

1 **Title**

2 From research to industry: a quantitative and qualitative analysis of science-technology
3 transferences in bioremediation.

4 **Abstract**

5 Bioremediation consists on utilizing living organisms for removing contaminants from
6 various substrates. This work uses text mining techniques to determine the time lapse of
7 knowledge transfer between research activity and technology development in
8 bioremediation, using maps of science in order to extract patterns that could be of interest
9 for decision making in this field. Our results show that technology developments in heavy
10 metal bioremediation promptly follow scientific advances, as opposed to developments in
11 bioremediation of organic chemical components. The science mapping reveals three distinct
12 areas 1) Heavy metal remediation and phytoremediation 2) Aerobic and anaerobic
13 remediation of chemical elements and 3) Bioremediation techniques for treating specific
14 contamination sources such as oil. The emergence analysis points at activities involving
15 energy recovery by bioremediation, and shows an increasing amount of technologies
16 involving specific strains of microorganisms, which could gain significant traction in this field
17 in an estimated time horizon of ten years.

18 **Keywords:** Bioremediation, Text mining, Science maps, Science-technology transfer

19 **1. Introduction**

20 The utilization of living microorganisms for changing the physical-chemical properties of
21 substances is a millennia-old technique, fermentation being probably one of the first
22 microbial processes that were put into practice by humans with this purpose. The natural
23 biodegradation of substances more-or-less considered “waste” has also given a good service
24 to mankind in key tasks such as improving the fertility of soils or simply by naturally wiping
25 out lots of stuff that otherwise would form a huge - and probably toxic and dangerous - pile
26 of material over the years. This work uses quantitative text mining techniques to determine
27 the time lapse of knowledge transfer between research activity and technology
28 development in bioremediation, and characterizes recent research activity using maps of
29 science in order to extract patterns that could be of interest for decision making in this field.

30 **1.1. Bioremediation: a brief introduction**

31 The term “bioremediation” applies to the techniques aimed at using biological activity
32 (mainly but not exclusively microorganism based) to remove contaminants in multiple
33 sources. Initially focused on the treatment of pesticides that persist in soils long after being
34 delivered, bioremediation has substantially expanded its range of applications to many
35 different contaminants, such as those present in industrial wastewater and contaminated
36 groundwater. This is a significantly multidisciplinary field that combines knowledge from
37 various disciplines such as microbiology, chemistry, toxicology and environmental
38 engineering (Alexander, 1999). In this section we aim at providing a bird’s eye view of
39 bioremediation field, in order to familiarize the reader with the context where the science-
40 technology transference analysis presented in this paper has been performed.

41 Some of the main contaminants that can successfully be removed by bioremediation
 42 are presented in Table 1 (Vidali, 2001). As new materials such as carbon nanotubes and its
 43 derivatives enter the economy, they become a new source of contaminants: some
 44 bioremediation techniques have also been tested in this field (Chen *et al.*, 2017). In any
 45 case, we can expect that the list presented in Table 1 will continue expanding in the future.

46

Class of contaminant	Type of bioremediation	Potential industrial sources
Chlorinated solvents	Anaerobic	Drycleaners Chemical manufacture
Polychlorinated biphenyls	Anaerobic	Electrical manufacturing Power station
Chlorinated phenol	Anaerobic	Timber treatment
BTEX	Aerobic and anaerobic	Oil industries Paint manufacture Chemical manufacture
Polyaromatic hydrocarbons	Aerobic	Oil industries Coke plants Engine works Power stations

Pesticides	Aerobic and anaerobic	Agriculture Pesticide manufacture
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47 **Table 1. Main contaminants and industrial sources that can be processed by**
48 **bioremediation. Source:** (Vidali, 2001)

49 Bioremediation is a particularly promising technology for treating petroleum
50 hydrocarbon contamination in both soils and groundwater, especially considering the
51 advantages it offers for *in situ* treatment of contaminants. Under certain circumstances,
52 properly bio-stimulated indigenous microbial activity can be enough to offer an adequate
53 contaminant-removal rate without further addition of exogenous bacteria (Baker and
54 Herson, 1994). The most common methods for dealing with contaminated soils typically
55 involve the mechanical removal of the contaminated substrate and its disposal via landfilling
56 or its incineration, the latter creating secondary pollution by the emission of volatile organic
57 compounds. Chemical treatment of contaminated soils poses a similar problem (Vineetha
58 and Shibu, 2012). In spite of being significantly slower and sometimes having a lower
59 contamination removal rate, bioremediation techniques often offer a cost-effective
60 solution, particularly when *in situ* techniques are feasible (Prince, 2010). The standard
61 toolkit for a composting treatment includes the use of surfactants, bulking agents,
62 bioaugmentation techniques and both compost addition or direct composting, depending
63 on the substrate and the contaminant type, among other factors, one or more of these
64 techniques will be used (Chen et al., 2015).

65 An important factor to take into account in bioremediation is the bioavailability of
66 the specific compound at which the treatment is aimed. Biological uptake mainly takes place

67 in aqueous phase and under certain physicochemical conditions (Boopathy, 2000) that could
68 be controlled in some sites, but that are difficult to control in others such as the
69 groundwater or vadose soils, that require infiltration galleries, injection wells or other
70 engineered means for introducing materials (Juwarkar et al., 2010). The availability of
71 adequate amounts of oxygen, artificially inserted (bioventing) or not, determines the type of
72 bioremediation to be used: Aerobic microbes include *Pseudomonas*, *Alcaligenes*,
73 *Sphingomonas*, *Rhodococcus*, and *Mycobacterium*, and their efficiency to degrade pesticides
74 and hydrocarbons in this environment has been proven. Anaerobic bioremediation is less
75 frequently used, but there is an increasing interest in anaerobic bacteria for bioremediation
76 of polychlorinated biphenyls in river sediments, dechlorination of the solvent
77 trichloroethylene (TCE), and chloroform (Vidali, 2001). Underground aquifers are an
78 example of anaerobic environment that gets polluted by BTEX (benzene, toluene and xylene
79 isomers), perchlorates and halogenated solvents due to petrochemical activities. Pharma,
80 solvent, dye, pesticide and ammunition industries also release mutagenic and carcinogenic
81 nitroaromatic compounds that –in the case of polar compounds – can be fairly well treated
82 by anaerobic bioremediation, and dangerous radionuclides can be captured by sulfate
83 reducing organisms (Coates and Anderson, 2000). Bioavailability of contaminants is usually
84 also reduced if the contamination persisted for long time in the substrate (Alexander, 2000),
85 and the strength of the sorption of the element (Chen et al., 2015).

86 Mining activities also significantly degrade both soils and water sources in their
87 surroundings and beyond. Acid Mine Drainage (AMD) is a hazardous phenomenon that
88 takes place when sulfide minerals become exposed to atmospheric air and water due to
89 mining activity, causing a double effect on the environment: toxic metals are released in

90 high concentrations and both water and soil are significantly acidified. The conventional
91 methods for treating this problem involve chemical precipitation reactions that need ample
92 drying facilities for concentrating the toxic sludge. Solutions based on passive bioreactors-
93 wetland treatment systems or active ones offer cost-efficient alternatives that under certain
94 circumstances can lead to the recovery of metals, thus enhancing the efficiency of the
95 process (Cohen, 2006; Martins et al., 2010).

96 It becomes necessary when pointing at some aspects that could be relevant for the
97 future of this technology to mention the field of bioengineering, which has opened new
98 ways to improve the microbial strains and their chemotactic activity (Juwarkar et al., 2010).
99 Directed evolution techniques, for example, can dramatically change the rules of the game
100 by allowing researchers to skip the shortcomings of natural evolution: random noise,
101 historical accidents and ignorance of the selection pressures at work during adaptation are
102 some of the factors that could be suppressed thanks to directed evolution (Arnold et al.,
103 2001). The development of transgenic plants for phytoremediation (bioremediation by
104 means of vegetal organisms) by transferring genes from microbes/other eukaryotes to
105 plants is a real option nowadays (Eapen et al., 2007). Another shortcoming of
106 bioremediation techniques lies in the inability to control the efficacy of the treatments
107 without the use of expensive chemical analysis methods such as gas chromatography or
108 mass spectrometry (Sayler and Ripp, 2000). The development of new biosensors that can be
109 combined with conventional bioremediation methods can enhance the cost advantage of
110 bioremediation as opposed to landfilling and/or incineration (Purohit, 2003).

111 **1.2. Tech mining analysis of science and technology**

112 Decision making in research and technology development can be supported by quantitative
113 studies that complement the knowledge of managers with indicators and other empirical
114 facts that increase the likelihood of making the right decision. Tech mining tools can be
115 integrated in a decision support system to achieve this goal (Porter and Newman, 2011),
116 enabling vital information present in patent and scientific publication databases to be
117 incorporated into the decision making process. A large amount of the information contained
118 in such databases is in textual format, making it impossible for a human analyst to read and
119 interpret the text of thousands of documents in order to extract “activable information”
120 ready to feed the decision making process. “Text mining” is the name given to the
121 application of data mining tools to the analysis of unstructured, textual information (Tan,
122 1999), which enable the partial automation of data extraction and dimensionality reduction
123 phases, inherent to every data analysis task. On the other side, “Tech mining” is the name
124 coined by Porter and Cunningham (Porter and Cunningham, 2005) to describe the
125 application of text mining tools to science and technology information databases, looking
126 for answers to a wide set of key technology management questions. There are many
127 examples of what can be achieved by means of tech mining analysis; in the following
128 paragraphs we succinctly describe some of the works that better contextualize the study
129 presented in this paper.

130 The evolution of scientific research in the waste recycling field has been thoroughly
131 analyzed by Garechana et al. (Garechana et al., 2014; Garechana, Rio-Belver, Cilleruelo and
132 Gavilanes, 2012) using tech mining tools for building science maps, among other indicators.
133 The emergence of research areas such as waste to energy (WTE) and bioremediation from

134 year 2002 to 2012 was detected in these maps, one of the most interesting applications of
135 tech mining being the detection of emergent scientific areas (Upham and Small, 2009).
136 Science maps are graphical characterizations of research fields (Garechana, Rio-Belver,
137 Cilleruelo and Gavilanes-Trapote, 2012) that allow decision makers to have a visual grasp of
138 the main scientific concepts that dominate an area and their evolution across time, as well
139 as the changing relationships and potential knowledge transfers between disciplines. The
140 application of tech mining based mapping techniques goes further than the study of science
141 itself, enabling the study of interactions between research agents such as universities and
142 geographical regions (Yoon et al., 2010). Text mining tools can be used to exploit
143 information sources other than scientific articles or patents in order to answer relevant
144 questions from a managerial or policy-making perspective, such as the effects that
145 implementing an innovation management standard has on the innovative behavior of a
146 firm. This can be studied by text mining the annual reports of the firms certified under such
147 a standard (Garechana et al., 2017), and the same approach can be used to determine
148 sustainability trends in the process industries, as shown by Liew *et al.* (Liew et al., 2014).

149 Similar tools can be used for technology analysis; in this case patent databases
150 provide the information to feed the tech mining process and the methods for forecasting
151 the evolution of technology are some of the most attractive works in this area, particularly
152 those related to emerging technologies (Bildosola et al., 2015, 2017). An interesting
153 application of tech mining to technological information is the elaboration of technology
154 roadmaps, a tool combining quantitative and qualitative information in a visual
155 representation of the evolution of technology across time (Petrick and Echols, 2004), that

156 can improve decision making in technology, particularly in terms of building common
157 understanding across internal and external organizational boundaries (Phaal et al., 2005).

158 **2. Methodology**

159 This work uses tech mining tools in order to study the time lapse of knowledge transfer
160 between research activity and technology development in bioremediation, and
161 characterizes recent research activity in that field. This section starts by explaining the
162 criteria followed to collect and clean the data, after that the process to quantify the
163 knowledge transfer time lapse and the method for building the science maps is described.

164 **2.1. Data collection and cleaning**

165 The evaluation of the sources available for characterizing the scientific activity in
166 bioremediation led us to choose the Scopus database for the following reasons: First,
167 considering its coverage, Scopus is the world's largest abstract and citation database of
168 peer-reviewed literature. Second, considering the quality of the sources included in Scopus,
169 every potential source is carefully curated and ultimately selected by an international group
170 of scientists, researchers and librarians who represent the major scientific disciplines. Every
171 year a review process of all new titles that are suggested to Scopus is conducted, in addition
172 to reviewing and ensuring the quality of existing content (Elsevier, 2018). The database
173 chosen for analyzing the bioremediation technologies was Patseer, a patent database
174 comprising full INPADOC (the main database produced and maintained by the European
175 Patent Office) records as well as contents from patent offices from several countries
176 (Patseer, 2018).

177 The following query was run on the Scopus database to retrieve the scientific journal
178 articles dealing with bioremediation techniques. Peer reviewed journal articles guarantee
179 the quality of the indexed publications via a peer review process, and generally offer a great
180 deal of well-structured indexing information, perfectly suitable for text mining analysis
181 (Porter and Cunningham, 2005). The time interval of scientific production to be analyzed
182 was set from year 1994 to 2017, since there are almost no bioremediation patents filed
183 before year 1994, and scientific articles become scarce for years before 1994. The following
184 query returned 31909 results on 18th may 2018:

```
185 TITLE-ABS-KEY ( bioremediation ) AND PUBYEAR > 1993 AND PUBYEAR < 2018 AND ( LIMIT-  
186 TO ( DOCTYPE , "ar" ) ) AND ( LIMIT-TO ( SRCTYPE , "j" ) )
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187 Having downloaded the records corresponding to scientific activity, the next decision
188 consists in choosing the textual field(s) that is/are going to be text mined in order to extract
189 the science/technology indicators. At this point the authors considered the advantages of
190 analyzing the information contained in the “author keyword” field: this field contains the
191 keywords that, in the opinion of the author that originally submitted the journal article, best
192 characterize the research done, creating a powerful tool with which to characterize the
193 research being undertaken. In addition to this, journals often encourage authors to select a
194 reduced number of terms that best describe the essence of the article, avoiding
195 abbreviations and being as field-specific as possible. This considerably reduces the
196 ambiguity and noise inherent to any text-mining study but at the same time it also reduces
197 the amount of data available to conduct the study, since a certain amount of articles
198 (particularly data from the nineties and before) do not have this field available. After
199 removing the duplicates and taking only the articles with an “author keyword” field, our

200 final dataset is reduced to 25035 journal articles. These records were downloaded in csv
201 format and exported to Vantage Point text mining software (Search Technology, 2018) in
202 order to extract the main concepts related to bioremediation science over time.

203 **2.2. Science-technology analysis**

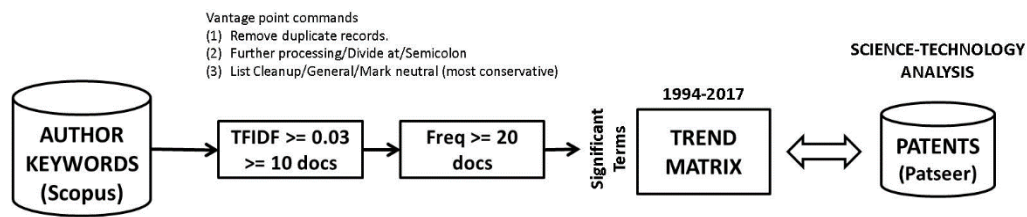
204 We propose an approach for detecting the emergence and decline of “significant terms” in
205 bioremediation, identified using a term frequency - inverse document frequency (TFIDF)
206 algorithm on the data contained in the “author keyword” field. The detected trends have
207 been compared, when feasible, with the trends shown by these same significant terms in
208 technology, by building search queries adapted to Patseer patent database. According to
209 the patterns described in linear innovation paths, advances in research are supposed to
210 precede those developments in industry, this is particularly true in science-intensive fields
211 (Balconi et al., 2010; Etzkowitz, 2008) such as bioremediation. We try to quantify the
212 presumed time delay between the peak of a certain concept in research and its equivalent
213 peak in technology developments by comparing the data we get from scientific articles and
214 patents. To detect the patents associated with each research concept we built a search
215 query adapted for each of the concepts, where a truncated form (if necessary) of the
216 concept was forced to co-occur with either “remediation” or “bioremediation” terms in the
217 title, abstract or claim (TAC) fields of the patent. The following is an example of the query
218 corresponding to the concept “*Pseudomonas aeruginosa*” run on Patseer database to
219 retrieve the patents related with the use of this technology for remediation purposes:

220 TAC:(pseudomonas aeruginosa) AND TAC:(remediation OR bioremediation)

221 The text mining procedure applied to the data is shown in the flowchart of Figure 1.

222

223



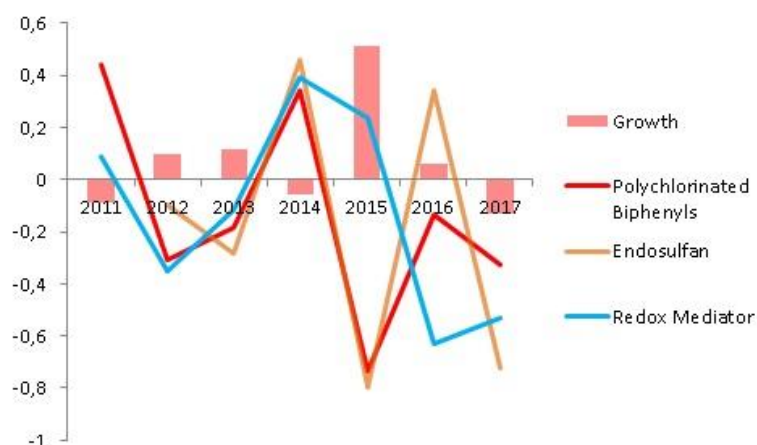
224 **Figure 1. Text mining process for the science-technology analysis.**

225 The “author keyword” field synthesizes the main topics of the scientific paper with a
226 fairly decent amount of unambiguity and data “cleanliness” that are highly desirable in a
227 text mining study. Despite this, there are several synonyms, plural/singular forms of the
228 same term and other sources of noise that have to be cleaned in the first steps. The text
229 mining software Vantage Point has been used to merge all equivalent forms of the same
230 word in order to build a set of homogenized terms and track their occurrences across the
231 years. The sequence of commands executed using Vantage Point is described in Figure 1.

232 This process generates a list containing the homogenized forms of the author
233 keywords present in the dataset, the presence of terms such as “remediation” and
234 “bioremediation” being overwhelmingly higher in absolute terms when compared to terms
235 more specific to a certain technology or subfield of bioremediation. A trend analysis should
236 be precisely focused on the latter type of terms, given the fact that the maturity and
237 emergence of techniques often go unnoticed if attention is paid to the broad picture, which
238 is dominated over the years by roughly the same broad concepts in the absence of dramatic,
239 radical innovations that could change the overall landscape of a scientific area. In order to
240 pick the more meaningful - for the purposes of this study - or “significant” terms, we set a
241 term filter based on the TFIDF algorithm: this process weighs a set of terms present in a

242 collection of documents (journal articles, in this case), penalizing the terms that occur in
243 many documents (these are likely to be general terms and not particularly relevant for
244 characterizing the contents of a document) and giving advantage to those terms that occur
245 frequently but are not widespread in the document collection (Porter and Zhang, 2012;
246 Robertson, 2004). We pragmatically set a minimum TFIDF threshold of 0.03 that should be
247 achieved in at least 10 documents in order to select the significant terms for the trend
248 analysis. An additional restriction was also considered by only including terms that occur at
249 least 20 times in the dataset, in order to suppress overly infrequent terms from the trend
250 analysis.

251 The last step of this analysis consisted in building a trend matrix where the
252 emergence and decline of significant concepts could be analyzed. It should be noted that
253 the number of bioremediation publications presents a somewhat steady yearly growth rate
254 that is also present in many other scientific fields, as the number of scholars and institutions
255 devoted to research increase globally. For a concept to qualify as “emerging” or “declining”,
256 its relative growth/decline rate has been normalized by the overall trend of publications in
257 the field of bioremediation. After carefully analyzing the trend matrix we set a guideline
258 based on the average growth of each term in the last six target years (2011-2017) of the
259 study. Those terms averaging a negative normalized growth in that interval will be
260 considered declining or mature concepts in bioremediation, while terms averaging a
261 normalized growth higher than 25% will be considered emerging concepts.



262

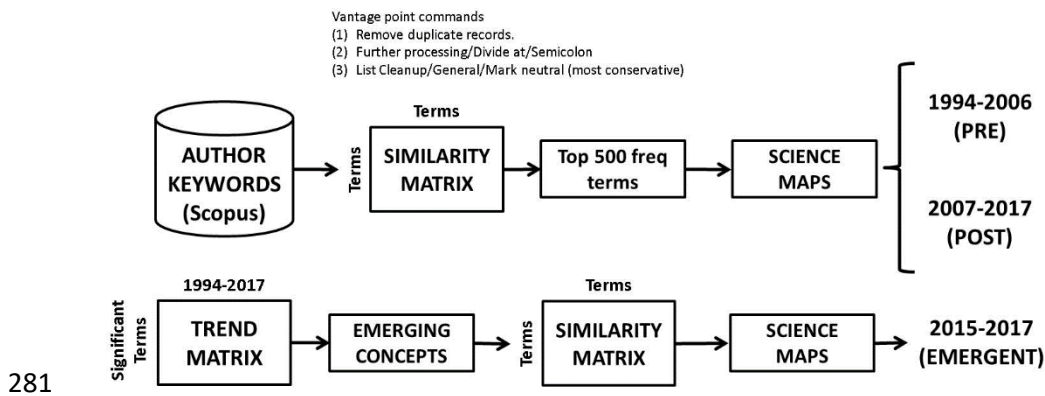
263 **Figure 2. Normalized relative change of declining/mature concepts in bioremediation.**

264 Figure 2. shows the normalized rate of relative change on three clearly
 265 declining/stagnant concepts in bioremediation, namely “Polychlorinated Byphenils”,
 266 “Endosulfan” and “Redox Mediator”. The bars show the yearly rate of growth (an average
 267 yearly growth of 7.3%) in bioremediation scientific publications.

268 **2.3. Science map analysis**

269 The science-technology analysis is complemented by the building of a set of science maps
 270 aimed at characterizing the broad changes that took place in the field of bioremediation
 271 during the time interval under study. With this purpose in mind, we build two separate
 272 maps for the intervals 1994-2006 and 2007-2017 (hereinafter referred to as PRE and POST,
 273 respectively), plus a map corresponding to the emergent significant terms detected in the
 274 trend analysis explained in the section 2.2, built using 2015-2017 (hereinafter we will refer
 275 to this interval as “emergent”) data. This latter map aims at providing a foresight of the
 276 scientific concepts that may be translated into technological developments in coming years,
 277 according to the results obtained in the trend analysis. No TFIDF filtering has been used to

278 build the maps corresponding to PRE and POST intervals, merely mapping the 500 most
 279 frequent terms for the sake of interpretability and simplicity of the visualizations. Figure 3
 280 shows the process followed to build the maps.



281
 282 **Figure 3. Text mining process for the science mapping analysis.**

283 These similarity matrices contain the absolute number of times each of the terms co-
 284 occurs in the “author keyword” field with the rest of the terms of the dataset. We can
 285 expect that terms that co-occur frequently with each other maintain a close cognitive
 286 relationship, as pointed out by the amount of scientific work directly relating them to each
 287 other. However, this co-occurrence data has to be normalized, since very frequent terms
 288 will quite likely have an above the average co-occurrence rate with a higher number of
 289 words. There are many available methods for normalizing the raw co-occurrence data,
 290 Klavans and Boyack (Klavans and Boyack, 2006) make a thorough review of the more
 291 commonly used methods, although there are criticisms regarding the accuracy of some of
 292 the measures (Van Eck and Waltman, 2009). We opted for taking into account the
 293 explanations of Van Eck and Waltman (Van Eck and Waltman, 2009) on probability-based
 294 similarity measures and calculated the indirect Salton’s Cosine described by these authors
 295 (Van Eck and Waltman, 2008). There is at least one more similarity/distance indicator with

296 the same name that should not be confused with our choice, since the calculation of “our”
297 Salton’s Cosine considers the full vector of co-occurrences of each word with the rest in the
298 term x term co-occurrence matrix, as shown in the following formula:

$$299 \quad \text{Cos}_{i,j} = \frac{\sum_{q=1}^N C_{iq} C_{jq}}{\sqrt{\sum_{q=1}^N C_{iq}^2 \sum_{q=1}^N C_{jq}^2}} \quad (1)$$

300

301 Where C_{iq} , C_{jq} are the co-occurrence values of i and j terms in their co-occurrences
302 with the rest of the terms, in the N -term square co-occurrence matrix. The result of this
303 approach is a term similarity matrix that quantifies the degree of similarity between the
304 main concepts in bioremediation science. The maps have been generated using visualization
305 and clustering software VOSViewer (Van Eck and Waltman, 2010).

306

307 **3. Results and discussion**

308 The analysis of declining vs emergent concepts in bioremediation described in section 2.2
309 generated a list of declining concepts that show a negative normalized growth rate
310 according to the data corresponding to the last six years under study. These are concepts
311 that experienced their peak in relative attention from the bioremediation scientific
312 community in the past, and the aim of this study is to test the assumption under which
313 advances in research are supposed to precede the developments in industry, this being
314 particularly true in science-intensive fields (Balconi et al., 2010; Etzkowitz, 2008), and to

315 quantify the time delay between the peak in attention from the scientific community and
 316 the peak in technological developments, as shown by the number of patent applications
 317 related to each concept. Table 2 shows the list of declining concepts in bioremediation we
 318 detected using our approach, indicating the year in which the relative peak of attention was
 319 achieved by such concept (measured by the relative presence of the concept in the data
 320 corresponding to that year) in research, and the year where the number of patent
 321 applications related to that concept had its peak. The concepts that did not show any
 322 appreciable patenting activity were discarded from the analysis.

323

Research concept	Research/ patenting peaks	Time (years)	Research concept	Research/ patenting peaks	Time (years)
16S rdna	2011/2010	-1	Rhizoremediation	2003/2014	11
Polycyclic aromatic hydrocarbons	2011/2010	-1	Endosulfan	2000/2012	12
Lead	2016/2016	0	<i>Pseudomonas putida</i>	2001/2013	12
Redox mediator	2008/2010	2	Chlorinated solvents	2000/2012	12
Zn	2014/2016	2	Hydrocarbons	1997/2010	13

Nitrite	2008/2010	2	Ryegrass	2001/2014	13
Reactive transport	2007/2010	3	Immobilized cells	1997/2010	13
<i>Eichhornia crassipes</i>	2011/2014	3	Polychlorinated biphenyls	1998/2012	14
Biostimulation	1999/2003	4	Organic matter	2003/2017	14
Pentachlorophenol	1995/2000	5	White-rot fungi	1998/2014	16
Copper	2009/2014	5	Chlorinated ethenes	1996/2013	17
Hexavalent chromium	2005/2011	6	Sediment	1996/2015	19
<i>Geobacter</i>	2002/2009	7	Benzene	1995/2015	20
Chelator	2002/2010	8	P-Nitrophenol	1995/2015	20
Toluene	1995/2003	8	<i>Pseudomonas aeruginosa</i>	2009/2015	6
Soil washing	2006/2014	8	Chromium	2007/2015	8
Biodiversity	2001/2010	9	Endocrine disruptors	2004/2006	2
Composting	2002/2011	9	Aeration	1999/2015	16

324 **Table 2. Declining/stagnant concepts in bioremediation. Both peak years in research and**
325 **patenting activity are shown, and the delay between these is given.**

326 The classic linear innovation hypothesis of research preceding technical development
 327 seems to be confirmed by our data. Roughly 8% of our observations contradict this
 328 hypothesis, and do so in an amount that could easily be attributable to the measurement
 329 error inherent to any text-mining based approach. The average delay from peak to peak is
 330 some 9.6 years, however, the high standard deviation (5.4 years) of data is probably
 331 signaling the presence of subgroups in the declining concept set. Delving a bit deeper into
 332 the data, we detected a pattern after dividing the declining concepts into heavy metals,
 333 living organisms and chemicals, as shown in Table 3:

334

Category	Concepts	Time (years)
Heavy Metals	Lead Zinc Copper Chromium	3.75
Living Organisms	<i>Eichhornia crassipes</i> <i>Pseudomonas aeruginosa</i> <i>Geobacter</i> Rhizoremediation <i>Pseudomonas putida</i> Ryegrass White-rot fungi	9.71
Chemicals	Pentachlorophenol Toluene	13.5

	Endosulfan	
	Chlorinated solvents	
	Polychlorinated biphenyls	
	Chlorinated ethenes	
	Benzene	
	p-Nitrophenol	

335 **Table 3. Subgroups detected in the declining concepts.**

336 There is evidence suggesting that the science-technology transferences may occur
337 slightly faster in the case of research for bioremediation of heavy metals, than in the
338 bioremediation of chemical contaminants, while the research directly dealing with particular
339 applications, features or modification of living organisms also shows its own idiosyncrasy.
340 The reasons for this differentiated behavior may be related with the effects of regulation
341 and the proven effectiveness of the bioremediation treatments in each case, these are both
342 factors - among many others - that could increase the propensity to patent and thereby
343 reduce the time lapse between research advances and technological developments.
344 Substantial increases in the presence of a certain type of contaminant can also lead to
345 increased efforts both in research and patenting activity seeking to solve this problem.

346 Table 4 shows the top 10 research concepts that qualify as “emergent” under our
347 approach: these are terms that show a 6-year average normalized growth higher or equal to
348 0.25. A total amount of 200 terms qualify as emergent under our approach.

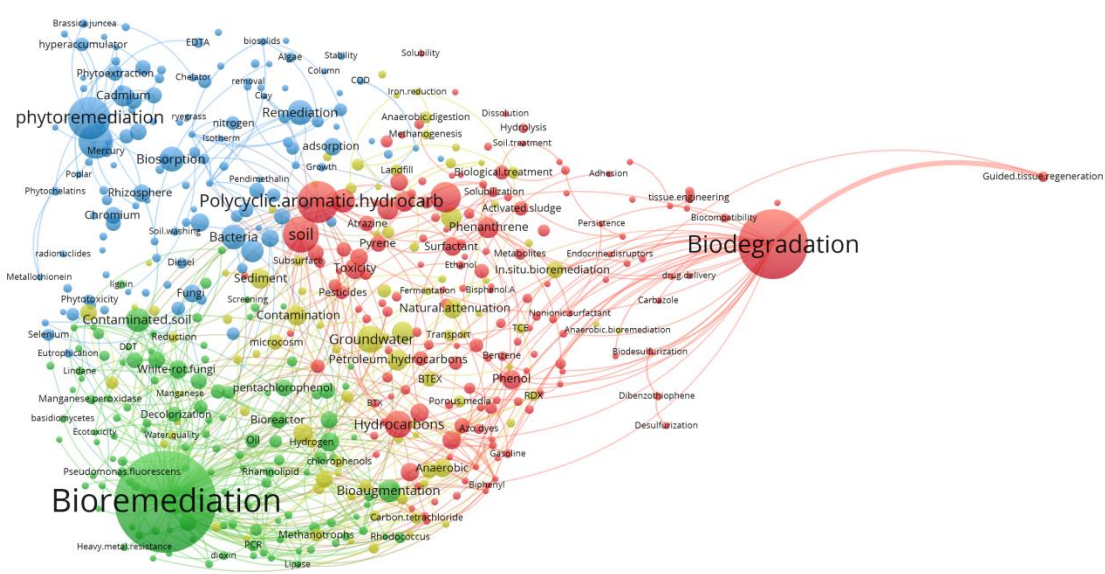
349

Term	Average growth coefficient
------	----------------------------

Transport	1.87
Oil	1.76
Biogas	1.75
Bacterial diversity	1.65
<i>Rhodococcus</i>	1.31
Rhizobacteria	1.31
Aerobic granular sludge	1.31
Bioindicator	1.29
Leaching	1.25
Plasmid	1.19

350 **Table 4. Top 10 emerging terms, according to the normalized average growth coefficient.**

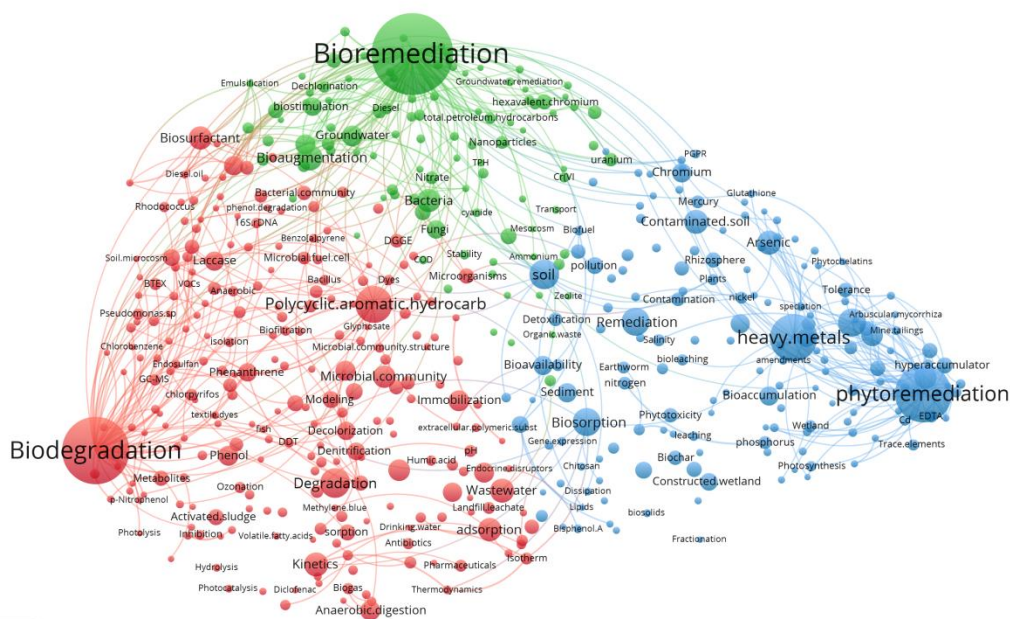
351 The PRE and POST science map analysis are shown in Figures. 4 and 5. The size of the
352 circles indicates the relative frequency of each concept in the dataset, and the colors signal
353 the thematic clusters detected by VOSviewer software based on the cosine similarities
354 explained in section 2.3. The lines are drawn according to such similarities, but the number
355 of lines mapped has been reduced for clarity in the display.



356



357 **Fig 4. PRE bioremediation science map.**



358



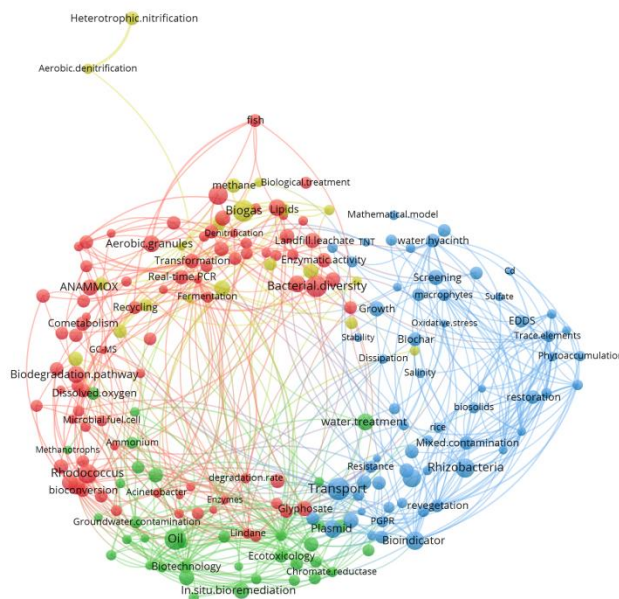
359 **Figure 5. POST bioremediation science map.**

360 The analysis of these maps shows the prevalence over time of three large, clearly distinct

361 topics:

- 362 - Phytoremediation and heavy metals (blue): Our data shows that a substantial
363 amount of research in phytoremediation techniques is aimed at the sorption of
364 heavy metal contaminants.
- 365 - Remediation of polycyclic aromatic hydrocarbon, phenolic and polychlorinated
366 compounds, both using aerobic and anaerobic organisms (red). Most of the concepts
367 are involved with specific contaminant substances.
- 368 - Research involving specific contamination problems due to petroleum spills,
369 groundwater contamination or textile effluents (green). Most of the concepts are
370 involved with bioremediation techniques and/or industry specific contamination
371 sources.

372 The term composition of these clusters is fairly robust across all the intervals analyzed,
373 pointing at a stable, well developed scientific discipline where advances in research happen
374 gradually, with no radical breakthroughs that could cause noticeable changes to the
375 conceptual fingerprint of the field. We rely on our approach to detect emergent concepts
376 explained in section 2.2 to identify the transformations that bioremediation is undergoing:
377 Figure 6 shows the cluster structure formed by these emergent concepts. The size of the
378 circles in this map does not correspond with the relative frequency of the terms but rather
379 with the growth rate of each term, consequently infrequent terms that are dramatically
380 increasing in their relative importance could become more conspicuous on the map.



381

382 **Figure 6. Emergent concepts in bioremediation.**

383 The mapping of emergent terms coherently shows a cluster structure similar to PRE
 384 and POST science maps: the emerging concepts in each of the clusters detected in the
 385 previous science maps are strongly linked to each other, thus maintaining the cluster
 386 structure described above. It is remarkable that the emergent terms map shows a cluster
 387 closely related to energy recovery (yellow) overlapping with the terms associated with the
 388 red cluster (chemical contaminant remediation), and so pointing at a distinct specialization
 389 emerging therein. Microbiology research as a whole is growing considerably above average
 390 in this latter cluster, as opposed to the clearly defined specialization that is taking place in
 391 the emergent terms corresponding to the green cluster (bioremediation techniques and
 392 specific industrial contamination): Oil-related bioremediation techniques and in-situ
 393 bioremediation are among the topics that are experiencing faster growth in this area.
 394 Regarding emerging terms in the blue cluster (phytoremediation, heavy metal removal) our
 395 data shows that increasing attention is being paid to the phytoremediation potential of the

396 water hyacinth, nonetheless, “hot topics” are more focused on transport problems -
397 techniques aimed at providing adequate contact between microorganisms and reactants -
398 the rhizobacteria ecosystems and techniques for checking the progress of the
399 bioremediation treatments. It is remarkable that some of the fastest growing concepts
400 correspond to this area, as shown in Table 4. According to these results and the data shown
401 in Table 3, it seems reasonable to expect an increasing amount of technologies involving
402 specific strains of - perhaps genetically engineered - microorganisms during the next ten
403 years, this hypothesis being subject to the evolution of many variables related to the
404 evolution of technology.

405 **4. Conclusions**

406 This research is a quantitative analysis conducted using data from Scopus, fundamentally a
407 scientific publication database, and Patseer, a patent database, in order to study the
408 transference of knowledge between research and technology development in
409 bioremediation. In addition to this, the conceptual landscape of bioremediation has been
410 mapped and its changes across the years characterized. The stability shown by this field led
411 us to complement the mapping with an emergent term map that shed more light onto the
412 change dynamics this field is undergoing.

413 A query system was designed to extract the information dealing with bioremediation
414 from Scopus database and the “author keyword” field of scientific publications was text
415 mined in order to extract the significant terms that contained the essence of subfields and
416 research problems being tackled by the bioremediation research community. A trend matrix
417 was built containing the evolution of the relative importance of these significant terms over

418 time, allowing us to detect declining/stagnant vs emergent concepts in bioremediation. A
419 data subset formed by the declining/stagnant terms was used to detect the peak in relative
420 importance - as measured by the relative presence - of each of these concepts and to detect
421 the time lapse between the apex of the attention paid by the scientific community and the
422 peak in technology developments concerning these concepts.

423 It should be noted that we lack a systematic approach to study the dynamic
424 reciprocal dependence between scientific and technological activity (Zhao and Guan, 2013),
425 however, knowledge intensive fields are prone to experience a faster knowledge
426 transference between science and technology (Finardi, 2011). Our results show considerable
427 differences in the time lags between the predominance of concepts that science deals with,
428 and the elements of technology that come later, depending on the scope of the research
429 concepts. On one side, the technology developments in heavy metal bioremediation seem
430 to follow shortly after the scientific advances (average time lag of 3.75 years), as opposed to
431 developments in bioremediation of organic chemical components (average time lag of 13.5
432 years). The development of technology is a highly multivariate problem where factors such
433 as the market demand, the rivalry between firms, the concentration of the market, the
434 patentability of the technology or pressing needs caused by a sudden increase in a certain
435 type of pollution (the hydrocarbon leakages in underground water due to shale gas
436 extraction being a recent example), among others, play a key role. Looking for an answer to
437 the question as to why such noticeable divergences exist would be a research project in
438 itself, given the systemic complexity involved in the technology development process.
439 However, our research suggests that the relationship between science and technology in
440 these areas may be significantly different. The developments involving a specific type of

441 organism take the middle ground, on average 9.7 years pass from the peak attention given
442 to these organisms by the scientific community and the peak in the technical developments
443 that make explicit use of them. According to our method, however, the linear innovation
444 theory - of research preceding technology - seems to be proven, this being an expected
445 outcome taking into account the knowledge-intensive features of the bioremediation field.

446 The science mapping of bioremediation research gives us enough evidence to
447 describe this field as stable and subject to incremental improvements, rather than exposed
448 to impacts from innovation shocks. Three distinct areas are neatly defined in the mappings,
449 with few noticeable changes taking place before and after year 2007, this indicates certain
450 maturity in the specialties inside bioremediation. The clusterization algorithm run using
451 VOSviewer software detected homogeneous groupings formed by 1) Heavy metal
452 remediation and phytoremediation 2) Aerobic and anaerobic remediation of chemical
453 elements and 3) Bioremediation techniques for treating specific effluents and
454 contamination sources such as oil. The persistence of this clusterization solution across the
455 analyzed years is a signal of well-established boundaries between these activities.

456 With the aim of adding a foresight dimension to our work, we mapped the emergent
457 terms (those with a 6-year normalized rate of growth higher or equal to 25%) in
458 bioremediation, confirming that the cluster structure revealed in the field-broad maps of
459 science was still present in the emergent terms. This reinforces our conclusions regarding
460 the maturity of the detected specialties, since even the most dynamic concepts still remain
461 inside the specialty boundaries. An interesting cluster related to energy recovery by
462 bioremediation is detected, overlapping with the 2) cluster explained above. It should be
463 noted that this cluster is formed by emergent concepts that show a certain amount of

464 internal cohesion, so this trend could lead to the formation of a new discipline inside
465 bioremediation science in the future. The remarkable emergence of energy-related issues in
466 waste management has already been pointed out by other studies, thus supporting our
467 conclusion (Garechana et al., 2015; Garechana, Rio-Belver, Cilleruelo and Gavilanes, 2012).
468 The dynamism detected in the microbiology concepts coupled with the time delays
469 presented in Table 3 led us to conclude that the next ten years may produce an increasing
470 amount of technologies involving specific strains of microorganisms, which could be the
471 result of genetic engineering. Whether these technologies will turn up as patents remains to
472 be seen, given the multiple factors cited in this paper that have an important influence on
473 the evolution of technology.

474 We believe that the method and results explained in this paper can offer valuable
475 resources and a deeper insight about science and technology to managers involved in
476 environmental management decision making processes, particularly those that include
477 bioremediation in their quandaries.

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