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Mapping the Landscape of Water and Society Research: Promising Combinations of Compatible and Complementary Disciplines

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15 Conflict of Interest

16 Authors declare no conflict of interest

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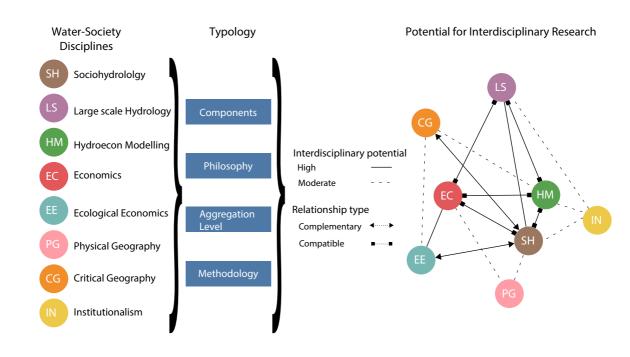
18 Abstract

Coupled human-water systems (CHWS) are diverse and have been studied across a wide 19 variety of disciplines. Integrating multiple disciplinary perspectives on CHWS provides a 20 comprehensive and actionable understanding of these complex systems. While 21 interdisciplinary integration has often remained elusive, specific combinations of disciplines 22 might be comparably easier to integrate (compatible) and/or their combination might be 23 particularly likely to uncover previously unobtainable insights (complementary). This paper 24 systematically identifies such promising combinations by mapping disciplines along a 25 common set of topical, philosophical and methodological dimensions. It also identifies key 26 challenges and lessons for multidisciplinary research teams seeking to integrate highly 27 promising (complementary) but poorly compatible disciplines. Applied to eight disciplines 28 that span the environmental physical sciences and the quantitative and qualitative social 29 sciences, we found that promising combinations of disciplines identified by the typology 30 broadly reproduce patterns of recent interdisciplinary collaborative research revealed by a 31 bibliometric analysis. We also found that some disciplines are centrally located within the 32 33 typology by being compatible and complementary to multiple other disciplines along distinct dimensions. This points to the potential for these disciplines to act as catalysts for wider 34 interdisciplinary integration. 35

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43 Graphical/Visual Abstract and Caption



Caption: A typology identifies promising combinations of disciplines for interdisciplinary

research on water and society by mapping them along a common set of topical, philosophicaland methodological dimensions.

1. INTRODUCTION 50

Coupled human-water systems (CHWS), where human activities and water resources interact 51 dynamically in space and time, arise in a wide variety of settings that include flood protection 52 (Di Baldassarre et al. 2013), agriculture (Giuliani et al. 2016; Grafton et al. 2018), urban 53 water supply (Savelli et al. 2021; Srinivasan et al. 2013), catchment hydrology (Srinivasan et 54 al. 2015; Van Emmerik et al. 2014) and transboundary water interactions (Penny et al. 2021; 55 Mullen et al. 2022) among many others. This diversity of contexts has allowed CHWS to be 56 studied by a wide variety of disciplines, which is both an opportunity and a challenge. It is an 57 opportunity because complementary perspectives allow insights that could not be obtained by 58 individual disciplines. For instance, hydrology, economics, and political ecology respectively 59 describe the hydroclimatic drivers, misaligned incentives, and structural inequities that were 60 simultaneously at play in Cape Town in the late 2010's, before the city's water reserves were 61 depleted (see Box 1). Yet, understanding how these processes interact and compound to 62 create the severe water crisis now known as "Day Zero" requires a process of 63 interdisciplinary research, where concepts, methods or epistemologies are not only exchanged 64 but comprehended by all parties to result in a mutual enrichment (Choi 2006). A 65 comprehension of CHWS that is both specialized (e.g., how hydroclimatic drivers, 66 misaligned incentives and structural inequities arose in Cape Town) and holistic (e.g., how 67 these three processes are influencing each other) is necessary to generate actionable insights 68 that address the systemic and operational issues that are often jointly at the root of an 69 impending water crisis. 70

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The need for interdisciplinary integration has long been recognized in the water research 72 community, as seen in the variety of recent initiatives aiming to bridge disciplinary 73 boundaries (Di Baldassarre et al. 2019; Brown et al. 2015; Vogel et al., 2015; Ross and 74

Chang 2020). Yet, despite notable successes in combining specific disciplines that have 75 proven to be particularly *compatible* (e.g., hydrology and data science, Razavi et al. 2022), 76 interdisciplinary integration continues to be an enduring challenge. This challenge has been 77 particularly salient for disciplines whose perspectives on CHWS are the most *complementary* 78 and prone to provide the most transformative insights. For example, a few exceptions 79 notwithstanding (e.g., Savelli et al. 2021; Rusca et al. 2017), interdisciplinary research 80 81 combining the physical environmental sciences and the critical social sciences is rare; and yet viewing water as both an environmental process and a socio-cultural vector can unveil crucial 82 83 new insights, for example on the social justice implications on water security crises, and more recently on more-than-human (waste)water, soil and sediments waterscapes (de Micheaux, 84 Mukherjee, and Kull 2018; McClintock 2015; Rusca et al. 2022; Hurst, Ellis, and Karippal 85 2022)). This tension between compatibility and complementarity, and the general barriers 86 and requirements for interdisciplinary research, have been insightfully discussed elsewhere 87 (e.g., Oughton and Bracken 2009; Rusca and Di Baldassarre 2019; Wesselink, Kooy, and 88 Warner 2017; Lélé and Norgaard 2005). In particular, Wesselink, Kooy, and Warner (2017) 89 argue that increased attention to knowledge paradigms and their four constitutive components 90 (ontology, epistemology, axiology and methodology) is critical to find common grounds for 91 interdisciplinary collaboration. However, these recommendations have yet to be 92 operationalized to systematically identify combinations of disciplines that are particularly 93 94 promising for interdisciplinary research and, more importantly, to characterize how these disciplines are complementary and compatible as a starting point to realize this potential. The 95 typology presented in this paper seeks to fill this gap. 96

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98 This paper accompanies and complements an ongoing community effort to synthesize progress
99 during the Panta Rhei 2012-2022 Scientific Decade of the International Association of

Hydrological Sciences (IAHS). As part of that effort, the disciplines listed in Box 2 are 100 presented in a synthesis book (Müller et al, 2024) with sufficient background to serve as a 101 primer for anybody seeking to gain basic literacy in any of the related disciplines. Here, we 102 complement that effort by focusing on the typology that we developed to organize and relate 103 the different disciplines in the synthesis book. We discuss the potential to support 104 interdisciplinary research in CHWS by identifying promising combinations of disciplines that 105 106 are compatible (i.e. disciplines that can be mobilised together or combined without conflict) and complementary (i.e. disciplines that are potentially mutually enhancing) along different 107 108 dimensions of the typology. Section 2.1 presents the four primary dimensions of the typology (topical focus, philosophy, aggregation and methodology) and applies them to map the eight 109 disciplines in Box 2. Section 2.2 describes the metrics used to evaluate the compatibility and 110 complementarity of disciplines across these dimensions. Section 2.3 describes a large 111 (N>11,000 papers) bibliometric analysis of recent collaborative research papers that we use in 112 Section 3 to discuss the compatibility and complementarity outcomes of the typology. Section 113 4 concludes by discussing the typology's potential, both to identify low hanging fruits for future 114 collaboration and to address key barriers to particularly promising - but unlikely --115 interdisciplinary collaborations. The typology that we propose points to key philosophical and 116 methodological challenges for research teams involving researchers from multiple disciplines 117 to elucidate in order to leverage these low hanging fruits as catalysts for actionable CHWS 118 research. 119

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121 Box 1: Interdisciplinary perspective on Day Zero

In 2018, the city of Cape Town experienced a severe water security crisis that became known as Day Zero and nearly caused the municipal water system to run out of water. Although triggered by a prolonged meteorological drought affecting the Western Cape region between 2015 and 2017, Day Zero emerged as a manifestation of a

125 long-term historical process, where early investments in large water storage infrastructure allowed water 126 availability to become increasingly decoupled from climate variability (Garcia, Ridolfi, and Di Baldassarre 2020). 127 This fostered economic growth but also encouraged unsustainable water use and, paradoxically, decreased 128 resilience to extreme droughts in a phenomenon known as the reservoir effect (Di Baldassarre et al. 2018). Within the city, the legacy of colonization, segregation, and neo-liberalisation caused the crisis to be experienced very 129 130 differently across the city's social and racial divides. Although the experience of upper- and middle-class populations, whose lifestyle was threatened by water restrictions, was strongly emphasized in the media, the crisis 131 132 disproportionately affected the water security of lower-class neighborhoods and informal settlements, where available coping options were severely limited (Savelli et al. 2021; Enqvist and Ziervogel 2019). The above 133 134 example illustrates the tight interactions that often relate humans to water. Water flows are continually reshaped 135 by social and economic relationships that they themselves contributed to create in a coevolutionary historical process. These complex temporal and spatial dynamics gave rise to the poorly resilient and unequal water security 136 137 landscape of Day Zero.

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139 **2. Methods**

140 2.1 Typology Dimensions

Our typology builds on the concept of interdisciplinary distance, that is the extent to which two 141 disciplines rely on common assumptions about the nature of knowledge and acceptable way of 142 accumulating it (Choi and Anita 2008). Such common grounds make collaboration across 143 disciplines that are epistemologically close comparatively straightforward. Yet it is from the 144 crossroads of epistemologically distant disciplines that the most insightful knowledge can 145 arguably be gained, thanks to the multiplicity of perspectives at hand (Choi and Pak 2007; 146 Rusca and Di Baldassarre 2019). Building on Wesselink et al (2016), we extend this concept 147 148 beyond epistemology and define interdisciplinary distances along four primary dimensions that span what we believe are key features of disciplines studying CHWS: their topical focus, their 149 philosophical paradigm (here consisting of their epistemology and axiology), their level of 150

aggregation and their methodology. These dimensions, and their respective axes, have been 151 identified within the context of the Panta Rhei synthesis effort first through electronic surveys 152 within the multi-disciplinary author team of the book chapter that this paper builds on and 153 complements (Müller et al 2024), and then through extensive consultation within the broader 154 community of contributors to the synthesis effort (>100 authors). Each primary dimension is 155 discussed in the following paragraphs with application to the eight CHWS disciplines in Box 156 157 2. Section 2.2 then discusses quantitative metrics to characterize the interdisciplinary distance between the disciplines within the two or three-dimensional spaces associated with each 158 159 primary dimension.

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Three caveats are important to note from the onset. First, the disciplines in Box 2 were selected 161 based on their inclusion in the Panta Rhei synthesis book (Müller et al, 2024). While they span 162 the environmental, and quantitative and qualitative social sciences, and represent a wide variety 163 of approaches to study coupled human-water systems, these disciplines are by no means 164 exhaustive but are constrained by the range of expertise available within the authors team. 165 Second, we use the term 'discipline' within the context of this paper to represent families of 166 approaches that are located at identical positions within the typology. This definition may not 167 map one-to-one to traditional scientific fields. For example, different subfields of hydrology 168 (e.g., socio-hydrology and large scale hydrology) occupy distinct locations within our typology 169 and are therefore distinguished as separate disciplines. Conversely, distinct fields within the 170 broad umbrella of the critical geographies (e.g., political ecology, environmental justice or 171 172 hydrosocial science) use comparable conceptual outlines to examine human-water interactions and therefore have an identical location within our typology. Third, the short description of 173 each discipline given in Box 2, and the typological mapping described in the following 174

paragraphs, represent our own interpretation. While we root this interpretation firmly in an
extensive review of the literature, it remains subjective and we refer the reader to the online
platform discussed in Section 2.2 to revise it as they see fit.

178

179 Box 2: Considered disciplines

Socio-hydrology (SH): Subfield of hydrology seeking to understand the coevolution between hydrological and
social systems across spatial and temporal scales. Key references: Murugesu Sivapalan, Savenije, and Blöschl
(2012); M. Sivapalan and Blöschl (2015); Pande and Sivapalan (2017); Murugesu Sivapalan (2015)

Hydro economic modeling and water systems analysis (HM): Engineering discipline focusing on the analysis
of water systems and the quantitative modeling of socio-economic and water resources interactions in order to
guide water management or policy. Key references: Harou et al. (2009); C. M. Brown et al. (2015); Kasprzyk et
al. (2018); Pablo Ortiz Partida et al.(2023).

187 Large scale hydrology and land surface models (LS): Subfield of hydrology seeking to predict the spatial 188 distribution of water resources at a large (regional to global) scale and its evolution through time under climatic 189 and anthropogenic forcing. The category includes large scale hydrological models used for water resources 190 assessments and land surface models used to represent the terrestrial component of fully coupled earth system 191 models. Key references: Pokhrel et al. (2016); Wada et al. (2017).

Economics (EC): Quantitative social science that generally relies on utility maximization principles to understand
how agents (individuals, households, farmers, firms, and institutions) make decisions that can influence water
systems, and vice versa. Focus areas concerned with water resources include agriculture and resource economics,
environmental economics, general equilibrium, development economics, health economics and political economy.
These subfields respectively consider water in the context of non-market valuation, economic production,
household income, public health and externalized costs. Key references : Hanemann (2006); Dinar and Tsur
(2021); Müller and Levy (2019).

199 Physical geography and the spatial sciences (PG): Set of approaches treating the social-physical co-created space 200 as the core object of interest. Frameworks from physical geography and the spatial sciences generally seek to map 201 the landscape, and understand its emergence, by collecting, analyzing and modeling geolocated information about

water resources, human-built infrastructure and the communities served by them. The category includes agent
 based models, geographic information systems, environmental geography and geospatial analysis among others.
 Key references: Gaile and Willmott (2004).

Ecological Economics and Social Metabolism (EE): Interdisciplinary field focused on characterizing energy and
 matter (including water) exchanges between societies and their environments, and on understanding the
 implications of these flows for the structure and function of both socioeconomic and ecological systems. The
 category includes social metabolism, water footprint accounting, and virtual water among others. Key references:
 Daly (2000); Giampietro et al. (2014); Madrid, Cabello, and Giampietro (2013); Hoekstra (2011).

Institutionalism (IN): Interdisciplinary school of social science focusing on the justice, sustainable, efficient and effective management of common pool resources -- which can include water -- as rival and non-excludable goods. Of particular interest are the challenges of designing cooperative institutions, managing information and resolving conflicts. The category includes the socio-ecological systems (SES) and the Institutional Analysis and Development (IAD) frameworks which both arose within the Workshop for Political Theory and Policy Analysis under the leadership of Elinor Ostrom. Key References: Elinor Ostrom (1990); Schlager and Cox (2018).

216 *Critical geography (CG)*: Set of critical social science paradigms that generally consider water and society as part 217 of a single integrated socionatural system, continually reshaped by power choreographies. They posit that 218 researchers are themselves part of that system, meaning that they are both influencing and influenced by the 219 system that they are studying. Critical geography also emphasizes how different cultures, religions and societies 220 attribute different meanings and values to water. The category includes a variety of paradigms, such as Political 221 Ecology, Hydrosocial Cycle, Multiple Ontologies of Water and Water Justice, among others. Key references: 222 Bryant (1992); Boelens et al. (2016); Sultana (2009); Swyngedouw (2004); Linton and Budds (2014) Zwarteveen 223 and Boelens (2014).

224

225 2.1.1. Dimension 1: Starting point

The first dimension concerns the topical focus *(*or 'starting point' in Wesselink, Kooy, and Warner (2017)) of the disciplines in their approach to CHWSs. Conceptualizing CHWSs in

terms of constitutive components (humans and water) and domains of dynamic interactions(time and space) allows us to define two axes along which to organize the disciplines.

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Broadly speaking, the first axis tends to separate disciplines rooted in the environmental versus 231 social sciences (Figure 1A, x-axis). On one end of the spectrum, Large Scale Hydrology (LS) 232 generally integrates human processes (e.g., irrigation withdrawals) with the explicit purpose of 233 improving hydrological predictions. Conversely, Critical Geography (CG) studies often take 234 power relations governing water governance at different scales as the entry point of their 235 236 analysis. Hydrological principles are mobilized with the explicit purpose of better understanding the associated social processes and uneven outcomes. Most disciplines lie 237 between these ends of the spectrum. For example, Hydroeconomic (HM) and Sociohydrologic 238 (SH) models are rooted in water management and hydrology but also seek to predict and 239 optimize social and economic variables (e.g., welfare, costs or resilience), in addition to 240 environmental ones. Similarly, Economics (EC), Ecological Economics (EE) and 241 Institutionalism (IN) often consider social processes (e.g., incentives, supply chains and 242 institutions) from the perspective of resource sustainability and/or environmental conservation. 243

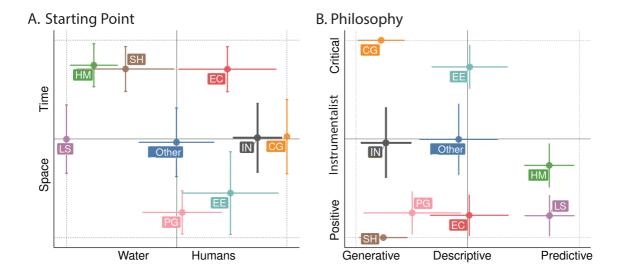
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The second axis (Figure 1A, y-axis) distinguishes disciplines that predominantly focus on the temporal versus spatial dynamics of water-human interactions. HM and SH often represent system components as potentially multiple, spatially lumped, entities and focus on characterizing their response to time-varying (generally stochastic or non-stationary) climate or anthropogenic forcing. This places these disciplines on the temporal side of the axis, whereas, in contrast, Physical Geography (PG) and Ecological Economics (EE), e.g., studies mapping social metabolism (Huang et al. 2013) or virtual water flows (Lenzen et al. 2013),

often predominantly focus on the spatial dynamics of fluxes and stocks, whether virtual water,

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energy or people.
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Figure 1. Typology dimensions 1 and 2: Starting point and philosophy. Symbols and error bars for each discipline
represent their mean location and standard deviation across N=1000 Monte Carlo simulations. Discipline
acronyms are defined in Box 2.

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260 2.1.2. Dimension 2: Philosophical paradigm

The second dimension concerns the *philosophical paradigm* of the discipline as described in its epistemological ('what can we know about the world?') and axiological ('why should we gather knowledge' and 'what should we do with the knowledge?') tenets. This dimension is conceptualized as a pair of orthogonal axes, each containing three discrete categories.

The first axis portrays the knowledge-action paradigm of each discipline and is discretized into *positive, instrumentalist* and *critical* approaches. The distinction between positive and instrumentalist approaches is an axiological one. Positivist approaches (e.g., socio hydrology) "seek to understand the dynamics of coupled human-water systems, as opposed to normative

(here referred to as instrumentalist) approaches (e.g., water systems analysis) aimed at solving 269 concrete water management problems" (Pande and Sivapalan 2017). This distinction broadly 270 separates the sciences that seek to test theoretical hypotheses (SH, PG, EC, LS) from the 271 engineering and policy fields that seek to address specific management problems, whether 272 through system optimization (HM) or institutional design (IN). Rather than fixing a specific 273 water management problem, Critical Geography (CG) scholars use a commitment to social 274 275 justice, unsettling oppressive power structures and the promotion of transformative social change as starting points to critique the way water management problems are framed in the 276 277 first place (Blomley 2006; Painter 2000; Mustafa and Halvorson 2020). These approaches, which we refer to as *critical*, are also distinguished by their epistemological view: they hold that the 278 researcher is an integral part of the system that he/she is studying, so the knowledge that they 279 gather is situated and what they perceive as the optimal solution to the problem, or indeed their 280 very framing of the problem itself, can be subjective and therefore critiqued (see Wesselink, 281 Kooy, and Warner 2017). This critical stance is a defining characteristic of CG. It is also often 282 adopted within EE through critiques of market-based assumptions and arguments about the 283 incommensurability of values and the need for non-monetary valuation tools (Martinez-Alier, 284 Munda, and O'Neill 1998). 285

286

The second axis -- *epistemic perspective* -- determines whether the knowledge is predominantly gathered to predict the future (*Predictive*), describe the present (*Descriptive*) or understand the current state of the world by studying its past evolution (*Generative*). Predictive disciplines often include scenario analysis to characterize the response of CHWS to counterfactual climate or anthropogenic forcings. For example, LS models have been used to predict future water availability under climate change using different representative concentration pathway (RCP)

alternative management options on future hydroclimate resilience (Brown et al. 2012; Kryston
et al. 2022). Descriptive disciplines might similarly focus on policy evaluation, but often from
an ex post perspective using observational data (e.g, Cabello Villarejo and Madrid Lopez 2014
for EE). Finally, generative studies use historic analysis to either explain current paradoxical
phenomena (e.g., "levee effect" in HS, Di Baldassarre et al. 2013), understand the emergence
of current issues (e.g., water injustice in CG (Zwarteveen and Boelens 2014; Sultana 2018) or
draw lessons learned to improve current practices (e.g., common pool institutions in IN, E.
Ostrom 1965).

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303 2.2.3. Dimension 3: Level of Aggregation

The third dimension concerns the level of aggregation of the discipline. Here we distinguish 304 disciplines that view CHWS as two systems (humans and water) that are coupled but distinct 305 from each other. These disciplines generally seek to represent the lump state of each system 306 and its spatial and temporal dynamics as they interact with each other (Fig 2A negative y axis). 307 For example, SH and HM often represent CHWSs as dynamic systems with coupled 308 differential equations representing the time variations of spatially lumped state variables. In 309 HM and EC, these state variables might also be formulated in the context of a maximization 310 problem seeking to optimize the system according to one or more objectives describing its 311 aggregate state. In contrast, other disciplines view CHWS as a single integrated 'socionatural' 312 313 continuum, in which the 'socio' and 'natural' elements cannot be separated or even distinguished (Linton and Budds 2014). As a corollary, these disciplines generally focus on 314 characterizing heterogeneities within that system (Fig 2A, positive y axis). For example, the 315 political ecology or water justice frameworks within CG predominantly focus on describing 316 and addressing inequities and asymmetrical power dynamics within a hydrosocial continuum 317

(Ranganathan and Balazs 2015; Boelens et al. 2022; Hommes et al. 2018; Correia 2022).
Similarly, EE and PG describe heterogeneities and patterns in terms of resources and fluxes
(e.g., water, energy, money, power or people), either across the integrated CHWS system or
across the physical space.

322

The distinction between a focus on aggregate or disaggregate outcomes in the spatial domain 323 can be extended to the temporal domain. Some disciplines predominantly focus on describing 324 the time- aggregate state of a system. For example, water footprint assessments of, say, food 325 production within EE often represent time-averaged crop water use within a given period and 326 do not account for inter-annual variations associated with climate variability (Tuninetti et al. 327 2017). In contrast, other disciplines focus on time disaggregated behavior, for instance by 328 seeking to characterize the robustness and resilience of systems to extreme events (HM, Reed 329 et al. 2022). 330

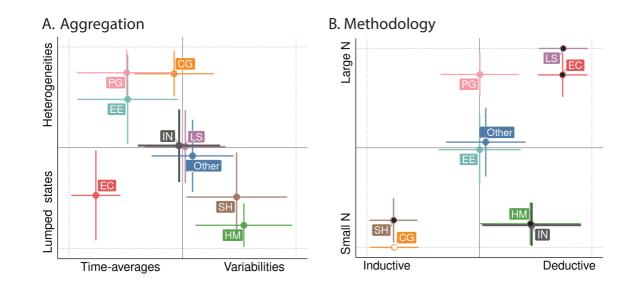


Figure 2. Typology dimensions 3 and 4: Aggregation and Methodology. Symbols and error bars for each
discipline represent their mean location and standard deviation across N=1000 Monte Carlo simulations.
Discipline acronyms are defined in Box 2. On Panel B (Methodology), black and white symbol colors indicate

disciplines that are predominantly quantitative and qualitative, respectively. Any other color indicates disciplinesthat are neither predominantly quantitative nor qualitative.

- 337
- 338 2.1.4. Dimension 4: Methodology

The final dimension concerns the methodological characteristics of the discipline, which 339 determines how knowledge is being gathered. Here the distinction operates along three axes. 340 341 The first relates to sample sizes and differentiates between disciplines focusing on a small number of case studies or a large statistical sample. Broadly speaking, the former focuses on 342 the specificity of each CHWS and seeks to elucidate its constitutive causal relationships. Small 343 sample studies generally work under the assumption that observations are determined by the 344 unique contextual setting of each case, from which they can hardly be decoupled (see, e.g., 345 (Beven 2000). This approach is prevalent in CG, IN and HM, where the local context plays a 346 key role in determining the relationships between humans and water, the institutions that 347 regulate these relationships and the infrastructure settings that optimize their outcome. Small 348 sample studies are also prevalent in SH, where the process of generating transferable theoretical 349 insights from place-based observations has long been discussed as a major challenge (Pande 350 and Sivapalan 2017; Müller and Levy 2019; Bertassello, Levy, and Müller 2021). In contrast, 351 352 large sample studies generally focus on similarities across individual CHWSs. They generally rely on statistical analyses to evaluate persistent CHWS relationships (whether causal or 353 354 correlational) that hold 'on average' across a large number of contexts (Addor et al. 2020). These statistical relationships might be used for inference and hypothesis testing (EC) or for 355 model validation (LS, PG, Galán and López-Paredes 2009). These so-called "small-N" and 356 "large-N" approaches have been alternatively described as Newtonian vs Darwinian in the 357 hydrology literature (e.g., Harman and Troch 2014) and put the emphasis on internal (causality) 358 and external (sample representativeness) validity, respectively. 359

360

The second axis differentiates between disciplines where deductive or inductive reasoning is 361 the norm. Broadly speaking, deductive reasoning uses theory to generate predictions that are 362 then validated against empirical data (LS, HM) or, alternatively, to generate hypotheses that 363 are then tested against empirical evidence. This latter approach is favored by disciplines (such 364 as IN and EC) where policy evaluation takes a central role: theoretical frameworks are used to 365 design policy which is then evaluated using causal empirical inference (Müller and Levy 2019). 366 In contrast, inductive reasoning uses empirical analysis to identify patterns that are then 367 explained through theory development. This approach is favored by disciplines such as SH 368 (Troy, Pavao-Zuckerman, and Evans 2015) and CG (Meehan et al. 2023), where theory is often 369 developed through the synthesis of place-based empirical studies. Finally, the third axis 370 differentiates between disciplines relying primarily on qualitative (CG), quantitative (SH, EC, 371 LS and HM), or mixed methods. 372

373

374 2.2. Interdisciplinary distances

375 2.2.1. Position and uncertainty

376 We assign a compatibility score and a complementarity score for each pair of disciplines according to their relative position in the spaces corresponding to each primary dimension of 377 the typology (Figure 3). The axes corresponding to each primary dimension are normalized 378 between -1 and 1 and each discipline is placed at any of the three possible integer positions (-379 1, 0, 1) for each axis. For example, disciplines focusing on the spatial and temporal dynamics 380 of coupled human water systems will be respectively placed at -1 and 1 on the corresponding 381 382 axis. Disciplines ascribing approximately an equal weight to temporal and spatial dynamics will be placed at a value of 0 on that axis. This system allows a very diverse set of disciplines 383

to be systematically positioned and compared, but offers a somewhat reductionist perspective on each discipline. First, each discipline is clearly made up of a diverse set of studies that are unlikely to map to the same location in the typology. Second, each researcher might have a different subjective opinion on the location of their discipline that may differ from that of our author team. We address these two challenges -- diversity and subjectivity as follows.

389

We mitigate the diversity challenge by assigning to each discipline a set of discrete probabilities along each axis, rather than a deterministic position. We assign a weight w_i to each integer position $i \in \{-1,0,1\}$ on each axis based on three parameters (mode μ , minimum m and maximum M) that we determine for each discipline to represent its central tendency and range for that axis:

395
$$w_i = \begin{cases} 1 & if \ i \in [m, M] \\ 2 & if \ i = \mu \\ 0 & otherwise \end{cases}$$

For example, infrastructure operations that hydro-economic models seek to optimize are often set to address *time* variations in water availability (floods and droughts) and demand (Harou et al. 2009). However, in some cases water system outcomes are governed by *spatial*, rather than temporal, dynamics (Mullen et al. 2022). HM might therefore be represented as $\{w_{-1}, w_0, w_1\} =$ $\{2,1,1\}$ on the time-space axis of the "*Starting point*" dimension of the typology. The probability P_i associated with each position *i* is then obtained as

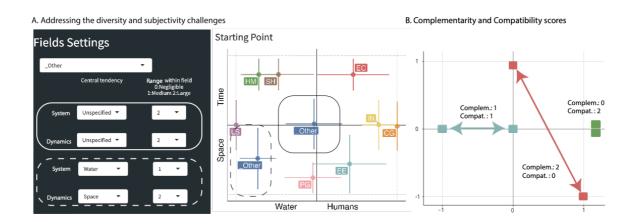
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$$P_i = \frac{W_i}{\sum_i W_i}$$

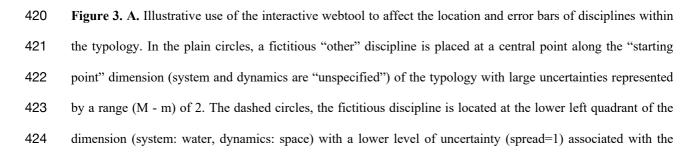
We use a Monte Carlo method to propagate the uncertainty on the position of each discipline in the typology. This distribution is visualized on Figure 1A for HM, where the symbol is squarely in the upper quadrant of the graph ('time') with an error bar representing the standard

406 deviation of the Monte-Carlo generated distribution around its mean value. At each run, we (1) 407 generate an independent instance of position $i \in \{-1,0,1\}$ for each discipline along each axis 408 of the typology according to the corresponding probabilities; and (2) compute the compatibility 409 and complementarity scores between each pair of disciplines as described below. We finally 410 compute the ensemble-mean compatibility and complementarity scores across the N=1000 runs 411 of the Monte Carlo analysis.

We mitigate the subjectivity challenge by encoding the typology into an interactive web-based tool that is openly accessible at <u>https://mfmul.shinyapps.io/TypologyOfDisciplines/</u>. The tool can be used to adjust weights w_i for combinations of dimensions and disciplines and observe the ensuing effect on the compatibility and complementarity scores (Figure 3A). Broadly speaking, we find that the qualitative results discussed in Section 3 are robust to small deviations from the default weights provided in Table S1.







- 425 "system" axis. B. Examples of determination of complementarity and compatibility scores based on the relative426 location of disciplines within a dimension of the typology.
- 427

428 2.2.2. Compatibility and complementarity scores

The compatibility score $S_{1/1} \in [0,1]$ is intended to represent the topical, philosophical, 429 aggregational and methodological overlaps between two disciplines. For each primary 430 dimension, we define the compatibility score as the proportion of secondary dimensions along 431 which the two disciplines 'overlap' (i.e. they are separated by a distance of zero). Two 432 disciplines located at the exact same position in the space corresponding to a primary 433 dimension of the typology will have a maximum compatibility score of 1. The compatibility 434 score will be 0.5 if two disciplines have the same position along one of the two axes of the 435 primary dimension, and zero if they do not share any common coordinates (Figure 3B). 436

437

The complementarity score $S_{\perp} \in [0,1]$ is intended to represent the extent to which two disciplines 438 cover the typological space that we associate with each primary dimension. We define it for 439 each primary dimension as the maximum normalized distance between two disciplines along 440 any of the secondary axes. Accordingly, two disciplines located at the same position in the 441 space will have a complementarity score of zero. Two disciplines located at opposite ends of 442 one of the axes will take a complementarity score of 1, no matter their location along the other 443 axis (Figure 3B). Our metric for S_{\perp} allows for the axis along which two disciplines are most 444 complementary to be specifically identified for each dimension of the typology. We believe 445 this has high practical value by allowing multi-disciplinary teams to identify specific 446 447 dimensions for which interdisciplinary research has the highest potential. This axis-specific

information would be lost by more common distance metrics (e.g., the Euclidian distance) thataggregate coordinates from all axes.

450

451 Compatibility $(S_{//})$ and complementarity (S_{\perp}) scores are computed independently for each of 452 the four primary dimensions of the typology, which are then averaged to obtain overall values 453 of $S_{//}$ and S_{\perp} for each combination of disciplines. As before, computing $S_{//}$ and S_{\perp} separately 454 for each dimension has the practical benefit of allowing key barriers to, and areas of potential 455 for, interdisciplinary research to be identified.

456

Overall scores were finally obtained as the average between $S_{//}$ and S_{\perp} for each combination of disciplines. This implies that complementarity and compatibility are weighted equally within the context of this analysis. This is, of course, a subjective choice that we believe is the most parsimonious approach. Nevertheless, alternative weights that ascribe a higher virtue to either of the two characteristics can be assigned in the interactive web-based tool ("Score Weight" slide bar at the bottom of the side panel on the left hand side).

463

464 2.3 Bibliometric analysis

The outcomes of the typology are discussed in relation to a large bibliometric analysis of historic research collaborations. We obtained paper references from Clarivate's Web of Science database through separate queries for each of the eight disciplines using the keywords provided in Table S2. We restricted our search to peer-reviewed research papers published in the English language, excluding preprints, conference proceedings, book reviews and meeting abstracts. We aggregated the output of each query to obtain a final database of 11,885 papers,

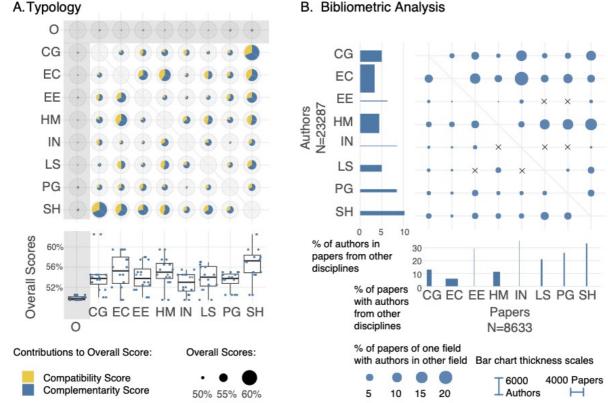
8,633 of which have been published in the 2012-2022 period. Each paper is assigned a "home" 471 discipline based on the particular query that identified it, i.e. all papers appearing in the query 472 corresponding to "SH" in Table S2 are assigned to the discipline of sociohydrology, and so on. 473 About 1.7% of papers appeared in two or more of the eight queries, in which case one of the 474 corresponding disciplines was assigned randomly. The sample of papers represents 29,021 475 distinct authors, 23,287 of which have published queried papers in the 2012-2022 period. We 476 assigned to each author a "home" discipline based on the query containing the highest number 477 of their papers. For example, M. Rusca appears on 9, 3 and 1 papers in the queries 478 479 corresponding to CG, SH and EC respectively and is therefore assigned CG as a home discipline (which corresponds to her self-identified affiliation). About 2.3% of authors have 480 equal numbers of papers in two or more disciplines, in which case one of the corresponding 481 disciplines was assigned randomly. After assigning a discipline to each author and paper, we 482 characterize interdisciplinary collaboration by computing the proportion of papers in each 483 discipline that include authors from other disciplines. Note that this outcome-focused metric 484 uses co-authorship as a sole measure of interdisciplinary success. This is undoubtedly 485 reductionist and fails to capture important outcomes of interdisciplinary research beyond 486 publications -- a caveat that needs to be kept in mind while interpreting the results. We focused 487 on the set of papers published during the 2012-2022 period, which corresponds to the IAHS 488 Panta Rhei scientific decade (Montanari et al. 2013). 489

490

491 **3. Results and discussion**

The outcomes of the typology mapping for the disciplines in Box 2 are displayed on Figure
493 4A. The boxplots represent the distributions of overall scores for each discipline, which vary
494 between 0.5 (or 50%) and 63% for all considered interdisciplinary combinations. This narrow

range is not surprising perhaps, as disciplines that are less compatible intuitively tend to be 495 more complementary. Nonetheless, the value of the typology lies in the non-linear nature of 496 that tradeoff along the different dimensions of the typology: disciplines that are simultaneously 497 compatible along some dimensions and complementary along others are particularly propitious 498 for interdisciplinary collaborations. Consequently, the remainder of the discussion focuses on 499 the relative disparities between the scores attributed to different combinations of disciplines, 500 501 rather than seeking to interpret their absolute value. Accordingly, the size of pies corresponding to each combination of disciplines on Figure 4A were scaled to match the range of total scores 502 503 in the boxplots and represent the relative affinity between disciplines. Section 3.1 discusses the extent to which this affinity predicted by the typology matches historic patterns of 504 interdisciplinary collaborations revealed by the bibliometric analysis. The relations between 505 disciplines within the typology and the respective contribution of compatibility and 506 complementary characteristics across its dimensions (colors in the pies of Figure 4A) are 507 discussed in Section 3.2. 508



B. Bibliometric Analysis

510 Figure 4. A. Outcome of the typology classification. Boxplots represent the distribution of overall scores 511 associated with the combinations between each discipline and all the other disciplines. Pie sizes represent overall 512 scores (scaled between 0.5 and 0.65) for each combination of discipline, with colors representing the respective 513 contributions of the compatibility and complementarity scores. Combinations with an additional fictitious 514 discipline located at the center of each dimension in the typology are highlighted in gray. B. Results of the 515 bibliometric analysis of interdisciplinary papers published in each of the 8 disciplines between 2012 and 2022. 516 Vertical bars represent the proportion of papers from each discipline with authors from other disciplines; 517 horizontal bars represent the proportion of authors from each discipline who co-author papers in other disciplines. 518 Thickness of bars are proportional to the number of authors (horizontal bars) or papers (vertical bars) sampled for 519 each discipline. Symbol sizes represent the proportion of papers in each "column" discipline with authors from 520 the "row" discipline. Cross symbols represent a proportion of zero. Discipline acronyms are defined in Box 2, with the exception of "O", which represents a fictitious "other" discipline located at the center of the typology 521 522 (see Section 3.2).

523

509

525

526 **3.1.** Typology predictions and past interdisciplinary research

Results of the bibliometric analysis are displayed on Figure 4B. Vertical bars represent the proportion of papers in each discipline that include at least one author from another discipline during the 2012-2022 period. Horizontal bars represent the proportion of authors from each discipline who have served as co-authors on papers in other disciplines during the 2012-2022 period. Symbol sizes represent the proportion of papers in each discipline (columns) that include authors from other disciplines (rows).

533

Comparing Figures 4A and B suggest a broad consistency between predictions from the 534 typology and outcomes of the bibliometric analysis. Both analyses point to SH as having the 535 highest average level of affinity with the other disciplines (Fig 4A, boxplot) and the highest 536 propensity for recent interdisciplinary research, both in terms of publishing in papers hosted in 537 other disciplines (Fig 4B, horizontal bars) and including authors from other disciplines in SH 538 publications (Fig 4B, vertical bars). Care must be taken in interpreting these absolute results, 539 however, because the analysis is limited to the 8 particular disciplines in Box 2. These 540 disciplines might have a high affinity with other disciplines that have been omitted from the 541 analysis, so a comparatively lower average affinity in Figure 4 does not mean a lower absolute 542 affinity for interdisciplinary research. This limitation is less likely to affect the *relative* levels 543 of affinity between individual combinations of disciplines that were included in the analysis. 544 Indeed, patterns of symbol sizes within individual columns of Fig 4A also parallel 545 corresponding patterns in Fig 4B, suggesting that the relative affinities between disciplines 546 predicted by the typology is consistent with historic patterns of collaborations, measured in 547 terms of the number of authors from other disciplines that participate in papers from each 548 discipline. Comparing the ranking of symbol sizes within each column for the theoretical 549

(Figure 4A) and empirical (Figure 4B) outcomes yields a median Spearman correlation coefficient of 0.52 (Quartiles: 0.21, 0.73) across disciplines. For example, consistent with the typology in Figure 4A, interdisciplinary co-authorship to SH papers is dominated by authors from CG and, to a lesser extent, HM and EC (Fig 4B, last column) with comparatively little participation by authors from IN. In the social sciences, participation in EE papers is dominated by EC with almost no participation by LS and IN (Fig 4B column 3).

556

Beyond these broad similarities, there are specific differences between the typology prediction 557 558 and bibliometric analysis that are important to point out. These differences are not surprising and arise from the fact that factors other than the theoretical affinity considered in the typology 559 determine the feasibility of interdisciplinary research. Some of these factors are rooted in the 560 historic evolution of the disciplines. For example, IN and EE exhibit high levels of 561 interdisciplinary integration, both in terms of the propensity for their own authors to participate 562 in papers in other disciplines, and in terms of the inclusion of authors from other fields in their 563 own papers. Yet (according to our typology) neither field has a comparatively strong theoretical 564 affinity for interdisciplinary research with other disciplines in Box 2, or has authors 565 contributing to a substantial share of papers in other disciplines (Fig 4B, rows 3 and 5). Both 566 disciplines emerged within the last 50 years and evolved in association with journals (e.g., 567 Ecological Economics) and workshops (e.g., the Ostrom workshop at Indiana University) that 568 are themselves interdisciplinary with researchers predominantly from CG, EC and HM. As a 569 result, an outsize number of researchers contributing to IN and EE are rooted within -- and 570 predominantly publish in -- these three fields (Fig 4B columns 3 and 5). As a corollary, a 571 comparatively small number of researchers publish a predominant number of their papers in 572 IN or EE and were attributed these fields as their "home" discipline, hence the narrower 573 horizontal bars in Figure 4B. 574

575

Structural norms within disciplines and institutions are also well-known barriers to 576 interdisciplinary research (Boden and Borrego 2011). For example, the typology identifies EC 577 as having a high potential for interdisciplinary research with an average affinity score second 578 only to SH (Fig 4A boxplots). This prediction is consistent with the fact that EC authors 579 participate in a substantial share of papers from other disciplines (Fig 4B, row 2). Yet these 580 contributions can be traced to a small subset of authors, as the overall share of EC authors 581 participating in interdisciplinary research is the smallest among the 8 considered disciplines. 582 583 Similarly, the share of EC papers that include authors from other disciplines is the smallest among the considered disciplines. These results echo previous findings about the propensity 584 for economics to simultaneously serve as a source of interdisciplinary knowledge for other 585 disciplines while not building substantially on insights from them (Pieters and Baumgartner 586 2002). They also reflect strong disciplinary norms incentivizing publication in a small number 587 of disciplinary journals, with comparatively much smaller weights placed on interdisciplinary 588 publications for promotion and tenure evaluations (Heckman and Moktan 2020; Jaeger et al. 589 2023). While perhaps extreme in economics, structural barriers to interdisciplinary research are 590 certainly not unique to that field. A pattern that is comparable to EC also emerges for HM in 591 our results, namely a high potential for interdisciplinary research outlined by both the typology 592 and contribution to research in other disciplines, and yet a comparatively low rate of 593 participation to interdisciplinary research both in terms of authors and papers. The isolation of 594 these disciplines might also be partly attributed to power dynamics at play within academic 595 and policy circles that restrict or de-incentivize the large potential for EC and HM to contribute 596 to interdisciplinary research. For instance, academic culture and water practitioners tend to 597 value quantitative methods and economic assessments over qualitative methods and socio-598 political analyses (see for instance Budds, 2009; Zwarteveen et al., 2017; Rusca and Di 599

Baldassarre, 2019), placing disciplines like EC and HM in a position of power. Qualitative 600 social sciences, on the other hand, are often marginalised (Seidl et al. 2017; Hesse-Biber, 2010; 601 Connelly and Anderson, 2010). These types of power asymmetries are often reproduced in 602 interdisciplinary research projects, where qualitative social sciences are at times placed in a 603 "service" (Viseu, 2015, p. 291) or "end-of-pipe" role (Lowe, 2013 p. 207). The large untapped 604 potential for an increased contribution of EC and HM to CHWS knowledge could perhaps be 605 606 leveraged with more explicit structural incentives for interdisciplinary research within these fields. 607

608

609 3.2. Compatibility and complementarity across typology dimensions

The typology is based on the premise that combinations of disciplines that are compatible along 610 some of its dimensions, while being complementary along others, have a particularly high 611 affinity for interdisciplinary research. To characterize this tradeoff and its implications for the 612 disciplines in Box 2, we conceptualize the typology as a network with links characterized by 613 the degree (described as the quantile of overall score) and type (complementarity vs 614 compatibility) of relationship that it assigns to each combination of disciplines. This network 615 is depicted in Figure 5 for the overall score representing the general affinity between the 616 disciplines (panel A) and the specific score corresponding to each of the four dimensions of 617 the typology. Dashed and plain edges represent significant relationships with scores higher than 618 619 the median and 75th percentile (respectively) of all 45 possible combinations of discipline pairs. The subset of solid links with arrows or square symbols respectively represent significant 620 relationships that are either mainly complementary or compatible, which occurs when either 621 the complementarity or the compatibility score (but not both) is higher than its corresponding 622 75th percentile. For the purpose of this analysis, the network in Figure 5 also contains a 623 fictitious 9th discipline in addition to the 8 disciplines in Box 2. This additional discipline 624

- 625 (labeled "O" as "other" in Figure 5 and Figure 4A) is located at a central location within each
- 626 dimension of the typology and serves as a baseline in the discussion.

627

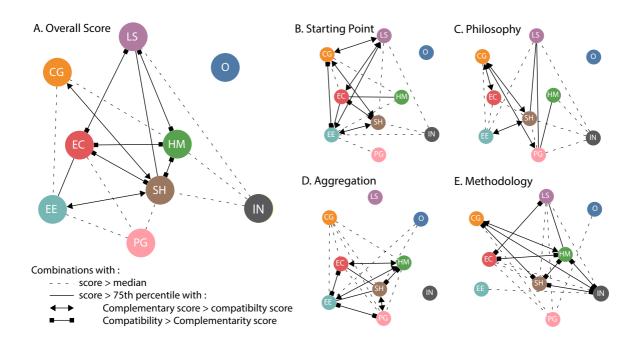




Figure 5. Relational network between disciplines for the overall score and the individual dimensions of the typology. For each network, overall scores larger than their median and 75th percentile are represented as dashed and solid lines, respectively. Edges with compatibility or complementarity scores larger than their 75th percentile values are marked with arrow and square symbols,

respectively. Discipline acronyms are defined in Box 2, with the exception of "O", which represents a

634 fictitious "other" discipline located at the center of the typology (see Section 3.2).

635

The analysis identifies SH and EC, followed by HM and LS, as occupying central locations within the typology with the largest degrees of connectivity, with respectively 5, 4, 3 and 3 solid edges on Figure 5. These four disciplines form a cluster with high degrees of compatibility or connectivity along *different* dimensions of the typology, as seen in the insets in Figure 5, which allows for large overall scores (pie sizes in Figure 4A). Specifically, HM, LS and SH take water as a starting point, whereas EC takes a complementary perspective rooted in the social sciences; yet a different combination of three disciplines (HM, SH and EC)

predominantly focus on temporal dynamics that complement the spatial dynamics captured by 643 LS. With regards to philosophy, LS and HM are both oriented towards prediction, whereas EC 644 and SH are respectively predominantly concerned with description and generation; finally, HM 645 takes an instrumentalist perspective that complements the positivist perspective of LS, SH and 646 EC. Methodologically, although all four approaches are compatible in their quantitative 647 approach, two of them (LS and EC) are data-intensive disciplines (large N) that complement 648 the site-specific (small N) approach often adopted by the two others (HM and SH). Finally, 649 three (HM, LS and EC) of the four disciplines are deductive in the sense that they rely on theory 650 651 to make predictions, which complements the observation-based inductive approach often adopted by SH researchers. 652

653

These tradeoffs translate in a high degree of interdisciplinary connectivity for SH, which sits 654 at the center of the typological space occupied by the four fields along most considered 655 dimensions (Figure 5). This stands in sharp contrast with the baseline discipline "O", which 656 stands as the most poorly connected in the typology (Figure 5) despite its central location along 657 each dimension (see Figures 1 and 2). This apparent paradox illustrates the advantage of being 658 simultaneously complementary and compatible to different disciplines along different 659 dimensions, rather than being moderately close to all disciplines along all dimensions. A high 660 degree of connectivity within the typology does not only point to a discipline's high affinity to 661 connect with other individual disciplines but also its potential to act as a bridge between (i) 662 multiple and (ii) diverse disciplines. Regarding multiplicity, SH has both the highest degree of 663 connectivity (Figure 5) and the largest proportion of papers with authors hailing from three or 664 more disciplines (Table 1). Regarding diversity, the compatibility -- or even overlap -- between 665 SH and other disciplines that occupy a similarly central location in the typology has been 666 extensively discussed in previous reviews (see, e.g., Madani and Shafiee-Jood (2020); Pande 667

and Sivapalan (2017) for HM, Müller and Levy (2019) for EC and Wada et al. (2017) for LS). 668 Yet, remarkably, the largest overall affinity score predicted by the typology relates SH to CG, 669 a qualitative critical social science that is philosophically and methodologically very distinct 670 from the centrally located disciplines of the typology. This complementary perspective offers 671 outsize potential to generate the type of holistic and actionable knowledge necessary to 672 understand and govern complex CHWS, as argued in Wesselink, Kooy, and Warner (2017) 673 674 and illustrated in Savelli et al. (2021). Here the typology suggests that SH and CG are not only complementary but also compatible along -- different -- key dimensions that can serve as a 675 676 starting point for interdisciplinary research. Namely, both disciplines tend to take a generative perspective and a place based (small-N) methodology based on inductive reasoning in the sense 677 that theory development is driven by empirical observations (Fig 1 and 2). These 678 commonalities can serve as a cornerstone for interdisciplinary research between the two fields. 679 680

	CG	EC	EE	HM	IN	LS	PG	SH
R1	0.02	0.00	0.01	0.01	0.02	0.04	0.03	0.07
R2	0.13	0.05	0.05	0.11	0.07	0.18	0.13	0.20

681

682 Table 1. Fraction of papers in each discipline with authors from 3 or more disciplines. R1 represents the ratios of 683 all the papers queried for each discipline. R2 represents the ratio of the subset of papers of each discipline that are 684 interdisciplinary, i.e. that have authors from 2 or more disciplines.

685

686 4. Conclusion

This paper proposes a typology to map and relate key disciplines focusing on CHWS. Thisprocess comes with a certain level of subjectivity in both the selection of disciplines and their

placement within the typology, which we mitigate -- but not eliminate -- using a Monte Carlo 689 analysis and an interactive web platform. In addition, the typology itself can be further 690 developed to capture application constraints and opportunities that are not currently accounted 691 for. For example, the unit of analysis and its associated spatial and temporal scales might vary 692 substantially across disciplines: LS might considers hourly variations over ~100km² grids; SH 693 might consider long term >10 years coevolving catchment-scale phenomena; GC might take 694 individual-level personal experiences as units of analysis. These aspects affect the 695 compatibility and complementarity of interdisciplinary combinations and need to be further 696 697 studied. With these caveats in mind, application to 8 specific disciplines allowed us to identify particularly promising combinations of disciplines that stand out for their high degree of 698 compatibility and complementarity. The typology can, in particular, be used to discern areas of 699 700 compatibility between disciplines such as SH and CG, which have a particularly high potential to generate new insight due to their high degree of complementarity. Conversely, the typology 701 also identifies dimensions along which disciplines such as SH and HM, which have been 702 argued to be overlapping and redundant, can be used to complement each other and generate 703 new insights. More broadly, the typology also outlines important features of the landscape of 704 CHWS research where some disciplines (e.g., SH and EC) occupy a central location within the 705 typology. These disciplines are compatible and complementary to a large set of disciplines 706 along different dimensions of the typology and can potentially serve as catalysts for broader 707 708 interdisciplinary research. While specific to coupled human-water systems, these findings also point to the potential for a comparable typological approach to be used to support 709 interdisciplinary research on other topics that have been the focus of extensive -- but separate 710 711 -- traditions of research in multiple disciplines.

712

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