

CFD model-based analysis and experimental assessment of key design parameters for an integrated unglazed metallic thermal collector façade

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Abstract

Active façade systems incorporating solar thermal collectors currently offer very promising energetic solutions. From among the available systems, a simple solution is the unglazed heat collector for potential integration in low-temperature applications. However, when adopting system definitions, the modification of some design parameters and their impact has to be fully understood. In this study, the case of an unglazed collector integrated into a sandwich panel is assessed and a specific analysis is performed for a proper assessment of the influence of key design parameters. Based on that case study of the real built system, a CFD model is developed and validated and a parametric assessment is then performed, by altering the configurations of both the panel and the hydraulic circuit. In this way, the potential of each measure to harness solar energy can be evaluated and each parameter with its different level of impact can be highlighted, to identify those of higher relevance. A characterization of the real solution completes the study, by providing the efficiency curves and the total energy collected during the experimental campaign. The maximum estimate of the efficiency of a 6 m² façade was within a range between 0.47 – 0.34 and the heat loss factor was between 4.8 – 7.5. The case study exercises reveal the real energy efficiency and solar production patterns. There was also an opportunity to consider significant improvements to increase the output of the active façade. The main conclusions concerned the different criteria that improved the definition of the system and greater comprehension of alternative designs that may be integrated in the underlying concept.

Keywords: Solar Façade; Active Envelopes; Sandwich Panels; Unglazed and Integrated Solar Collector; Solar Heating

1. Introduction

The building industry, a sector that still shows very poor performance in terms of energy efficiency, has recently sought several alternatives for improvements to the carbon footprint throughout the building use phase. Europe clearly describes this situation with ambitious targets of 15 – 65 kWh/m² for Nearly Zero Energy Buildings (NZEB) [1], although the average consumption of the building stock in 2013 was 201.05 kWh/m² of final energy [2]. Over forthcoming years, the development of new and modern buildings equipped with the latest technologies should contribute to a reduction in that gap. However, the renovation sector is fundamental to balance the situation, because the rate of building stock renovation is still limited. Approximately 60% of current building stocks are likely to remain in use by 2050 in the European Union, United States, and Russia [3].

Very significant systems and promising technologies have been developed over the past few years and continue to be, as the momentum of the sustainable and renewable technologies gathers pace in the industry and thank to a continuous R&D effort. A first step will be to reduce consumption by minimizing demand. In a second step, the reduction in energy requirements will mainly be met through Renewable Energy Sources (RES) and preferably from onsite production. Finally, with the minimization of energy requirements and the incorporation of the RES contribution, some necessities might not be covered by intermittent renewable production. A third step will therefore be to improve the response of the whole system dealing with smart and efficient management of the main energy sources and components in the system.

The façade functions in this scenario as the interface connecting the interior where comfort is a priority and the exterior under variable environmental conditions. Renewable energy is unlimited and accessible, and the envelope should be able to harness those sources, becoming more than a simple barrier for energy losses.

1.1 Integrated solar collectors as active façades

The definition of the “active” façade behavior differs depending on the source that is consulted. Some authors [4 - 11] have examined the capacity of capturing renewable energy on the façade. Others [12 - 13] mention higher dynamism and movable parts that define more “adaptive” façades, usually with more than an active response and generally combining energetic integration with additional features, in terms of solar protection, shape modification, and automated components that alter the external shape and appearance of the skin.

The concept of interest to the present study has been variously defined as Solar Façade (SF), Active Solar Thermal Façade (ASTF), and Building Integrated Solar Thermal Systems (BISTS) [14 - 15]. These systems integrate the collector technology in the building envelope, with the twin function of protecting the interior from the exterior, together with a solar thermal energy collector device.

A standard classification of solar thermal collectors found in stationary applications would list compound parabolic collectors, vacuum tube collectors (evacuated pipe collectors), flat-plate glazed collectors (generally shortened to flat-plate) and unglazed collectors (a variation of the flat-plate model). A classification into three categories also refers to the temperature levels that differ in each solution [16]. Working temperatures are significantly lower for unglazed panels (25-50 °C) compared with flat-plate collectors (50-100 °C) and vacuum collectors (100-140 °C) [17].

A key component is the absorber [18], generally manufactured in dark colors to maximize absorption [19]. Their materials are metals or UV resistant polymeric materials, although copper,

84 aluminum, and steel are used for absorbers in flat-plate and vacuum-pipe systems. The use of less
85 conductive materials is less significant in flat plate systems, although some alternatives are also
86 feasible for unglazed collectors, aiming for more economic solutions. Polymeric, [20 - 21],
87 Concrete [22 - 23] and Ceramic [24 - 25] absorbers have been proposed as cheaper alternatives,
88 as well as solutions combining different materials [26 - 27].

89 Finally, there are two possible thermal fluids for heat transfer; liquid (water and water mixtures)
90 and air. Liquid-based applications are the most common ones [28], probably because of the higher
91 density and specific heat that influences efficiency, however some interesting applications with
92 air-based transpired solar collectors, have been used for façade integration [29 - 30].

93 The use of solar thermal collectors worldwide is quite extensive [28], among which evacuated
94 panels are the most widely installed (72%), mainly in response to growing demand in China. Flat-
95 plate collectors are the first option in Europe (22% worldwide) and unglazed collectors (6%
96 worldwide) in the USA and Canada. Mainly used for DHW production, especially for flat plate
97 and evacuated systems, unglazed collectors are usually associated with swimming pool water
98 heating devices. Combi systems in Europe for both Domestic Hot Water (DHW) and space
99 heating are worth mentioning.

100 Unfortunately, exhaustive information is unavailable to estimate the number of active solar
101 thermal façades that are currently installed, as well as their typologies and potential efficiencies.
102 There are some reviews of possible solar façade applications in the literature [7, 9 - 10, 31 - 33].
103 Interest in such solutions to contribute to the production of energy for heating, cooling and DHW
104 purposes has likewise been assessed [34]. Although some standardization for BISTS is suggested
105 [35] the level of application of solar façades is yet to become a widely implemented standard
106 solution.

107 The incorporation in a façade of all these concepts for it to become an active element is a marked
108 tendency nowadays and an ongoing process with several research initiatives developing ASTFs.
109 The positioning of collectors on vertical planes of a building envelope also implies a lower
110 incident irradiation than horizontal or optimum tilt [36]. However, if a south-facing wall is chosen,
111 irradiance will remain quite regular and stable with no overheating throughout the whole year
112 [17].

113 There is a significant variety of technologies for ASTFs with different degrees of sophistication
114 [37]. New developments have been presented over the past years [38,39,41-43], however, the
115 presence of these solutions is still largely testimonial [44], due to inadequate knowledge and
116 resistance to change in the sector, even more so for technological solutions directly identified as
117 very costly.

118 The unglazed panel simplifies the solution by leaving the absorber on the outer face of the panel
119 that achieves a higher level of integration [6]. These represent simpler and less technological
120 systems, but also significantly lower investment [45] and they are of special interest to the
121 renovation sector. But when approaching a design process involving a solar façade with an
122 unglazed collector, the impact of modifying some design parameters is not so clear.

123 The review of the current state of the art reveals quite a large quantity of polymeric systems for
124 the “swimming pool” application. When looking at ASTFs and specifically at those with metallic
125 absorbers, the number of available systems for unglazed and low temperature systems is of less
126 significance. Remarkable systems are the concepts provided by Énergie Solaire [46], Solabs [47
127 - 48], Triple Solar [49], WAF [7], BATISOL, [50] and InRoof [51].

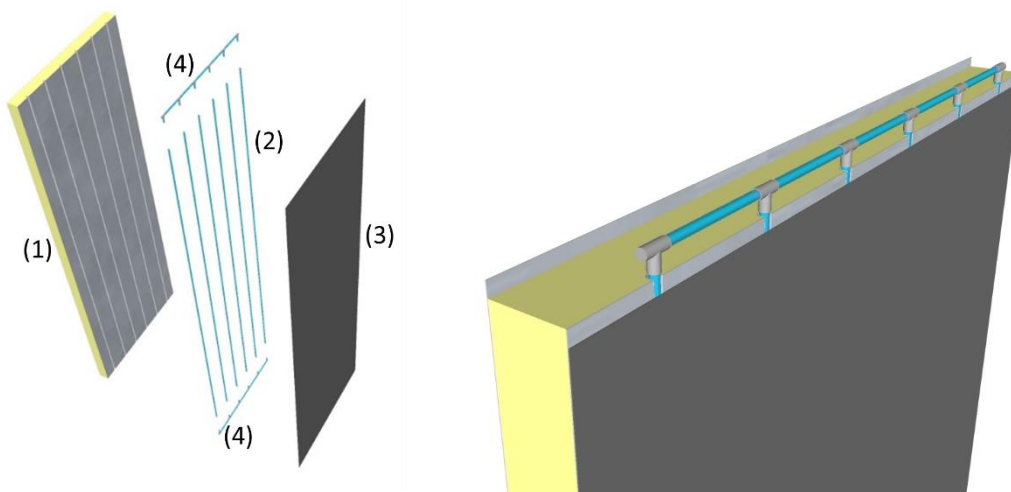
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129 **1.2 Novel unglazed solar collector integrated into a metallic sandwich-panel**
130 **façade**

131 The present study is focused on the behavior of a low temperature active façade composed of an
132 unglazed collector and a steel sandwich panel. The system was developed as part of a research
133 project (BASSE) [52] concluded in 2016, where the design of an innovative solar panel and its
134 interconnection to a heat pump was developed.

135 The application of sandwich panels in industrial and commercial buildings is extensive thanks to
136 a very competitive cost/performance ratio. However, their use in offices and especially in the
137 residential sector is still quite unusual. The purpose of the BASSE project was to exploit the high
138 conductivity of steel, by activating the passive behavior of the sandwich panel, turning it into a
139 low temperature solar collector on an active envelope. Alternatives to the current sandwich panel,
140 clearly designed for industrialization and high-scale production, were actively pursued.

141



142

143

144 Figure 1: Sandwich panel integrating an unglazed solar collector. Main components of the
145 solution (left) and detail of the top side for the assembled panel (right).

146

147 The resulting design of this initial solution as an ASTF consisted of four main components. The
148 sandwich panel with a polyurethane insulated core (1) combined with two slotted steel skins.
149 Plastic pipes (2) installed in the slots of the external skin for completion with the final steel cover
150 (3) functioning as a solar absorber. Each panel has 6 parallel tubes and modular header fittings
151 for their interconnection (4) also provided inside the module. Dimensions of the standard panel
152 are 3 m long, 1 m wide and 0.8 m thick. A complete system was installed in a real building [53]
153 and the tests demonstrated the potential of such solutions for significant reductions in the final
154 consumption of energy.

155

156 **2. Aims and Methodology**

157 The object of the study is the analysis of the unglazed collector, as part of the active façade,
158 evaluating possible design alternatives by means of a parametric study. Based on the design
159 described in Figure 1, the analysis examines the performance of the ASTF in depth, in continuance
160 of the research activity initiated in the BASSE project. To do so, an initial review of theoretical
161 models in the literature will be performed. The conclusions of this review will then set out the
162 definition of a Computer Fluid Dynamic (CFD) model for implementation. The next step will be

163 to validate the model using real measured data taken from a real active façade. With the validated
 164 model, a parametric study will be developed using reference values based on the main
 165 technologies and materials available to solve the system. Finally, the energy output of the real
 166 solution and its performance will be estimated and characterized using the data measured under
 167 real working conditions.

168

169 3. System modelling

170 3.1 Theoretical model

171 Efficiency is the main parameter that characterizes the behavior of a thermal collector. It will also
 172 be the main criteria for evaluating the different alternatives for the system. As indicated in
 173 equation 1 [54], efficiency is a relation between the useful energy and the incident solar energy.
 174 The energy output is defined as well as a function of the temperature difference between the inlet
 175 and outlet of the fluid through the collector (equation 2).
 176

$$h = \frac{Q}{A_c I_{sol}} \quad (1)$$

$$Q = \dot{m} C_w (T_{out} - T_{in}) \quad (2)$$

177

178 A commonly used expression to describe the efficiency of collectors is described in equation 3 as
 179 a function of absorptivity (α), the collector heat removal factor (F_R), and the heat transfer
 180 coefficient (U_L):
 181

$$h = F_R \alpha - F_R U_L \frac{(T_{in} - T_{amb})}{I_{sol}} \quad (3)$$

182

183 where, F_R and U_L will usually represent the experimental test results. Reference values taken from
 184 some commercial systems of the ranges that unglazed collectors will usually have in terms of (F_R
 185 α) and ($F_R U_L$) are included in Table 1. It is worth noting that all the parameters indicated in Table
 186 1 are calculated for wind speeds in the range of 0 – 3 m/s and for panels with an area less than
 187 2.3m².
 188

189 Table 1: Efficiency parameters for different unglazed solar collectors

System	$F_R \alpha$	$F_R U_L$
Aluminum Absorber (InRoof.Solar) [55]	0.42 – 0.6	9.74 – 13.44
Stainless Steel Absorber (AS Energie Solaire) [56]	0.86 – 0.92	11.26 – 18.61
Copper Absorber (TECU® Solar) [57]	0.59 – 0.8	9.05 – 12.26
Titanium zinc Absorber (QUICK STEP®) [58]	0.5 – 0.54	12.87 – 14.78
Aluminum Absorber – System 1 [59]	0.55 – 0.58	7.44 – 14.0
Aluminum Absorber – System 2 [59]	0.83 – 0.89	12.7 – 19.7

190

191 In addition, some analytical calculations are also available [54] for determining F_R in a tube-and-
 192 sheet configuration, while U_L can be estimated using the resistance equivalency for the effect of
 193 the energy losses due to the conduction, convection and radiation effects.

194 In the determination of F_R , additional factors such as the collector efficiency factor (F'), the
 195 standard fin efficiency for straight fins (F) and the C_L variable are required. F' and F are
 196 dimensionless while C_L represents m^{-1} . Likewise, F' , F_R and U_L permit the calculation [54, 60] of
 197 fluid temperatures at the collector outlet (T_{out}) and the mean temperature in the absorber (T_s), as
 198 shown in equations (8) and (9), respectively.
 199

$$F_R = \frac{\dot{m} C_w}{A_c U_L} \left[1 - e^{-\left(\frac{A_c U_L F'}{\dot{m} C_w}\right)} \right] \quad (4)$$

$$F' = \left(\frac{W}{D_i + (W - D_i) F} + \frac{W U_L}{\pi D_i h_f} \right)^{-1} \quad (5)$$

$$F = \frac{\tanh[C_L(W - D_i)/2]}{C_L(W - D_i)/2} \quad (6)$$

$$C_L = \sqrt{\frac{U_L}{\lambda_s t_s}} \quad (7)$$

$$T_{out} = T_{amb} + \frac{\alpha I_{sol}}{U_L} + \left(T_{in} - T_{amb} + \frac{\alpha I_{sol}}{U_L} \right) e^{-\left(\frac{A_c U_L F'}{\dot{m} C_w}\right)} \quad (8)$$

$$T_s = T_{in} + \frac{Q}{A_c U_L F_R} (1 - F_R) \quad (9)$$

200
 201 In the above-mentioned case, equations 4 to 9 are applied in a complex system of coupled non-
 202 linear equations that require multiple iterations for their solution. The dependency of some
 203 parameters on temperature also needs consideration and for the parametric study that is intended
 204 to be developed, it will require the use of specific calculation software.

205 The analytical approach of some authors [60] uses the above equations for a parametric
 206 assessment. However, the use of a CFD model provides wider flexibility to consider multiple
 207 alternatives including dynamic inputs for comprehension of the system and its evolution over
 208 time. The benefit of working with a previously built façade is an advantage, giving the opportunity
 209 to validate the model against the real system.

210 The CFD approach with experimental validation has also been applied to concrete unglazed
 211 collectors [61], copper absorber glazed collectors [62] and aluminum absorbers for unglazed
 212 collectors [63]. CFD without experimental validation is also described for aluminum sandwich
 213 panels [64] and for unglazed solar collectors [65].

214

215

3.2 CFD model definition

3.2.1 Physical model

A bespoke finite element model computed in ANSYS FLUENT® V18.2 was developed, based on the prototype of the active façade (Figure 1). The function of the model was heat transfer calculation within solids and between solids and fluid, which represent the two main thermal processes inside the collector. These effects including their symmetries on both sides are represented in Figure 2, as well as the closed air chamber on the back side of the sandwich panel where only natural convection is considered.

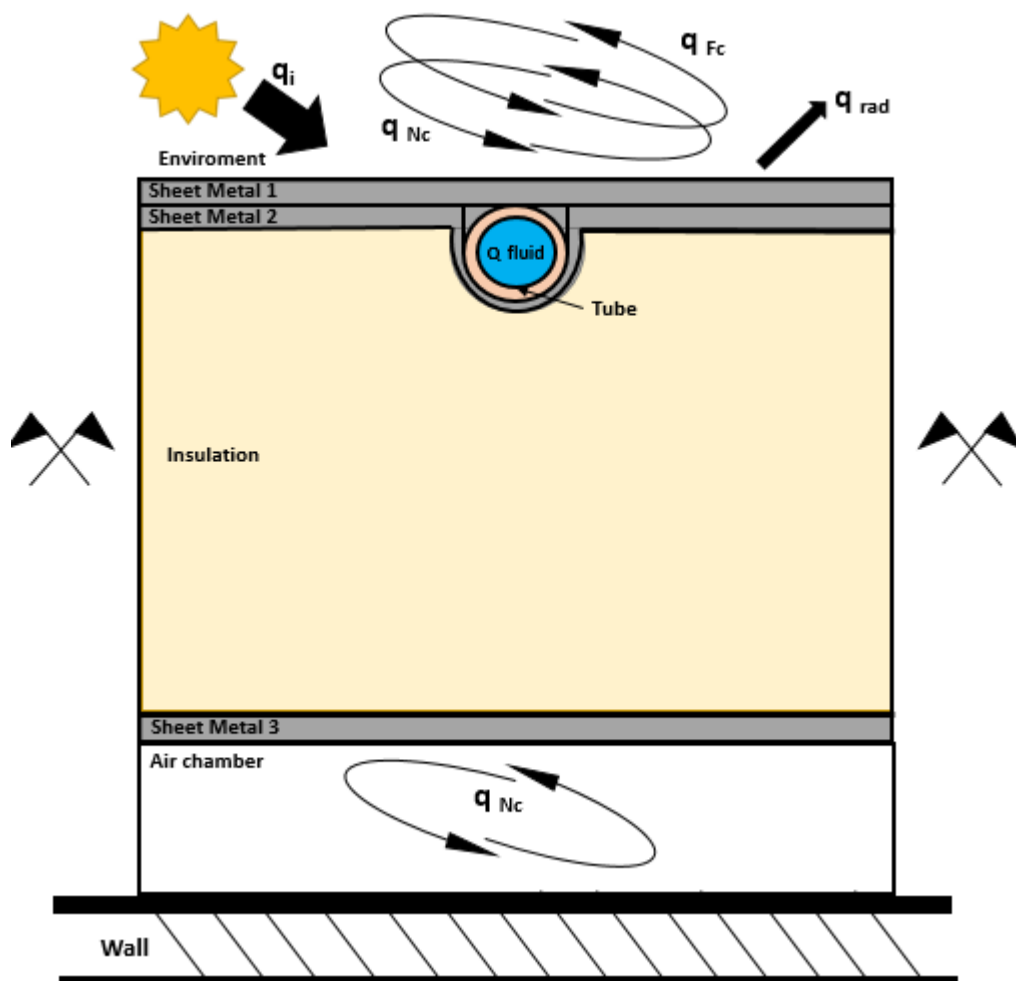


Figure 2: Main phenomena considered at domains

This analysis is subject to the following assumptions:

- The heat transfer coefficient between the fluid and the pipe is constant
- The back and edges of the collector are perfectly insulated
- There is perfect contact between the pipe and surrounding metal sheet and between the sheets

235 • The properties of the materials are independent of temperature

236 The main thermal phenomena under consideration are solar irradiation as the main energy source,
237 surface radiation of the absorber back to the external air, natural convection to the air and forced
238 convection because of the wind effect. Conduction between solids is calculated by means of the
239 general energy equation and convection between the pipe wall and the fluid is also considered.
240 As a result, the temperature gain of the fluid when passing through the pipe in the longitudinal
241 axis will represent the performance of the collector and therefore the energy extracted.

242 For the incident radiation, (q_i), a “heat flux” was modelled [66], so the heat absorbed by the
243 exposed surface of the collector is equal to solar irradiance and surface absorptance. The energy
244 absorbed is obtained by the expression:

245

$$q_i = \alpha I_{sol} \quad (10)$$

246

247 The radiation emitted back (q_{rad}) by the external sheet to the air is the result of the emissivity and
248 Stefan Boltzmann's constant as a function of the temperature difference of the steel sheet with the
249 environment.

250

$$q_{rad} = \varepsilon \sigma (T_{sky}^4 - T_s^4) \quad (11)$$

$$q_{n,c} = h_{w,n} (T_{amb} - T_s) \quad (12)$$

$$q_{f,c} = h_{w,f} (T_{amb} - T_s) \quad (13)$$

251

252 Heat is also transferred back to the air by natural (equation 12) and forced convection (equation
253 13) [22]. A combined convective coefficient (h_w) is used, taking wind speed as the main criteria
254 for the model that is under development. Different correlations were evaluated, based on the
255 alternatives available in the bibliography, in order to select this h_w parameter [67]. In the
256 validation of the model, three alternatives will be considered for wind speeds $V_W < 5\text{m/s}$, as
257 described in equations (14) to (16)

258

$$h_w = 2.8 + 3V_W \quad [68] \quad (14)$$

$$h_w = 5.7 + 3.8V_W \quad [69] \quad (15)$$

$$h_w = 8.55 + 2.56V_W \quad [70] \quad (16)$$

259

260 Thus, the overall heat released by the wall to the air is computed as mixed boundary condition
261 combining convection and radiation [71]:

262

$$q = h_w (T_{amb} - T_s) + q_{rad} \quad (17)$$

263

264 Convection in the rear sheet to the air chamber is an effect that is exclusively considered for the
265 assessment of the insulation material (Section 5.1), as this effect merely influences cases in which
266 there is a small quantity of insulation. In the other cases, an adiabatic wall will be considered with
267 negligible external surface interrelation where each zone can be calculated independently.

268

269 The convective heat transfer between the fluid zones and the corresponding faces are solved by
270 coupling the momentum and energy equations. The SIMPLE method is used for the discretization
271 of the pressure and second order upwind for momentum and energy equations.

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The Prandtl number is given by equation (18), where C_p is specific heat, μ viscosity and λ_f thermal conductivity of the fluid. A 6.9 Prandtl number for water is considered.

$$Pr = \frac{C_p \mu}{\lambda_f} \quad (18)$$

276
277
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The Reynolds number for the flow through the pipe is given by equation (19). Being V velocity of the fluid, D_i hydraulic diameter and \mathcal{V}_k kinematic viscosity. The resulting Reynolds number (26485) represent a turbulent flow ($Re \geq 4000$).

$$Re = \frac{V * D_i}{\mathcal{V}_k} \quad (19)$$

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Therefore, the k - ϵ standard turbulence model is used for the numerical description of the fluid behaviour. In this conditions Reynolds-averaged Navier-Stokes (RANS) equations can be considered.

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For the energy equation, the conduction heat transfer governed by Fourier's law was considered. The heat flux absorbed by the internal fluid passing through the pipe, q_f , is described by equation:

$$q_f = h_f(T_f - T_p) \quad (20)$$

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Simulated under steady state conditions, the model calculates the heat transfer effects that are described giving as results the outlet temperature (T_{out}) and the external sheet temperature (T_s). T_{out} will calculate the energy gained in the panel as the difference between the inlet and the outlet temperatures for a certain mass flow (equation 2). Combining equations 1 and 2, the solar collector's efficiency can be calculated by equation 21. Depending on the inputs, the instantaneous or mean daily efficiencies can be estimated.

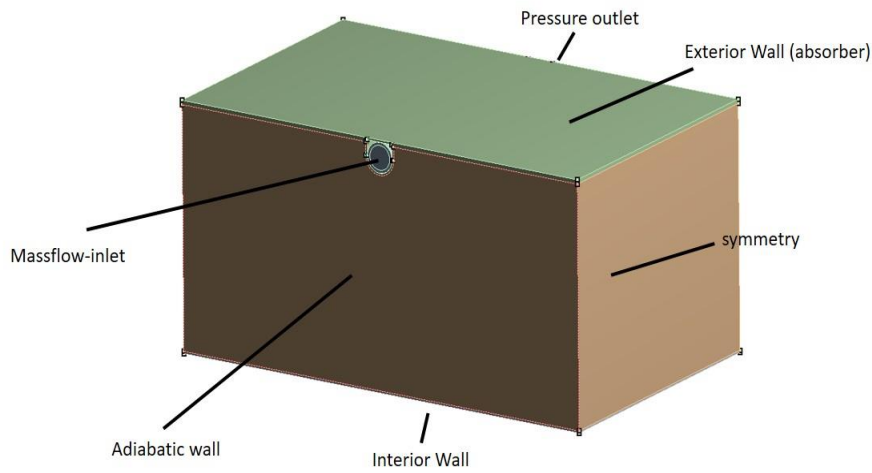
$$\eta = \frac{\dot{m} C_w (T_{out} - T_{In})}{I_{sol} A_c} \quad (21)$$

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3.2.2 Geometry and mesh definition

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301
302
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The scheme of a 3D geometry set-up that represents the main components of the collector is depicted in Figure 3. It has an interior and an exterior wall where the fluid passes through the model, as well as a mass flow inlet and a pressure outlet. All these parameters are indicated as boundary conditions for the different domains in Figure 3.



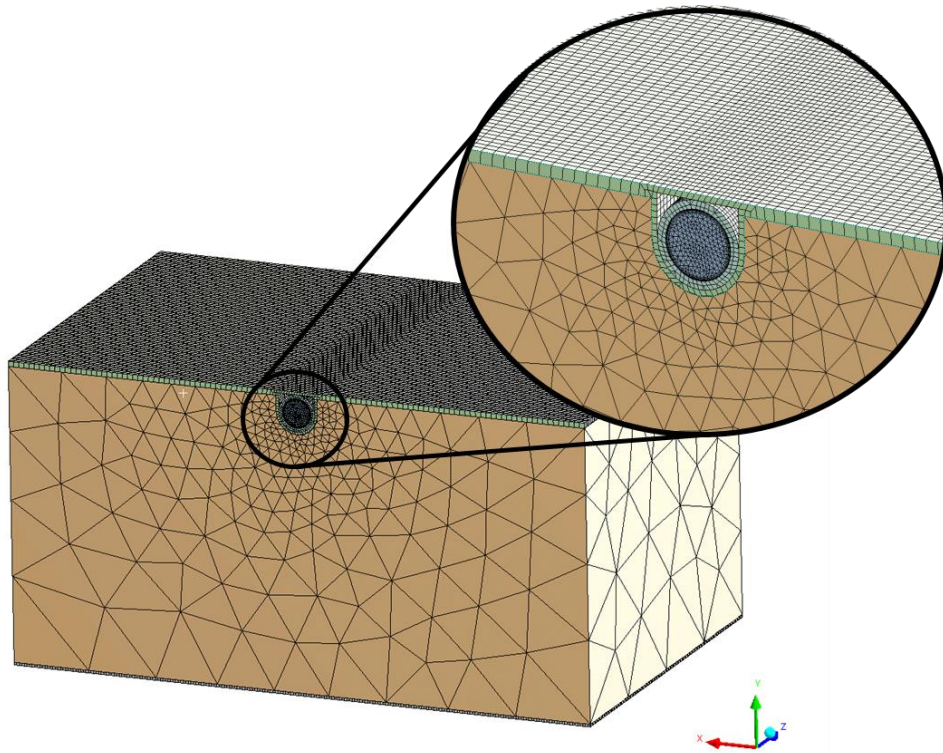
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Figure 3. Boundary conditions at domains

306

307 The finite element mesh is generated using triangular and tetrahedral elements with a higher mesh
 308 density where heat exchange between bodies is more significant (Figure 4).
 309



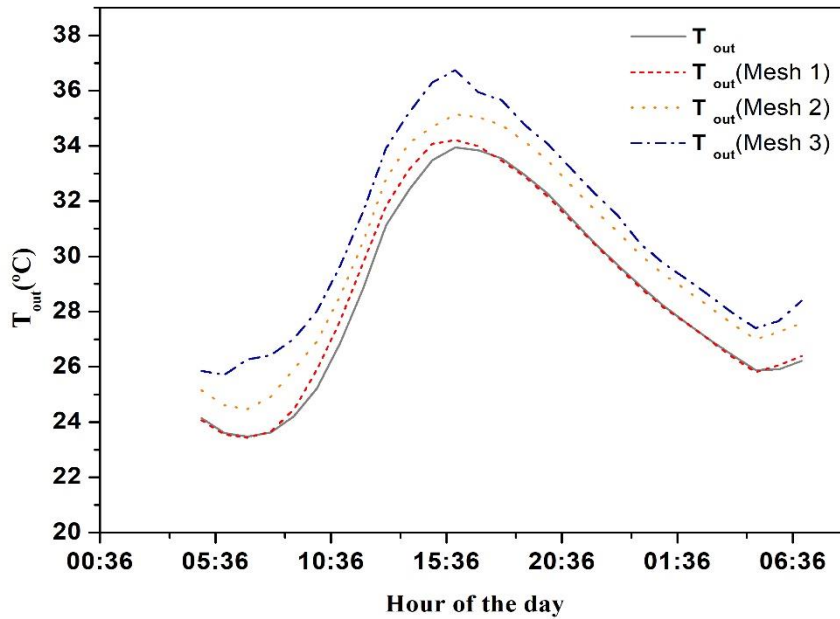
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311

Figure 4: Detail of model meshing

312

313 A mesh sensitivity analysis was also performed using the real values measured during 18th of June
 314 2017. Figure 5 shows the differences between measured and simulated results. Table 2 provides
 315 the Predicted Mean Absolute Error PMAE [72] for different meshes.



317

318

Figure 5: Calculated T_{out} results for three different meshes and PMAE for each case.

319

320 Table 2. PMAE for the mesh sensitivity analysis

Mesh (number of cells)	PMAE (%)
Mesh 1 (509,385)	0.81
Mesh 2 (361,407)	3.81
Mesh 3 (284,584)	7.17

321

322

3.2.3 Model upscaling

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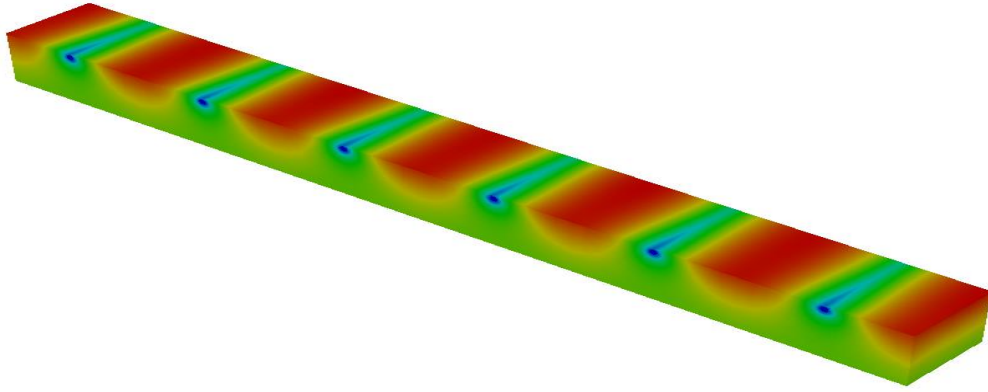
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Due to the parallel configuration of the collector connected through a top and bottom header, the system can be simplified to a 100 mm long x 160mm wide section containing one single pipe. The headers provide a uniform flow to the pipes and represent a small area compared to the complete surface of the collector, so it can be ignored in the calculation [54]. The symmetry condition on the lateral faces permits the consideration of multiple pipes and consequently the width of the section will determine the distance between parallel pipes as represented in figure 6.



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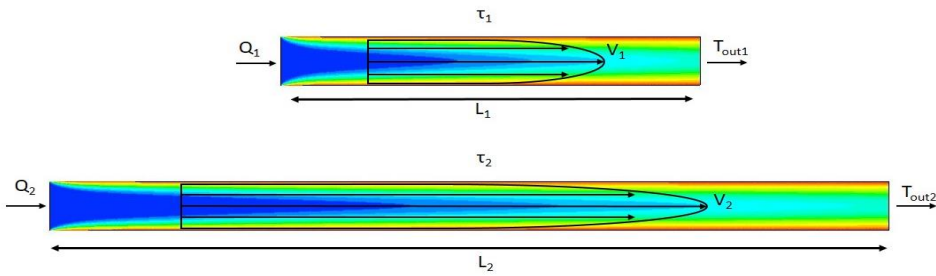
331 Figure 6. Representation of symmetry condition in the model to represent multiple parallel pipes

332 Additionally, longer sections can be considered and calculated by assuming the same hydraulic
 333 residence time (τ) for different pipes, enabling the calculation of the panel regardless of the length,
 334 as can be seen in Figure 7.

335

$$\tau_1 = \tau_2 \quad (22)$$

336



337

338 Figure 7: Representation of different pipe lengths for calculation with equivalent flow

339

340 Therefore, a hydraulic residence time is calculated for a target length according to equation (22).
 341 And by rearranging equations (22) to (24), with equal pipe sections from both pipes, an equivalent
 342 mass flow for the model can be calculated, as expressed in equation (25):

343

$$\tau = \frac{L}{V} \quad (23)$$

$$V = \frac{\dot{m}}{S} \quad (24)$$

$$\dot{m}_1 = \frac{L_1 \dot{m}_2}{L_2} \quad (25)$$

344

345 The consideration of both symmetry conditions on one axis and flow equivalency for a different
346 panel length on the other axis permit the optimization of the model for quick computational
347 calculation and tests the information that is required for the study.
348

349 4. Experimental validation

350

351 4.1 Test set up

352

353 In the demonstration phase of the BASSE project, the system was installed on the wall of
354 Tecnia's Kubik® experimental building [73] at Derio, Spain (1,300 kWh/m² mean annual
355 horizontal irradiation). As part of that project, testing took place over 4 months in 2016. A total
356 of 6 south-oriented active panels of 3m² each were fitted on the external façade of the Kubik
357 building as shown in Figure 8.

358 As a progression over that initial campaign, an additional extensive experimental campaign was
359 developed as part of current study during 2017. Specific days were selected from this second
360 campaign for the validation phase. The main components of the solar loop will be considered,
361 thus the other system components such as the heat pump, remain outside of the scope of study.

362



363

364 Figure 8: Panels installed in the south façade of Kubik® building

365

366 The main components of the solar loop are the active façade (6 panels), the storage tank (285L),
367 the distribution system, the circulatory pump and the measurement devices. The description of
368 the complete solar loop is provided in Figure 9. The configuration for the active façade was a set
369 of 2 panels in series to configure 6m long batteries that were latter connected in parallel.
370

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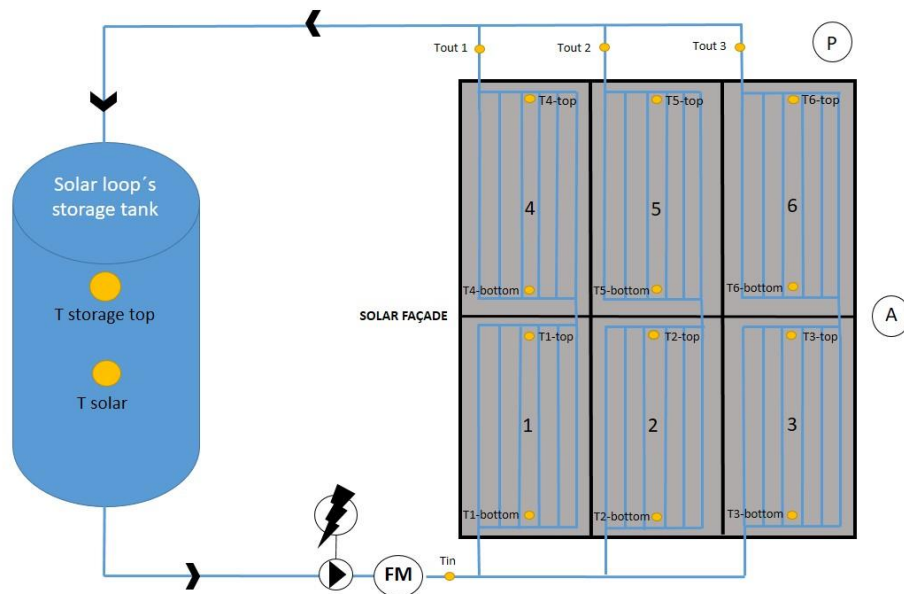
371 The measurement system is composed of different devices as represented in Table 3. A total of
 372 12 temperature sensors are located on the surface of the panels to monitor the mean absorber plate
 373 temperature (T_s), 2 sensors in the storage tank and 4 sensors for the fluid temperature with a
 374 common input (T_{in}) and three output temperatures (T_{out}) coming from each battery. The flowmeter
 375 registers the mass flow (\dot{m}), the pyranometer (P) the irradiation (I_{sol}) on the vertical south
 376 orientation, a weather station on the roof monitors the external ambient temperature (T_{amb}), and
 377 the anemometer (A) records wind speeds (V_w) and wind direction.

378
 379

Table 3. Experimental equipment's description

Parameter	Measurement device	Type/Model	Uncertainty
Surface temperature ($^{\circ}\text{C}$)	RTD – PT100	Thermo Sensor GmbH	$\pm 0.1\text{ }^{\circ}\text{C}$
Fluid temperature in pipes and storage tank ($^{\circ}\text{C}$)	RTD – PT100	Thermo Sensor GmbH	$\pm 0.1\text{ }^{\circ}\text{C}$
Mass flow (l/min)	Ultrasonic Flowmeter	Kamstrup Ultraflow Multical 801	$\pm 0.0132\text{ l/seg}$
Irradiation (W/m^2)	Pyranometer	Kipp & Zonen CMP – 6	$\pm 5\%$
Wind speed (m/s)	Anemometer	Vaisala WXT520	$\pm 3\%$
External ambient air temperature ($^{\circ}\text{C}$)	RTD – PT100	Vaisala WXT520	$\pm 0.3\text{ }^{\circ}\text{C}$

380



381

382

Figure 9: Diagram of the installation and its main components

383

384 The individual uncertainty of each specific parameter as expressed in Table 3 defined by the
 385 corresponding measurement device, represents an accumulated uncertainty in the main calculated
 386 parameters used for the study. The Root Sum Square (RSS) method [61, 74] was used for
 387 estimating the combined uncertainty in the calculated parameters.

388

$$u_{y_o} = \sqrt{\left(\frac{\delta y}{\delta x_1} u_{x_1}\right)^2 + \dots + \left(\frac{\delta y}{\delta x_n} u_{x_n}\right)^2} \quad (17)$$

389

390 Being u_y the overall uncertainty for each main parameter (y), and u_x the individual errors, of the
 391 measured parameters (x).

392 The temperature difference ($T_{out}-T_{in}$) is affected by the temperature input and output in the
 393 collector. This temperature difference combined with the mass flow influences the Energy output
 394 (Q) as in equation 2, while the energy, when divided by the irradiance (equation 21), represents
 395 the efficiency (η). The resulting uncertainties for the calculated parameters are 0.48% for the
 396 temperature difference, 7,83% for Q and 9.29% for η .

397

398 4.2 Experimental validation of the model

399

400 The first definition of the model is based on the specific design as constructed for the ASTF
 401 installed in the real building. From the set of 6 panels (3m² each) 2 panels connected in series as
 402 described in previous section, are considered first for the validation and parametric assessment.
 403 In a second verification all the 6 panels are considered. The parameters for the 2 panel battery are
 404 indicated in table 4.

405

406 Table 4. Initial configuration for the model

407

Parameter	Material / Value
Skin material	Steel ($\lambda = 50 \text{ W/m}^2\text{K}$)
Skin thickness	0.7mm
Absorptivity	0.8
Panel dimensions	6m long / 1m wide / 82.1mm thick
Tube material	Nylon ($\lambda = 0.2 \text{ W/m}^2\text{K}$)
Inner tube diameter / wall thickness	8mm / 2mm
Fluid	water
Spacing between parallel pipes	160 mm
Mass flow	8 l/min

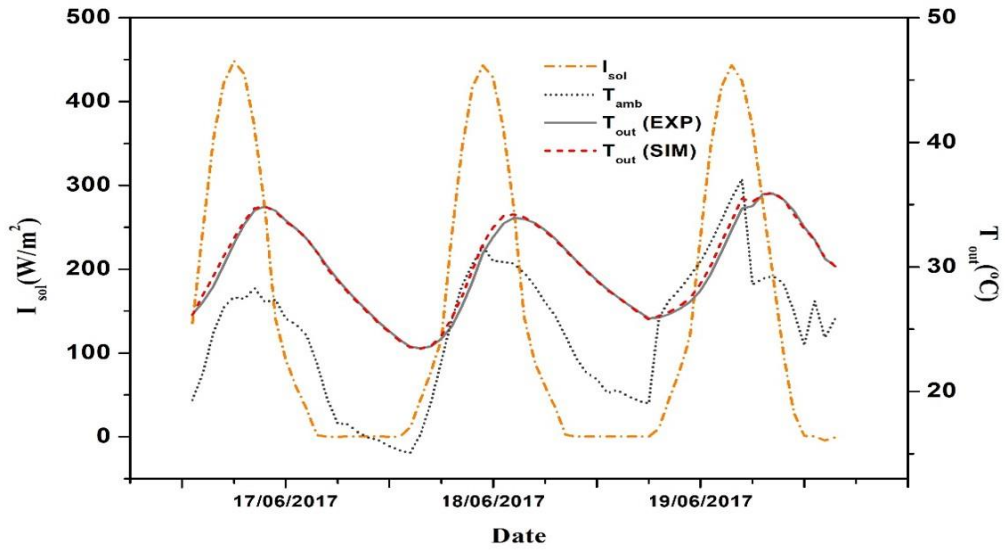
408

409 Experimentally measured parameters I_{sol} , T_{amb} , T_{in} , V_w and \dot{m} , are used as inputs. Values recorded
 410 in 1-minute frequency were clustered in an hourly basis to smooth the transitory effects while the
 411 performance of the collector can be represented during different periods in the day.

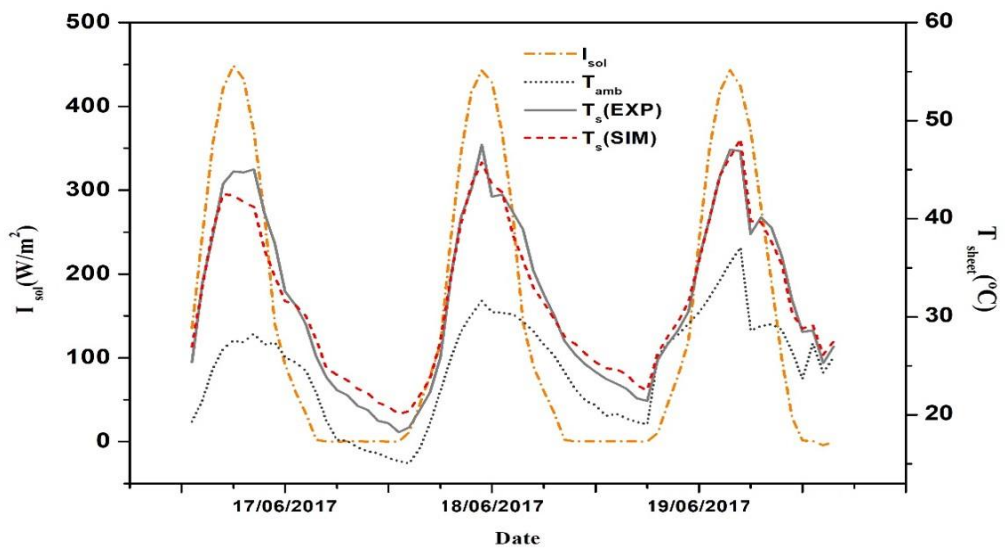
412 T_{amb} , T_{in} and \dot{m} are direct inputs to the model while the irradiation is transformed in a heat flux
 413 and the wind velocity is used to estimate the heat transfer coefficient (h_w). The three possible h_w
 414 correlations were calculated for one day (19th June 2017) concluding that the one by Wattmuff et
 415 Al. [68] has the lowest PMAE = 1.22% compared with the one for Test et Al. [70] 1.29% and for
 416 McAdams [69] 1.32%.

417 The model simulation provided the calculated values for the water outlet temperature and the
 418 absorber temperature over three consecutive days in June 2017. The solar loop was settled for a

419 continuous flow throughout the whole period with no interruption, to observe the dynamic thermal
 420 effects.



a)



b)

421
 422 Figure 10: Validation of the simulated results for T_{out} (a) and T_s (b) compared with experimental
 423 values for 17 to 19 of June in 2017.

424 The differences between real and simulated T_{out} during cooling at night showed a better match
 425 than during daytime heating (Figure 10 a). For T_s the effect is the opposite, in that the heating
 426 effect showed greater similarity between simulated and measured values (Figure 10 b). The
 427 variation between experimental and simulated values over the three days resulted in a PMAE of
 428 1.08% for T_{out} and 4.2% for T_s .

429 One possible reason for the differences in skin temperatures is identified in the temperature
 430 distribution in the real case, compared with a continuous and regular temperature profile estimated

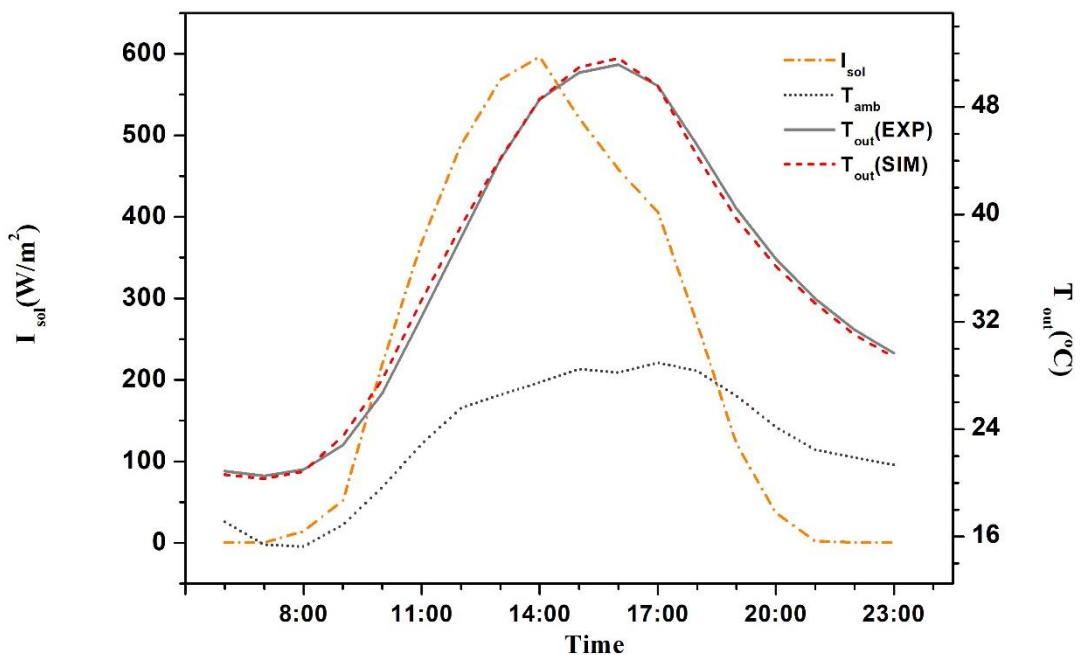
431 by the model. In the real case, skin temperatures have an irregular distribution, mainly because of
 432 the contact points between the external and the internal skins and the pipes are not fully
 433 satisfactory. Although the sensors recorded a mean value of 34.58°C at that moment, the
 434 thermographic image in Figure 11 qualitatively highlights significant differences in various zones
 435 of the façade surface.
 436



437
 438
 439
 440

Figure 11: Thermography of the active façade

441 As an additional verification, the output temperature was simulated for the complete set of 6
 442 panels (18m² of active surface) increasing the mass flow rate up to 13.8 l/min. Figure 12 shows
 443 the differences between the simulated and the real values over one day in August when the PMAE
 444 was calculated at 1.43%.



445
 446
 447

Figure 12: Second validation of the simulated results for T_{out} compared with experimental values for different panel surface and mass flow rate

5. Active façade design alternatives and performance assessment

5.1 Parametric Assessment

Having validated the CFD model, a parametric study was performed to evaluate alternatives to the specific design of each component of the active façade: the panel and the hydraulic circuit. The assessment was calculated with the external environmental conditions of a day in late spring (19th June 2017). The reference system of 6m² active surface, described in Table 4, provided an efficiency rate of 35.1% on that day.

5.1.1 Sandwich panel alternatives

Metallic sheets:

Conductivity is mainly associated with the type of material that is used to solve the two external layers. The third internal layer contributes nothing to the thermal performance of the collector. Although combinations are feasible, all the three sheets are assumed to be made of the same material.

The main interest relates to the external sheet that acts as the absorber. Conductivity is decisive, since it allows, on the one hand, the homogenization of the temperature of the entire surface and, on the other hand, it transfers heat from the absorber to the hydraulic circuit with greater efficiency.

Conductivity of the sheet and the amount of conductive material are beneficial, so sheet thickness of the sheets is also important. Thus, a plate with a high conductivity, sufficient thickness and a good contact surface between solids, will provide a good driving phenomenon between the absorber and the hydraulic circuit.

For the thickness, metal sheets in this applications are generally thinner (0.2 to 2.5 mm) than other materials such as concrete or polymers that usually require more material (5 – 50 mm) to configure continuous layers. For the thickness assessment, as the reference system is based on steel, the range of adopted values consider the parameters of that metal.

Figure 13-a shows the increased thermal conductivity of the external sheets, with a strong increase for metal sheets compared with non-metallic sheets, although a significant effect can be appreciated depending on the metal chosen. The extremes between the lowest conductive material (polymeric) and the highest conductive one (copper) represents an efficiency difference of 32%. A similar progression can be appreciated for the thickness (Figure 13-b) although values over 1mm represent a small improvement compared with the increase of the weight and material, directly influencing the cost of the system.

Absorber absorptivity:

Absorptivity depends on both the material and the type of finish or coating. Figure 13-c shows the effect of modifying absorptivity, demonstrating that it is one of the most influential parameters of daily efficiency with a difference of 31% for the range of values under consideration. As indicated in equation 3, the relation between λ and η is quite linear and the shape of the curve follows that progression.

491 Insulation material:

492 The main function of the insulation is the prevention of heat loss through the inner side of the
493 panel. Polyurethane is commonly used in sandwich panels and is therefore used as the reference
494 material. Alternative materials considered to have insulation properties ($<0.5 \text{ W/m}^2\text{K}$) are also
495 calculated. In addition, an alternative without any insulation is estimated to consider the
496 consequences of a simplified system.

497 In the assessment of the insulation material, the adiabatic condition established for the back sheet
498 (sheet n°3 in figure 2) no longer applied and the convective effect for the air cavity was set to 5
499 $\text{W/m}^2\text{K}$. In general terms, the effect of insulation on efficiency was less significant (Figure 13-d
500 and 13-e) rather than for the case of the metal sheets, but the interest of having at least a minimum
501 level of a material (10mm) with insulating properties has an important effect.

502

503 **5.1.1 Alternatives for the hydraulic circuit**

504 Piping system:

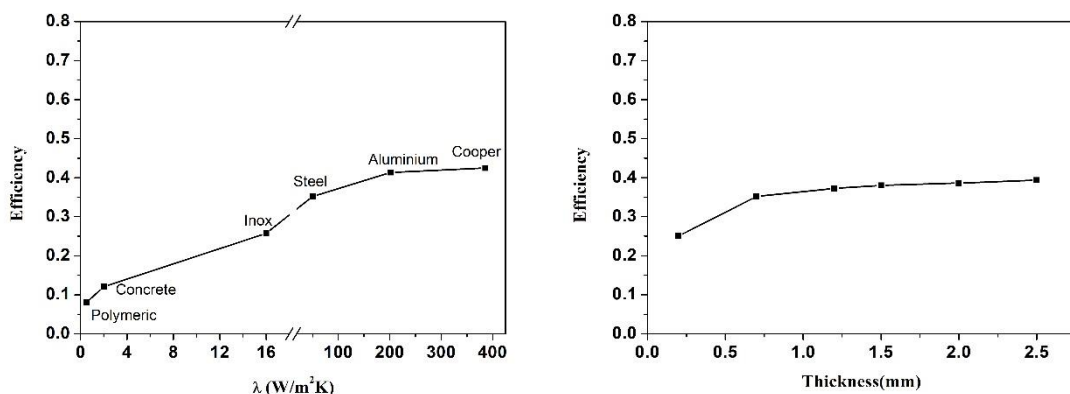
505 Pipe spacing will determine the number of parallel pipes per square meter in the collector. A
506 higher density implies a higher exchange surface, but also an increase in system costs and
507 complexity. Figure 13-f shows a small decrease of nearly 1% for each additional 40 mm in pipe
508 spacing.

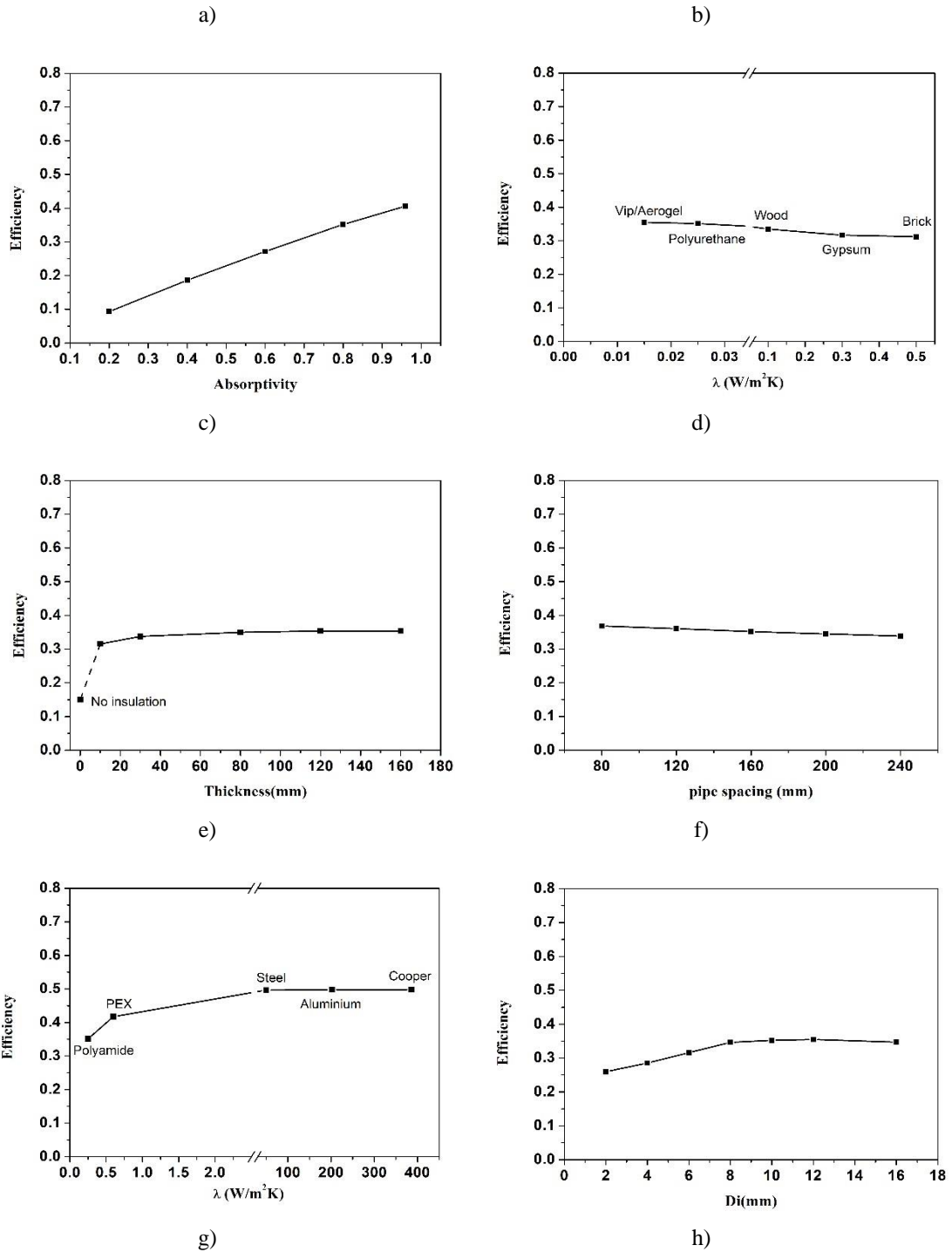
509 The conductivity of the pipes was equivalent to the conductivity of the external sheet, thus
510 available materials are also similar. As a consequence, the impact of changes to conductivity in
511 daily efficiency provided a similar progression (Figure 13-g) for both highly conductive metals
512 and plastics with lower conductivities. If plastic rather than metal piping is used, there is a very
513 significant efficiency difference of 15%. In this case, there is no great difference in the specific
514 metal that is employed (differences of 0.1% in the efficiency), so if a metallic system is adopted,
515 the cost factor could determine the specific metal for the piping system.

516 The inner diameter and the wall thickness of the pipe are parameters defined by the type of
517 material and conventional piping products that are usually available for such hydronic
518 applications. The inner diameter is the main parameter considered in the calculation. It represents
519 an increase in efficiency together with the increased diameter (Figure 13-h) for a maximum
520 performance level at 12mm, although 8mm and 10mm cases have quite similar responses.
521 Efficiency decreases with a smooth slope for diameters higher than 12mm.

522

523



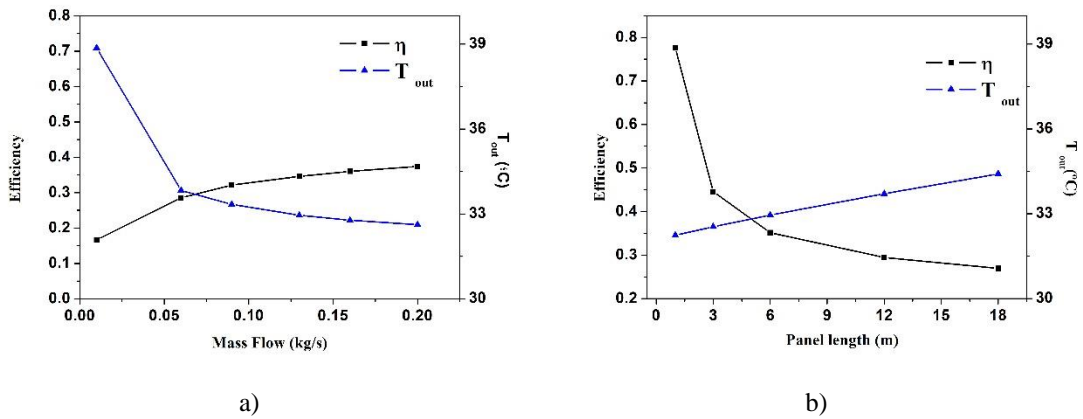


524
 525 Figure 13 Parametric assessments for the ASTF. Variation of the efficiency for alternatives in:
 526 a) External sheet conductivity; b) Sheet thickness; c) Absorptivity; d) Insulation conductivity; e)
 527 Insulation thickness; f) Pipe spacing; g) Pipe conductivity; and, h) Inner pipe diameter.
 528

529 Mass Flow and panel length

530 The minimum flow rate was limited to 0.13 kg/s per m², regulated with a circulating pump in the
 531 real case. Different flow alternatives are considered in the study, ranging from 0.01 kg/s to 0.2
 532 kg/s based on the bibliography [31]. Figure 14 (a) shows the variation of outlet temperature and

533 daily efficiency depending on the mass flow rate. The increase in the mass flow also implies
 534 increased efficiency, but a lower output temperature.



535
 536 Figure 14: Efficiency and Outlet temperature change for variations in the mass flow rate (a) and
 537 panel length (b).
 538

539 The panel length is similar due to the equivalent flow relation, as described in section 4 (Figure
 540 7). The length is of special relevance when defining active façades on the vertical axis where
 541 values multiple of 3 m. are typically considered between floor levels. It is a central constraint for
 542 these façade applications where values under 3 meters generally represent greater difficulties for
 543 integration.

544

545 5.2 Performance of the Active Façade under real working conditions

546

547 A panel production analysis was also performed between March and August 2017, to conclude
 548 the study. In this way, the potential of the active façade was calculated and the potential energetic
 549 production of the system was quantified. The daily efficiency for solar yields of some significance
 550 ranged between 4 – 36% with a mean daily yield of 0.326 kWh/m² collected over that 6-month
 551 period.

552 Moreover, the performance of the system was calculated with a regression analysis carried out
 553 using the data collected over one complete month during the overall campaign. The efficiency
 554 factors of the installed system were calculated for four different wind speeds, by means of a linear
 555 regression, as indicated in Table 5 and Figure 15, respectively, where the effect of the wind can
 556 be clearly appreciated.

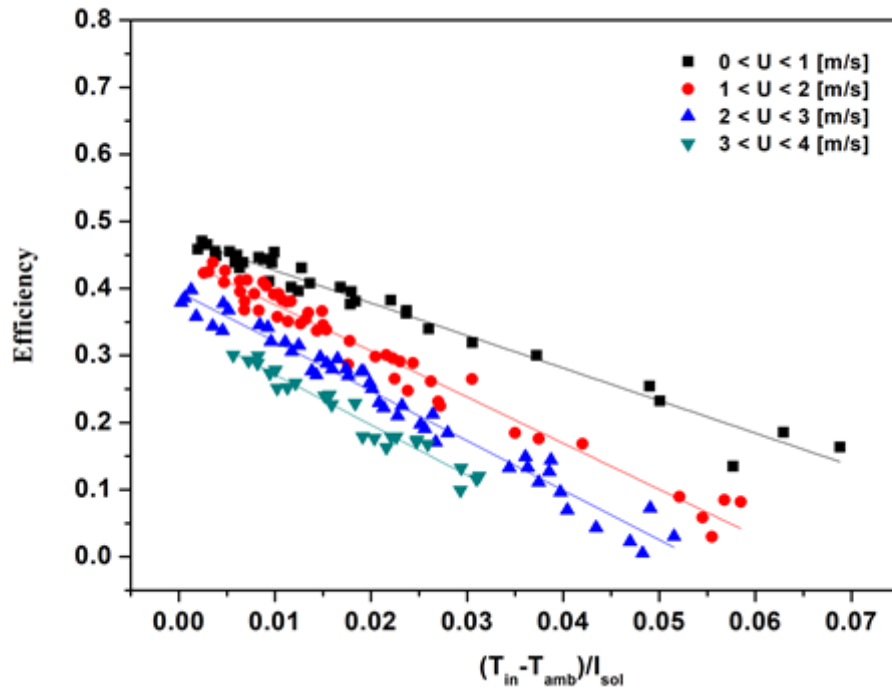
557

558 Table 5 Efficiency parameters of the Active Façade as a result of the regression analysis

Wind Speed	Slope ($F_R U_L$)	Intercept ($F_R a$)	Adj. R ²
$0 < V_w < 1$	-4.851	0.47	0.96
$1 < V_w < 2$	-6.886	0.44	0.96
$2 < V_w < 3$	-7.391	0.39	0.97
$3 < V_w < 4$	-7.501	0.34	0.96

559

560 Compared with the results for different systems, as presented in Table 1, the system installed and
561 analyzed in the present study has lower efficiencies in general, but it also has a significantly (up
562 to 6 times) higher total active surface than those other solutions, which has an effect on the final
563 performance of the solution [45].



564

565

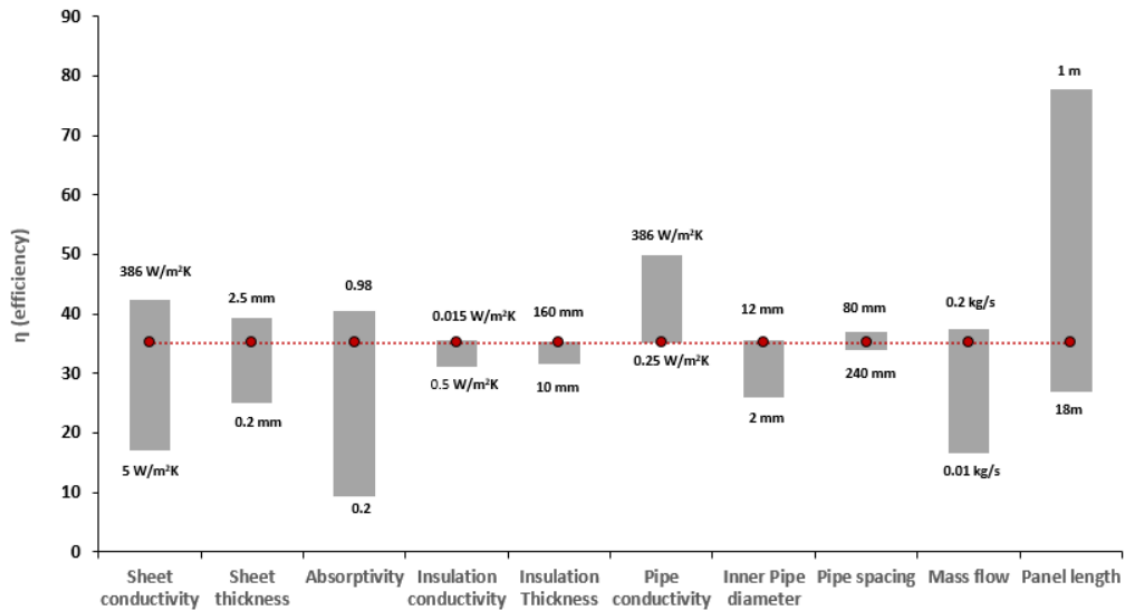
Figure 15: Efficiency curve regression for different wind velocities

566

567 6. Discussion of results

568

569 The results of all the simulations are presented in Figure 16. The variation of each independent
570 parameter in relation to a base case system (35.1% efficiency) and its effect is described. The
571 potential of each parameter can be appreciated resulting in maximum and minimum values in the
572 daily efficiency of the system.



573

574 Figure 16: Results of the parametric study representing the maximum and minimum achievable
 575 efficiencies when one single parameter is modified

576

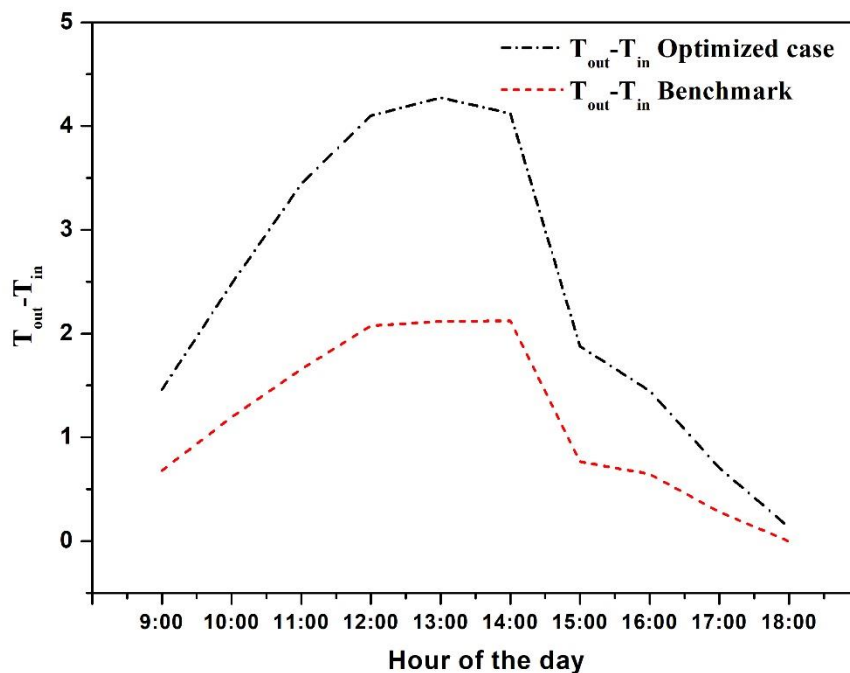
577 Parameters with strong effects on efficiency, starting with those with the highest variability are
 578 the panel length, absorptivity, sheet conductivity, mass flow, sheet thickness, pipe conductivity,
 579 and inner diameter. Besides, variations in pipe spacing, insulation thickness, and insulation
 580 conductivity have a limited influence and are not critical for the design.

581 Reviewing the real system and the model described in Table 4, it can be concluded that the design
 582 was in general terms within the upper range of almost all the parameters except in the case of
 583 panel length and pipe conductivity. Nevertheless, some other parameters still show room for
 584 improvement and different combinations to improve the efficiency are feasible.

585 If three of the most influential parameters are modified together to achieve a better solution, by
 586 switching the panel length to 3m, by switching the pipe conductivity to copper, and by increasing
 587 the absorptivity of the absorber to 0.98, a daily efficiency of 66% is estimated, achieving a
 588 combined effect rather than through independent modifications. Another alternative was in the
 589 form of a 6 m panel with copper pipes and a copper absorber that also achieved a daily efficiency
 590 of 66%. In this second calculation, Figure 17 shows the differences between the reference case
 591 and the improved one in the temperature difference ($T_{out}-T_{in}$) for the same input temperature (T_{in})
 592 during the benchmark day.

593 As a result of the overall analysis, it can be concluded that the impact of the parameters on system
 594 efficiency is highly significant. If properly selected, those parameters can lead to higher
 595 efficiencies as well as to higher output temperatures resulting in higher solar production levels.

596



597 Figure 17: Simulated values for the thermal difference ($T_{out}-T_{in}$) comparing the benchmark
 598 design with an optimized case.
 599

600

601 7. Conclusions

602

603 In the present study, an active façade application integrating an unglazed collector inside a
 604 metallic sandwich panel has been tested. By means of a methodology based on a theoretical
 605 model, a bespoke CFD model has been developed and validated, permitting a parametric
 606 assessment for the evaluation of design alternatives. The validation process was done by recording
 607 data on a set of 6 ASTF prototype panels (3m² each) installed at Tecnalia's Kubik® experimental
 608 building in Derio (Spain), over an extensive monitoring campaign in 2017.

609 The analysis of the production for that period has concluded in a mean 0.326kWh/m² daily
 610 monitored yield. A relevant effect of the wind on lowering the efficiencies has also been
 611 demonstrated, resulting in a 0.34 – 0.47 efficiency range (FR α) and a 4.851 – 7.501 energy loss
 612 factor range (FR UL) for different wind speeds.

613 The results of the assessment have highlighted the relevance of some parameters on the final
 614 thermal performance of the ASTF. The system's length, its absorptivity and the materials
 615 employed are identified as key design parameters. Metals with high absorptivity in the absorber
 616 ($\lambda > 50 \text{ W/m}^2\text{K}$ & $\alpha > 0.9$) turns out to be beneficial for this application. For the hydraulic circuit,
 617 as for the absorber, the use of metals provides a direct impact on increased efficiency. For the
 618 inner diameter of the pipes the optimum value for the present application is calculated at 12mm.

619 In parallel, the lesser relevance of some other parameter has been demonstrated. The type and
 620 thickness of insulation is not a critical factor, so far as there is at least a minimum insulation
 621 (10mm thick and $< 0.04 \text{ W/m}^2\text{K}$). For the hydraulic circuit the density of pipes per m² has also a
 622 low significance for the ranges evaluated.

623 As a general conclusion of the study, combining calculated and measured results, the need for
 624 proper comprehension of these active systems and their impact is clear. Looking further for
 625 specific applications additional research will still be needed, to evaluate combinations of active
 626 components integrated in the heating production systems and to assess their combined
 627 performance, as well as potential synergetic approaches

628

629 Nomenclature

630

Q	Heat transferred to the thermal fluid	kJ
A_c	Collector area	m ²
S	Pipe section	m ²
\dot{m}	Mass flow rate	kg/s
t_s	Sheet thickness	m
F_R	Heat removal factor	(-)
U_L	Heat transfer coefficient	W/(m ² K)
$F_R U_L$	Heat Loss Factor	W/(m ² K)
C_w	Specific heat capacity of water	kJ/kg K
C_L	Variable parameter (equations 6 and 7)	1/m
T_{out}	Outlet water temperature	°C
T_{in}	Inlet water temperature	°C
T_{amb}	Ambient temperature	°C
T_s	External skin-surface temperature	°C
T_{sky}	Sky temperature	°C
T_p	Pipe wall temperature	°C
T_f	Fluid temperature	°C
I_{sol}	Solar irradiation	W/(m ²)
F'	Collector efficiency factor	(-)
F	Standard fin efficiency for straight fins	(-)
D_i	Hydraulic diameter of each pipe	m
W	Pipe Spacing	m
h_f	Convective heat transfer coefficient between fluid and pipe wall	W/(m ² K)
q_i	Heat flux absorbed by the solar collector	kW/m ²
q_{rad}	Heat flux lost by radiation	kW/m ²
$q_{f,c}$	Heat flux lost by forced convection	kW/m ²
$q_{n,c}$	Heat flux lost by natural convection	kW/m ²
q_f	Heat flux absorbed by the fluid	kW/m ²
h_W	Convective heat transfer coefficient between external skin and air	W/(m ² K)
Pr	Prandlt number	(-)
Re	Reynolds number	(-)
ν_k	Kinematic viscosity	m ² /s
μ	Viscosity	Kg/(m s)
V_W	Wind speed	m/s
V	Inlet water velocity	m/s
L	Pipe length	m

Greek symbols

λ	Conductivity	W/(m ² K)
λ_s	External conductivity of skin	W/(m ² K)
η	Efficiency	%

a	Absorptivity	(-)
ε	Emissivity	(-)
σ	Stefan Boltzman constant	W/(m ² K ⁴)
τ	Hydraulic residence time	s

Acronyms

NZEB	Nearly Zero Energy Buildings
RES	Renewable Energy Sources
SF	Solar Façade
ASTF	Active Solar Thermal Façade
BISTS	Building Integrated Solar Thermal Systems
RANS	Reynolds-averaged Navier-Stokes
SIMPLE	Semi-Implicit Method for Pressure Linked Equations
PMAE	Predicted Mean Absolute Error

631

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633

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