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#### 18 Abstract:

19 Infants born small for gestational age (SGA) are at increased risk of perinatal morbidity, persistent short stature, and metabolic alterations in later life. Moreover, the post-natal 20 growth pattern of SGA infants may be an important contributor to health outcomes later 21 in life, which can be influenced by adipokines. The aims of this study were to compare 22 plasma adipokine profiles (leptin, adiponectin, vaspin, chemerin, and nephroblastoma 23 overexpressed (NOV)) among SGA newborns aged 3 months, with low, normal or high 24 25 catch-up, to search for potential differences between males and females and to analyze the evolution of several adipokines in plasma from SGA newborns between 3 and 24 26 months. This prospective, longitudinal study was addressed in SGA Caucasian subjects 27 at Hospital Universitario de Álava-Txagorritxu. We observed that infants with fast 28 catch-up showed significantly lower birth weight than the other two groups. As far as 29 adipokines are concerned, they could have an influence on catch-up type because 30 differences among the three experimental groups were found. It may be proposed that 31 health prognoses in infants with slow and fast catch-up are opposite, not only in 32 33 adulthood but also during their first months. Finally, adipokine evolution patterns during the first 24 months of age differ, depending on the adipokine, and 24 month-old 34 males show lower levels of leptin, adiponectin and omentin than females. 35

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37 Keywords: SGA; catch-up; leptin; adiponectin; omentin; chemerin; vaspin; NOV

#### 39 Introduction

Small for gestational age (SGA) status is characterized by a small birth length and/or 40 birth weight with respect to reference curves, and can be induced by a wide variety of 41 42 factors, such as poor nutrition, chronic disease and infections, as well as potential 43 environmental toxins (e.g., smoking and alcohol consumption) in the mother [1-3]. SGA infants are at increased risk of perinatal morbidity, persistent short stature, and metabolic 44 alterations, such as dyslipidemia, type 2 diabetes, insulin-resistance, obesity or arterial 45 46 hypertension, in adulthood. Usually, from 6 months to 24 months after birth, catch-up growth occurs in a spontaneous way and 85% of SGA children have reached normal 47 weight by the age of 24 months [4]. Although metabolic syndrome could be developed 48 49 even with catch-up growth, improvements in the immune system and neurodevelopment, as well as normal adult height, have been demonstrated in this situation [5]. 50

A key factor that has been shown to have a direct relationship with catch-up growth 51 in SGA children is the level of circulating adipokines. These molecules are defined as cell 52 53 signaling proteins secreted by adipose tissue, which nowadays are known to have a clear endocrine function [6]. They act on several regulation processes, such as bone formation, 54 55 immune system or overall metabolism. Interestingly, some adipokines act like a signal to the central nervous system, contributing to subject adaptation in the first years of life 56 through modification of energy resource management. All these changes are shown to be 57 strongly associated with the length and weight, thus acting on the catch up of the newborn 58 [7]. 59

One of the most frequently studied adipokines is leptin, which is associated with hepatic insulin sensitivity, through its role in energy homeostasis, as well as acting in tissues such as liver or pancreatic cells [8]. Another well-known adipokine is adiponectin, which is down-regulated in obese subjects, being inversely correlated with body mass index (BMI) [9]. It plays an important role in glucose homeostasis[10]. Regarding the
SGA population, studies in pre-pubertal children have shown that low adiponectin serum
levels can interfere with insulin sensitivity and can also promote a pathogenic lipid profile
[11].

In addition, there are more recently discovered adipokines that have not been studied 68 in depth to date, especially in terms of their relationship with the SGA children's growth. 69 70 One example is chemerin, whose circulating concentration has been related to higher BMI 71 values and development of metabolic syndrome [12, 13]. In cord blood, this adipokine is associated with birth weight and fetal growth, underlining its importance in processes like 72 myogenesis, adipogenesis or energy balance [14]. Another relevant molecule is vaspin, 73 74 which has beneficial effects on obesity and the low-grade inflammation associated. In 75 addition, it could protect against the development of insulin resistance and metabolic 76 syndrome and is also able to reduce food intake [15, 16]. An experiment with cord blood 77 did not find an association between vaspin and birth weight in SGA children [17]. Omentin is mainly expressed in visceral adipose tissue and it mediates glucose uptake by 78 adipocytes, being inversely proportional to insulin resistance and other risk factors 79 associated with obesity [18]. Taking into account that SGA patients can develop 80 81 metabolic syndrome, another relevant newly discovered adipokine is nephroblastoma 82 overexpressed (NOV-CCN3). Plasma concentrations of this molecule are associated with fat mass and BMI. Influence of this adipokine on obesity-mediated inflammation has been 83 suggested [19]. 84

The first aim of this study was to compare plasma adipokine profiles (leptin, adiponectin, vaspin, chemerin, and NOV) at 3 months old among SGA newborns with slow, normal or fast catch-up. Another aim was to look for potential differences between males and females. Finally, the evolution of several adipokines in plasma from SGA
newborns from 3 months to 24 months was analyzed.

#### 90 Materials and Methods

91 Subjects

This prospective, longitudinal study addressed 27 SGA caucasian subjects with 92 93 gestational ages ranging from 33 to 41 weeks, birth weights ranging from 1100 to 2660 94 grams and birth lengths ranging from 37 to 53 centimeters. All births took place at Hospital Universitario de Álava-Txagorritxu (HUA) in the period June 2013-March 2015. 95 96 The selected subjects were infants defined as SGA at birth, that is having birth weight or birth length below 2 standard deviations (SD) of the Spanish standard birth weight/length 97 curves [20]. Exclusion criteria were non-caucasian children, children from multiple 98 pregnancies, evidence of malformations or children with severe genetic malformations or 99 children who had died during the first 24 hours of life. The mothers of the infants enrolled 100 confirmed the normal course of the pregnancy, without any drug administration or 101 pregnancy complications. 102

Gestational age was measured by dating the last menstrual period at the time of registration. Birth weight and birth length were obtained immediately after delivery using a standard electrical scale and an infantometer. Visits at 3, 12 and 24 months were scheduled. Information concerning breast feeding or formula feeding was recorded. The protocol was approved by the Maternal Hospital Universitario de Álava (HUA) Ethical Committee (Ref. 2012-050) and all parents were provided with a written informed consent. 110 The distribution of children in the three types of catch-up was made according to the 111 weight or length increase at visit 2 (12 months) compared with delivery: slow catch-up  $\Delta$ 112 <0.49 SD, normal catch-up  $\Delta$  0.5-1 SD, and fast catch-up  $\Delta >1$  SD.

## 113 Blood sample collection and adipokine assays

Newborn blood was obtained at three months of age by using EDTA tubes, plasma
was obtained by centrifugation of blood at 1250 g for 20 minutes and was immediately
frozen and stored at -70°C until further analysis.

117 The levels of glucose, insulin, total cholesterol, low density lipoprotein cholesterol 118 (LDL-c), high density lipoprotein cholesterol (HDL-c), triglycerides (TG), insulin-like growth factor 1 (IGF-1), insulin-like growth factor-binding protein 3 (IGF-BP3), cortisol, 119 C reactive protein (CRP), thyroid-stimulating hormone (TSH) and thyroxine (T4) in 120 plasma were measured using an automated Roche Autoanalyser system (Roche 121 Diagnostics GmbH, Mannheim, Germany) in routine chemistry workflow of the 122 123 diagnostics. Insulin resistance was estimated from fasting values as homeostatic model assessment (HOMA-IR) using the approximated equation of Matthews et al. [21]. 124

Adipokine concentrations were measured by using the following commercial human
ELISA kits: RD191001100 (BioVendor, Brno, Czech Republic) for leptin,
RD195023100 (BioVendor, Brno, Czech Republic) for adiponectin, RD191100200R
(BioVendor, Brno, Czech Republic) for omentin, (Abcam, Cambridge, UK) for chemerin
ab155430, RD191097200R (BioVendor, Brno, Czech Republic) for vaspin, and
ab193710 (Abcam, Cambridge, UK) for NOV.

#### 131 Statistical analysis

Normality of the data was tested using the Shapiro-Wilk test. Data are presented as mean  $\pm$  SD. Comparison between 2 paired samples was conducted with a paired Student 134 *t* test or a Wilcoxon signed ranks test, and comparison between unrelated variables was 135 conducted with a Student's *t* test or a Mann-Whitney's U test, as appropriate. Qualitative 136 variables, such as breastfeeding and catch-up growth type, were compared using 137 Pearson's  $\chi^2$  test. Statistical significance was set-up at two-tailed *P*<0.05. Statistical 138 analysis was performed using IBM SPSS statistics v22.

139 **Results** 

### 140 Study population profile

The three experimental groups did not show significant differences in maternal age, mother`s BMI, gestational age, parity and feeding method. With regard to newborns, those with fast catch-up showed significantly lower birth weights than the other two groups. No differences were observed in birth length. Moreover, head circumference was higher in the normal catch-up group than in the other two experimental groups (Table 1).

**Table 1**. Demographic data and baseline anthropometric parameters of the subjects involved in the study, dis**48** buted according to their catch-up type.

	Slow catch-up (n=11)	Normal catch-up (n=9)	Fast catch-up (n=7)
Gender (male/female)	5/6	6/3	3/4
Gestational age (weeks)	36(3)	38(1)	35(3)
Birth weight (g)	1903(485)	2300(297) <b>**</b>	1606(463)
Birth length (cm)	43.3(3.8)	46.8(3.5)	42.1(3.7)
Head circumference (cm)	30.6(2.2)	32.8(1.0) <sup>§§,</sup> **	29.2(1.2)
Brachial perimeter (cm)	11.2(0.9)	10.6(0.9)	10.3(0.9)
Abdominal perimeter	34.1(2.0)	34.1(2.0)	33.5(3.1)
Maternal age (years)	35.6(3.1)	35.7(3.3)	31.3(4.1)
Mother`s BMI	25.5(6.0)	21.8(2.3)	26.9(7.5)
Breastfeeding (yes/no)	5/6	5/4	3/4

151 <sup>§</sup>: Slow vs normal. <sup>§§</sup> P <0.01

Mann-Whitney's U/ Student's t test used according to the distribution

**152** \*: Normal vs fast. \*\* P <0.01

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# 154 Adipokine profile in the three catch-up groups at 3 months

As shown in Table 2, infants from slow catch-up group, at 3 months, showed lower concentrations of circulating leptin and vaspin and higher levels of adiponectin, chemerin and omentin, when compared with normal catch-up group. By contrast, no significant differences were observed between normal and fast catch-up groups.

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**Table 2.** Plasma adipokine concentrations in SGA infants with slow, normal and fastcatch-up growth at 3 months of age.

	Slow catch-up (n=11)	Normal catch-up (n=9)	Fast catch-up (n=7)
Leptin (ng/mL)	3.41 (1.15) <sup>§§</sup>	5.77 (2.69)	4.52 (3.19)
Adiponectin (µg/mL)	81.96 (42.27)*	56.61 (36.78)	31.51 (13.62)
Omentin (ng/mL)	434.98 (117.37) <sup>§§,**</sup>	312.80 (113.81)	305.95 (81.77)
Chemerin (ng/mL)	207.78 (35.46) <sup>§§</sup>	191.00 (19.68)	201.03 (22.06)
Vaspin (ng/mL)	0.14 (0.07) <sup>§</sup>	0.20 (0.10)	0.17 (0.07)
NOV (ng/mL)	141.77 (45.70)*	114.93 (18.54)	91.11 (20.06)

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163 Mann-Whitney's U/ Student's t test were used according to the distribution.

164 <sup>§</sup>: Slow *vs* normal. § P < 0.05; §§ P < 0.01

165 \*: Slow *vs* fast. \* *P* <0.05; \*\* *P* <0.01

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#### 167 Plasma biochemical parameters in the three catch-up groups at 12 months

Table 3 summarizes plasma biochemical parameters of the three catch-up groups at 12 months. Infants in the slow catch-up group presented higher concentrations of insulin than infants with normal catch-up, without changes in glucose concentrations. Consequently, HOMA-IR values were also higher. No differences were found in the rest of the biochemical parameters measured. Similarly, no differences were found between infants with normal and fast catch-up.

# 174 Table 4. Plasma biochemical parameters at 12 months.

	Slow catch-up (n=11)	Normal catch-up (n=9)	Fast catch-up (n=7)
Glucose (mg/dL)	78 (7)	80(9)	82(9)
Insulin (µU/mL)	3.5(1.3) <sup>§</sup>	1.6(0.8)	1.8(1.3)
HOMA-IR	0.69(0.31) §	0.33(0.21)	0.39(0.32)
Cholesterol (mg/dL)	164(35)	158(28)	151(38)
LDL-c (mg/dL)	89 (49)	103(22)	83(41)

HDL-c (mg/dL)	51(13)	40(14)	46(20)
TG (mg/dL)	104 (28)	89(36)	109(52)
IGF-1 (ng/mL)	34(6)	43(19)	51(27)
IGF-BP <sub>3</sub> ( $\mu g/mL$ )	2.3(0.4)	2.8(0.8)	2.6(0.7)
Cortisol (µg/dL)	13.94(3.37)	12.50(3.56)	10.55(5.24)
CRP (mg/L)	7.72(10.66)	1.38(1.13)	1.86(2.10)
TSH (µU/mL)	1.90(1.19)	2.74(0.69)	3.17(2.17)
T <sub>4</sub> (ng/dL)	1.07(0.07)	1.13(0.10)	1.11(0.19)

<sup>§</sup>: Slow vs normal. <sup>§</sup> P <0.05; <sup>§§</sup> P <0.01 175

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LDL-c: low density lipoprotein cholesterol, HDL-c: high density lipoprotein cholesterol 177 TG: triglycerides; IGF-1: insulin-like growth factor 1; IGF-BP3: insulin-like growth 178 179 factor-binding protein 3; CRP: C reactive protein; TSH: thyroid-stimulating hormone; T<sub>4</sub>: thyroxine. 180 181

#### Evolution of adipokine concentrations during the 24 first months of life 182

Plasma concentrations of the measured adipokines at 3, 12 and 24 months of age are 183 summarized in Table 4. Leptin and NOV were decreased during the two first years of life, 184 and the opposite pattern was observed in omentin, with increasing concentrations during 185 186 the 2-year period. Finally, adiponectin, chemerin and vaspin remained unchanged during 187 the two first years of life.

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Table 4. Evolution of plasma adipokine concentrations during the 24 first months of life. 189

	Visit 1 3 months (n=27)	Visit 2 12 months (n=21)	Visit 3 24 months (n=18)
Leptin (ng/mL)	4.47 (2.40)##; ¤	3.39 (1.60)	3.09 (1.87)
Adiponectin (µg/mL)	61.12 (39.21)	54.65 (28.10)	75.00 (57.87)
Omentin (ng/mL)	363.13 (119.94)#; ¤	394.77 (102.43)	423.51(116.01)
Chemerin (ng/mL)	205.38 (29.20)	201.95 (19.33)	192.58 (24.72)
Vaspin (ng/mL)	0.17 (0.08)	0.16 (0.07)	0.19 (0.08)
NOV (ng/mL)	120.17 (37.39)##; ¤¤	88.03 (24.56)	73.15 (17.74)

190 Mann-Whitney`s U/ Student's *t* test used according to the distribution.

191 <sup>#</sup>: visit 1 (3 months) vs visit 2 (12 months). # P<0.05; ## P <0.01

192  $\square$ : visit 1 (3 months) vs visit 3 (24 months).  $\square P < 0.05; \square P < 0.01$ 

## 193 Gender differences

When the gender factor was examined in each visit, statistical differences were observed between males and females, showing females higher levels of leptin, adiponectin and omentin at 24 months, but not before this age. Also, in the case of NOV sexual dimorphism was observed, with higher concentration in males only in the first visit, that is at 3 months. By contrast, vaspin and chemerin were similar in both genders in the three visits (Table 5).

	Visit 1 (3 months)		Visit 2 (12 months)		Visit 3 (24 months)	
	Males (n=14)	Females (n=13)	Males (n=9)	Females (n=12)	Males (n=9)	Females (n=9)
Leptin (ng/mL)	4.32 (2.41)	4.64 (2.48)	4.17 (2.00)	2.81 (0.94)	2.24 (0.77)	3.95(2.28)*
Adiponectin (µg/mL)	61.53 (44.70)	60.67 (34.14)	49.26 (21.96)	58.69 (32.31)	50.79 (41.51)	106.12(63.67)*
Omentin (ng/mL)	364.29 (136.79)	361.88 (104.39)	353.77 (77.94)	425.52 (110.68)	344.54 (52.48)	502.48 (108.68)**
Chemerin (ng/mL)	207.78 (30.91)	202.80 (28.26)	197.27 (17.25)	205.45 (20.78)	188.88 (19.59)	196.27 (29.74)
Vaspin (ng/mL)	0.16 (0.05)	0.19 (0.10)	0.15 (0.05)	0.17 (0.09)	0.19 (0.08)	0.19 (0.09)
NOV (ng/mL)	136.32 (40.96)	102.79 (24.08)*	93.93 (25.88)	83.60 (23.67)	67.08 (20.47)	79.21 (12.92)

Table 5. Differences in plasma adipokine concentrations between male and female SGA infants at each visit.

Mann-Whitney's U/ Student's t test used according to the distribution \*  $P{<}0.05;$  \*\*  $P{<}0.01$ 

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## 203 Discussion

204 In SGA infants, catch-up growth is defined as the acceleration in growth soon after 205 birth [22]. Maximum catch-up growth usually occurs in the first 6 months of life but may 206 continue up to 24 months [23]. It is well known that catch-up type has a clear influence on the metabolic features of infants later in their life. In fact, it has been proposed that the 207 208 post-natal growth pattern of SGA infants, rather than SGA status itself, may be a more 209 important contributor to health outcomes later in life [24]. If catch-up growth is achieved gradually without accumulation of abdominal fat in the first 24 months, the incidence of 210 211 the associated metabolic syndrome is not significant. With regard to fast catch-up, there 212 is a concern because, although it can benefit infants by improving their nutritional status, 213 resistance to infection and survival, it can also contribute to the development of obesity, impaired glucose tolerance and increased mortality from coronary heart disease later in 214 215 life.

The reasons that determine catch-up type in SGA infants are not clear. It has been 216 217 suggested that sensitivity to growth factors like insulin, insulin-like growth factors or growth hormone (GH) may be involved [1, 25, 26]. Moreover, it has been reported that 218 219 exclusive breastfeeding during the first months of life, instead of artificial and/or fortifying formulas in these low-weight infant, is essential to avoid excessive weight gain 220 221 during the first months and years of life, which is associated with an increased 222 cardiovascular risk in the adult stage [27, 28]. In our cohort of SGA infants, differences in catch-up type do not seem to be due to different feeding types after birth. 223

When we looked at body weight at birth, it was realized that those infants with fast 224 catch-up showed significantly lower birth weight than the other two groups. Thus, it 225 226 seems that this parameter plays a role in the type of catch-up showed by infants during 227 the first 24 months of age [29-31]. In order to gain more insight into catch-up type, in the 228 present work we analyzed potential differences in adipokine plasma concentrations at 3 229 months of age. We observed that infants with slow catch-up showed different adipokine profile to normal catch-up infants. By contrast, no differences were observed between 230 231 normal and fast catch-up. Thus, it can be suggested that adipokines, at very early life, could have an influence on catch-up type. 232

Among all the adipokines analyzed in the present study, leptin and adiponectin are 233 implicated in metabolism and energy balance of fetuses, newborns and adults, and have 234 235 been suggested to play a role in fetal growth [10, 32]. Infants with slow catch-up showed lower concentrations of leptin and similar levels of adiponectin than infants with normal 236 catch-up. Thus, the low concentration of leptin may be involved in the slow growth of 237 238 these infants. This fact could be related to the well-known effect of leptin on growth hormone production. It has been demonstrated that leptin induces GH release due to the 239 240 inhibition of hypothalamic somatostatin [26]. In addition, infants with slow catch-up

showed lower concentrations of vaspin, and higher levels of chemerin and omentin at 3 241 242 months, when compared with normal catch-up group. Among these alterations, only that 243 concerning chemerin was maintained at 12 months. Furthermore, they showed insulin 244 resistance according to insulin and HOMA values. Taking into account that vaspin 245 concentrations are related to insulin sensitivity, omentin can be taken as a biomarker of insulin resistance, and chemerin is related to insulin resistance and type 2 diabetes, 246 247 altogether our results suggest that the altered adipokine profile can be responsible, at least in part, for the impaired glycemic control [16, 19, 33]. 248

As indicated before in this Discussion section, postnatal growth pattern of SGA 249 250 infants may be an important contributor to health outcomes later in life [23]. Bearing this 251 in mind, we decided to analyze whether catch-up type influenced metabolic status of 252 infants early in life. For this purpose, we measured several biochemical serum parameters at 12 months of age. The results obtained show that infants with slow catch-up showed 253 254 significantly higher values of insulin and, therefore, HOMA-IR than infants in the other two groups, meaning that, at this age, they have worse glucose homeostasis control. In 255 256 the case of infants with fast catch-up, no significant differences were found when 257 compared with infants with normal catch-up. Whereas it has been clearly demonstrated 258 that later in life, SGA infants with slow catch-up are less prone to develop metabolic 259 alterations than SGA infants with fast catch-up [34, 35], the present results show that, 260 during the first months of life, this growth pattern leads to a transitory impairment in glycaemic control. 261

We also analyzed the evolution of adipokine profile during the first 24 months of age in the whole cohort of infants, because reported data are scarce and somehow controversial. The results show that the evolution pattern is different, depending on the adipokine. Thus, whereas leptin and NOV decreased during the 24 first months of life,

omentin increased in the same period of time, and chemerin and vaspin remained 266 267 unchanged. With regard to leptin, our results are in good accordance with those reported 268 by Bozzola et al. (2010) in adequate for gestational age (AGA) and SGA infants [7]. In this study, leptin showed a significant increase in the first month of life and then decreased 269 until 12 months of life. Our study provides additional data, demonstrating that values 270 271 remained unchanged at least until 24 months of age. However, other studies have shown 272 higher levels of leptin at 12 months of age probably due to different environmental factors 273 derived from the origin of children [36].

With regard to adiponectin, in our study the evolution of this adipokine is similar to that showed by Bozzola *et al.* (2010) [7], but when analyzing data at 12 and 24 months, our study did not show the significant reduction observed by Iñiguez *et al.* (2004) in AGA and SGA infants [37].

When the gender factor was examined, statistical differences were observed between males and females, mainly at 24 months of age. Males showed lower levels of leptin, adiponectin and omentin. Taking into account that adiponectin is exclusively produced by white adipose tissue, which is the main producer of leptin and omentin, this difference may be due to the differences in body fat mass gain between males and females during the first years of life [38]. However, in this cohort of infants, no significant differences in brachial and abdominal perimeters were found.

Our study has strengths and limitations. One limitation is the small sample size. The reason is that SGA represent a small percentage of newborns in Hospital Universitario de Alava-Txagorritxu and also that it is difficult to obtain parent consent for blood collection in infants at 3 months of age. Consequently, power limitations and type II errors should therefore be taken into account when interpreting the results. Another limitation is that our study was carried out in a Caucasian population and thus data cannot be directly extrapolated to other population groups. A strength is that a wide panel of adipokines was measured, some of them (omentin, vaspin and NOV) very little studied in infants and children. Moreover, to the best of our knowledge, adipokine studies in SGA infants commonly focus on cord blood, which is not considered the ideal material because it may present contradictory factors. In our study we worked with plasma samples, not at birth, but at a very early age (3 months).

Taken together our results show that a) the lowest weight in SGA infants is related to a fast catch-up growth, b) adipokines, very early in life, can have an influence on catchup type, c) health prognoses for infants with slow and fast catch-up are opposite, between the first months of life and later in life, d) adipokine evolution pattern during the first 24 months of age is different, depending on the adipokine and e) males show lower levels of leptin, adiponectin and omentin than females at 24 months old.

303	Compliance with Ethical Standards
304	• Parents of all subjects have given their written consent informed consent to take
305	part in the study
306	• The study protocol has been approved by the Ethical Committee of the Hospital
307	Universitario de Álava-Txagorritxu (HUA) (Ref. 2012-050)
308	• The authors have no conflicts of interest to declare
309	
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313	Conflict of Interest: The authors declare that they have no conflict of interest.
314	Ethical approval: All procedures performed in studies involving human participants
315	were in accordance with the ethical standards of the institutional and/or national
316	research committee and with the 1964 Helsinki declaration and its later amendments or
317	comparable ethical standards.
318	Informed consent: Informed consent was obtained from all individual participants
319	included in the study.

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