ethylcatechol	– <sup>a</sup>	0.50	– <sup>a</sup>	0.52	– <sup>a</sup>	– <sup>a</sup>
dMthdMtB <sup>c</sup>	– <sup>a</sup>	– <sup>a</sup>	– <sup>a</sup>	– <sup>a</sup>	0.51	– <sup>a</sup>
dMtEtdMthB <sup>d</sup>	– <sup>a</sup>	– <sup>a</sup>	– <sup>a</sup>	– <sup>a</sup>	0.41	– <sup>a</sup>
tEtB <sup>e</sup>	– <sup>a</sup>	– <sup>a</sup>	– <sup>a</sup>	– <sup>a</sup>	0.22	– <sup>a</sup>
pMtB <sup>f</sup>	– <sup>a</sup>	– <sup>a</sup>	– <sup>a</sup>	– <sup>a</sup>	– <sup>a</sup>	0.54
5-hydroxyindane	– <sup>a</sup>	0.61	– <sup>a</sup>	0.62	– <sup>a</sup>	0.86
EtProp <sup>g</sup>	– <sup>a</sup>	– <sup>a</sup>	– <sup>a</sup>	– <sup>a</sup>	– <sup>a</sup>	0.77
MtNaph <sup>h</sup>	– <sup>a</sup>	0.27	– <sup>a</sup>	0.43	– <sup>a</sup>	– <sup>a</sup>

254 <sup>a</sup>Low intensity peak or undetected compound <sup>b</sup>EtMtP: 2-ethyl-5-methylphenol

255 <sup>c</sup>dMthdMtB: 1,4-Dimethoxy-2,3-dimethylbenzene <sup>d</sup>dMtEtdMthB: 2-(1,1-

256 dimethylethyl)-1,4-dimethoxybenzene <sup>e</sup>tEtB: 1,2,4,5-tetraethylbenzene <sup>f</sup>pMtB:

257 1,2,3,5,6-pentamethylbenzene <sup>g</sup>EtProp: 2'-Ethylpropiophenone <sup>h</sup>MtNaph: 2-methyl-1-

258 naphthalenol

259 The semi-quantitative analysis of the oils based on the relative abundance of each

260 compound ( $A_I/A_S$ ) is presented in Table 2 and Table 3. The relative abundance of a

261 compound ( $A_I/A_S$ ) is defined as the ratio between the area of the peak for a certain

262 compound ( $A_I$ ) and the area of the internal standard peak ( $A_S$ ). Note that in the GC-MS

263 analysis only the most volatile oil components, those compounds with lower molecular  
264 weight, can be identified. Thus, for those oils with low  $M_w$  values, the composition  
265 obtained by GC-MS analysis will be more representative of the overall composition.  
266 The NC-E-HT, NC-W-HT, NC-E-LT and NC-W-LT oils exhibit GC peaks that can be  
267 assigned to compounds coming from the capillary column.

268 At HT, there are significant differences between the ethanol and water system in the  
269 composition of the oil, as observed from the semi-quantitative GC-MS analysis (Table  
270 2). The compounds with the highest relative abundance in the NC-E-HT oil are 2-ethyl-  
271 1-hexanol and ethyl benzoate. Diethyl succinate and ethyl- and methyl-substituted  
272 phenols are also found in high relative abundance. In the case of the water system, NC-  
273 W-HT experiment, the compounds with the highest relative abundance are p-  
274 ethylphenol and p-cresol. Methyl and ethyl substituted phenols and highly polar  
275 oxygenated compounds such as catechols are also detected. Compounds such as 5-  
276 hydroxyindane and 2-methyl-1-naphthalenol have also been identified. In the case of the  
277 NC-W-HT experiment ethyl substituted compounds such as ethylphenol (i.e. sum of  
278 ortho- and para-substituted), 2-ethyl-5-methylphenol and diethylphenol are less  
279 abundant than in the NC-E-HT.

280 Unlike HT oils, the oils produced at LT conditions, Table 3, contain high concentrations  
281 of ethoxy- and methoxyphenols (i.e. guaiacols). Still, significant compositional  
282 differences are observed between the ethanol and the water system. For the ethanol  
283 system, NC-E-LT experiment, 2-ethyl-1-hexanol, ethyl benzoate, diethyl succinate and  
284 phenol are again detected (Table 3). Ethoxy- and methoxy- substituted phenols together  
285 with ethylcatechol are also identified. In the case of the water system, NC-W-LT  
286 experiment, catechol, 2-ethyl-1-hexanol, phenol and ethyl catechol are the compounds  
287 with the highest relative abundance. Alkylated and non-alkylated phenols, catechols and



288 guaiacols are also found in high concentrations (Table 3). Thus, the NC-E-LT oil  
 289 contains a lower abundance of oxygenates, a lower abundance of dihydroxy-substituted  
 290 phenols (i.e. sum of catechol, ethylcatechol and ethylcatechol).

291 **Table 3:** Semi-quantitative GC-MS analysis of the LT oils

Compound	Experiment (Oil)					
	NC-E-LT	NC-W-LT	AC-E-LT	AC-W-LT	Ru-E-LT	Ru-W-LT
2-ethyl-1-hexanol	1.56	1.23	1.22	1.59	1.29	1.56
Ethyl benzoate	0.70	— <sup>a</sup>	0.57	— <sup>a</sup>	1.03	— <sup>a</sup>
Diethyl succinate	0.57	— <sup>a</sup>	0.57	— <sup>a</sup>	0.56	— <sup>a</sup>
Phenol	0.34	0.95	0.30	0.75	0.20	0.91
o-Cresol	— <sup>a</sup>	0.22	— <sup>a</sup>	0.21	— <sup>a</sup>	0.18
p-Cresol	— <sup>a</sup>	0.43	— <sup>a</sup>	0.41	— <sup>a</sup>	0.57
p-Ethylphenol	— <sup>a</sup>	0.71	— <sup>a</sup>	0.78	— <sup>a</sup>	0.95
p-Propylphenol	— <sup>a</sup>	— <sup>a</sup>	— <sup>a</sup>	— <sup>a</sup>	— <sup>a</sup>	0.46
Catechol	— <sup>a</sup>	1.51	— <sup>a</sup>	1.07	— <sup>a</sup>	0.87
Methylcatechol	— <sup>a</sup>	0.90	— <sup>a</sup>	— <sup>a</sup>	— <sup>a</sup>	— <sup>a</sup>
Ethylcatechol	1.28	0.93	0.94	0.52	— <sup>a</sup>	0.41
Guaiacol	0.56	0.71	0.58	0.70	0.31	0.56
Ethylguaicol	0.49	0.18	0.51	0.57	0.33	0.22
Propylguaicol	— <sup>a</sup>	— <sup>a</sup>	— <sup>a</sup>	— <sup>a</sup>	0.26	— <sup>a</sup>
Ethoxyphenol	0.32	— <sup>a</sup>	0.28	— <sup>a</sup>	0.21	— <sup>a</sup>
dMthdMtB <sup>b</sup>	0.63	— <sup>a</sup>	0.70	— <sup>a</sup>	— <sup>a</sup>	— <sup>a</sup>
5-hydroxyindane	— <sup>a</sup>	0.56	— <sup>a</sup>	0.37	— <sup>a</sup>	0.52
EtProp <sup>c</sup>	— <sup>a</sup>	— <sup>a</sup>	— <sup>a</sup>	— <sup>a</sup>	— <sup>a</sup>	0.47
2-Naphthalenol	— <sup>a</sup>	0.40	— <sup>a</sup>	0.27	— <sup>a</sup>	0.25

292 <sup>a</sup>Low intensity peak or undetected compound <sup>b</sup>dMthdMtB: 1,4-Dimethoxy-2,3-  
 293 dimethylbenzene <sup>c</sup>EtProp: 2'-Ethylpropiophenone

294 For the AC experiments (Table 1, *entries 5-8*) the  $M_w$  values of the oils produced for the  
 295 ethanol system are again significantly higher than the ones produced for the water  
 296 system (Figure 2, *above*). At HT the  $M_w$  value of the AC-E-HT oil is of 340 Da, while  
 297 only 225 Da for the AC-W-HT. At LT this difference is even higher, 483 Da for the  
 298 ethanol system and 280 Da for the water system, respectively. No clear trend is

299 observed for the H/C and O/C ratio of the oils produced (Figure 2, *below*). At HT  
300 conditions, the ethanol system yields an oil with higher H/C ratio: 1.35 for the AC-E-  
301 HT and only 1.21 for the AC-W-HT. The O/C ratio is of 0.15 for both systems. At LT,  
302 on the other hand, the ethanol system yields oils with lower H/C and O/C ratios in  
303 comparison to the water system. As observed by GC-MS, there is no significant  
304 difference between the composition of the AC and NC oils (Table 2 and Table 3). At  
305 least among those compounds that could be identified. Only minor differences in the  
306 relative abundance of some of the components are detected, such as the larger amount  
307 of alkylated components (i.e. o-ethylphenol, p-ethylphenol, 2-ethyl-5-methylphenol and  
308 diethylphenol) found in the AC-E-HT experiment in comparison to the NC-E-HT  
309 experiment. The only remarkable compositional difference between the NC and AC oils  
310 is the absence of methylcatechol in the AC-W-LT oil.

311 For the experiments with the Ru/AC catalyst, higher  $M_w$  values are again obtained for  
312 those oils produced in the presence of ethanol (Figure 2, *above*). At HT conditions, the  
313  $M_w$  values of the Ru-E-HT oil is of 415 Da while for the Ru-W-HT oil only 319 Da. At  
314 LT conditions, the Ru-E-LT and Ru-W-LT experiments yield oils with a  $M_w$  of 498 Da  
315 and 360 Da, respectively. The O/C and H/C ratio of the Ru oil are comparable to the  
316 ones obtained for the AC oil. There are, however, considerable differences between the  
317 ethanol- and water-system oils. At HT, the ethanol system yields a more  
318 hydrodeoxygenated oil (Figure 2, *below*). The Ru-E-HT oil exhibits an H/C ratio of  
319 1.33 and an O/C ratio of 0.14 while the Ru-W-HT oil exhibits an H/C and O/C ratio of  
320 1.32 and 0.17, respectively. For the LT, the Ru-E-LT oil exhibits both a higher H/C and  
321 a higher O/C ratio than the Ru-W-LT oil (Figure 2, *below*).

322 The presence of the Ru/AC catalyst affects the final composition of the oils, as observed  
323 by GC-MS analysis. A higher abundance of alkyl-substituted compounds (i.e. higher

324 degree of alkylation) is detected in those oil produced in the presence of Ru/AC  
325 catalysts, especially in the case of the water system (Table 2 and Table 3). For the Ru-E-  
326 HT oil, more compounds are identified in comparison to its NC and AC counterparts. In  
327 addition to the compounds observed for the NC-E-HT and AC-E-HT oils, p-  
328 propylphenol, 1,4-dimethoxy-2,3-dimethylbenzene, propofol, 2-(1,1-dimethylethyl)-  
329 1,4-dimethoxybenzene and 1,2,4,5-tetraethylbenzene are detected (Table 2). In the case  
330 of the water system, Ru-W-HT additional compounds such as p-propylphenol,  
331 1,2,3,5,6-pentamethylbenzene and 2'-ethylpropiophenone are also detected. Still, the  
332 main compositional differences between the ethanol and water system oils are  
333 maintained. At LT conditions, the compositional differences between the Ru and NC  
334 and AC oils are fewer (Table 3). The Ru-E-LT oil contains propylguaicol while no  
335 ethylcatechol or 2-(1,1-dimethylethyl)-1,4-dimethoxybenzene is detected. In the case of  
336 the water system, the Ru-W-LT oil also contains propylguaiacol and 2'-  
337 ethylpropiophenone while no methylcatechol is present as observed for the NC-W-LT  
338 and AC-W-LT.

339

340

### 341 *3.2.3 Effect of the type of solvent and the Ru/AC catalyst*

342 The LtL reaction sequential mechanism involves a series of successive reactions: (i)  
343 aliphatic ether bond cleavage (i.e. lignin de-polymerization), (ii) stabilization of the  
344 lignin fragments and/or (iii) lignin re-polymerization. In this mechanism formic acid  
345 plays a double role, as already demonstrated in the literature (Oregui-Bengoechea et al.,  
346 2017a): as catalyst for the de-polymerization of lignin and as hydrogen source for the  
347 hydrodeoxygenation of lignin fragments. In the LtL reaction, the aliphatic ether bonds  
348 of lignin are first cleaved through a formylation-deformylation-hydrogenolysis  
349 mechanism leading to the depolymerization of the biopolymer into smaller lignin  
350 fragments. Later, the produced lignin fragments either can re-polymerize into organic  
351 solids (hydrochar) or can be stabilized through alkylation and hydrodeoxygenation  
352 reactions. These stabilized lignin fragments have less tendency to re-polymerize,  
353 leading to higher oil and lower solid yields (Forchheim et al., 2012; Gasson et al.,  
354 2012). Hydrodeoxygenation of lignin fragments occurs due to the availability of  
355 hydrogen resulting from the thermal decomposition of formic acid. Similar sequential  
356 lignin conversion mechanisms have been reported in the literature (Gasson et al., 2012;  
357 Huang et al., 2014; Kloekhorst and Heeres, 2015).

358 The results presented in *Section 3.2.1* and *Section 3.2.2* indicate that the type of solvent  
359 (i.e. ethanol or water) and the presence of the Ru/AC catalyst have a significant effect  
360 on the LtL reaction. The solvent effect on the oil and solid yield has already been  
361 previously observed for the non-catalyzed LtL process (Løhre et al., 2016). The ethanol  
362 system, in all cases, gives considerably higher oil yields than the water system. These  
363 higher oil yields are generally accompanied by a reduction of the solid yield. This  
364 positive effect induced by the ethanol solvent in the LtL-process could derive from

365 several causes: (i) the formation of ethanol-derived esters, (ii) ethyl-incorporation (C-  
366 alkylation) and (iii) the stabilization of the de-polymerized lignin fragments. Besides, it  
367 has to be taken into account that the oil yield in the water systems is slightly  
368 underestimated. Some of the polar oil components found mostly in the water system  
369 oils, catechols, are known to be soluble in water (Holmelid et al., 2017). During the  
370 LtL-process work-up procedure the water phase, containing a fraction of those polar oil  
371 components, is discharged.

372 As observed by GC-MS (Section 3.2.2), ethyl esters such as ethyl benzoate and diethyl  
373 succinate are present only in those oils produced using ethanol as a solvent. Ethyl  
374 benzoate is a product from the condensation of benzoic acid and ethanol. Diethyl  
375 succinate, on the other hand, is formed from the esterification reaction between a  
376 carboxylic acid (i.e. succinic acid) and ethanol. The GC-MS analysis also reveals that the  
377 ethanol system oils have a higher abundance of ethyl-alkylated phenols. Ethanol can  
378 also be incorporated in the produced lignin mono- and oligomer structures through C-  
379 alkylation mechanisms (Holmelid et al., 2012; Løhre et al., 2016). These reactions will  
380 contribute to an increase in the oil yield without any subsequent solid yield reduction.  
381 This is the case of the Ru experiments at HT: the Ru-E-HT experiment give higher oil  
382 yields than the Ru-W-HT experiment, but both yield comparable solid yields (Table 1).  
383 However, the increase of the oil yield observed in the ethanol system is generally  
384 accompanied by a reduction in the solid yield, especially in the LT experiments. As  
385 already mentioned, hydrodeoxygenated and alkylated lignin mono- and oligomers tend  
386 to have lesser tendency to re-polymerize. The GC-MS analysis shows that the  
387 compounds found in the ethanol-system oils are more alkylated and  
388 hydrodeoxygenated: they contain a larger amount of alkylated compounds and a smaller  
389 amount of oxygenated compounds such as guaiacols and catechols. The analysis of the

390  $M_w$  values depicted in Figure 2 (*above*) also suggest that the ethanol system yields more  
391 stable compounds. Higher  $M_w$  oils are obtained when ethanol is used as a solvent, as  
392 compared to the water system. This indicates that those high molecular weight  
393 compounds found in the ethanol-system oils exhibit less tendency to re-polymerization,  
394 and thus are more stable, than the ones produced in the presence of water. In water,  
395 some of the large intermediates generated in the water system re-polymerize and end up  
396 as part of the solid fraction. Thus, when using ethanol as a solvent, more stable (i.e.  
397 hydroxygenated and alkylated) compounds are obtained, resulting in a higher oil and  
398 lower solid yield.

399 As already mentioned, the presence of the Ru/AC catalyst, also significantly affects the  
400 LtL process. The activity of the Ru/AC catalyst cannot be attributed to the AC support,  
401 due to the similar oil and solid yields observed for the NC and AC experiments. The AC  
402 bare support only exhibits a minor activity in the case of the ethanol system at HT  
403 reaction conditions. This minor activity of the AC could be associated with its ability to  
404 alkylate lignin fragments (Section 3.2.2). As mentioned above, lignin fragment (i.e.  
405 monomer and/or oligomer) alkylation prevents their subsequent re-polymerization into  
406 organic solids, resulting in a higher oil and a lower solid yield. This alkylation activity  
407 could be a consequence of the general affinity of the ACs towards adsorption of liquid  
408 and gas components from the LtL-reaction medium, and/or the activities of their  
409 residual acid and basic surface functionalities, as discussed elsewhere (Oregui-  
410 Bengoechea et al., 2017b).

411 In contrast, the presence of Ru considerably increases the oil and decreases the solid  
412 yield in all cases (Figure 1). This considerable increase in lignin conversion is thought  
413 to be a consequence of the hydrogenolysis, hydrodeoxygenation and alkylation activity  
414 of the Ru<sup>0</sup> active sites. The hydrogenolysis activity of Ru/AC catalyst has already been

415 reported in previous studies (Huang et al., 2018; Kloekhorst et al., 2015; M. Oregui  
416 Bengoechea et al., 2017). In addition, the results presented in Section 3.2.2 indicate that  
417 the Ru/AC catalyst exhibits considerable activity towards lignin fragment  
418 **hydrodeoxygenation and alkylation reactions (i.e. lignin fragment stabilization).**

419 In a previous study, we measured the activity of a NiMo/AC and a NiMoFe/AC  
420 catalysts in the LtL process using ethanol as a solvent (Oregui-Bengoechea et al.,  
421 2017b). No experiments were performed in water given the significant deactivation that  
422 typical NiMo hydrotreating catalysts undergo under these reactions conditions (Laurent  
423 and Delmon, 1994; Etienne Laurent and Delmon, 1994). The Ru/AC catalyst is more  
424 active than the non-noble metal catalysts tested (i.e. NiMo/AC and NiMoFe/AC). At HT  
425 conditions, all the catalysts exhibit comparable activities, although the Ru/AC and  
426 NiMoFe/AC catalysts yield slightly larger amount of oil in comparison to the  
427 NiMo/AC. At LT conditions only the Ru/AC and the NiMoFe/AC catalyst exhibit  
428 activity. Still the best results are obtained for the Ru/AC catalyst with a slightly higher  
429 oil yield, 75.8 wt.%, and a slightly lower solid yield, 23.9 wt.%, in comparison to the  
430 NiMoFe/AC catalyst.

#### 431 **4. Conclusions**

432 In comparison to the water system, the ethanol system yields a significantly larger  
433 amount of oil and, at 300 °C and 10 h, a smaller amount of solids due to the formation  
434 of ethanol-derived esters, C-alkylation of lignin fragments and the generation of more  
435 stable lignin derivatives. The activity of the Ru<sup>0</sup> active sites towards hydrogenolysis,  
436 hydrodeoxygenation and alkylation is the cause of the positive effect of the Ru/AC  
437 catalysts, inducing an oil yield increase and a solid yield decrease. Furthermore, Ru<sup>0</sup> has  
438 proven to be more active than Ni based catalysts, especially at low temperatures (i.e.  
439 300 °C).

## 440 **Appendix A. Supplementary material**

441 Supplementary material associated with this article can be found in the online version of  
442 the paper.

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## **Appendix A. Supplementary material:**

# Solvent and catalyst effect in the formic acid aided Lignin-to-liquids

Mikel Oregui-Bengoechea<sup>\*a,b</sup>, Inaki Gandarias<sup>b</sup>, Pedro L. Arias<sup>b</sup> and Tanja Barth<sup>a</sup>

<sup>a</sup> *Department of Chemistry, University of Bergen, Allégaten 41, 5007 Bergen, Norway*

<sup>b</sup> *Department of Chemical and Environmental Engineering, School of Engineering,  
University of the Basque Country (EHU/UPV), C/Alameda Urquijo s/n, 48013 Bilbao,  
Spain*

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## **LIGNIN ASH CONTENT**

Three crucibles were calcined at 575 °C and weighed to the nearest 0.1 mg until constant weight (less than  $\pm 0.3$  mg after one 1 h of heating at 575 °C). Once the weight of each crucible is recorded, between 0.5 and 2.0 g of lignin was weighed into each tared crucible. The lignin was calcined using the following temperature program: hold the temperature at 105 °C for 12 min, increase the temperature until 250 °C at 10 °C/min, hold the temperature at 250 °C for 30 min, increase the temperature until 575 °C at 20 °C/min, and hold it at that temperature for 180 min. After cooling, the samples were weighed to the nearest of 0.1 mg weighted until constant weight. The final ash content is calculated as the mean of the three crucibles.



## CATALYST CHARACTERIZATION

*N<sub>2</sub>-adsorption:* N<sub>2</sub>-adsorption measurements were carried out on a BELSORP-max instrument equipped with a low pressure transducer and a turbomolecular pump, allowing measurements with high precision from very low pressures ( $p/p_0 = 10^{-8}$  mbar). The nitrogen adsorption isotherms were recorded at 77 K. The samples were degassed under conditions of dynamic vacuum at a temperature of 150 °C overnight, before conducting the adsorption experiments.

Specific surface areas ( $S_{\text{BET}}$ ) were calculated in the Brunauer–Emmet–Teller (BET) model, using the uptake of N<sub>2</sub> at relative pressures of  $p/p_0 = 0.06 - 0.29$ . Care was taken to assure positive and not unphysically large  $c$ -values.

*X-ray diffraction (XRD):* XRD patterns were collected by using a PHILIPS X'PERT PRO automatic diffractometer operating at 40 kV and 40 mA, in theta–theta configuration, a secondary monochromator with Cu-K $\alpha$  radiation ( $\lambda = 1.5418$  Å) and a PIXcel solid state detector (active length in  $2\theta = 3.347$  °). Data were collected from 10 to 80°  $2\theta$  (step size = 0.02606 and time per step = 600 s) at RT. Fixed divergence and anti-scattering slits giving a constant volume of sample illumination were used.

*Temperature-program reduction (TPR):* TPR was carried out in a chemisorption analyzer AutoChem II (Micromeritics, USA) equipped with a thermal conductivity detector. The non-activated Ru/AC catalyst (100 mg) was previously outgassed at 450 °C for 30 min. Later, the sample was exposed to a constant flow of 5% H<sub>2</sub> in argon, while being heated from room temperature to 450 °C with heating rate of 10 °C/min.

*CO-Chemisorption:* CO-chemisorption was carried out in an AutoChem (Micromeritics) device equipped with a calibrated TCD detector. The catalyst sample (55,7 mg) was placed in a U shaped quartz cell. Prior to measurements, the sample was reduced in a H<sub>2</sub>/Ar ((5/95 vol/vol) flow (40 mL/min) at 300 °C for 1 h. Later, the sample was flushed with He and cooled down to 35 °C. At this temperature, CO pulses were injected to the sample until saturation was observed.

*Inductively coupled plasma atomic emission spectroscopy (ICP-AES):* ICP-AES was carried out using an Optima 2000-DV, Perkin Elmer, USA. Prior to measurements, the samples were dissolved in an HCl / HNO<sub>3</sub> acid mixture (volume ratio 3:1), digested in a microwave oven for 2 h and diluted with deionized water to concentrations within the detection range of the instrument.

## CHARACTERIZATION OF THE OIL

Elemental Analysis: The elemental composition of the samples were determined in the CHNS mode with a Vario EL III instrument using helium as carrier gas. The amount of oxygen was calculated by difference. The H/C and O/C are given in molar ratios.

GPC-SEC: The sample (1 mg) was dissolved in 1 mL of THF. The solution (20  $\mu$ L) was injected into a GPC-SEC system equipped with a PLgel 3 $\mu$ m Mini MIX-E column, and analyzed at a flow rate of 0.5 mL/min of THF at 21.1  $^{\circ}$ C. The detection was performed with UV detector at 254 and 280 nm, as well as with a RI detector. The set of columns was calibrated with a series of polystyrene standards covering a molecular-mass range of 162–2360 Da. The average molecular weight of the oils ( $M_w$ ) was calculated based on their retention times (min), time of the maximum of the eluted peak, with the aid of a series of polystyrene standards covering a molecular-mass range of 162–2360 Da. Due to very similar peak shapes, the polydispersity and molecular weight distribution of the oils was not considered.

GC-MS: The LtL-oil was analyzed on a 5977A Series GC/MSD system from Agilent Technologies. A EtAc:THF (90:10) mixture containing hexadecane as internal standard (2  $\mu$ L/L) was used as solvent and the samples (1 mg of oil/1mL of solvent) were analyzed using splitless injection at 280  $^{\circ}$ C (injector temperature) on a 30 m HP-5MS capillary column ((5% phenyl)-methylpolysiloxane), 0.250 mm ID from Agilent Technologies. A constant gas flow rate of 1 mL/min and the following GC oven temperature program were applied: 40  $^{\circ}$ C for 5 min, followed by a heating ramp of 6  $^{\circ}$ C/min from 40  $^{\circ}$ C to 280  $^{\circ}$ C and a heating ramp of 40  $^{\circ}$ C/min from 280  $^{\circ}$ C to 300  $^{\circ}$ C. The GC–MS interphase valve delay was set to 5 min and the MS detector operated in positive mode at 70 eV with an ion-source temperature of 250  $^{\circ}$ C. Compounds were identified using the ChemStation software and the NIST 2.0 library.

The semi-quantitative GC-MS analysis was performed by integrating the peak for each compound and comparing this value with the internal standard peak area. Thus, the relative abundance of a compound ( $A_i/A_s$ ) is defined as the ratio between the areas of the peak for a certain compound ( $A_i$ ) and the area of the internal standard peak ( $A_s$ ).

**Table S1:** Amount of reactants for the LtL experiments

Experiment A <sup>a</sup> -B <sup>b</sup> -X <sup>c</sup>	Temperature (°C)	Reaction time (h)	Lignin (g.)	Lignin <sup>d</sup> (wt.%)	Solvent <sup>d</sup> (wt.%)	FA <sup>d</sup> (wt.%)	Catalyst <sup>d</sup> (wt.%)
NC-E-HT	340	6	2.0	33.3	41.9	24.8	0.0
NC-W-HT	340	6	2.0	33.0	41.7	25.3	0.0
NC-E-LT	300	10	2.0	33.2	41.7	25.1	0.0
NC-W-LT	300	10	2.0	32.9	41.7	25.4	0.0
AC-E-HT	340	6	2.0	32.4	40.5	23.9	3.2
AC-W-HT	340	6	2.0	31.8	40.4	24.6	3.2
AC-E-LT	300	10	2.0	32.1	40.5	24.2	3.2
AC-W-LT	300	10	2.0	31.9	40.8	24.1	3.2
Ru-E-HT	340	6	2.0	32.1	40.2	24.5	3.2
Ru-W-HT	340	6	2.0	31.9	40.5	24.4	3.2
Ru-E-LT	300	10	2.0	32.0	40.3	24.5	3.2
Ru-W-LT	300	10	2.0	31.9	40.6	24.3	3.2

<sup>a</sup> Type of catalyst: non-catalyzed (NC), bare activated carbon (AC) and activated Ru/AC catalyst (Ru) <sup>b</sup> Type of solvent: ethanol (E) or water (W) <sup>c</sup> Reaction conditions: 340 °C and 6 h (HT) and 300 °C and 10 h (LT) <sup>d</sup> Average value of the replicates

**Table S2:** surface area ( $S_{\text{BET}}$ ), Ru loading (ICP-AES) and number of Ru active sites of the AC and Ru/AC catalysts

Catalyst <sup>a</sup>	$S_{\text{BET}}$ ( $\text{m}^2/\text{g}$ )	Ru loading (wt.%)	Number of Ru <sup>0</sup> active sites ( $\mu\text{mol}/\text{g cat}$ )
AC	1415 <sup>b</sup>	–	–
Ru/AC	1185	3.7	7.3

<sup>a</sup> Catalyst: activated carbon bare support (AC) and H<sub>2</sub>-activated Ru/AC catalyst (Ru/AC) <sup>b</sup> These values have already been reported in a previous study (Mikel Oregui-Bengoechea et al., 2017b)

**Table S3:** Oil and solid yields for the NiMo/C, NiMoFe/C and Ru/AC catalysts

Entry	Experiment A <sup>a</sup> -B <sup>b</sup>	Oil Yield (wt.%) <sup>d,e</sup>	Solid Yield (wt.%) <sup>d,e</sup>
1	NC-HT	36.0	43.6
2	NiMo/AC-HT	67.6	20.1
3	NiMoFe/AC-HT	72.1	21.1
4	Ru/AC-HT	72.0	22.9
5	NC-LT	49.3	28.3
6	NiMo/AC-LT	49.2	26.2
7	NiMoFe/AC-LT	72.0	27.7
8	Ru/AC-LT	75.8	23.9

<sup>a</sup> Type of catalyst: non-catalyzed experiment (NC), NiMo/AC catalyzed experiment (NiMo/AC), NiMoFe catalyzed experiment (NiMoFe/AC) and Ru/AC catalyzed experiment (Ru/AC) <sup>b</sup> Reaction conditions: 340 °C and 6 h (HT) and 300 °C and 10 h (LT) <sup>d</sup> Relative to lignin input <sup>e</sup> Average value of the replicates

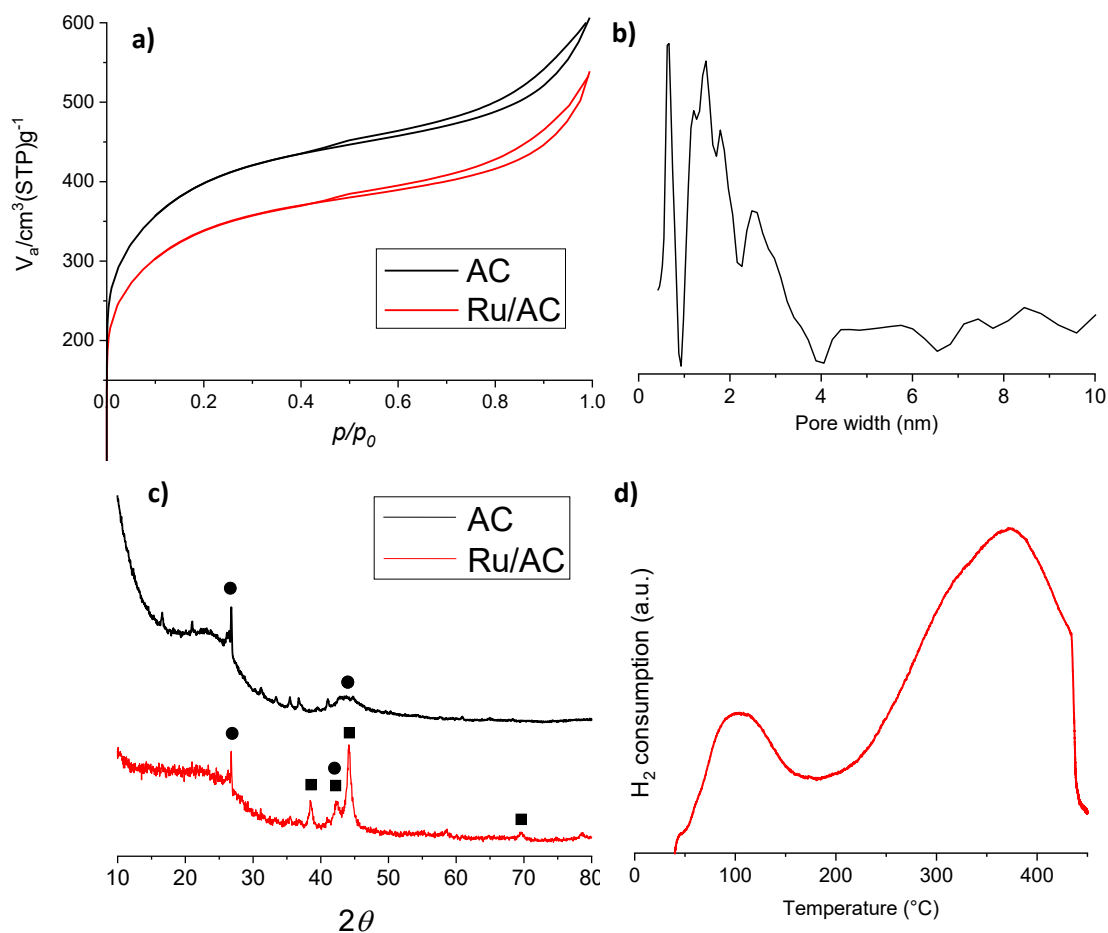
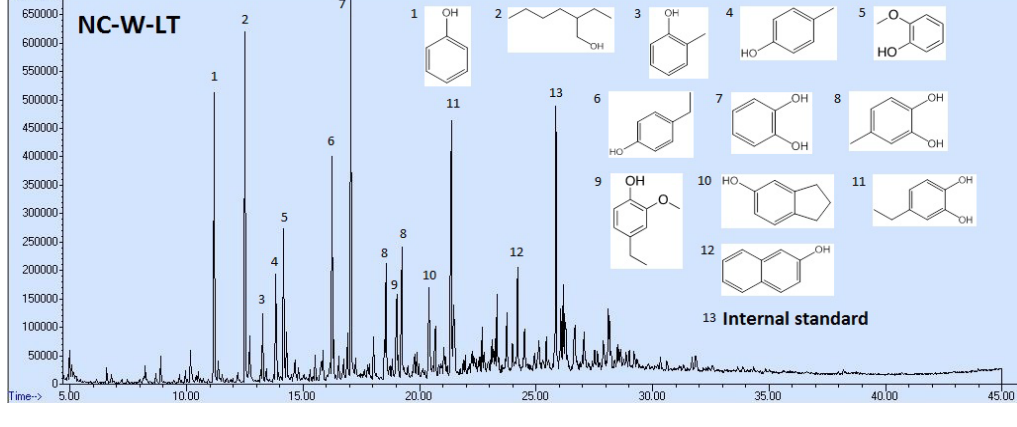
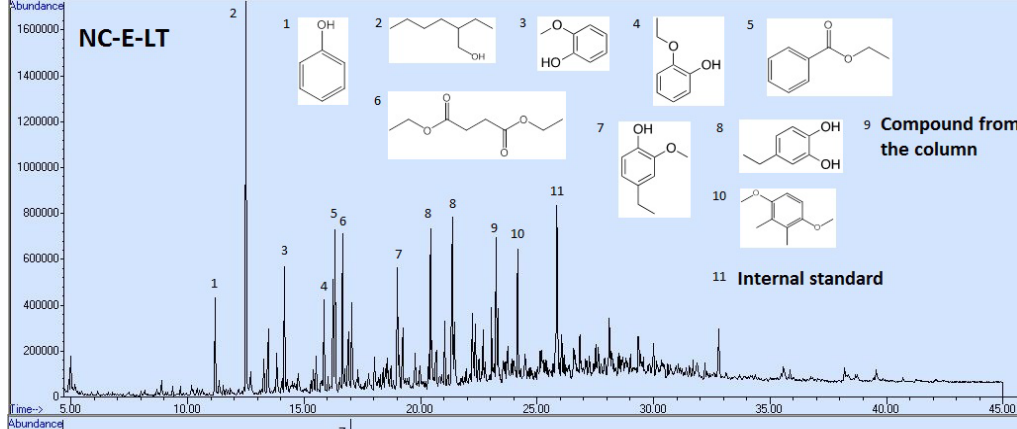
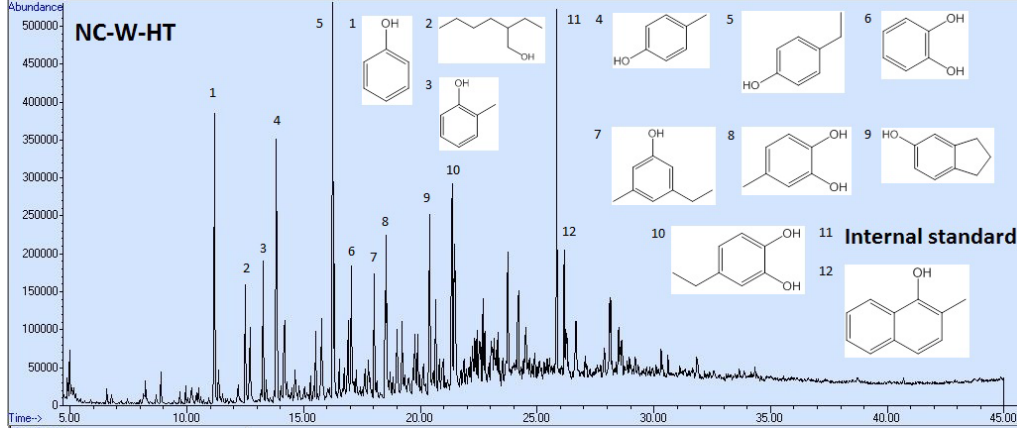
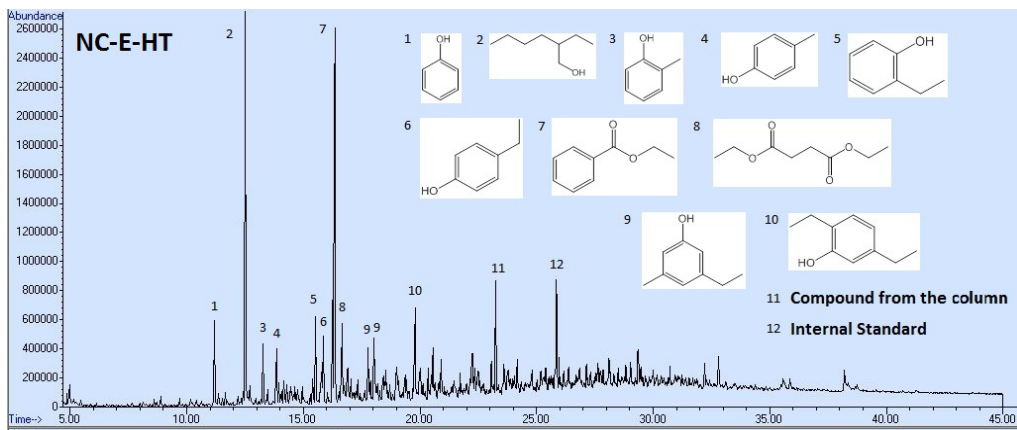
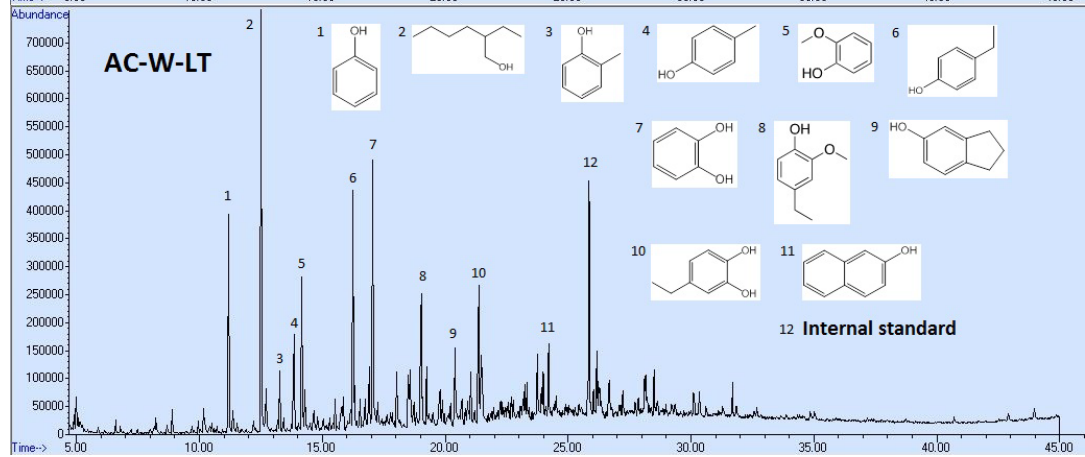
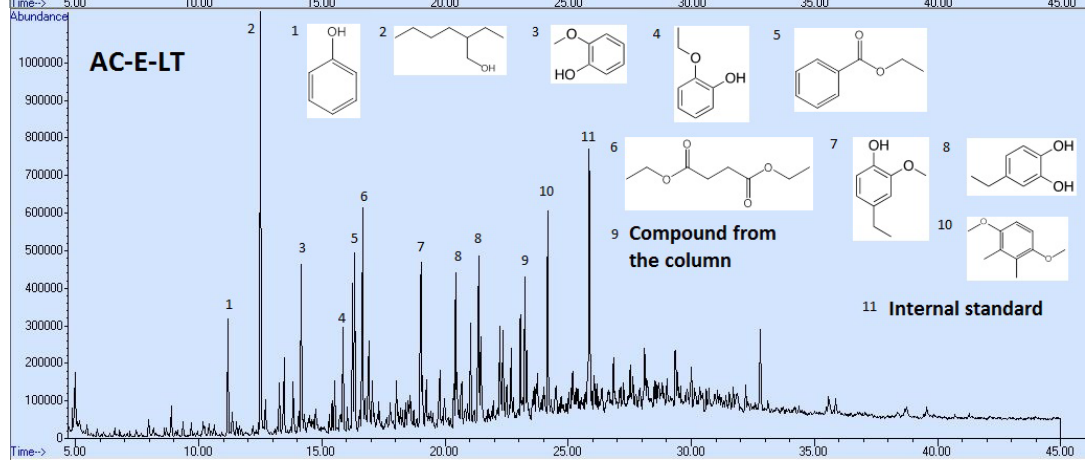
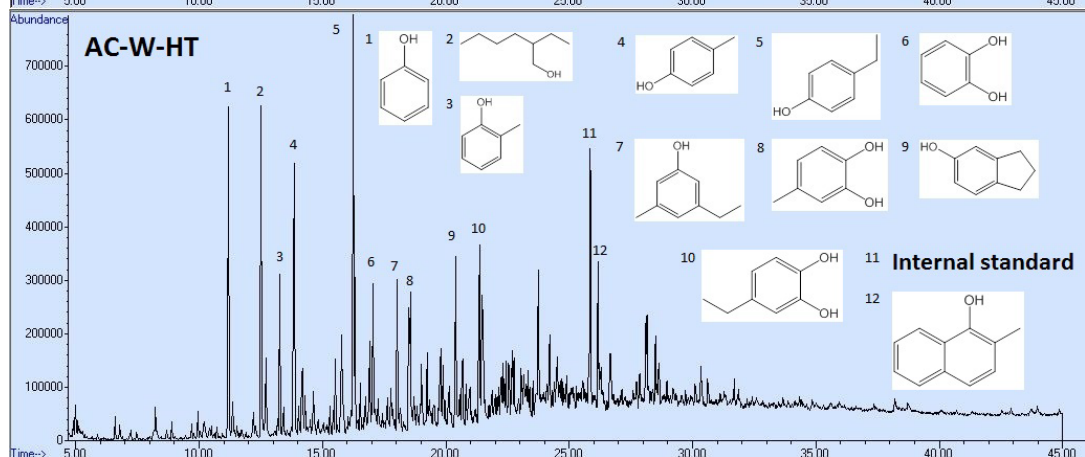
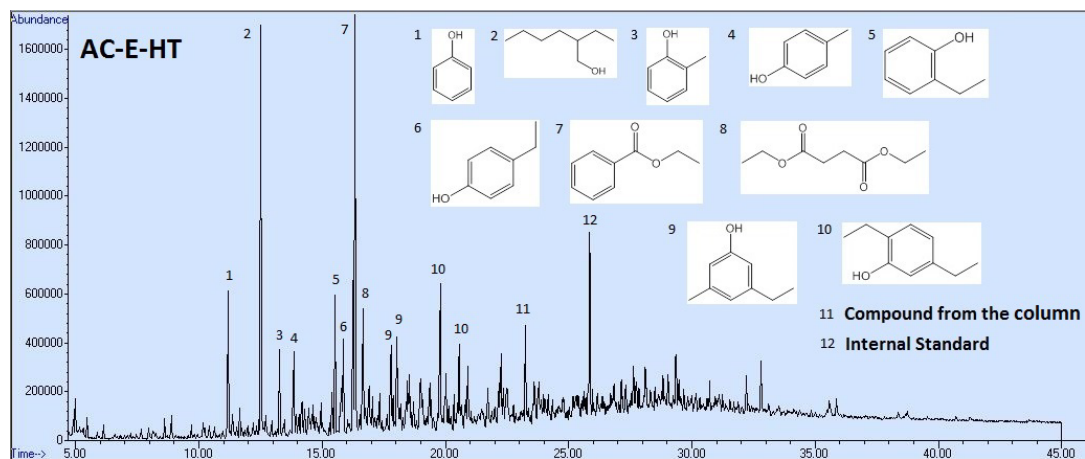
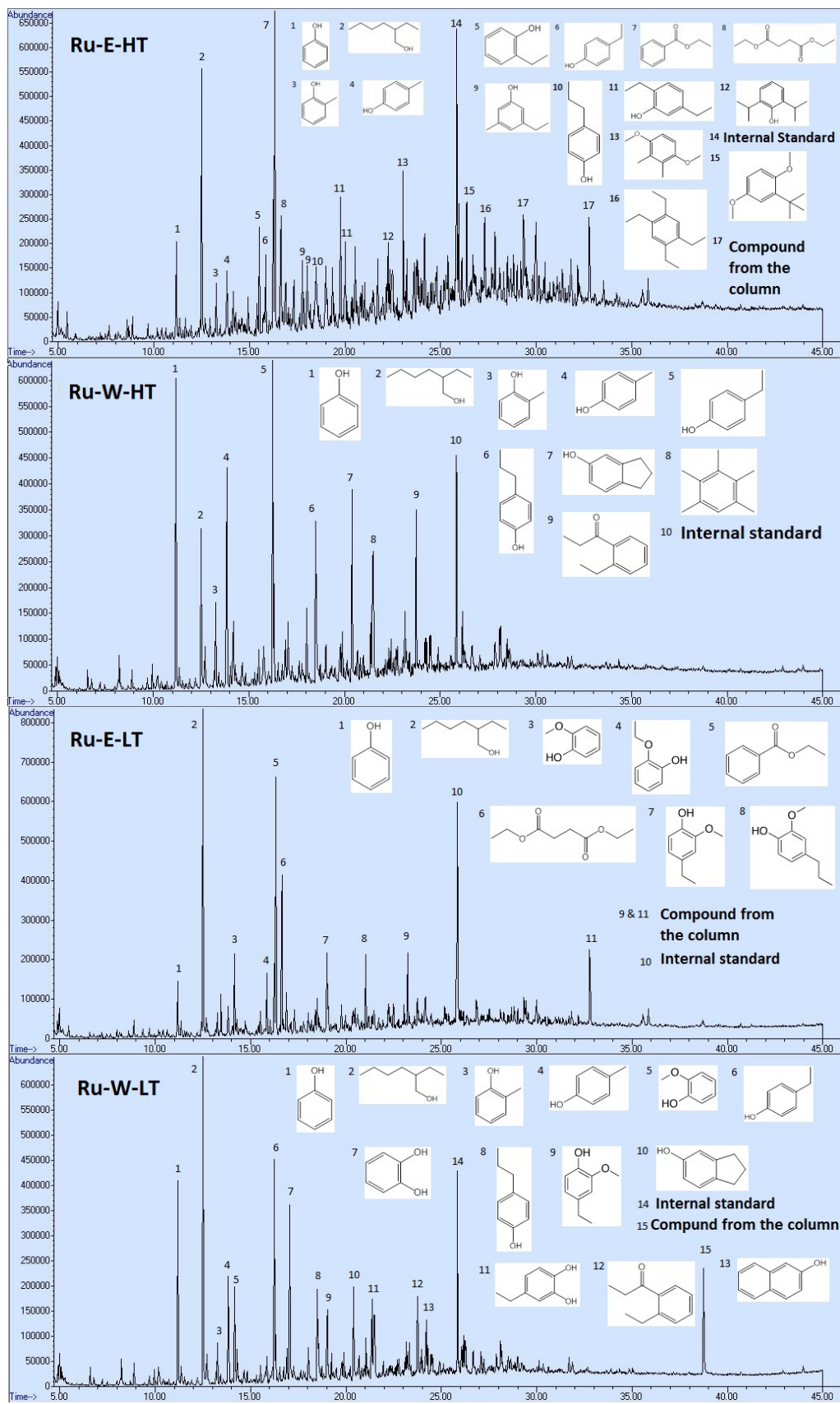


Figure S1. (a) N<sub>2</sub>-adsorption-desorption isotherms for AC bare support (black) and Ru/AC (red) catalyst. (b) Pore size distribution for the AC bare support using DFT model. (c) X-ray diffraction patterns for AC bare support (black) and Ru/AC catalysts (red). Hexagonal Ru<sup>0</sup> structure (■) and AC related signals (●). (d) TPR profile for the non-activated Ru/AC catalyst. N<sub>2</sub>-adsorption results and pore size distribution for the AC bare support have already been reported in a previous study (Mikel Oregui-Bengochea et al., 2017b)

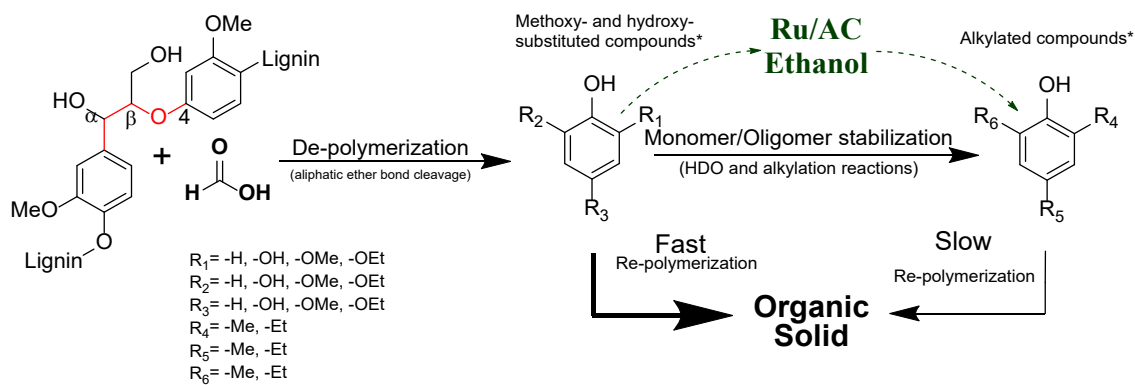








**Figure S2.** GC-MS chromatograms of the NC-E-HT, NC-W-HT, NC-E-LT, NC-W-LT, AC-E-HT, AC-W-HT, AC-E-LT, AC-W-LT, Ru-E-HT, Ru-W-HT, Ru-E-LT, Ru-W-LT



Scheme 1. Sequential reaction scheme for the conversion of lignin into bio-oil. The dashed lines represent reactions that are more favored in the presence of a Ru/AC catalyst and/or using ethanol as solvent than in the absence of catalysts and/or using water as solvent.