Fabrication of Thermo-responsive Cotton Fabrics Using Poly(vinyl caprolactam-co-hydroxyethyl acrylamide) Copolymer

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10 Abstract

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A thermo-responsive polymer with hydrophilic to hydrophobic transition behavior, 11 poly(vinyl caprolactam-co-hydroxyethyl acrylamide) (P(VCL-co-HEAA)), was prepared 12 by copolymerization of vinyl caprolactam and N-hydroxyethyl acrylamide via free radical 13 solution polymerization. The resulting copolymer was characterized by Fourier transform 14 infrared spectroscopy (FTIR), ¹H nuclear magnetic resonance (NMR), gel permeation 15 chromatography (GPC), differential scanning calorimetry (DSC) and thermogravimetric 16 analysis (TGA). The lower critical solution temperature (LCST) of P(VCL-co-HEAA) 17 was determined at 34.5°C. This thermo-responsive polymer was then grafted onto cotton 18 fabrics using 1,2,3,4-butanetetracarboxylic acid (BTCA) as crosslinker and sodium 19 hypophosphite (SHP) as catalyst. FTIR and energy dispersive X-ray spectroscopy (EDS) 20 studies confirmed the successful grafting reaction. The modified cotton fabric exhibited 21 22 thermo-responsive behavior as evidenced by water vapor permeability measurement confirming decreased permeability at elevated temperature. This is the first demonstration 23 24 that a PVCL based copolymer is grafted to cotton fabrics. This study provides a new thermo-responsive polymer for fabrication of smart cotton fabrics with thermally 25 26 switchable hydrophilicity.

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Keywords: thermo-responsive polymer, poly(vinyl caprolactam) (PVCL), LCST, watervapor permeability, smart cotton fabric

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35 **1. Introduction**

Cotton fabrics have many desirable properties, which include high absorbency, comfort, 36 37 dyeability and low cost. Cotton consists of nearly 99% cellulose and the hydroxyl groups (-OH) available on the cellulose backbone have been used in variety of modification 38 strategies to impart a new functionality to cotton fabric, such as wrinkle resistance and 39 antimicrobial properties. In recent years, an emerging modification strategy has been 40 centered on grafting stimuli-responsive polymer materials onto cellulose-based natural 41 fibers to create materials that can respond to changes (temperature, pH or light) in the 42 environment (Stuart et al., 2010; Yang, Esteves, Zhu, Wang, & Xin, 2012). A particular 43 research interest is creation of thermo-responsive smart textiles with potential 44 applications in skin care products, wound dressing products, smart permeability, 45 deodorant fabrics, reversible wettability and physiological parameter monitoring (Hu, 46 Meng, Li, & Ibekwe, 2012). 47

Thermo-responsive or temperature-sensitive polymers are a kind of smart materials that 48 respond to changes in temperature and undergo a phase transition at the lower critical 49 solution temperature (LCST) (De Las Heras Alarcón, Pennadam, & Alexander, 2005). At 50 temperatures below LCST, these macromolecules are hydrophilic and soluble in water 51 52 due to the dominant hydrogen bonding between hydrophilic segments of the polymer chain and water molecules. Alternatively, at temperatures above LCST, these 53 macromolecules become hydrophobic and phase separate in water due to the strengthened 54 hydrophobic interactions among hydrophobic segments (Ivan M Okhapkin, Irina R 55 56 Nasimova, Elena E Makhaeva, & Alexei R Khokhlov, 2003; Qiu & Park, 2001). While most polymers increase their water solubility as the temperature increases, polymers with 57 58 an LCST decrease their water solubility as the temperature increases.

59 Poly (N-isopropylacrylamide) (PNIPAm) and poly(vinyl caprolactam) (PVCL) are 60 attractive thermoresponsive polymers with LCST in the range of physiological 61 temperature, a property which makes them especially attractive for potential use in drug 62 delivery, biochemistry, bioengineering or sensors (Bae, Okano, Hsu, & Kim, 1987; 63 Schmaljohann, 2006). Although PNIPAm has been more widely studied, the use of PVCL 64 is a better alternative for bioapplications due to its higher biocompatibility (Cortez-Lemus

65 & Licea-Claverie, 2016).

Grafting of PNIPAAm to cotton fabrics has already been reported by a few authors (T. 66 Chen, Fang, Zhong, Chen, & Wang, 2015; Wang et al., 2016). However, to our 67 knowledge, this is the first demonstration that a PVCL based copolymer is used to 68 manufacture smart fabrics. In this study, we synthesize and immobilize thermo-69 70 responsive copolymer poly(vinyl caprolactam-co-hydroxyethyl acrylamide), P(VCL-co-HEAA), onto cotton to obtain thermally switchable hydrophilicity. Hydroxyethyl 71 72 acrylamide (HEAA) is used as co-monomer in order to add -OH functional groups to the copolymer. These -OH groups are able to graft the copolymer to cotton fabrics via a 73 74 carboxylic acid-based crosslinker (BTCA). Furthermore, it should be noted that PHEAA is also biocompatible and has been widely used for biomedical applications (Zhang, Chu, 75 Zheng, Kissel, & Agarwal, 2012). The properties of the copolymer are characterized by 76 FTIR, ¹H NMR, GPC, DSC and TGA. The lower critical solution temperature (LCST) is 77 78 also determined. FTIR and EDS studies are conducted to confirm the grafting reaction, 79 and scanning electron microscopy (SEM) is used to study the surface morphology of modified cotton. Additionally, the thermo-responsive behavior of the resulting cotton 80 81 fabric is investigated through water vapor permeability measurement.

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83 **2. Experimental**

84 2.1 Materials

85 Standard desized, scoured, and bleached plain woven cotton fabrics (density 110 g/m^2) were purchased from Testfabrics, Inc.. Vinyl caprolactam (VCL), 2,2'-azobis(2-methyl-86 87 propionitrile) (AIBN), dimethyl formamide (DMF), diethyl ether, 1,2,3,4-Butanetetracarboxylic acid (BTCA), and sodium hypophosphite monohydrate (SHP) 88 were all purchased from Sigma Aldrich. N-(2-Hydroxyethyl) acrylamide (HEAA) was 89 supplied by Santa Cruz Biotechnology. Deuterium oxide (D₂O) was purchased from 90 Cambridge Isotope Laboratories, Inc.. All chemicals were used as received without 91 further purification. 92

93 2.2 Synthesis of P(VCL-co-HEAA) copolymer

P(VCL-co-HEAA) copolymer was synthesized by copolymerizing vinyl caprolactam 94 (VCL) and hydroxyethyl acrylamide (HEAA) via free radical polymerization using AIBN 95 96 as initiator and DMF as solvent. Reactions were performed in a three neck round bottom flask equipped with a reflux condenser and a N₂ inlet. The initial feed molar ratio of VCL 97 to HEAA was 80:20. First, HEAA (16.10g, 140 mmol), VCL (77.84 g, 560 mmol) and 98 99 DMF (345 mL) were added to the flask. The temperature was increased to 60 °C and the reaction mixture was stirred for 15 minutes under N2 flow until all components were 100 completely dissolved. Then, AIBN initiator (0.57 g, 3.5 mmol) was thoroughly dissolved 101 in 5 mL of DMF and then injected into the flask to start the polymerization. The reactions 102 were performed at 60°C for 16 hours under continuous N₂ flow. Polymerization was 103 stopped by cooling down the reaction to room temperature. Afterwards, the polymer was 104 precipitated in diethyl ether, filtered and dried in a vacuum oven at 50 °C overnight. 105

106 2.3 Characterization of P(VCL-co-HEAA) copolymer

- 107 2.3.1 ¹H Nuclear magnetic resonance (NMR)
- 108 The ¹H-NMR experiment of the copolymer was recorded at room temperature with an
- 109 INOVA 400 spectrometer operating at 400 MHz and using D₂O as solvent.
- 110 2.3.2 Gel permeation chromatography (GPC)
- 111 The molecular weight of the P(VCL-co-HEAA) copolymer was measured by a Waters
- ambient-temperature GPC equipped with a Waters 1515 isocratic HPLC pump and a
- 113 Waters 2414 refractive index detector at 50°C. Dimethyl formamide (DMF) with 0.1%
- 114 lithium bromide was used as mobile phase at a flow rate of 0.5 mL/min. The obtained
- 115 molecular weight value was referred to polystyrene standards.
- 116 2.3.3 Thermogravimetric analysis (TGA)
- 117 Thermogravimetric analysis (TGA) of the P(VCL-co-HEAA) copolymer was performed
- from 30 to 800°C at a heating rate of 10°C /min using a nitrogen purge on TGA Q500,
- 119 TA Instruments.
- 120 2.3.4 Differential scanning calorimetry (DSC)
- 121 Thermogram of the copolymer was acquired with a TA instruments DSC Q2000. The

- 122 procedure included a heat/cool/heat sequence at a rate of 10°C/min in the temperature
- between 0°C and 300°C to remove any effect of thermal history.
- 124 2.3.5 Lowest critical solution temperature (LCST)
- The lower critical solution temperature (LCST) of P(VCL-co-HEAA) in aqueous solution was measured on a Spectramax 384 spectrophotometer. Optical transmittance of 1 wt % polymer solution in water was measured at 500 nm as a function of temperature. The LCST value of the polymer was determined at the temperature showing an optical transmittance of 50%.
- 130 2.4 Grafting of P(VCL-co-HEAA) to cotton fabrics
- 131 Grafting of P(VCL-co-HEAA) to cotton fabrics was performed using BTCA as
- crosslinker and SHP as catalyst. A solution was prepared with 250g/L, 20g/L, and 30g/L
 concentrations of P(VCL-co-HEAA), BTCA, and SHP, respectively. Each cotton sample
- 134 was soaked in the solution overnight at room temperature and then padded in a laboratory
- padder with two dips and two nips to reach a wet pickup of (120 ± 5) %. The sample was
- dried at 85°C for 10 min and then cured in an oven at 160°C for 20 min. Finally, the sample was rinsed with deionized water and air-dried in a conditioning room $(21.0\pm2.0^{\circ}C, 65.0\pm4.0\%)$ relative humidity) for 24 h.

139 2.5 Characterization of thermo-responsive cotton fabrics

- 140 2.5.1 Add-on
- 141 The weight of the conditioned cotton fabrics was recorded before and after the grafting 142 process. The add-on of the thermo-responsive cotton fabrics was calculated as the relative 143 weight increase of the fabric as shown in the following equation.

where m_0 is the initial weight of the fabric and m_f is the final weight of the fabric grafted with P(VCL-co-HEAA).

147 2.5.2 FTIR

- 148 The Fourier transform infrared (FTIR) spectra of cotton fabrics were collected on a FTIR
- 149 spectrometer (Magna 560, Nicolet Instrument Technologies, Fitchburg, WI, USA) using

- a diamond attenuated total reflectance (ATR) accessory. The data were averaged over 64
- scans with a resolution of 4 cm⁻¹ in the range of 4000 to 600 cm⁻¹ for each sample.
- 152 2.5.3 SEM-EDS studies
- 153 The surface morphology of cotton fabrics was examined on a field emission scanning 154 electron microscope (LEO 1550 FESEM). The samples were mounted on aluminum stubs 155 and sputter-coated with gold and scanned at 5 kV for SEM imaging. Energy dispersive 156 X-ray spectroscopy (EDS) study was conducted to analyze the elemental compositions of
- 157 cotton fabrics after grafting with P(VCL-co-HEAA) copolymer.
- 158 2.5.4 Water vapor permeability (WVP) measurements
- 159 Water vapor permeability of the modified cotton fabrics was measured in accordance with
- 160 BS 7209:1990 Test Method. Briefly, the test cotton fabric was sealed over the open mouth
- 161 of a test dish which contains water, and the assembly placed in a controlled atmosphere.
- 162 Over a period of time, successive weightings of the assembled dish were made and the
- 163 rate of water vapor permeation through the test fabric was determined. Six test fabrics
- 164 (three for treated samples and three for untreated samples) were tested in a similar manner
- and concurrently to determine WVP at room temperature $(21^{\circ}C)$ and also at 50°C.
- 166 **3. Results and discussion**

167 **3.1** Synthesis and characterization of P(VCL-co-HEAA) copolymer





177 thermograms of PVCL and P(VCL-co-HEAA) copolymer. The second heating cycle of a

- 178 heat/cool/heat sequence is shown; (c) Transmittance of PVCL and P(VCL-co-HEAA) copolymer in
- an aqueous solution as a function of temperature.

Initial concentration of monomers and their reactivity ratios affect compositions of a 180 copolymer. Figure 1 is ¹H-NMR spectrum of P(VCL-co-HEAA) copolymer using D₂O 181 as the solvent. The molar composition of the copolymer was determined by integrating 182 the peaks corresponding to VCL protons at 4.2 ppm (a) and HEAA protons at 3.5 ppm 183 (b). A feed monomer ratio of 80/20 mol % VCL/HEAA yielded a 51/49 mol % 184 VCL/HEAA in the final copolymer. This is attributed to the low reactivity ratio of PVCL 185 as shown by other authors (Ivan M. Okhapkin, Irina R. Nasimova, Elena E. Makhaeva, 186 & Alexei R. Khokhlov, 2003; Shah, Pal, Gude, & Devi, 2010). The more reactive HEAA 187 188 monomer was preferentially incorporated into the copolymer even at a lower feeding ratio to VCL. The weight average molecular weight (Mw) of P(VCL-co-HEAA) copolymer 189 190 was determined by GPC to be 10,0551 g/mol with a polydispersity value of 1.9.

- The thermal properties of PVCL and P(VCL-co-HEAA) copolymer were analyzed by 191 192 TGA studies, as shown in Figure 2(a). The initial weight loss was due to the liberation of absorbed moisture. The sharp weight decrease was associated with the thermal 193 194 degradation of the polymer. The TGA thermograms showed that the synthesized copolymer had a decomposition temperature of up to 420°C. In contrast, PVCL 195 decomposed at 428°C. This suggested that the addition of the hydrophilic comonomer 196 HEAA did not affect the thermal stability of the copolymer remarkably. P(VCL-co-197 HEAA) is thermally stable for the subsequent pad-dry-cure finishing process where the 198 199 grafting reaction with cellulose was achieved at 160°C.
- The glass-transition temperatures (Tg) of PVCL and P(VCL-co-HEAA) copolymer were shown in Figure 2(b) and determined to 190°C and 160°C respectively. Introducing the hydrophilic comonomer HEAA into the copolymer led to a decrease in the Tg. This can enhance the crosslinking reaction between cellulose, the crosslinker and the copolymer in that the molecular chains of the copolymer starts to move at temperatures above Tg, leading to an increased contact with cellulose and the crosslinker.
- Figure 2(c) shows the thermo-responsive behavior of PVCL and the copolymer. The LCST of the polymer was correlated with the temperature dependent phase separation of
- the polymer and determined by monitoring the optical transmittance change as a function

209 of temperature. PVCL has an LCST value at approximately 30.5°C. It is water soluble at room temperature and precipitates at a temperature above 30.5°C. Introduction of a 210 hydrophilic comonomer, HEAA, shifted the LCST value of P(VCL-co-HEAA) 211 copolymer to 34.5°C. HEAA introduces additional hydrogen bonding in the copolymer, 212 213 thus hindering the transition from hydrophilic to hydrophobic and leading to an increase in the LCST. An increase in the LCST of the PVCL based copolymer was also observed 214 215 when PVCL was copolymerized with hydrophilic hydroxymethyl acrylamide monomer (González & Frey, 2017). It should be mentioned that HEAA has an -OH reactive 216 217 functional groups. Thus, the incorporation of HEAA into PVCL polymer chains can facilitate the grafting reaction with cellulose while retaining the temperature sensitive 218 character. 219

220 **3.2** Grafting of P(VCL-co-HEAA) to cotton fabrics



Scheme 1. Crosslinking reaction between the crosslinker BTCA, cellulose and P(VCL-co-HEAA)
 copolymer



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Figure 3. FTIR spectra of (a) untreated cotton fabric; (b) cotton fabric treated with 150 g/L P(VCL-

226 co-HEAA); (c) cotton fabric treated with 200 g/L P(VCL-co-HEAA); (d) cotton fabric treated with

227 250 g/L P(VCL-co-HEAA); (e) P(VCL-co-HEAA) copolymer.



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Figure 4. The add-on of cotton fabric as a function of P(VCL-co-HEAA) concentration

FTIR was used to obtain information about the chemical structure of the thermo-231 responsive cotton after the grafting reaction. Figure 3 shows the FTIR spectra of P(VCL-232 co-HEAA) copolymer, untreated cotton fabric (control) and the fabrics treated with 233 different concentrations of the copolymer. P(VCL-co-HEAA) copolymer contains amide 234 groups that display a characteristic carbonyl absorption peak (C=O stretching, amide I 235 band). The position of the amide I band is dependent on the degree of the hydrogen 236 237 bonding and physical state of the compound (Kozanoğlu, Özdemir, & Usanmaz, 2011). In the FTIR spectra of P(VCL-co-HEAA) copolymer and the treated cotton fabrics, the 238 peak for the C=O stretching vibration was observed at 1615 cm⁻¹. With increasing the 239 concentration of P(VCL-co-HEAA) copolymer from 150 g/L to 250 g/L, the intensity of 240 1615 cm⁻¹ peak in the FTIR spectra of cotton fabrics also increased. Additionally, all 241 treated cotton fabrics show a peak at 1542 cm⁻¹ assigned to N-H bending vibration (amide 242 II) and a peak at 1480 cm⁻¹ associated with C-N stretching vibration (Zhao et al., 2012). 243 This indicates that P(VCL-co-HEAA) copolymer has been successfully deposited onto 244 cotton fabrics. The absorption peak at 1723 cm⁻¹ in Figure 3(b) to (d) is attributed to the 245 ester linkage between -COOH of BTCA and -OH of cellulose and/or -OH of the 246 copolymer as shown in scheme 1. Accordingly, this confirms the crosslinking reaction 247 between the crosslinker BTCA, cellulose and the thermo-responsive copolymer. 248

Figure 4 is the relationship between the amounts of P(VCL-co-HEAA) grafted to cotton as a function of the copolymer concentration. With more thermo-responsive copolymer incorporated in the finishing solution, the weight or add-on of the treated cotton increased accordingly, indicative of the successful immobilization of the copolymer. At a concentration of 250 g/L P(VCL-co-HEAA), the mass add-on of the cotton fabrics was as high as 30%.

255 **3.3 Surface morphology and elemental compositions of cotton fabrics**



Figure 5. SEM images of cotton fabrics (a) untreated cotton; (b) treated with 150 g/L copolymer; (c)
 treated with 200 g/L copolymer; (d) treated with 250 g/L copolymer.

Surface morphology and elemental compositions of the cotton fabrics grafted with
 P(VCL-co-HEAA) copolymer were obtained by using scanning electron microscopy
 (SEM) equipped with energy dispersive X-ray spectroscopy (EDS). The SEM images are

266 shown in Figure 5. Prior to the treatment, the cotton fibers appear to be individually separated from each other. Once grafted with P(VCL-co-HEAA) copolymer, the fibers 267 tend to cohere with each other as shown by the arrows, indicating the deposition of the 268 copolymer on the surface. To ensure that the cotton surface is not covered by a film due 269 270 to the majority of BTCA reacting with poly(VCL-co-HEAA), a solubility test was conducted by soaking the grafted cotton fabric in DMF on an armshaker for 24 h. We 271 272 observed that the fabric did not experience any weight loss in the solvent, indicating that 273 BTCA served as a bridge to crosslink with both poly(VCL-co-HEAA) and cellulose. 274 Elemental compositions (C, O, and N) of the treated cotton fabrics was analyzed by the EDS studies and tabulated in Table 1. Each element produces characteristic X-rays that 275 276 are inherent to the atomic structure of the emitting element. The X-rays are displayed at one or more specific energy levels in the EDS spectrum and give an indication of 277 elemental compositions (S.-C. Chen et al., 2004). Treated cotton comprises carbon, 278 279 nitrogen, and oxygen. While untreated cotton is composed of carbon and oxygen with a 280 trace amount of nitrogen from impurities or the ambient atmosphere. The increase in the 281 nitrogen content of cotton indicates that P(VCL-co-HEAA) copolymer was thoroughly deposited onto cotton. 282

283Table 1. EDS analysis of the elemental compositions (C, O, and N) of cotton fabrics treated284with P(VCL-co-HEAA) copolymer

Concentration	Weight percentage of the element (%)				
	С	0	Ν		
0 (control)	66.86±6.91	32.66±4.86	0.48±0.19		
150 g/L	63.55±6.27	32.74±4.54	3.71±0.62		
200 g/L	67.70 ± 7.07	28.52±4.37	3.78 ± 0.76		
250 g/L	73.87±7.76	19.57±3.14	6.55±1.15		

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286 **3.4 Thermo-responsive behavior of modified cotton fabrics**



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Figure 6. Weight loss of unmodified (control) and modified cotton fabrics as a function of time at
 room temperature (RT,21°C) and at 50°C.

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291 The thermo-responsive behavior of the cotton fabrics grafted with P(VCL-co-HEAA)

292 copolymer was characterized by water vapor permeability (WVP) measurement.

293 The water vapor permeability (WVP, in $g/m^2/day$) is given by the equation

$$WVP = \frac{24M}{At} \tag{2}$$

where *M* is the loss in mass of the assembly over the time period t (in g); *t* is the time between successive weighing of the assembly (in h); *A* is the area of the exposed test fabric (equal to the internal area of the test dish) (in m^2).

A is given by the equation

$$A = \left(\frac{\pi d^2}{4}\right) \times 10^{-6} \tag{3}$$

300 where d is the internal diameter of the test dish (in mm).

301 Figure 6 shows the weight loss of modified and unmodified cotton fabrics as a function of time at room temperature (21°C) and also at 50°C. The weight loss value was the 302 303 average of three test fabrics with a standard deviation of less than 1mg. The slope of the curve is the rate of water vapor permeation through the test fabric. Based on equations 304 (2) and (3), the WVP values were calculated and shown in Table 2. The correlation 305 coefficient (R^2) are all greater than 0.999, indicating a good linear regression fitting. The 306 WVP studies demonstrated that at room temperature, P(VCL-co-HEAA) copolymer 307 308 exhibited hydrophilicity and the modified cotton fabrics behaved similarly to the untreated cotton fabric with similar rate of water vapor permeation through the fabric and 309 310 therefore similar WVP. At 50°C, the copolymer underwent phase transition resulting from aggregation of the chain molecules due to the intermolecular interactions between the 311 hydrophobic groups at elevated temperature. The grafted cotton fabrics switched from 312 313 hydrophilic to hydrophobic. Therefore, the treated cotton fabrics exhibited lower rate of water vapor permeation and also WVP than the untreated cotton. It is also worthy to point 314 out that 6.7 wt% add-on of the fabric treated with 150g/L of the copolymer was sufficient 315 enough to achieve the same water vapor permeability with the fabric treated with 250g/L 316 of the copolymer. This thermally switchable hydrophilicity of cotton fabric makes it 317 applicable to protective clothing and other smart textiles. 318

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320 Table 2. Water vapor permeability of cotton fabrics treated with P(VCL-co-HEAA) copolymer

Concentration	Water vapor permeability (g/m ² /day)					
	Room Temperature			(50°C)		
	Slope (mg/h)	R^2	WVP	Slope (mg/h)	R^2	WVP
0 (control)	0.157	0.9991	695	0.417	0.9984	1849
150 g/L	0.151	0.9996	669	0.306	0.9983	1362
200 g/L	0.157	0.9998	697	0.306	0.9982	1359
250 g/L	0.146	0.9993	649	0.308	0.9915	1367

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322 4. Conclusions

A thermo-responsive copolymer P(VCL-co-HEAA) was synthesized by free radical polymerization at 60°C. A feed monomer ratio of 80/20 mol %VCL/HEAA yielded a

51/49 mol % of ratio of VCL/HEAA in the copolymer due to a higher reactivity of HEAA. 325 The copolymer had a glass transition temperature of 160°C and a decomposition 326 temperature of up to 420°C as evidenced by DSC and TGA studies. The LCST value of 327 the copolymer was 34.5°C. Thermo-responsive cotton fabrics were successfully 328 329 fabricated by a grafting reaction using BTAC as crosslinker and SHP as catalyst. FTIR and EDS studies confirmed the deposition of P(VCL-co-HEAA) copolymer onto cotton. 330 The modified fabrics exhibited temperature-responsive behavior in the water vapor 331 permeability measurements. Compared to the unmodified cotton, 6.7 wt% add-on of the 332 333 fabric grafted with the copolymer was sufficient enough to exhibit decreased water permeability at elevated temperature due to hydrophilic to hydrophobic transition. This 334 study provides another alternative for fabrication of smart textiles and application in 335 336 functional clothing.

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