This is a preprint version of a Published Work that appeared in final form in Quaternary Science Reviews 19 : 106-115 (2015). To access the final edited and published work see https://doi.org/10.1016/j.quascirev.2015.04.017

### 1 The return to the Iberian Peninsula: first Quaternary record of *Muscardinus* and a

# 2 palaeogeographical review of the genus in Europe.

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14

#### 15 Abstract

16 Although the earliest record of the genus *Muscardinus* dates from the Middle Miocene 17 in Spain, no mention has been reported for a period since the Lower Pliocene in the 18 Iberian Peninsula. For the first time, Ouaternary fossil remains of a hazel dormouse 19 (Muscardinus avellanarius) are described in the Iberian Peninsula, with this also being 20 the westernmost record of the genus in the Eurasian continent. The fossils, two second 21 upper molars and a first upper molar, come from Lezetxiki II Cave, an early Late 22 Pleistocene site in northern Spain. The presence of the hazel dormouse is in agreement 23 with the abundance of rodent species indicative of woodland, suggesting mild climatic 24 conditions and a landscape formed by deciduous forest. This assemblage has been 25 arguably assigned to warm and humid conditions associated with an interstadial period

| 26 | in MIS 5. | We also p | present an | analysis | of the | palaeoge | eograph  | y of the | genus in | Europe. |
|----|-----------|-----------|------------|----------|--------|----------|----------|----------|----------|---------|
|    |           |           |            | -        |        |          | <u> </u> | -        | <u> </u> |         |

- 27 The identification of this dormouse reinforces the idea of natural connections between
- 28 western Iberia and the rest of Europe during the Pleistocene.

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- 36 Keywords: Muscardinus avellanarius; Palaeogeography; Palaeoecology; Upper
- 37 Pleistocene; Lezetxiki II; Iberian Peninsula.

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# 52 **1. Introduction**

53

As pointed out by Bright et al. (1996a, p. 9), the dormouse is widely known from its
appealing photographs and its occurrence in children's story books, notably *Alice's Adventures in Wonderland*. However, compared with current knowledge, little is known
about the fossil record of *Muscardinus*.

58

59 The fact is that the dental remains of Muscardinus are somewhat rare in Pleistocene 60 sites. Chaline (1972) proposes that its rarity, probably more apparent than real, is partly 61 due to the smallness of the teeth, which easily go unnoticed in a sieve. Meanwhile, 62 Hanquet (2011) argued that it can be explained by the scarcity of this species in nature. 63 However, as noticed by Daoub (1993), the frequency of glirids found in some fossil 64 assemblages, especially at the end of the Early Pleistocene, is often very high, although 65 they may have suffered a decline during the Late Quaternary. Additionally, it should be 66 borne in mind that the hazel dormouse is one of the smallest of the European dormice, 67 with an estimated body mass of 25g (Freudenthal and Martín-Suárez, 2013a), besides 68 being a nocturnal arboreal species rarely active on the ground and difficult to prey on 69 (Capizzi et al., 2002).

70

In the present study, we describe one first upper cheek tooth (M1) and two second upper
cheek teeth (M2) from the early Late Pleistocene archaeological site of Lezetxiki II
(Basque Country, North Spain). This discovery represents the first Quaternary record of *Muscardinus avellanarius* in the Iberian Peninsula, and also the westernmost record of
the genus in the Eurasian continent.

77 Lezetxiki II is an important site in the Basque Country and the Cantabrian coast. To 78 date, it has yielded a notable amount of small vertebrate fossils that document the 79 richness of Upper Pleistocene biomes of northern Spain. The first description of a hazel 80 dormouse from this site contributes to the general knowledge of this taxon, and an 81 updated review of the palaeogeographical evolution of the genus in Europe will be 82 certainly useful for future research. This discovery also contributes to a better 83 understanding of the palaeoecology and palaeobiogeography of the area during the Late 84 Pleistocene, and provides information about movements of mammals between Iberia 85 and the rest of Europe.

86

### 87 2. Lezetxiki II Cave

88

89 Lezetxiki (Arrasate, Basque Country) is a karst complex located in the central sector of 90 northern Iberia (Fig. 1), in the upper valley of the River Deba, near the end of the Bay 91 of Biscay. The classic deposit of Lezetxiki was first excavated from 1956 to 1968 by 92 J.M. de Barandiarán, and an extensive sequence of Late Pleistocene levels was revealed. 93 Three human fossil remains were also recovered, namely, two Neanderthal teeth from 94 Level II and a humerus from Level VIII, provisionally dated to MIS 6 (Arrizabalaga, et 95 al., 2005). In 1996, excavations were restarted by A. Arrizabalaga and M.J. Iriarte using 96 updated methodology (Arrizabalaga, 2006, 2005), in order to provide a better context 97 for the human fossils and other finds. The new research has been carried out on the 98 southern side of the classic deposit and in the neighbouring cave of Lezetxiki II. This 99 latter site has provided a *ca*. 3 m deep sedimentary sequence (Levels A-K) and is 100 physically connected with Lezetxiki precisely in the place where the human humerus

| 101        | was found, providing its probable stratigraphic context. Scarce archaeological remains       |
|------------|--|
| 102        | have been recorded in both caves, mainly rough flakes made of raw materials other than       |
| 103        | flint, i.e., limonite, quartzite, lutite, vulcanite and even limestone (Arrizabalaga, 2005). |
| 104        |  |
| 105<br>106 | (INSERT FIGURE 1 HERE)   |
| 107        | On the contrary, the mammal materials from this locality include the first fossil record     |
| 108        | in the Cantabrian region of Allocricetus bursae and the south-westernmost record of          |
| 109        | Sicista betulina in Eurasia (Rofes et al., 2012), as well as the first fossil remain of      |
| 110        | Macaca sylvanus of the Cantabrian region, which is one of the most recent macaques           |
| 111        | across Europe (Castaños et al., 2011). The first record of wolverine (Gulo gulo) in the      |
| 112        | Iberian Peninsula also came from Lezetxiki (Altuna, 1973).                                   |
| 113        |  |
| 114        | A Th/U date of 74 ka BP (Falguerès et al., 2006), obtained for a speleothem located          |
| 115        | about 100 cm above the position of the Muscardinus molars, is the primary reference to       |
| 116        | interpret the geochronology of the infill at Lezetxiki II. Consequently, the Muscardinus     |
| 117        | remains from Level G have a minimum date of the very last phase of MIS 5. This result        |
| 118        | is in accordance with the sedimentological analysis carried out by Arriolabengoa et al.      |
| 119        | (2014), which specifies that the variations in the sediment composition between levels       |
| 120        | with a predominance of allochthonous and autochthonous materials may result from             |
| 121        | repeated environmental changes in the interestadials that characterized MIS 5.               |
| 122        |  |
| 123        | The preliminary results of the palynological study have not provided information about       |
| 124        | the vegetation in this period, due to poor spore and pollen conservation. In addition,       |
| 125        | reference deposits for MIS 5 in northern Spain are located in the western coastal area       |
| 126        | (Gómez-Orellana, 2002; Gómez-Orellana et al., 2007), and are lacustrine deposits with        |

| 127        | very different biogeographical characteristics from Lezetxiki II. Even so, there is no     |
|------------|--|
| 128        | complete picture of the events in this isotope stage, only of certain times in Phases 5c   |
| 129        | (Longa Area, Galicia) and 5a (La Franca, Asturias). Both temperate phases are              |
| 130        | characterised by extensive forest cover (arboreal pollen >60%), with such mesophilic       |
| 131        | and thermophilic species as Quercus robur tp., Corylus, Betula and Fagus, as well as       |
| 132        | Carpinus and Myrica. In the periods of climate deterioration (MIS 5d and 5b) identified    |
| 133        | in other records in the southern Europe, the herbaceous-shrub species spread to the        |
| 134        | detriment of woodland (Pons and Reille, 1988; Burjachs i Casas, 1990).                     |
| 135        |  |
| 136        | The faunal association from the studied level is given in Table 1. Due to the scarcity and |
| 137        | lack of identifiable remains among the large mammal association (25 identifiable           |
| 138        | remains from 134 NR) they cannot be used as a palaeoclimate indicator (Villaluenga et      |
| 139        | al., 2012). In contrast, the small vertebrate assemblage comprises at least 21 taxa,       |
| 140        | suggesting a patchy landscape dominated by wet meadows and woodland areas, with            |
| 141        | the presence of water sources in the vicinity of the cave.                                 |
| 142        |  |
| 143<br>144 | (INSERT TABLE 1 HERE)  |
| 145        | 3. Material and methods  |
| 146        |  |
| 147        | Level G at Lezetxiki II, at the entrance of the cave, yielded the Muscardinus molars at a  |
| 148        | depth of approximately -225cm below the current cave datum level. The sediment from        |
| 149        | at least 0.3 $m^2$ of all the stratigraphic units in the M13 quadrant was extracted during |
| 150        | sampling for small vertebrate remains. The sediment was water-screened using a stack       |
| 151        | of sieves of decreasing mesh size (4 mm-0.5 mm). Subsequently, the fossils were            |

152 separated from the concentrates, classified and studied with a stereoscopic microscope153 (Nikon SMZ-U).

154

155 The specific assignment of the fossil remains to Muscardinus avellanarius was based on 156 diverse bibliographic support (Couzi, 2011; Rolland, 2008). For the nomenclature used 157 in the description of the teeth (see Figure S1 in the Supplementary Online Information), 158 we follow Daams (1981). The orientation of the specimens is as defined by García-Alix 159 et al. (2008), and the method of counting series of parallel ridges, from front to back 160 was performed after van den Hoek Ostende (2003). The taxonomic classification 161 follows Wilson and Reeder (2005). Measurements were taken as defined by Daams 162 (1981) (see Figure S1 in the Supplementary Online Information) using a stereoscopic 163 microscope (Nikon SMZ-U) connected to a video camera (DS-5 m). Scanning electron 164 microscopy was performed using a JEOL JSM-5400 Scanning Electron Microscope 165 (SEM) at the University of the Basque Country (EHU/UPV), which belongs to the 166 General Research Services (SGIKER). 167 168 Bivariate comparative analyses were performed by plotting the length against the width 169 of several recent and Pleistocene hazel dormice dimensions (see Table S1 in the 170 Supplementary Online Information). We have also used univariate plots for better 171 characterisation of the incomplete left M2 from Lezetxiki II. Statistical analyses and 172 graphics were performed in the R statistical environment (R Development Core Team, 173 2014). 174

175 **4. Systematic Palaeontology** 

| 177        | Order RODENTIA Bowdich, 1821   |
|------------|--|
| 178        | Family GLIRIDAE Muirhead, 1819   |
| 179        | Subfamily LEITHIINAE Lydekker, 1895  |
| 180        | Genus Muscardinus Kaup, 1829   |
| 181        | Muscardinus avellanarius Linnaeus, 1758  |
| 182        | (Fig. 2)   |
| 183        |  |
| 184<br>185 | (INSERT FIGURE 2 HERE)   |
| 186        | Stratigraphic range of the genus   |
| 187        | From the Middle Miocene (European Mammal Neogene Zone 6, MN6; Serravallian) to             |
| 188        | the present day.   |
| 189        |  |
| 190        | Studied Locality and Horizon   |
| 191        | Lezetxiki II (Arrasate, Basque Country, North Iberian Peninsula); Basque-Cantabrian        |
| 192        | Basin, early Late Pleistocene (MIS 5)  |
| 193        |  |
| 194        | Material and Measurements  |
| 195        | Measurements (length x width) are given in 0.1 millimetres units. See Table 2              |
| 196        |  |
| 197<br>198 | (INSERT TABLE 2 HERE)  |
| 199        | Distribution: For the geographic distribution in the Iberian Peninsula since the Miocene   |
| 200        | (with a few references for the Pliocene) see Fig. 3, with a detailed list of sites and     |
| 201        | countries in Table 3. Fig. 4 and Table 4 are dedicated to the distribution of the genus in |
| 202        | the European subcontinent during the Early, Middle and Late Pleistocene.                   |

# 204 4.1 Description of the material

205

| 206 | M1: the degree of wear does not allow a precise interpretation. The molar, with a flat          |
|-----|---|
| 207 | occlusal surface, is much longer than wide. There are five transverse enamel crests,            |
| 208 | separated by broad valleys. It is not possible to confirm if the ridges are lingually           |
| 209 | connected by an endoloph or not. No accessory ridges have been identified. The                  |
| 210 | anteroloph and protoloph (first and second ridges respectively) are straight, oblique,          |
| 211 | lingually more backward than labially. The valley between the protoloph and mesoloph            |
| 212 | is wide, but it narrows towards the labial side. The metaloph and posteroloph are               |
| 213 | approximately parallel to the axis of the tooth.  |
| 214 |   |
| 215 | M2: The dental pattern of this molar is difficult to observe due to the poor preservation       |
| 216 | of the teeth, which are fragmented. The outline of the occlusal surface is sub-square,          |
| 217 | with seven narrow transverse low ridges. The ridges, longitudinally lingually connected         |
| 218 | by an endoloph, are approximately straight. The third ridge is interrupted in both              |
| 219 | specimens.  |
| 220 |   |
| 221 | 5. Palaeogeographical evolution in Europe   |
| 222 |   |
| 223 | The current distribution of dormice in Europe, including <i>M. avellanarius</i> , is well-known |
| 224 | from the literature, including studies in Croatia (Tvrtković et al., 1994), Czech Republic      |
| 225 | (Anděra, 1994), Denmark (Vilhelmsen, 2003), Germany (Büchner, 2007), Great Britain              |
| 226 | (Bright et al., 1996), Hungary (Hecker et al., 2003), Italy (Amori et al., 1994), Lithuania     |

227 (Juškaitis, 2003) and the Netherlands (Foppen et al., 2002), among others. In contrast,

228 the distribution in the Pleistocene is limited to what can be inferred from isolated 229 reports and major site compilations (e.g. Jánossy, 1986; Kowalski, 2001; Marcolini, 230 2003; Nadachowski, 1990; Socha, 2014; Terzea, 1994). No detailed analysis of the 231 palaeogeography of the genus has been carried out. 232 233 In addition, the origin of the genus remains unclear. While Daams and De Bruijn (1995) 234 proposed *Glirudinus* as the ancestor of *Muscardinus*, Aguilar and Lazzari (2006) 235 considered that *Muscardinus* migrated from Iberian Peninsula to Europe at the 236 MN4/MN5 transition (García-Alix et al., 2008). From the Late Miocene onwards, 237 diverse lineages and species emerge in Europe. The *Pentaglis*, named by Kretzoi (1943) 238 and *Eomuscardinus*, the new subgenus of *Muscardinus* described by Hartenberg in 239 1966, were synonymized with Muscardinus by Daams and Bruijn (1995). Muscardinus 240 avellanarius is the only extant representative of the genus (Mammalia, Rodentia, 241 Gliridae). 242

243 The first record of the genus (Daams, 1985), namely Muscardinus thaleri, is from the 244 Middle Miocene (MN4B) in Spain. Nevertheless, according to Aguilar and Lazzari 245 (2006) the first reliable evidence of *Muscardinus* genus comes from MN4/5 at 246 Blanquatère 1, described as *Muscardinus sansaniensis* (Lartet, 1851). Freudenthal and 247 Martín-Suárez (2013b) object to this and consider that "the fauna (of Blanquetère 1) is a 248 mixture of various ages and that the genus does not appear before MN6". During the 249 Miocene the genus presents a restricted distribution in the south of the Iberian Peninsula 250 (Fig. 3), without ever going further north than the Ebro Basin (Tarazona-3; Álvarez-251 Sierra et al., 2006).

| 253 |  |
|-----|--|
| 254 |  |
| 255 |  |
| 256 |  |

| 250 |   |
|-----|---|
| 257 | The dormice underwent a significant radiation from the Iberian Peninsula during the         |
| 258 | Miocene (i.e., in the early Vallesian, Late Miocene according to Agustí, 1990), probably    |
| 259 | favoured by the warm climates of the epoch. Thereby, the genus was represented by $M$ .     |
| 260 | thaleri in Spain and M. sansaniensis in France and Central Europe (Daams and De             |
| 261 | Bruijn, 1995).  |
| 262 |   |
| 263 | In this context, according to published data (Sesé, 2006), dormice suffered a drastic       |
| 264 | reduction in the Catalan basins during the Upper Vallesian (MN 10), with only two           |
| 265 | genera surviving: Muscardinus and Glis. In the inland basins of the Iberian Peninsula,      |
| 266 | the only survivors of the loss of diversity during the Turolian (MN11-13) were              |
| 267 | Muscardinus and Eliomys. A late Miocene insular endemism, Muscardinus cyclopaeus,           |
| 268 | has been described on the island of Menorca (Agustí, 1990). Although the survival of        |
| 269 | the genus in the Iberian Peninsula during the Lower Pliocene should be corroborated by      |
| 270 | a more extended fossil assemblage, the latest fossil records attributed to the genus in the |
| 271 | Iberian Peninsula are from the earliest Ruscinian (MN 14-15; Lower Pliocene) of             |
| 272 | Cantera de Pulianas Purcal-4 (where a new species, Muscardinus meridionalis was             |
| 273 | described; García-Alix et al., 2008), Cañada del Castaño (as Muscardinus cf.                |
| 274 | pliocaenicus; Agustí and Martín-Suárez, 1984) and Campredó (as Muscardinus aff.             |
| 275 | vireti; Agustí et al., 1983). Since then, neither living nor fossil hazel dormice have been |
| 276 | recorded in the Iberian Peninsula, and therefore the species probably became locally        |
| 277 | extinct.  |
| 278 |   |

| 279               | As regards the oldest reliable European finds of hazel dormouse, and in the absence of a  |
|-------------------|---|
| 280               | consensus of scholars as to whether Muscardinus dacicus is a synonym of Muscardinus       |
| 281               | avellanarius (as proposed by Kowalski, 2001), we accept that they come from the Early     |
| 282               | Biharian (1.8-1.5 ma) of Kadzielnia-1 (Nadachowski, 1990a). In fact, during the Early     |
| 283               | Pleistocene the genus is concentrated in Eastern Europe (Fig.4A), although this lack of   |
| 284               | evidence could be more apparent than real. One of the few Mediterranean sites (Rivoli     |
| 285               | Veronese; Kotsakis et al. 2003) provided the single record of Muscardinus pliocaenicus    |
| 286               | in this period. The western limit of the expansion of the genus is marked by Vallonet     |
| 287               | (Maul, 1990), the single Early Pleistocene locality in France with remains of the genus.  |
| 288               | Meanwhile, the eastern range reached the sites of Chiscau and Subpiatra, both in Bihor    |
| 289               | Department, in Rumania (Kowalski, 2001; Maul, 1990).                                      |
| 290               |   |
| 291<br>292<br>293 | (INSERT FIGURE 4 HERE)<br>(INSERT TABLE 4 HERE)   |
| 294               |   |
| 295               | The situation during the Middle Pleistocene is quite different (Fig. 4B). The number of   |
| 296               | records decreases notably, from the 37 mentions in the previous period to the current 26. |
| 297               | At the same time, the genus presents a wider distribution, expanding through central and  |
| 298               | southern Europe, although never further west than Charente Department (Fontéchevade       |
| 299               | site; Chaline, 1972). This is when the genus reaches its maximum limit of expansion       |
| 300               | eastwards, with the fossils from a cave in the Párului, in Rumania (Kowalski, 2001).      |
| 301               | Furthermore, the only finds of the genus in the United Kingdom are from the Boxgrove      |
| 302               | and Westbury-sub-Mendip sites, which are also the northernmost records for the genus.     |
| 303               | This time witnessed the extinction of other species in the genus except Muscardinus       |

*avellanarius*, with a last record of *Muscardinus dadicus malvensis* from the site of Cave
10 in the Lupsa Valley (Kowalski, 2001).

| 307 | According to the data, there is an underlying assumption that during the Late                 |
|-----|---|
| 308 | Pleistocene the species distribution suffered a latitudinal decline to below the $50^{\circ}$ |
| 309 | parallel (Fig. 4C), only surpassed by the mention of Muscardinus avellanarius from            |
| 310 | Pisede, in Germany (Kowalski, 2001). The increase in the number of Italian references         |
| 311 | for this period is remarkable, with the only Greek fossil from Loutraki Bear-cave             |
| 312 | (Chatzopoulou, K., 2001). There is a record of Muscardinus malatestai at the Italian site     |
| 313 | of Grotta Vascio 'o Funno (Kotsakis, 2003), considered the descendant of Muscardinus          |
| 314 | avellanarius. However it should be treated with caution given that it is currently not an     |
| 315 | accepted species. The northernmost limit of hazel dormouse during the Late Pleistocene        |
| 316 | is Pisede; the southernmost, Cipolliane Cave (Kowalski, 2001); the westernmost,               |
| 317 | Lezetxiki II (this paper) and the easternmost Bacho Kiro (Kowalski and Nadachowski,           |
| 318 | 1982).  |
| 319 |   |
| 320 | Nowadays, M. avellanarius is a widespread species, with a range that extends from             |
| 321 | Europe to northern Asia Minor, although in continental Europe it is absent from Iberia,       |
| 322 | south-west France, and northern parts of Fennoscandia and Russia (Amori et al., 2008).        |
| 323 |   |
| 324 | 6. Palaeoecological implications  |
| 325 |   |
| 326 | The hazel dormouse, traditionally associated with hazel, occurs in a broad range of           |
| 327 | wooded habitats. Even if the majority of hazel dormouse sites include hazel, the forest       |
| 328 | composition is different in various parts of their geographic range depending on latitude     |

and altitude; hence they can sometimes be found among other tree species, even

330 conifers. Except for hibernation, they rarely descend to the ground and are reluctant to

331 cross open spaces, surely due to the danger posed by owls and other predators (Bright et

al., 1996). Even so, *Muscardinus avellanarius* can be regarded as a "woodland edge"

animal, while they prefer to live in shrub layers full of undergrowth. A continuous shrub

layer is ideal, especially where there are a few larger canopy trees. Its presence is

indicative of temperate climate and humid forested environments.

336

337 From a palaeoecological point of view, the presence of the hazel dormouse in Level G

338 in Lezetxiki II Cave, together with the abundance of rodent species indicative of

339 deciduous forest, warmer and moist environments (such as Apodemus sylvaticus-

340 *flavicollis, Eliomys quercinus, Pliomys lenki* and *Clethrionomys glareolus*) and the

341 absence of strictly cold species, suggest a landscape of deciduous forest with the

342 presence of open areas, and mild climatic conditions.

343

344 Some characteristics in common with the record from Lezetxiki II can be found at the

345 French site of Baume Mola-Guercy (Defleur et al., 2001). The levels corresponding to

the MIS 5 present a similar small mammal assemblage, with a high percentage of forestspecies.

348

## 349 7. Discussion

350

351 Dormice are characterized by having brachydont cheek teeth, with quite low crowns and 352 well-developed roots. The dental crown pattern consists of a set of varying numbers of 353 primary and secondary transverse ridges of enamel on the occlusal surface (lophodont 354 dentition). The position, length and number of these ridges enable the classification of 355 the species. It is also possible to distinguish between upper and lower teeth. In the upper 356 teeth the hind wall of the ridges slopes gently down and has transverse grooves while 357 the front slope is steep and smooth (García-Alix et al. 2008). 358 359 The teeth from Lezetxiki II Cave resemble those in various recent and Pleistocene 360 samples in overall size and morphology. In absolute terms, the width of the first upper 361 molar is below the range of variation of most of the comparative samples (Fig. 5a), and 362 is especially narrower than the mean value of 26 M1 from the Upper Pleistocene site of 363 Arma delle Manie (Paunescu, 2001). However, it is within the range of variation of the 364 Late Biharian (Calabrian) hazel dormouse remains from Kozi Grzbiet (Hoek Ostende, 365 2003). 366 (INSERT FIGURE 5 HERE) 367 368 In the case of the bivariate analysis of M2, we can only take into account the right M2 369 from Lezetxiki II (Figure 5b) due to the incompleteness of the one from the left side. 370 Even if the assemblage of recent Muscardinus avellanarius from France (MNHN) also 371 presents comparable values regarding the length, the most similar specimens are those 372 from the Lower Pleistocene at Kozi Grzbiet. The width is below the range of variation 373 of most of the samples except for the Lower Pleistocene specimens at Kozi Grzbiet. 374 375 The results of the univariate analysis of the two M2 lengths and their comparison are 376 shown in Fig. 6. The most remarkable feature of the left M2 is its short length, while the 377 incompleteness of the tooth means that it cannot be determined whether a similar 378 pattern is observed in the width. In the latter case, the values are quite close to the 379 minimum rate obtained from the MNHN assemblage. Right M2, in contrast, is close to

| 380        | the mean of the modern samples and the fossil sample from Kozi Grzbiet, but its value   |
|------------|---|
| 381        | is below the range of variation of the Upper Pleistocene sample from Arma delle Manie   |
| 382<br>383 | (INSERT FIGURE 6 HERE)  |
| 384        | This noticeable difference between the right and left M2 at Lezetxiki II, which exceeds |
| 385        | the range of variation of any of the comparative samples, could have various            |
| 386        | explanations:   |
| 387        |   |
| 388        | • The first is a probable sexual dimorphism, although no differences have been          |
| 389        | found between modern hazel dormouse sexes with respect to the presence and              |
| 390        | morphology of the bones.  |
| 391        | • The second interpretation is based on the possibility that the left M2 belonged to    |
| 392        | an abnormally small individual, maybe pathological. This option seems                   |
| 393        | improbable due to lack of morphological differences identified in the tooth.            |
| 394        | • Another conceivable scenario is that the M2 presented in this work belongs to a       |
| 395        | subadult. One year-old dormice are larger in size than two to three months-old          |
| 396        | individuals (Juškaitis and Büchner, 2013).  |
| 397        | • Finally, the justification could be biogeographical. This last supposition is based   |
| 398        | on Bergmann's rule: in a warm-blooded animal species having distinct                    |
| 399        | geographic populations, individuals of larger size are found in colder                  |
| 400        | environments, while animals of the same species living in warm climates are of          |
| 401        | smaller size. According to some recent research, the validity of this tendency in       |
| 402        | small mammals must be considered with caution (Ashton et al., 2000; Meiri and           |
| 403        | Dayan, 2003).   |
|            |   |

| 405 | It is also remarkable that the width of the Lezetxiki specimens is similar to the Lower    |
|-----|--|
| 406 | Pleistocene samples from Kozi and very different from the Upper Pleistocene samples        |
| 407 | from Arma delle Manie. Besides the aforementioned biogeographical explanation,             |
| 408 | another factor that may account for these differences is the chronology. It is known that  |
| 409 | the phylogenetic evolution of the Muscardinus lineage is expressed by a size increase      |
| 410 | and by a loss in the number of ridges in the cheek teeth (García-Alix et al., 2008),       |
| 411 | although once again, this tendency would not explain the pattern presented by the          |
| 412 | Lezetxiki II teeth.  |
| 413 |  |
| 414 | 8. Conclusion  |
| 415 |  |
| 416 | The discovery of a hazel dormouse (Muscardinus avellanarius) is reported. One first        |
| 417 | upper molar and two second upper molars from the early Late Pleistocene site of            |
| 418 | Lezetxiki II (Arrasate, Basque Country, Spain) are described, indisputably attributed to   |
| 419 | <i>M. avellanarius</i> on the basis of diagnostic morphological and morphometric features. |
| 420 |  |
| 421 | The genus Muscardinus was reported in the fossil record of the Iberian Peninsula during    |
| 422 | the Miocene, probably becoming locally extinct after the Lower Pleistocene. Therefore      |
| 423 | these remains, represent the first Quaternary record in the Iberian Peninsula, and         |
| 424 | constitute the westernmost reference of the genus in the Eurasian continent, expanding     |
| 425 | its range of distribution beyond the Pyrenees.   |
| 426 |  |
| 427 | Concerning the climate conditions during deposition in Lezetxiki II, the presence of       |
| 428 | hazel dormouse would be hypothetically linked to warm and humid conditions                 |
| 429 | associated with an interstadial period of MIS 5. Today, hazel dormouse inhabits a wide     |

430 variety of woody environments with a substantial shrub layer. A similar landscape431 composition is inferred by the small vertebrate association.

432

The criteria of dental pattern suggest that the teeth are within the range of variation of known Pleistocene and current species. However, the most complete dormouse teeth from Lezetxiki can be described as narrow when compared to modern comparative samples but within the range of variation in the Kozi Grzbiet Lower Pleistocene specimens.

438

439 The occurrence of a new faunal element during the Late Pleistocene at Lezetxiki II,

added to the previous discoveries, supports the existence of connections between

441 western Iberia and the rest of Europe during the Pleistocene. Correspondingly, the idea

442 of the existence of geographical barriers that hindered the interchange of mammal

443 groups, including humans, must be dismissed.

444

#### 445 Acknowledgments

446

We would like to thank A. Gómez-Olivencia for his useful insights that improved this paper and Peter Smith for his assistance with the English editing. The technical and human support provided by SGIker (UPV/EHU) is also gratefully acknowledged. N. Garcia-Ibaibarriaga has a predoctoral fellowship (BFI-2010-289) from the Basque Government, while J. Rofes has a Marie Curie Action Project (MCA-IEF Project No. 629604) from the European Commission. The archaeological work in Lezetxiki was funded by Aranzadi Science Society, Gipuzkoako Foru Aldundia, the Municipality of

| 454 | Arrasate and Kobate | Quarry. | We also | received | financial | support | from t | he | Researc | ch |
|-----|---------------------|---------|---------|----------|-----------|---------|--------|----|---------|----|
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455 teams GIU12/35 and IT-622-13 at the Universidad del País Vasco UPV-EHU.

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# Table 1

Vertebrate faunal list from Level G in Lezetxiki II cave (Arrasate, Basque Country, northern Iberian Peninsula).

| Artiodactyla          | Rodentia                       | Erinaceomorpha          | Anura                   |
|-----------------------|--------------------------------|-------------------------|-------------------------|
| Bovidae               | Apodemussylvaticus-flavicollis | Erinaceus europaeus     | <i>Bufo</i> sp.         |
|                       | Muscardinus avellanarius       |                         | Rana temporaria-iberica |
| Carnivora             | Eliomys quercinus              | Eulipotyphla            | Salamandra salamandra   |
| Ursus spelaeus        | Arvicola amphibius             | <i>Talpa</i> sp.        |                         |
|                       | Microtus agrestis              | Sorex araneus-coronatus | Squamata                |
| Lagomorpha            | Microtus arvalis               | Crocidura sp.           | Anguis fragilis         |
| Oryctolagus cuniculus | Microtus (Terricola) sp.       |                         | Colubridae indet.       |
|                       | Clethrionomys glareolus        |                         | Viperidae indet.        |
| Chiroptera            | Pliomys lenki                  |                         |                         |
| Chiroptera indet.     |                                |                         |                         |

Data source: artiodactyls and carnivores (Villaluenga et al., 2012); small vertebrates (this paper)

# Table 2

Measurements (in mm) of the teeth of Muscardinus avellanarius Linnaeus, 1758 from Lezetxiki II Cave (Arrasate, Basque Country, northern

## Iberian Peninsula)

| Dental element | Lenght | ,    | Width |
|----------------|--------|------|-------|
| right M1       |        | 18,2 | 11,4  |
| right M2       |        | 13,3 | 11,9  |
| left M2        |        | 10,5 |       |

# Table 3

Detailed list of Iberian locations with different Muscardinus species during the Miocene and Pliocene.

| Number | Site                            | Species                        | Number | Site                          | Species                        |
|--------|---------------------------------|--------------------------------|--------|-------------------------------|--------------------------------|
| 1      | Canteras de Jun                 | Muscardinus cf. vireti         | 17     | Castell de Barbera            | 1 Muscardinus cf. vallesiensis |
| 2      | PUR-24A                         | Muscardinus meridionalis       | 17     | Castell de Barbera            | 2 Muscardinus hispanicus       |
| 2      | PUR-4*                          | Muscardinus meridionalis       | 18     | Pedregueras IIC               | 2 Muscardinus hispanicus       |
| 3      | Cañada del Castaño*             | Muscardinus cf. pliocaenicus   | 19     | Can Almirall                  | Muscardinus hispanicus         |
| 4      | Moreda 1*                       | Muscardinus sp.                | 20     | Can Missert                   | Muscardinus hispanicus         |
| 5      | Concud 3                        | Muscardinus aff. hispanicus    | 21     | Els Casots                    | Muscardinus sp.                |
| 6      | Masía de la Roma 6, 7, 9,<br>11 | Muscardinus hispanicus         | 22     | T. N. & S.2 Au                | Muscardinus sp.                |
| 7      | Masía del Barbo A, B            | Muscardinus hispanicus         | 23     | Can Petit                     | 2 Muscardinus hispanicus       |
| 8      | Peralejos 4                     | Muscardinus hispanicus         | 24     | Can Vilella                   | Muscardinus vireti             |
| 9      | Puente Minero 2, 8              | Muscardinus hispanicus         | 25     | CR-2, 4B, 6, 8, 14, 15,<br>17 | <i>Muscardinus</i> sp.         |
| 10     | Armantes 14                     | Muscardinus thaleri            | 26     | Juan Vich 2                   | Muscardinus cf. thaleri        |
| 11     | Carrilanga 1                    | Muscardinus hispanicus         | 27     | La Hornera                    | Muscardinus vireti             |
| 12     | Manchones                       | Muscardinus thaleri            | 28     | Tarazona 3                    | Muscardinus sp.                |
| 13     | Abocador de Can Mata            | Muscardinus sansaniensis       | 29     | Sant Quirze                   | Muscardinus hispanicus         |
| 14     | Barranc de Can Vila 1           | Muscardinus sansaniensis       | 30     | Belmonte                      | Muscardinus sp.                |
| 15     | Can Llobaretes                  | 1 Muscardinus cf. vallesiensis | 31     | Campredó                      | Muscardinus aff. vireti        |
| 15     | Can Llobaretes                  | 2 Muscardinus hispanicus       | 32     | Cala Es Pou                   | Muscardinus cyclopeus          |
| 16     | Can Ponsic I                    | 2 Muscardinus hispanicus       |        |                               |                                |

<sup>1</sup>Originally defined as *Eomuscardinus* cf. *vallesiensis*; <sup>2</sup>Originally defined as *Muscardinus crusafonti*; \*Pliocene site. The data were taken from Aguilar et al. (1979), Agustí (1990), Agustí et al. (2005, 1979), Alba et al. (2006), Álvarez-Sierra et al. (2006), Casanovas-Vilar et al. (2011), Daams (1985), García-Alix et al. (2008), Martín-Suárez and Freudenthal (1998), Ruiz-Sánchez et al. (2011), Sesé (2003), Van Dam et al. (2001). Abbreviations: CR, Crevillente; PUR, Canteras de Pulianas Purcal; T. N. & S.2 Au, Trinchera Norte & S.2 Autopista.

# Table 4

Detailed list of European locations with different Muscardinus species during the Early, Middle and Late Pleistocene.

| Stage                | Number | Country   | Site                            | Species                         | Stage                 | Number | Country | Site                           | Species                          |
|----------------------|--------|-----------|---------------------------------|---------------------------------|-----------------------|--------|---------|--------------------------------|----------------------------------|
| Early<br>Pleistocene | 36     | Austria   | Deutsch<br>Altenburg 2C1,<br>4B | Muscardinus<br>dacicus          | Middle<br>Pleistocene | 75     | Italy   | Grotta Grande of<br>Scario     | Muscardinus avellanarius         |
|                      | 37     | Czech Rep | Chlum 6                         | Muscardinus sp.                 |                       | 76     | Poland  | Biśnik Cave                    | Muscardinus avellanarius         |
|                      | 38     | Czech Rep | Holštejn                        | Muscardinus sp.                 |                       | 77     | Romania | Cave 10 in the<br>Lupsa Valley | Muscardinus dacicus<br>malvensis |
|                      | 39     | Czech Rep | Koněprusy C718                  | Muscardinus sp.                 |                       | 77     | Romania | Cave 13 in the<br>Lupsa Valley | Muscardinus cf. avellanarius     |
|                      | 39     | Czech Rep | Koněprusy C718                  | Muscardinus<br>avellanarius     |                       | 78     | Romania | Cave in the<br>Párului Valley  | Pleistoceno Medio (Late)         |
|                      | 40     | Czech Rep | Skalka                          | Muscardinus<br>avellanarius     |                       | 79     | Romania | Magura V                       | Muscardinus avellanarius         |
|                      | 42     | France    | Vallonet                        | Muscardinus cf.<br>avellanarius |                       | 80     | Romania | Sîndominic-1                   | Muscardinus avellanarius         |
|                      | 33     | Germany   | Sackdilling                     | Muscardinus aff.                | Late                  | 83     | Austria | Nixloch                        | Muscardinus avellanarius         |

|    |            |                                 | avellanarius                    | Pleistocene |    |         |   |                          |
|----|------------|---------------------------------|---------------------------------|-------------|----|---------|---|--------------------------|
| 34 | Germany    | Scbernfeld                      | Muscardinus sp.                 |             | 84 | Bulgary | Bacho Kiro                              | Muscardinus avellanarius |
| 35 | Germany    | Schambach near<br>Treuchtlingen | Muscardinus sp.                 |             | 85 | Bulgary | Mecha Cave                              | Muscardinus avellanarius |
| 43 | Hungary    | Csarnóta locality<br>no. 2      | Muscardinus sp.                 |             | 86 | Bulgary | Temnata Cave-3                          | Muscardinus avellanarius |
| 44 | Hungary    | Kövesvárad                      | Muscardinus<br>dacicus          |             | 89 | France  | Combe-Grenal                            | Muscardinus avellanarius |
| 45 | Hungary    | Osztramos 2                     | Muscardinus cf.<br>dacicus      |             | 90 | France  | Baume de Gigny                          | Muscardinus avellanarius |
| 46 | Hungary    | Somssich-hegy 2                 | Muscardinus sp.                 |             | 91 | France  | Régourdou                               | Muscardinus avellanarius |
| 61 | Hungary    | Uppony Layer no.<br>10          | Muscardinus cf.<br>avellanarius |             | 92 | France  | Santenay                                | Muscardinus avellanarius |
| 47 | Italy      | Montagnola<br>Senese            | Muscardinus sp.                 |             | 81 | Germany | Genkingen-2                             | Muscardinus avellanarius |
| 48 | Italy      | Monte La Mesa                   | Muscardinus cf.<br>dacicus      |             | 82 | Germany | Pisede                                  | Muscardinus avellanarius |
| 49 | Italy      | Pirro Nord                      | Muscardinus cf.<br>avellanarius |             | 93 | Greece  | Loutraki Bear-<br>cave                  | Muscardinus sp.          |
| 50 | Italy      | Rivoli Veronese                 | Muscardinus<br>pliocaenicus     |             | 94 | Hungary | Porlyuk (layer 1)                       | Muscardinus avellanarius |
| 51 | Netherland | Tegelen                         | Muscardinus cf.<br>avellanarius |             | 95 | Hungary | Poroslyuk of<br>Ballavölgy (layer<br>3) | Muscardinus avellanarius |
| 52 | Netherland | Zuurland Faunas<br>7-9          | Muscardinus sp.                 |             | 96 | Hungary | Rejtek rock<br>shelter 1                | Muscardinus avellanarius |
| 53 | Poland     | Kadzielnia-1                    | Muscardinus cf.<br>avellanarius |             | 97 | Italy   | Arma del Manie                          | Muscardinus avellanarius |
| 54 | Poland     | Kielniki-3A                     | Muscardinus sp.                 |             | 98 | Italy   | Cipolliane Cave                         | Muscardinus sp.          |
| 55 | Poland     | Kozi Grzbiet                    | Muscardinus cf.<br>avellanarius |             | 99 | Italy   | Ferrovia Cave                           | Muscardinus sp.          |

|             | 56 | Poland   | Zabia Cave                                 | Muscardinus cf.<br>avellanarius        |
|-------------|----|----------|--|--|
|             | 57 | Poland   | Zalesiaki 1A                               | Muscardinus sp.                        |
|             | 58 | Romania  | Betfia IX                                  | Muscardinus sp.                        |
|             | 58 | Romania  | Betfia XIII, X, XI,<br>VII-1b-e, VII-3, II | Muscardinus<br>dacicus                 |
|             | 59 | Romania  | Chiscau                                    | Muscardinus sp.                        |
|             | 60 | Romania  | Subpiatra                                  | Muscardinus sp.                        |
|             | 41 | Slovakia | Včeláre 5                                  | Muscardinus sp.                        |
|             | 41 | Slovakia | Včeláre 6/3.7.8.9                          | Muscardinus cf.<br>avellanarius        |
| Middle      | 63 | Belgium  | La Belle-Roche<br>Cave                     | Muscardinus sp.                        |
| Pleistocene | 64 | Bulgary  | Morovitsa Cave                             | Muscardinus cf.<br><i>avellanarius</i> |
|             | 65 | France   | Baume Moula<br>Guercy (couche<br>XVII, XV) | Muscardinus<br>avellanarius            |
|             | 66 | France   | Fontéchevade                               | Muscardinus<br>avellanarius            |
|             | 67 | France   | Lazaret                                    | Muscardinus<br>avellanarius            |
|             | 68 | France   | Vergranne                                  | Muscardinus sp.                        |
|             | 72 | Germany  | Miesenheim I                               | Muscardinus<br>avellanarius            |
|             | 62 | Germany  | Sudmer-Berg-2                              | Muscardinus<br>avellanarius            |

| 100 | Italy  | Grota del Romito                | Muscardinus avellanarius |
|-----|--------|---------------------------------|--------------------------|
| 101 | Italy  | Grota Paglicci,<br>Inner levels | Muscardinus avellanarius |
| 102 | Italy  | Grotta "Vascio 'o<br>Funno"     | Muscardinus malatestai   |
| 103 | Italy  | Praia a Mare                    | Muscardinus avellanarius |
| 104 | Italy  | Grotta Cala                     | Muscardinus avellanarius |
| 105 | Italy  | Grotta del<br>Broion            | Muscardinus avellanarius |
| 106 | Italy  | Grotta della<br>Serratura       | Muscardinus avellanarius |
| 107 | Italy  | Grotta di<br>Castelcivita       | Muscardinus avellanarius |
| 108 | Italy  | Grotta Averla                   | Muscardinus avellanarius |
| 109 | Italy  | Riparo Mezzena                  | Muscardinus avellanarius |
| 110 | Italy  | Valdiporro                      | Muscardinus avellanarius |
| 76  | Poland | Biśnik Cave                     | Muscardinus avellanarius |
| 111 | Poland | Cioarei Cave                    | Muscardinus avellanarius |
| 112 | Poland | Hotilor Cave                    | Muscardinus avellanarius |
| 113 | Serbia | Baranica Pécina                 | Muscardinus avellanarius |
| 114 | Serbia | Gradašnica<br>Pécina            | Muscardinus avellanarius |
|     |        |                                 |                          |

| 69 | Great<br>Britain | Boxgrove                | Muscardinus<br>avellanarius     | 115 | Serbia      | Petnička Pécina        | Muscardinus avellanarius |
|----|------------------|-------------------------|---------------------------------|-----|-------------|------------------------|--------------------------|
| 70 | Great<br>Britain | Westbury-sub-<br>Mendip | Muscardinus<br>avellanarius     | 116 | Serbia      | Smolucka Pécina        | Muscardinus avellanarius |
| 71 | Hungary          | Tarko                   | Muscardinus cf.<br>avellanarius | 117 | Serbia      | Vasiljska Pécina       | Muscardinus avellanarius |
| 61 | Hungary          | Uppony (layer 6,<br>7)  | Muscardinus cf.<br>avellanarius | 118 | Serbia      | Vrelska Pećina<br>cave | Muscardinus avellanarius |
| 49 | Italy            | Pirro Nord              | Muscardinus cf.<br>avellanarius | 87  | Slovenia    | Črni kal 2             | Muscardinus sp.          |
| 73 | Italy            | Campani Cave            | Muscardinus<br>avellanarius     | 88  | Slovenia    | Potočka Zijalka        | Muscardinus avellanarius |
| 74 | Italy            | Fornace di<br>Cornedo   | Muscardinus<br>avellanarius     | 119 | Switzerland | Ettingen               | Muscardinus avellanarius |

The data were taken from Andrews (1990), Aguilar et al. (1998), Bertolini et al. (1996), Bogićević et al., (2011), Bon et al. (1991), Chaline (1983, 1972), Chaline et al. (1995), Chatzopoulou et al. (2001), Desclaux and Defleur (1997), Dimitrijević (1996), Gibbard et al. (1991), Hernández-Fernández (2000), Jánossy (1986), Kolfschoten (1990), Kotsakis (2003), Kotsakis et al. (2003), Kowalski (2001), Kowalski and Nadachowski (1982), Kunst (1989), López-García et al. (2014), Mais and Rabeder (1984), Marchetti et al. (2000), Marcolini (2003), Marković (2008), Marquet (1989), Maul (1990), Nadachowski (1990a, 1990b), Nadachowski et al. (2011), Paunescu (2001), Popov (1989), Radulescu and Samson (1985), Roebroeks et al. (1992), Ronchitelli et al. (2011), Socha (2014), Terzea (1994), Valensi and Abbassi (1998).

Figure 1 Click here to download high resolution image







![](_page_39_Figure_1.jpeg)

![](_page_40_Figure_1.jpeg)

![](_page_41_Figure_1.jpeg)

# Figure Legends

| 1  | Figure Legends   |
|----|--|
| 2  |  |
| 3  | Figure 1. Geographical location of Lezetxiki II Cave (Arrasate, Gipuzkoa, Spain) and     |
| 4  | the approximate location of other archaeological sites (Mdt 25 obtained from the IGN).   |
| 5  |  |
| 6  | Figure 2. Right first upper molar (M1), right second upper molar (M2) and left second    |
| 7  | upper molar (M2) of Muscardinus avellanarius from Lezetxiki II (Arrasate, Basque         |
| 8  | Country, Spain).   |
| 9  |  |
| 10 | Figure 3. Palaeogeographic distribution of the different species of Muscardinus in       |
| 11 | Spain during the Miocene and Pliocene. The data were taken from the same sources as      |
| 12 | in Table 3.  |
| 13 |  |
| 14 | Figure 4. Palaeogeographic distribution of the different species of Muscardinus in       |
| 15 | Europe during the Early (A), Middle (B) and Late (C) Pleistocene. The data were taken    |
| 16 | from the same sources as in Table 3.   |
| 17 |  |
| 18 | Figure 5. A and B. Bivariate analyses of the length and width values for the first and   |
| 19 | second upper molar of several Muscardinus avellanarius populations (fossil and           |
| 20 | modern), respectively. Variables are given in mm. For the row data and references see    |
| 21 | Table S1 in the Supplementary Online Information   |
| 22 |  |
| 23 | Figure 6. Univariate analysis of the length values for the second upper molar of several |
| 24 | Muscardinus avellanarius populations (fossil and modern). Variables are given in mm.     |
|    |  |

- 25 Lezetxiki L = Lezetxiki Left M2 and Lezetxiki R= Lezetxiki Right M2. For the row data
- 26 and references see Table S1 in the Supplementary Online Information

Supplementary Data Click here to download Supplementary Data: Supplementary information.docx