

# A Proposal for Verification Tests for the Flicker Measurement Procedure of Grid-connected Wind Turbines

K. Redondo\*, J.J. Gutierrez, P. Saiz, I. Azcarate, L.A. Leturiondo, A. Lazkano

*Communications Engineering Department, University of the Basque Country UPV/EHU, Alameda Urquijo S/N, 48013 Bilbao, Spain*

---

## Abstract

This paper presents a set of verification tests to assist the accurate implementation of flicker measurement in wind turbines. The flicker measurement procedure is defined in the IEC 61400-21 standard, which includes the estimation of a fictitious grid in order to measure voltage fluctuations generated exclusively by the wind turbine. The large margin in the digital implementation of the fictitious grid can result in large deviations in flicker measurements between different instrument manufacturers. This work shows the need of a verification test protocol to minimize the potential divergences. Furthermore, it suggests a set of five tests aimed at guaranteeing the accurate implementation of two specific components of the fictitious grid, namely the estimation of the electrical angle of the mains frequency and the derivative of the line current measured at the wind turbine terminals. The work has been proposed to the IEC Maintenance Team TC88/MT21 for it to be included in the third edition of the standard.

*Key words:* Power Quality, Voltage Fluctuations, Flicker, Wind Turbines

---

## 1. Introduction

Wind turbines (WTs) have been traditionally a source of concern because of fluctuations of the generated power, as such variations may induce an excessive level of flicker. The power fluctuations are caused by variations in wind speed, the tower shadow effect or some mechanical aspects

---

\*Corresponding author. Tel.: +34 946013901.

*Email address:* [koldo.redondo@ehu.es](mailto:koldo.redondo@ehu.es) (K. Redondo)

5 of the WT, and the effects could be mitigated by using energy storage systems [1] or a specific  
6 control strategy [2].

7 The international standard IEC 61400-21 [3] defines a method to measure and assess the flicker  
8 disturbance introduced by a WT when it is integrated into the grid. Because the grid itself can  
9 contribute voltage fluctuations that could mask the evaluation of the flicker emission by the WT,  
10 the standard defines a model (fictitious grid) based on measured voltage and current signals that  
11 aims to minimize the effect of the existing background fluctuations on the measurement. The  
12 degrees of freedom allowed in the digital simulation of the fictitious grid have been reported as a  
13 source for the divergences in the flicker measurement results, as different digital implementations  
14 applied to the same current and voltage time-series do not always converge to the same results [4].  
15 These divergences leave the standardization organizations with a dilemma on whether to reduce  
16 the degrees of freedom or to define a test protocol that could validate the implementation.

17 The work presented here will contribute to the second approach of the dilemma, and it is be-  
18 ing considered by the IEC Maintenance Team TC88/MT21, which is currently working on a new  
19 revision of the standard [5]. This paper has three objectives: first, to show the need to define a  
20 verification test protocol; second, to propose required test procedures for guaranteeing the con-  
21 vergence of the results; and third, to demonstrate the validity of the test protocol pointing out the  
22 critical aspects of the flicker measurement procedure by means of field recordings in a real WT.  
23 The paper is organized in four parts. Section 2 describes the procedure defined by the IEC 61400-  
24 21 standard for flicker measurement, and shows the possibility of inconsistent results. Section 3  
25 presents the proposal for tests that can verify the accurate implementation of the critical points  
26 of the measuring procedure, including the rationale of each test. Section 4 shows the results of  
27 applying the test protocol when different implementations of the flicker procedure are used. Be-  
28 sides the results of each test, the implementations are also applied to actual recorded data from a  
29 WT, validating the usefulness of each test for its intended purpose. The main conclusions close  
30 the paper.

## 2. Flicker Measurement for a WT

The measurement procedure for characterizing the flicker emission of a WT based on the IEC 61400-21 standard differs according to the WT functional status, i.e., continuous or switching operations.

Both cases require voltage and current time-series measured at the WT terminals,  $u_m(t)$  and  $i_m(t)$  respectively. The continuous operation procedure needs a 10-min time-series, whereas the switching operation procedure uses a measurement period of  $T_p$ , in seconds, which is long enough to ensure that the transient stage of the switching operation has abated. This paper is focused on the part of the procedure that is common to both functional states of the WT, as shown in the block scheme of Fig.1.

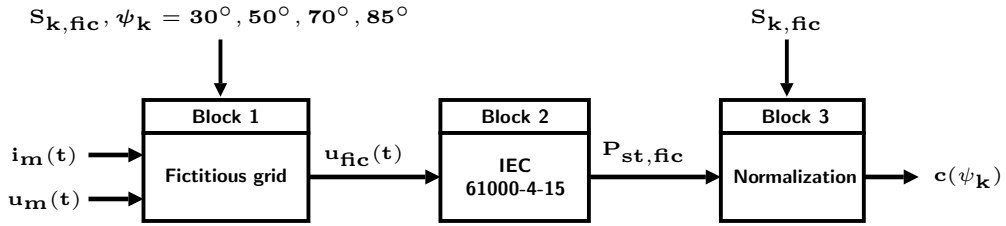


Figure 1: First stage of the flicker measurement procedure for WTs according to the IEC 61400-21 standard.

The first block, namely the fictitious grid, aims to obtain the voltage fluctuation exclusively produced by the WT, no matter what other voltage fluctuations may be present in the grid. Four fictitious voltage waveforms  $u_{fic}(t)$  are computed using a couple of voltage and current time-series, each one for a different simulated grid impedance phase angle ( $\psi_k = 30^\circ, 50^\circ, 70^\circ$  and  $85^\circ$ ). The second block implements the IEC flickermeter according to the IEC 61000-4-15 standard [6]. For each  $u_{fic}(t)$  signal, the second block provides a fictitious flicker severity value,  $P_{st, fic}$ . Finally, the third block normalizes each  $P_{st, fic}$  to calculate the flicker coefficient value  $c(\psi_k)$ ; that is:

$$c(\psi_k) = P_{st, fic} \cdot \frac{S_{k, fic}}{S_n}, \quad (1)$$

where  $S_{k, fic}$  is the short-circuit apparent power of the fictitious grid, and  $S_n$  is the rated apparent power of the WT. The short-circuit ratio,  $S_{k, fic}/S_n$ , will be named SCR.

50 *2.1. Calculation of the Fictitious Voltage*

51 The fictitious grid is defined in the IEC 61400-21 standard as the simple circuit model shown in  
 52 Fig.2. This circuit represents the interaction between the WT and the grid. The WT is represented  
 53 by a current generator  $i_m(t)$ , which is the measured instantaneous value of the line current from the  
 54 WT. The grid is represented by its Thevenin equivalent circuit, that is, the ideal voltage generator  
 55  $u_0(t)$  and an impedance connected in series, modelled by an inductance  $L_{fic}$  and a resistance  $R_{fic}$ .

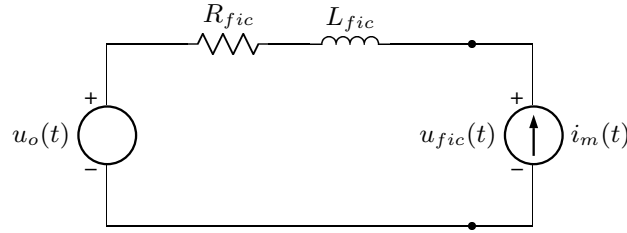


Figure 2: Fictitious grid proposed by the IEC 61400-21 standard [3] to obtain the fictitious voltage  $u_{fic}(t)$ .

56 The ideal voltage source  $u_0(t)$  has to be constructed to meet two conditions. First, its voltage  
 57 fluctuations must be zero, so that it does not produce flicker. Second, the electrical angle of  $u_0(t)$   
 58 must be the same as that of the fundamental component of the corresponding measured voltage  
 59  $u_m(t)$ . These conditions define  $u_0(t)$  as:

$$u_0(t) = \sqrt{\frac{2}{3}} \cdot U_n \cdot \sin(\alpha_m(t)) , \quad (2)$$

60 where  $U_n$  is the nominal phase-to-phase voltage and  $\alpha_m(t)$  is the electrical angle of the fundamental  
 61 frequency of  $u_m(t)$ , which may be described by:

$$\alpha_m(t) = 2\pi \cdot \int_0^t f(t) dt + \alpha_0 , \quad (3)$$

62 where  $f(t)$  is the fundamental frequency of the grid, and  $\alpha_0$  is the initial electrical angle at  $t = 0$ .

63 In this way, the voltage fluctuation due exclusively to WT,  $u_{fic}(t)$ , can be obtained solving the  
 64 circuit shown in Fig. 2. That is:

$$u_{fic}(t) = u_0(t) + R_{fic} \cdot i_m(t) + L_{fic} \cdot \frac{di_m(t)}{dt} . \quad (4)$$

## 2.2. Accuracy Problems

It has been recently proven that different digital flicker measuring implementations can disagree significantly in some actual measurements for the same voltage and current recordings [4]. As mentioned above, the IEC Maintenance Team TC88/MT21 is currently working on the third edition of the IEC 61400-21 standard [5]. One of the points considered in the revision is the improvement in the measurement accuracy of the power quality parameters of a WT. Hence, such disagreements in measurements should be minimized.

The disagreement sources were analysed, and from the procedure described in Fig. 1, the fictitious grid and flickermeter implementations were identified as critical blocks.

The accuracy of the IEC flickermeter implementation was subject to study over one decade. It was detected that different flickermeter implementations, which process simulated waveforms in identical manners, may still deviate from each other when processing real voltage fluctuations. These deviations were first reported in 1999 by Key et al. [7] and confirmed by Piekarz et al. [8] in 2002. By that time, CIGRE/CIREN/UIE Joint Working Group CCU2 was working on the tests for the calibration and verification of a flickermeter [9]. The group released the “Test Protocol – IEC Flicker Meter Used in Power System Voltage Monitoring” with the proposal for type testing. Finally, IEC took over the work and the 2010 edition of the IEC 61000-4-15 standard included the eight tests to be verified for a flickermeter to be considered a Class F1 instrument [6]. Thus, the accuracy of the flickermeter implementation could be guaranteed.

Regarding the implementation of the fictitious grid, the IEC 61400-21 standard allows enough margin for implementation to enable disagreement between measurement results. In fact, different signal processing options and technical strategies on the estimation of the  $u_0(t)$  signal [10], and on the approximation of the derivative of the  $i_m(t)$  signal [11] may lead to substantial differences in the final results of flicker coefficients.

For illustration purposes, two implementations for the calculation of the  $u_{fic}(t)$  signal in (4), based on the proposals of [11, 12], were compared. The first implementation, A, constructs the  $u_0(t)$  signal using a zero-crossing detection method, and calculates the derivative of  $i_m(t)$  through the first-order difference. The second implementation, B, generates the  $u_0(t)$  signal using the Fourier Transform, and the derivative is computed by a filter designed using the Parks–McClellan

94 algorithm. The implementations are applied to the same voltage and current signals,  $u_m(t)$  and  
 95  $i_m(t)$ .

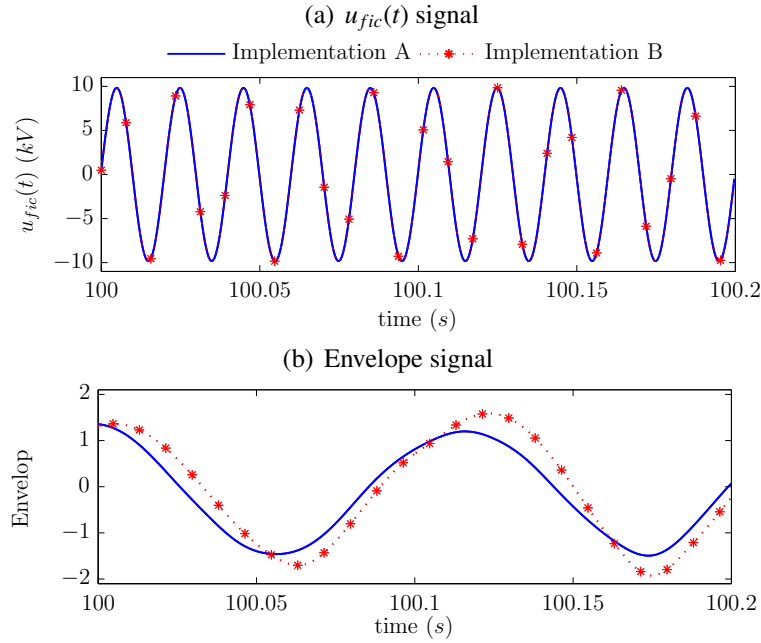


Figure 3: Differences between two implementations of the flicker measurement procedure.

96 Fig.3(a) shows a representative time interval, 0.2 s, of the  $u_{fic}(t)$  signals obtained from both  
 97 implementations. However, flicker is produced by fluctuations of the envelope of the voltage  
 98 signal; Fig.3(b) shows the envelopes of the  $u_{fic}(t)$  signals for both implementations. Although  
 99 there are negligible differences between the estimated  $u_{fic}(t)$  signals, the difference between the  
 100 envelopes of these signals is noticeable.

101 Finally, the flicker coefficients obtained in each implementation were  $c(85^\circ)^A = 1.85$  and  
 102  $c(85^\circ)^B = 2.14$ , which gave rise to a deviation of 16% between them.

### 103 3. Description of the Verification Tests

104 To face the problem of inaccuracies caused by the implementation of the fictitious grid, this  
 105 section proposes a set of verification tests, following the approach of the revised edition of the IEC  
 106 61000-4-15 standard. The two aspects of the flicker measurement procedure that are more likely  
 107 to produce significant discrepancies are the estimation of the  $u_0(t)$  signal and the approximation of

108 the derivative of the  $i_m(t)$  signal. A set of five tests is proposed to check the correct implementation  
 109 of these aspects.

110 The test protocol is based on the characteristics of the fictitious grid and on the parameters  
 111 of the simulated WT shown in Table 1. The aim is to represent an actual modern WT and to  
 112 determine the processing parameters of Blocks 1 and 3 of Fig. 1.

Table 1: Flicker measurement parameters of the fictitious grid and of a simulated WT.

Parameter	Description	Value
$\psi_k$	Grid impedance phase angle	30°, 50°, 70° and 85°
$f_0$	Grid fundamental frequency	50 and 60 Hz
SCR	Short-circuit ratio	20 and 50
$U_n$	Nominal voltage	12 kV
$I_n$	Rated current	144 A
$S_n$	Rated apparent power	3 MVA

113 Simulated input voltage and current signals are proposed for each test. The simulated input  
 114 voltage  $u_m(t)$  might be a perfect sinusoid or might contain disturbances, depending on the intention  
 115 of the test. The flicker emission is exclusively affected by the disturbances of the  $i_m(t)$  current ge-  
 116 nered in the WT. All the tests are based on a simulated current  $i_m(t)$  consisting of the fundamental  
 117 component and two disturbing components. That is:

$$i_m(t) = A_0 \cdot \sin(\omega_0 t + \alpha'_0) + A_1 \cdot \sin(\omega_1 t + \alpha'_1) + A_2 \cdot \sin(\omega_2 t + \alpha'_2) . \quad (5)$$

118 The magnitude, the frequencies, and the phase angles of  $i_m(t)$  were selected to obtain a final  
 119 flicker coefficient of  $c(\psi_k) = 2$  for all the tests. This value corresponds to  $P_{st, fic} = 0.1$  for the case  
 120 where SCR = 20, and  $P_{st, fic} = 0.04$  for the case where SCR = 50.

121 Appendix A provides a theoretical framework to reach the final flicker results, starting from  
 122 the simulated input signals and the simulation parameters detailed in Table 1.

### 123 3.1. Tests to Verify the Estimation of the Derivative of $i_m(t)$

124 These tests are intended to check the approximation of the derivative of the input current signal  
 125  $i_m(t)$ .

126 In all the tests in this section, the simulated input voltage signal  $u_m(t)$  is an undistorted sinu-  
 127 soidal signal, as described in (2), where  $f_0$  is a constant value of the nominal grid frequency (50  
 128 Hz or 60 Hz) and  $\alpha_0 = 0$ . This means that the  $u_0(t)$  estimation task is not supposed to introduce  
 129 any error or distortion into the final results.

130 • **Test 1: Distorted  $i_m(t)$  current with AM modulation**

131 The intention of the test is to verify the basic simulation and resolution of the fictitious grid.  
 132 The test devotes special attention to two critical aspects when solving the circuit shown in Fig. 2:  
 133 the accuracy of the approximation of the derivative in the frequency spectrum around the funda-  
 134 mental component, and the verification of the correct vectorial addition in (4).

135 The simulated input current signal,  $i_m(t)$ , is defined as a fundamental component at frequency  
 136  $f_0$  that is distorted using an amplitude modulation by a sinusoidal signal of frequency  $f_m$ . The  
 137 amplitude modulation can be expressed by the relative current fluctuation  $\Delta I/I$ :

$$\begin{aligned}
 i_m(t) &= \sqrt{2} \cdot I_n \left( 1 + \frac{\Delta I}{I} \cdot \frac{1}{100} \cdot \frac{1}{2} \cdot \sin(\omega_m t) \right) \cdot \sin(\omega_0 t) \\
 &= \sqrt{2} \cdot I_n \cdot \sin(\omega_0 t) + \frac{\Delta I}{I} \cdot \frac{1}{100} \cdot \frac{1}{4} \cdot \left( \sin\left((\omega_0 - \omega_m)t + \frac{\pi}{2}\right) + \sin\left((\omega_0 + \omega_m)t - \frac{\pi}{2}\right) \right).
 \end{aligned} \tag{6}$$

138 The terms of (6) can be easily identified with the terms of (5), where  $\omega_1 = \omega_0 - \omega_m$  and  
 139  $\omega_2 = \omega_0 + \omega_m$ .

140 Once the processing framework described in Table 1 is defined, the flicker coefficient values  
 141 depend only on the modulation frequency  $f_m$  and the amplitude of the  $i_m(t)$  modulation, namely  
 142  $\Delta I/I$ .

143 Table 2 shows the  $\Delta I/I$  values that generate a  $c(\psi_k) = 2$  result, for each grid impedance phase  
 144 angle  $\psi_k$ , modulation frequency  $f_m$ , and SCR. The modulation frequencies are the same as the ones  
 145 defined in the basic performance tests of the IEC 61000-4-15 standard. Table 2 provides the values  
 146 for the cases of both the fundamental frequency  $f_0 = 50$  Hz (flickermeter considered working on a  
 147 230 V/50 Hz system), and  $f_0 = 60$  Hz (flickermeter considered working on a 120 V/60 Hz system).

148 Testing all the  $f_m$  frequencies and  $\psi_k$  angles of the table depending on the SCR used, the  
 149 measured flicker coefficient  $c(\psi_k)$  should be 2.00 with a tolerance of 5%.



Table 2: Input relative current fluctuation,  $\Delta I/I$ , for the tests with AM modulation.

SCR	$f_m$ (Hz)	Current Fluctuation $\Delta I/I$ for 50 Hz systems (%)				Current Fluctuation $\Delta I/I$ for 60 Hz systems (%)			
		$\psi_k = 30^\circ$	$\psi_k = 50^\circ$	$\psi_k = 70^\circ$	$\psi_k = 85^\circ$	$\psi_k = 30^\circ$	$\psi_k = 50^\circ$	$\psi_k = 70^\circ$	$\psi_k = 85^\circ$
20	0.5	8.031	10.401	17.860	49.537	8.466	10.965	18.830	52.248
	1.5	3.618	4.684	8.029	21.924	3.813	4.938	8.469	23.270
	8.8	0.833	1.064	1.712	3.192	1.072	1.374	2.252	4.554
	20	2.294	2.773	3.748	4.731	3.212	3.958	5.644	7.711
	25	3.335	3.901	4.892	5.686	4.763	5.726	7.640	9.488
	33.3	6.648	7.330	8.289	8.881	8.189	9.395	11.348	12.760
	40					13.725	15.132	17.111	18.335
50	0.5	7.891	10.457	18.916	62.928	8.319	11.025	19.944	66.419
	1.5	3.555	4.709	8.500	27.463	3.747	4.964	8.967	29.270
	8.8	0.819	1.068	1.793	3.437	1.053	1.380	2.366	5.005
	20	2.254	2.775	3.833	4.807	3.155	3.966	5.808	7.899
	25	3.275	3.897	4.965	5.737	4.678	5.730	7.802	9.627
	33.3	6.526	7.300	8.340	8.910	8.040	9.376	11.479	12.844
	40					13.472	15.071	17.218	18.396

• **Test 2: Distorted  $i_m(t)$  current with interharmonics near the cut-off frequency**

The intention of the test is to verify the performance of the fictitious grid over the whole specified bandwidth. The IEC 61400-21 standard defines that the cut-off frequency of the voltage and current measurements must be at least 1500 Hz. If such a bandwidth requirement is not guaranteed in all the signal processing steps (mainly in the differentiation process), it could result in errors in the flicker coefficient values [13].

The simulated input voltage signal  $u_m(t)$  is again an undistorted sinusoidal signal as described in (2), where  $f_0$  is a constant value of the nominal grid frequency (50 Hz or 60 Hz) and  $\alpha_0 = 0$ .

The fundamental component of the simulated input current signal  $i_m(t)$  is modulated by superimposing two currents with frequencies that are 10 Hz apart. That is:

$$i_m(t) = \sqrt{2}I_n \cdot \sin(\omega_0 t) + \sqrt{2}I_n \cdot \frac{I_i}{100} \cdot \left( \sin(\omega_1 t) + \sin(\omega_2 t) \right), \quad (7)$$

where  $\omega_0 = 2\pi f_0$ ,  $\omega_1 = 2\pi 1490$ , and  $\omega_2 = 2\pi 1500$ . The relative amplitude  $I_i$  is selected from Table 3 depending on the fundamental frequency  $f_0$ , the  $\psi_k$  angle, and the SCR value.

The measured flicker coefficient  $c(\psi_k)$  should be 2.00 with a tolerance of 5%.

Table 3: Relative amplitude  $I_i$  (%) of fundamental) for Test 2.

SCR	Relative amplitude $I_i$ (%) for $f_0 = 50Hz$				Relative amplitude $I_i$ (%) for $f_0 = 60Hz$			
	$\psi_k = 30^\circ$	$\psi_k = 50^\circ$	$\psi_k = 70^\circ$	$\psi_k = 85^\circ$	$\psi_k = 30^\circ$	$\psi_k = 50^\circ$	$\psi_k = 70^\circ$	$\psi_k = 85^\circ$
20	1.888	1.221	0.982	0.914	2.591	1.667	1.348	1.256
50	2.881	1.875	1.520	1.426	3.986	2.596	2.104	1.975

### 163 3.2. Tests to Verify the Estimation of $u_0(t)$

164 This set of tests focuses on the assessment of the estimation of the  $u_0(t)$  signal from the input  
 165 voltage signal  $u_m(t)$ . The main concerns about the accuracy of the estimation are checked by  
 166 distorting the input voltage signal  $u_m(t)$  in different ways.

167 In all the tests of this section, the simulated input current signal  $i_m(t)$  is the same as the signal  
 168 described in Test 1, as defined in (6). This means that the derivative of the  $i_m(t)$  task is not supposed  
 169 to induce any error, as it has been verified by both Tests 1 and 2.

#### 170 • Test 3: Distorted $u_m(t)$ voltage with multiple zero crossings

171 The intention of the test is to verify the procedure for generating the ideal voltage source  $u_0(t)$   
 172 of the fictitious grid, particularly when the estimation of  $u_0(t)$  is computed in the time domain.  
 173 Detecting the zero-crossing points is the most common method in this case. The zero-crossing  
 174 detection method has been reported to be critical, with the appearance of multiple zero crossings  
 175 on the waveform of  $u_m(t)$  [4], which might be caused by the harmonic distortion.

176 For this test, the simulated voltage  $u_m(t)$  consists of the fundamental voltage and the harmonic  
 177 content according to Table 4. All harmonics have a  $180^\circ$  phase shift with respect to the fundamen-  
 178 tal frequency  $f_0$  (50 Hz or 60 Hz). This distorted voltage is then sinusoidally modulated at 8.8 Hz  
 179 with a relative amplitude of 0.25%. The voltage signal  $u_m(t)$  can be written as follows:

$$u_m(t) = \sqrt{\frac{2}{3}} U_n \left( 1 + \frac{0.25}{100} \cdot \frac{1}{2} \sin(2\pi \cdot 8.8 t) \right) \cdot \left( \sin(2\pi f_0 t) + \sum_v \frac{U_v}{100} \cdot \sin(2\pi v f_0 t + \pi) \right), \quad (8)$$

180 where  $U_v$  is the amplitude of the corresponding harmonic of Table 4.

Table 4: Harmonic orders and amplitudes for Test 3.

Harmonic order $v$	3	5	7	9	11	13	17	19	23	25	29	31
$U_v$ (% of $U_n$ )	5	6	5	1.5	3.5	3	2	1.76	1.41	1.27	1.06	0.97

181 As in Test 1, the simulated input current  $i_m(t)$  is constructed according to (6) and the relative  
 182 current fluctuation values are described in Table 2. The measured flicker coefficient  $c(\psi_k)$  should  
 183 be 2.00 with a tolerance of 5%.

184 • **Test 4: Distorted  $u_m(t)$  voltage with interharmonics**

185 The intention of the test is to verify the procedure for generating the ideal voltage source  $u_0(t)$   
 186 of the fictitious grid, when the frequency domain-based methods are used. The spectral leakage  
 187 effect may become relevant as the estimation of  $u_0(t)$  is disturbed by other spectral components  
 188 that are different from the fundamental one. The appearance of interharmonic components has  
 189 been reported to be critical for such methods [4].

190 For this test, the simulated input voltage signal,  $u_m(t)$ , consists of the fundamental component  
 191 and three interharmonic components, that is:

$$u_m(t) = \sqrt{\frac{2}{3}} U_n \left( \sin(2\pi f_0 t) + \sum_i \frac{0.05}{100} \cdot \sin(2\pi f_i t) \right), \quad (9)$$

192 where  $f_i$  are the interharmonic frequencies according to the Table 5, which depend on the funda-  
 193 mental frequency  $f_0$ .

Table 5: Interharmonic frequencies for Test 4.

Fundamental frequency $f_0$ (Hz)	Inter-harmonic frequencies		
	$f_1$ (Hz)	$f_2$ (Hz)	$f_3$ (Hz)
50	50.5	80	160
60	60.5	100	190

194 As in the previous test, the simulated input current signal,  $i_m(t)$ , is described in equation (6),  
 195 and in Table 2. The measured flicker coefficient  $c(\psi_k)$  should be 2.00 with a tolerance of 5%.

196 • **Test 5: Distorted voltage and current with slow frequency changes**

197 The intention of the test is to verify the procedure for generating the ideal voltage source  $u_0(t)$   
 198 of the fictitious grid when the result could be affected by the phase distortion of the filters involved  
 199 in signal processing. This effect has been reported to be critical in case of slight variations in the  
 200 fundamental frequency from the nominal value [4].

201 For this test, the input signals,  $u_m(t)$  and  $i_m(t)$ , show slow frequency changes in the fundamental  
 202 frequency, reaching deviations of  $\pm 0.05$  Hz. The fundamental frequency of the grid can be written  
 203 as follows:

$$f(t) = f_0 + 0.05 \cdot \sin\left(2\pi \frac{1}{60} t\right) . \quad (10)$$

204 The simulated input voltage  $u_m(t)$  presents an amplitude modulation at the critical frequency of  
 205 8.8 Hz. That is:

$$u_m(t) = \sqrt{\frac{2}{3}} U_n \left(1 + \frac{0.25}{100} \cdot \frac{1}{2} \sin(2\pi 8.8 t)\right) \cdot \sin\left(2\pi \int_0^t f(t) dt\right) . \quad (11)$$

206 Based on the current signal of the previous tests, the simulated input current signal,  $i_m(t)$ , for  
 207 this test is modified to follow the frequency variations:

$$i_m(t) = \sqrt{2} I_n \left(1 + \frac{\Delta I}{I} \cdot \frac{1}{100} \cdot \frac{1}{2} \sin(2\pi f_m t)\right) \cdot \sin\left(2\pi \int_0^t f(t) dt\right) , \quad (12)$$

208 where the relative current changes  $\Delta I/I$  and modulating frequency  $f_m$  are described in Table 2, de-  
 209 pending on the SCR value, the  $f_0$  value, and the  $\psi_k$  phase angles. The measured flicker coefficient  
 210  $c(\psi_k)$  should be 2.00 with a tolerance of 5%.

211 **4. Results for Different Flicker Measuring Implementations**

212 This section aims to demonstrate the validity of the proposed test protocol, as the described  
 213 tests should be able to assess the performance of the flicker measurement implementations using  
 214 input signals containing critical disturbances that may induce errors in measurement.

215 The test protocol was applied to different implementations that cover a wide range of methods  
216 for the estimation of  $u_0(t)$  and various approaches to the derivative using linear filters. In all  
217 cases, a high-precision F1 class IEC flickermeter was used according to [6]. In accordance with  
218 the intention of each test, two implementations were selected: the first one should be potentially  
219 sensitive and the second one should not be affected by the critical aspects assessed in the test.  
220 Both implementations were applied to actual recorded signals from a WT. The obtained flicker  
221 coefficients revealed disagreements since the input signals had the characteristics addressed by  
222 the corresponding test. The application of the tests to both implementations corroborated these  
223 divergences and demonstrated the ability of the test to verify the correct implementation of the  
224 critical point addressed by the test.

225 As a guidance, all the test results and the experimental results from actual waveforms are  
226 related to 50 Hz systems, using SCR = 50 and a sampling rate of  $f_s = 3200$  Hz.

#### 227 4.1. Test 1: Distorted $i_m(t)$ Current with AM Modulation

228 The basic resolution of the fictitious grid is verified with this test. The key aspects are the  
229 accuracy of the approximation of the derivative and the accurate summation of (4). As mentioned  
230 above, the  $u_0(t)$  estimation method is not susceptible to inducing errors in this first test. Therefore,  
231 the same reliable  $u_0(t)$  estimator was selected for the two implementations to be tested [4]. The  
232 implementations differed in the approximation method used for the derivative of  $i_m(t)$  present in  
233 (4). Two methods that have been previously studied [11], were selected: the implementation 1A  
234 was based on the first-order difference, whereas the implementation 1B used an approximation by  
235 means of the Parks–McClellan linear filtering with 99 coefficients. Both implementations showed  
236 an acceptable derivative result in the frequency spectrum around the fundamental component.

237 The implementations were applied to a large database of signals recorded on a Type I WT, that  
238 consisted of 826 10-min time-series. Taking as reference the implementation 1B, Fig. 4 shows a  
239 boxplot of the percentage deviation of the flicker coefficients calculated with the implementation  
240 1A depending on the grid impedance phase angles. The central mark of the boxplot is the median,  
241 the edges of the box are the 25<sup>th</sup> and 75<sup>th</sup> percentiles, and the whiskers extend to the minimum and  
242 maximum of the percentage deviation, after removing outliers.

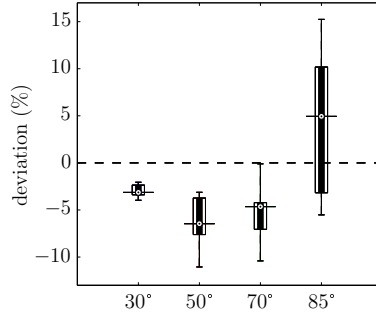


Figure 4: Boxplot of the percentage deviation between  $c(\psi_k)$  values of implementations 1A and 1B.

243 The measurement results are very similar for lower grid impedance phase angles (less than 5%  
 244 of deviation), but the results can spread and deviate up to 15% for the  $\psi_k = 85^\circ$  case.

245 Both implementations were verified using the proposed test protocol. The results from Test 1  
 246 are shown in Table 6. The implementation 1A shows unacceptable deviations with some high  $\psi_k$   
 247 angles. For such impedance phase angles, the derivative term of (4) carries a greater weight than  
 248 in the case of more resistive impedances. The unsatisfactory performance of implementation 1A  
 249 was because of the errors in the vectorial addition on (4) caused by the half-a-sample delay of the  
 250 digital differentiator, a problem reported in [11] for all the even-length derivative filters. On the  
 251 other hand, the results for 1B avoid this effect as it implements an odd-length derivative filter. In  
 252 this case, the implementation complies with the  $\pm 5\%$  margin for all the test points.

Table 6: Flicker coefficient  $c(\psi_k)$  results of Test 1 for implementations 1A and 1B, when  $f_0 = 50$  Hz, SCR = 50, and  $f_s = 3200$  Hz.

$f_m$	Implementation 1A				Implementation 1B			
	$c(30^\circ)$	$c(50^\circ)$	$c(70^\circ)$	$c(85^\circ)$	$c(30^\circ)$	$c(50^\circ)$	$c(70^\circ)$	$c(85^\circ)$
0.5	2.00	1.94	1.80	1.18	2.05	2.05	2.05	2.05
1.5	1.99	1.93	1.80	1.25	2.05	2.05	2.05	2.05
8.8	1.99	1.94	1.84	1.84	2.05	2.05	2.05	2.06
20	1.98	1.93	1.91	1.98	2.04	2.04	2.05	2.05
25	1.97	1.93	1.92	1.99	2.04	2.04	2.04	2.04
33.3	1.95	1.92	1.94	2.00	2.02	2.02	2.02	2.02

253 The test brings to light that the deviations in the flicker measurements shown in Fig. 4 are be-  
 254 cause of errors in the implementation 1A. These deviations can be reduced using higher sampling

255 rates, or applying odd-length filters for the approximation of the derivative, as cautioned in [11].

#### 256 4.2. Test 2: Distorted $i_m(t)$ Current with Interharmonics Near the Cut-off Frequency

257 This test verifies the bandwidth requirements on the construction of  $u_{fic}(t)$  according to (4).  
258 Previous research works have cautioned about the errors induced by the frequency limitations on  
259 the approximation of the derivative of  $i_m(t)$  [13]. These limitations are particularly noticeable in  
260 the context of dynamical behaviour, where the instantaneous current measurements undergo great  
261 changes in a wide frequency band. A typical example would be the switching operations of the  
262 WTs.

263 To illustrate the applicability of this test, two implementations were selected with different  
264 approximations to the derivative of  $i_m(t)$ : the implementation 2A computed the derivative using a  
265 Taylor-series-based approximation with five coefficients, and the implementation 2B derived the  
266 current signal using a Parks–McClellan differentiator filter with 99 coefficients. In both imple-  
267 mentations, the estimation of  $u_0(t)$  was computed as in Test 1, as this task is not susceptible to  
268 inducing deviations.

269 The implementations were applied to recorded signals from a measuring campaign. A 13 s  
270 switching operation was selected (cut-in of the WT) for illustration. Fig. 5(a) shows the rms  
271 value of the current  $i_m(t)$  during the event. The WT was equipped with a soft-starter that limited  
272 the cut-in current based on thyristors. The thyristor cut-in took approximately 1.5 s, and after  
273 that, the WT started to generate active power, as can be seen in Fig. 5(b). Fig. 5(c) shows the  
274 difference between the envelopes of the  $u_{fic}(t)$  signal (as described in Section 2.2) calculated with  
275 both implementations 2A and 2B.

276 The dynamical behaviour of the current around the cut-in made the difference between en-  
277 velopes particularly noticeable, depending on the implementation. The final flicker coefficients  
278 were  $c(85^\circ)^{2A} = 13.54$  and  $c(85^\circ)^{2B} = 15.07$ . Therefore, the difference in percentage with respect  
279 to the values from implementation 2B was almost 10%.

280 These differences were also noticeable when the implementations were verified according to  
281 Test 2. The implementations 2A and 2B gave rise to the test results shown in Table 7. The  
282 flicker coefficients from implementation 2A show a critical behaviour even if the current signal

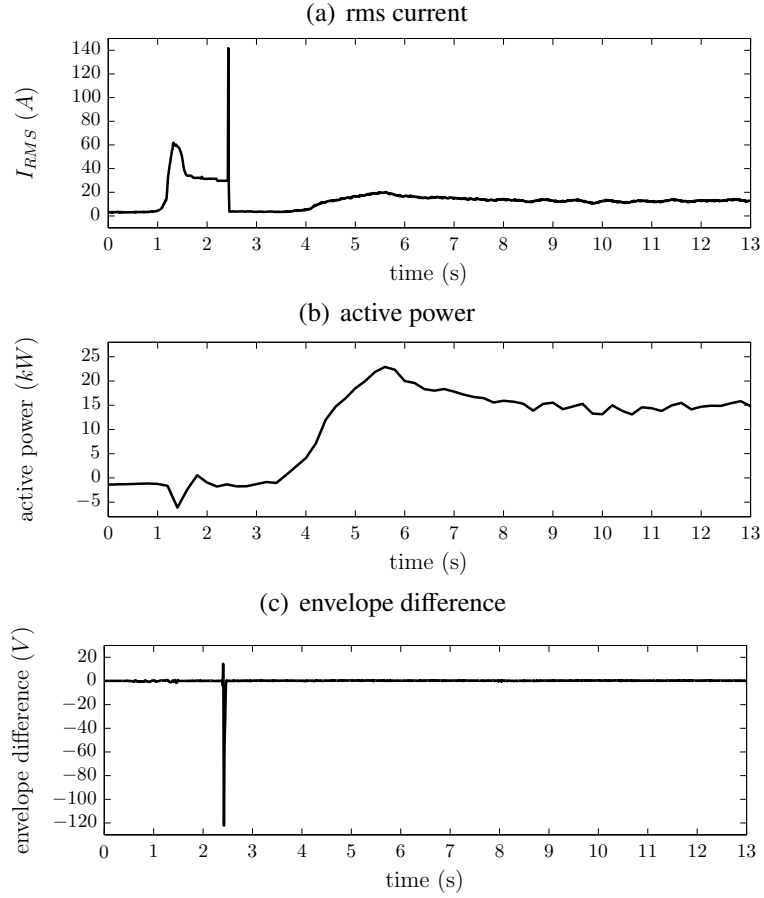


Figure 5: (a) Evolution of rms value of the current  $i_m(t)$ , (b) active power, and (c) difference between envelope of signal  $u_{fic}(t)$  obtained with both implementations 2A and 2B for a switching operation (13 s) of a 225 kW WT.

283 had only components in the limit of the bandwidth (1500 Hz). The results using the Taylor-based  
 284 approximation can be improved by increasing the sampling rate, so that the approximation better  
 285 fits the ideal derivative around 1500 Hz. The implementation 2B obtained optimum results as it  
 286 guarantees a minimal derivative error in a wider frequency band.

Table 7: Flicker coefficient  $c(\psi_k)$  results of Test 2 for implementations 2A and 2B, when  $f_0 = 50$  Hz, SCR = 50, and  $f_s = 3200$  Hz.

Implementation	$\psi_k = 30^\circ$	$\psi_k = 50^\circ$	$\psi_k = 70^\circ$	$\psi_k = 85^\circ$
2A	0.42	0.42	0.42	0.42
2B	2.00	2.00	2.00	1.99



### 287 4.3. Test 3: Distorted $u_m(t)$ Voltage with Multiple Zero Crossings

288 The aim of this test is to verify the generation of the  $u_0(t)$  signal through (2) and (3), when  
289 the methods to estimate  $u_0(t)$  are based on the time domain. Since the preliminary proposal of  
290 the flicker measurement procedure on WTs, the zero-crossing detection has been considered to  
291 be a suitable frequency estimation method [12]. As other frequencies apart from the fundamental  
292 may distort the  $u_m(t)$  signal, errors can be induced in the frequency estimation for this method.  
293 To illustrate this test, two frequency estimation schemes were selected for the  $u_0(t)$  generation:  
294 the implementation 3A computed the zero-crossing method directly on the  $u_m(t)$  signal, and the  
295 implementation 3B applied the same method on a pre-filtered version of  $u_m(t)$ . The filter was  
296 designed as a second-order bandpass filter centered on the fundamental frequency with a 3 dB  
297 bandwidth of 1 Hz. The filter removes any component that could affect the zero crossings. For  
298 both implementations 3A and 3B, the same approach was used for the derivative in (4): the Parks–  
299 McClellan linear filtering approximation with 99 coefficients, since Sections 4.1 and 4.2 have  
300 shown that this implementation of the derivative passes tests 1 and 2.

301 The results obtained by implementations 3A and 3B with actual recordings containing har-  
302 monic disturbances were compared. The 10-min time-series of voltage and current were measured  
303 on a Type I WT with a 225 kW asynchronous generator connected to a 50 Hz grid. The wind  
304 speed average value and the average active power of this 10-min period was 9.2 m/s and 111 kW,  
305 respectively. Fig. 6 shows the power spectral density of  $u_m(t)$ . The odd harmonics were the pre-  
306 vailing components of the signal, and this could affect the fundamental frequency estimation when  
307 the zero-crossing detection method was used for that purpose.

308 When the two implementations were applied, the flicker coefficient values  $c(85^\circ)^{3A} = 1.71$  and  
309  $c(85^\circ)^{3B} = 1.38$  were obtained. This led to a 24% percentage deviation between the two results.

310 Test 3 formulates the worst case of waveform distortion for methods that are based on zero-  
311 crossing detection, and that do not minimize the eventual effects of the disturbances. Table 8 shows  
312 the results of Test 3 for both implementations. The results of implementation 3B indicate that the  
313 measured voltage needs to be filtered before applying the zero-crossing detection.

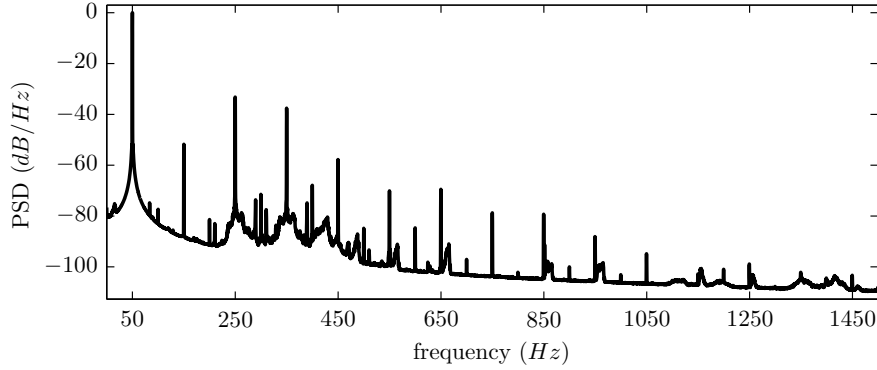


Figure 6: Relative power spectral density of the  $u_m(t)$  signal using Welch's method.

Table 8: Flicker coefficient  $c(\psi_k)$  results of Test 3 for implementations 3A and 3B, when  $f_0 = 50$  Hz, SCR = 50, and  $f_s = 3200$  Hz.

$f_m$	Implementation 3A				Implementation 3B			
	$c(30^\circ)$	$c(50^\circ)$	$c(70^\circ)$	$c(85^\circ)$	$c(30^\circ)$	$c(50^\circ)$	$c(70^\circ)$	$c(85^\circ)$
0.5	4.54	4.52	4.55	5.09	2.06	2.06	2.06	2.07
1.5	4.47	4.42	4.37	4.45	2.05	2.05	2.05	2.06
8.8	4.46	4.40	4.33	4.27	2.05	2.05	2.05	2.07
20	4.50	4.48	4.49	4.53	2.04	2.04	2.05	2.05
25	4.65	4.72	4.90	5.04	2.04	2.04	2.04	2.04
33.3	6.74	8.05	9.66	10.47	2.02	2.02	2.02	2.02

#### 314 4.4. Test 4: Distorted $u_m(t)$ Voltage with Interharmonics

315 This test is also focused on the estimation of  $u_0(t)$ , but in this case the test evaluates the ca-  
316 pabilities of the methods for estimation of  $\alpha_m(t)$ , described in (3), when the methods are based  
317 on the frequency domain. The use of the Fourier Transform was already proposed in the prelim-  
318 inary research for the standard [12]. This method is particularly sensitive to the interharmonic  
319 components of the  $u_m(t)$  signal, because of spectral leakage. For this test, both implementations  
320 to be compared were based on the Short-Time Fourier Transform (STFT): the implementation 4A  
321 applied STFT directly to the  $u_m(t)$  voltage, and the implementation 4B filtered the  $u_m(t)$  signal  
322 prior to applying STFT. The filter was designed as a second-order bandpass filter centered on the  
323 fundamental frequency with a 3 dB bandwidth of 1 Hz. The filter attenuates any component that  
324 could distort the estimation because of spectral leakage. Both implementations computed STFT

325 with a one-cycle window and one-sample sliding. As in the previous test, both implementations  
 326 applied the approximation of the derivative that obtained the best results in Tests 1 and 2, the  
 327 Parks–McClellan approximation with 99 coefficients.

328 The interharmonic distortion of the signals is the key aspect of this test. Obviously, signal  
 329 waveforms recorded in measuring campaigns are susceptible to being distorted by interharmonic  
 330 components. The implementations 4A and 4B were applied to an extensive set of waveforms from  
 331 the aforementioned 225 kW WT. There were 2179 10-min time-series processed for the calculation  
 332 of the flicker coefficient.

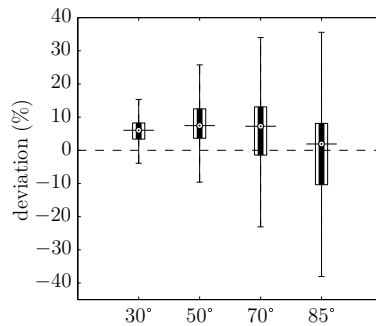


Figure 7: Boxplot of the percentage deviation between  $c(\psi_k)$  values of implementation 4A with respect to 4B.

333 Fig. 7 shows the boxplot of the percentage deviation of the flicker coefficients calculated with  
 334 the implementation 4A compared with the results obtained with 4B. Important deviations were  
 335 reported reaching values of over 30%, and the dispersion of the percentage deviation increased  
 336 with increasing impedance phase angle.

337 The results obtained when the implementations were verified using Test 4 are shown in Table 9.  
 338 The effects of the spectral leakage can be corroborated by looking at the results obtained by the  
 339 implementation 4A. On the other hand, implementation 4B complies with the established margin  
 340 in all the test points, once the filter attenuates the disturbing components.

341 These results emphasize that the  $u_m(t)$  signal needs to be filtered before any signal processing.

#### 342 4.5. Test 5: Distorted Voltage and Current with Slow Frequency Changes

343 The application of Tests 3 and 4 has made clear that any method used to estimate  $u_0(t)$  needs  
 344 a prefiltering scheme to minimize deviations. Nevertheless, this estimation could be negatively

Table 9: Flicker coefficient  $c(\psi_k)$  results of Test 4 for implementations 4A and 4B, when  $f_0 = 50$  Hz, SCR = 50, and  $f_s = 3200$  Hz.

$f_m$	Implementation 4A				Implementation 4B			
	$c(30^\circ)$	$c(50^\circ)$	$c(70^\circ)$	$c(85^\circ)$	$c(30^\circ)$	$c(50^\circ)$	$c(70^\circ)$	$c(85^\circ)$
0.5	2.16	2.16	2.17	2.17	2.06	2.07	2.07	2.07
1.5	2.14	2.14	2.14	2.15	2.05	2.05	2.05	2.05
8.8	2.28	2.28	2.28	2.30	2.05	2.05	2.05	2.06
20	2.14	2.14	2.14	2.15	2.04	2.04	2.05	2.05
25	2.13	2.13	2.14	2.14	2.04	2.04	2.04	2.04
33.3	2.12	2.12	2.12	2.13	2.02	2.02	2.02	2.02

345 affected by the phase distortion that the filter would introduce if the fundamental frequency of  
346  $u_m(t)$  deviated slightly from the nominal value [4]. As Test 5 assesses this particular aspect on  
347 the estimation of  $u_0(t)$ , two implementations based on the zero-crossing detection method were  
348 selected. Implementation 5A applied the method after prefiltering the  $u_m(t)$  signal using the same  
349 filter described in Section 4.3, and implementation 5B applied the zero-crossing detection method  
350 once the  $u_m(t)$  signal was filtered with the zero-phase filter proposed in [4]. Similar to the previous  
351 tests, the derivative of  $i_m(t)$  is not relevant in this case, and both implementations computed the  
352 derivative using the aforementioned Parks–McClellan differentiator with 99 coefficients..

353 The frequency deviation proposed in the test is not far from reality. Both implementations were  
354 applied to 10-min time-series of instantaneous voltage and current measurements on a 225 kW WT.  
355 The 10-min average value of the wind speed was 14.6 m/s and the average active power of the time-  
356 series was 217.54 kW. Fig. 8(a) shows the measured active power for the tested phase as a function  
357 of time, and Fig. 7(b) shows the grid frequency as a function of time. The frequency remained  
358 close below the nominal value, and the deviation range was slightly smaller than  $\pm 0.05$  Hz. The  
359 flicker coefficients were  $c(85^\circ)^{5A} = 4.95$  and  $c(85^\circ)^{5B} = 5.73$ . Thus, the difference in this real  
360 scenario was 13.6%, taking as reference the  $c(85^\circ)$  value calculated with implementation 5B.

361 Both implementations were verified following the description of Test 5. Table 10 shows that  
362 the slight deviations of the frequency ( $\pm 0.05$  Hz around the 50 Hz value) lead implementation 5A  
363 to errors exceeding the  $\pm 5\%$  margin for most of the cases; implementation 5B gives results within  
364 the accuracy of  $\pm 5\%$ .

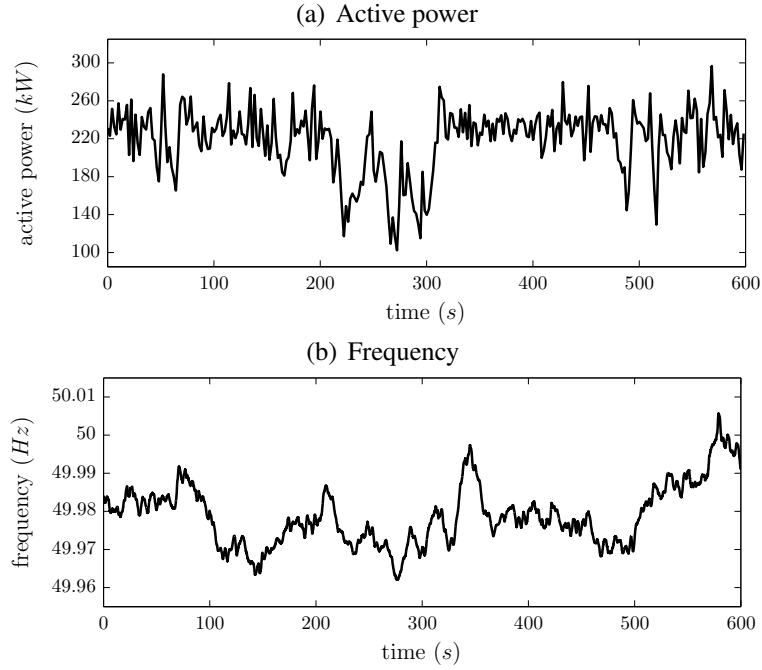


Figure 8: Evolution of active power and frequency of a 10-min time-series of the 225 kW WT.

Table 10: Flicker coefficient  $c(\psi_k)$  results of Test 5 for implementations 5A and 5B, when  $f_0 = 50$  Hz, SCR = 50, and  $f_s = 3200$  Hz.

$f_m$	Implementation 5A				Implementation 5B			
	$c(30^\circ)$	$c(50^\circ)$	$c(70^\circ)$	$c(85^\circ)$	$c(30^\circ)$	$c(50^\circ)$	$c(70^\circ)$	$c(85^\circ)$
0.5	2.10	2.18	2.37	2.34	2.06	2.06	2.06	2.07
1.5	2.10	2.18	2.38	2.35	2.05	2.05	2.05	2.07
8.8	2.11	2.20	2.37	2.55	2.05	2.05	2.05	2.07
20	2.11	2.18	2.24	2.18	2.04	2.04	2.05	2.05
25	2.10	2.16	2.19	2.12	2.04	2.04	2.04	2.04
33.3	2.09	2.13	2.12	2.07	2.02	2.02	2.02	2.02

## 365 5. Discussion

366 The limitations of the current flicker measurement procedure should be studied in the near  
367 future to adapt the standard to the characteristics of the modern WTs. The procedure assumes that  
368 WTs contribute to voltage flicker and it does not consider the potential functionality of the WTs  
369 to reduce the flicker already existing in the grid. This approach is correct for fixed speed turbines,  
370 as the Type I WT used in this work, with directly coupled generators and high levels of flicker

371 contribution that commonly adds to the background flicker existing in the grid. However, the  
372 flicker contribution of modern, variable speed wind turbines, with inverter-connected generators,  
373 is clearly lower [14, 15]. Furthermore, modern WTs allow the reduction of the background flicker  
374 that is already in the grid by different strategies as pitch control [16] or output reactive power  
375 control [17, 18]. The flicker measurement procedure defined in the IEC 61400-21 standard is  
376 not designed to consider fluctuating currents that reduce voltage changes in the grid. In fact, the  
377 fictitious grid removes the effect of flicker sources other than the WT. Therefore, the fluctuations  
378 introduced by the WT to compensate the background flicker are considered by the procedure as  
379 flicker contribution.

380 It is essential that the standard assesses both the flicker contribution and the flicker mitigation  
381 functionalities of the WTs. To that end, it will be imperative to perform simulated studies and  
382 extensive flicker field measurements that collect synchronized data from the WT and the point  
383 of connection to the grid. The analysis of that information should be developed for different  
384 conditions of the grid and different generation states of the WT. That study would help to identify  
385 separate strategies for assessing both WT flicker characteristics, i.e., contribution to and mitigation  
386 of the background flicker existing in the grid.

## 387 **6. Conclusion**

388 This paper proposed a verification test protocol for flicker measuring implementations of a  
389 grid-connected WT according to the IEC 61400-21 standard. This test procedure guarantees accu-  
390 rate and convergent flicker emission results. The application of the test protocol to several digital  
391 implementation options has confirmed the ability to identify discrepancies caused by inadequate  
392 implementations of the estimation of  $u_0(t)$  and the derivative of  $i_m(t)$ . In these cases, the imple-  
393 mentation fails to fulfill the corresponding test. The test procedure can be used by researchers,  
394 manufacturers, and certification bodies to check that the flicker emission measuring systems meet  
395 the performance testing with an accuracy of  $\pm 5\%$ . The authors have proposed the test protocol to  
396 the IEC Maintenance Team TC88/MT21, and further development of this proposal is expected to  
397 be included in the third edition of the IEC 61400-21 standard.

398 However, the current measurement procedure is only valid for WT's that contribute to existing  
 399 flicker in the grid, not for modern variable speed WT's that are able to mitigate it. Future editions of  
 400 the standard will have to adapt the flicker measurement procedure to consider the characteristics  
 401 of the modern WT's. The verification test protocol proposed in this work is only applicable to  
 402 the current edition of the standard. A revision of it will be required when a new measurement  
 403 procedure is defined.

#### 404 **Acknowledgement**

405 The authors would like to thank Walqa Technology Park (Spain) for the opportunity of measur-  
 406 ing the available WT for the purpose of this work. This work was supported by the Government of  
 407 the Basque Country through grant BFI-2012-315, by the Ministry of Economy and Competitive-  
 408 ness of Spain (MINECO) through project DPI2014-53317-R and by the University of the Basque  
 409 Country UPV/EHU through project UFI11/16 and grant PIF2011/169.

#### 410 **A. Analysis of the Signal Chain on a Simulated WT**

411 This appendix analyses the first two blocks involved in the flicker measurement procedure  
 412 according to Fig. 1, i.e. the fictitious grid (Block 1) and the IEC flickermeter (Block 2). The aim of  
 413 the appendix is to provide a calculation procedure to obtain approximate closed form expressions  
 414 for the instantaneous flicker sensation provided by the IEC flickermeter. This procedure serves to  
 415 validate the expected flicker measurement results for the simulated input signals considered in the  
 416 paper.

##### 417 *A.1. Fictitious Grid*

418 The  $i_m(t)$  current consists of three frequency components, as described in (5). According to  
 419 (4), the voltage  $u_{fic}(t)$  has three terms. The first term  $u_0(t)$  would be ideally estimated as (2),  
 420 irrespective of the disturbances included in  $u_m(t)$ . The second term depends on  $i_m(t)$  and the third  
 421 term contains the derivative of  $i_m(t)$ , which could be expressed as:

$$\frac{di_m(t)}{dt} = A_0 \cdot \omega_0 \cdot \sin(\omega_0 t + \alpha'_0 + \pi/2) + A_1 \cdot \omega_1 \cdot \sin(\omega_1 t + \alpha'_1 + \pi/2) + A_2 \cdot \omega_2 \cdot \sin(\omega_2 t + \alpha'_2 + \pi/2) . \quad (\text{A.1})$$

422 Applying the analysis of the three terms in (4), the  $u_{fic}(t)$  signal can be described with three  
 423 frequency components, that is:

$$u_{fic}(t) = B_0 \cdot \sin(\omega_0 t + \beta_0) + B_1 \cdot \sin(\omega_1 t + \beta_1) + B_2 \cdot \sin(\omega_2 t + \beta_2) . \quad (\text{A.2})$$

424 These three frequency components can be represented in the phasor domain using the ampli-  
 425 tudes and phase angles as:

$$\begin{aligned} (B_0)_{\beta_0} &= \left( \sqrt{\frac{2}{3}} U_n \right)_{\alpha_0} + (A_0 |Z_k(\omega_0)|)_{\alpha'_0 + \Psi_k(\omega_0)} \\ (B_1)_{\beta_1} &= (A_1 |Z_k(\omega_1)|)_{\alpha'_1 + \Psi_k(\omega_1)} \\ (B_2)_{\beta_2} &= (A_2 |Z_k(\omega_2)|)_{\alpha'_2 + \Psi_k(\omega_2)} \end{aligned} \quad (\text{A.3})$$

426 where  $|Z_k(\omega_i)|$  and  $\Psi_k(\omega_i)$  are the amplitude and the phase angle of the fictitious impedance, res-  
 427 pectively, at the corresponding  $\omega_i$  frequency.

## 428 A.2. IEC Flickermeter

429 The IEC flickermeter is used to objectively quantify the discomfort produced by a reference  
 430 light source when its supply voltage fluctuates. The functional and design specifications are in the  
 431 IEC 61000-4-15 standard [6], which defines the short-term flicker severity  $P_{st}$  as the main output.  
 432 A block diagram of the IEC flickermeter is shown in Fig. A.1.

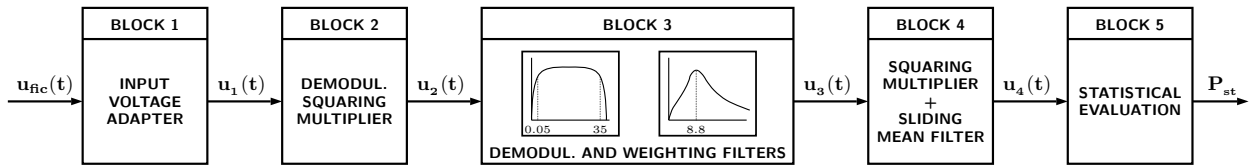


Figure A.1: Block diagram of the IEC flickermeter according to IEC 61000-4-15.

433 When the flicker emission measurement on a WT is studied, the input to the IEC flickermeter  
 434 is the signal  $u_{fic}(t)$  described in (A.2). In the context of this paper, this signal always has three  
 435 frequency components following the proposal of the  $i_m(t)$  signal in (5). Two different analyses are  
 436 needed, depending on the proposed current signals.



437 *A.2.1. Case of Current with AM Modulation*

438 Block 1 of the IEC flickermeter scales the input to the IEC flickermeter,  $u_{fic}(t)$ , to an internal  
 439 reference value,  $V_{ref}$ , calculating the average rms voltage  $V_{RMS}$  of the input signal. When  $u_{fic}(t)$   
 440 is obtained from an  $i_m(t)$ , whose fundamental component is AM modulated, the output of Block  
 441 1,  $u_1(t)$  signal, can be described using the frequency diagram in Fig. A.2, and the corresponding  
 442 phasors are expressed in (A.4).

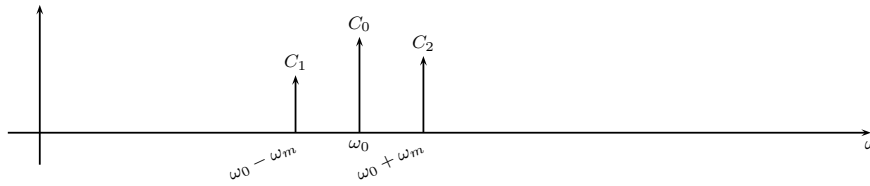


Figure A.2: Frequency diagram of signal  $u_1(t)$ , output of flickermeter Block 1.

$$\begin{aligned}
 (C_0)_{|\underline{\gamma}_0} &= \left( B_0 \cdot \frac{V_{ref}}{V_{RMS}} \right)_{|\underline{\beta}_0} \\
 (C_1)_{|\underline{\gamma}_1} &= \left( B_1 \cdot \frac{V_{ref}}{V_{RMS}} \right)_{|\underline{\beta}_1} \\
 (C_2)_{|\underline{\gamma}_2} &= \left( B_2 \cdot \frac{V_{ref}}{V_{RMS}} \right)_{|\underline{\beta}_2}
 \end{aligned} \tag{A.4}$$

443 Block 2 of the flickermeter simulates the behaviour of the reference lamp. The mathemati-  
 444 cal implementation is a squaring multiplier, and therefore, the output signal  $u_2(t)$  contains eight  
 445 frequency components that can be represented with the frequency diagram in Fig. A.3, and the  
 446 corresponding phasors are expressed in (A.5).

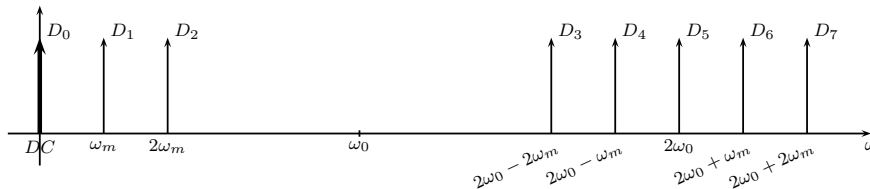


Figure A.3: Frequency diagram of signal  $u_2(t)$ , output of flickermeter Block 2.

$$\begin{aligned}
(D_0) &= \left( \frac{C_0^2}{2} + \frac{C_1^2}{2} + \frac{C_2^2}{2} \right) & (D_4)_{\delta_4} &= (C_0 C_2)_{\underline{|\gamma_0 + \gamma_2|}} \\
(D_1)_{\delta_1} &= (C_0 C_1)_{\underline{|\gamma_1 - \gamma_0|}} + (C_0 C_2)_{\underline{|\gamma_0 - \gamma_2|}} & (D_5)_{\delta_5} &= \left( \frac{C_0^2}{2} \right)_{\underline{|2\gamma_0|}} + (C_1 C_2)_{\underline{|\gamma_1 + \gamma_2|}} \\
(D_2)_{\delta_2} &= (C_1 C_2)_{\underline{|\gamma_1 - \gamma_2|}} & (D_6)_{\delta_6} &= (C_0 C_1)_{\underline{|\gamma_0 + \gamma_1|}} \\
(D_3)_{\delta_3} &= \left( \frac{C_2^2}{2} \right)_{\underline{|2\gamma_2|}} & (D_7)_{\delta_7} &= \left( \frac{C_1^2}{2} \right)_{\underline{|2\gamma_1|}}
\end{aligned} \tag{A.5}$$

447 Block 3 is composed of a cascade of three filters. The first two filters attenuate the DC compo-  
448 nent and frequencies above 35 or 42 Hz, depending on the fundamental frequency of the system,  
449 50 or 60 Hz, respectively. The third filter simulates the frequency response of the lamp-eye be-  
450 haviour, and works also in the 0.5–42 Hz bandwidth.

451 As the frequency modulation proposed in the paper is  $0.5 \leq f_m \leq 40$  Hz, the frequency compo-  
452 nents DC,  $2f_0 - f_m$ ,  $2f_0$ ,  $2f_0 + f_m$ , and  $2f_0 + 2f_m$  can be neglected when they are filtered. Therefore,  
453 the output signal  $u_3(t)$  contains three frequency components, which are shown in Fig. A.4.

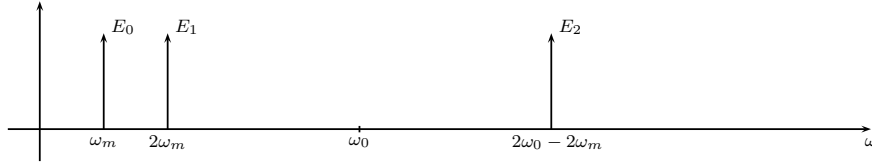


Figure A.4: Spectral diagram of signal  $u_3(t)$ , output of flickermeter Block 3.

454 These components can be considered to be the non-neglected output from the frequency res-  
455 ponse  $H_3(\omega)$ , which comprises the response of the three filters of Block 3. Therefore, the phasors  
456 of signal  $u_3(t)$  are expressed by (A.6), where  $|H_3(\omega_i)|$  and  $\Phi_3(\omega_i)$  are the amplitude and phase  
457 responses, respectively, of Block 3 filters at the corresponding frequency  $\omega_i$ :

$$\begin{aligned}
(E_0)_{\epsilon_0} &= (D_1 \cdot |H_3(\omega_m)|)_{\underline{|\delta_1 + \Phi_3(\omega_m)|}} \\
(E_1)_{\epsilon_1} &= (D_2 \cdot |H_3(2\omega_m)|)_{\underline{|\delta_2 + \Phi_3(2\omega_m)|}} \\
(E_2)_{\epsilon_2} &= (D_3 \cdot |H_3(2\omega_0 - 2\omega_m)|)_{\underline{|\delta_3 + \Phi_3(2\omega_0 - 2\omega_m)|}}
\end{aligned} \tag{A.6}$$

458 Block 4 contains a squaring multiplier that simulates the eye–brain response, and a sliding-  
 459 mean filter constructed with a low-pass filter that accounts for the perceptual storage effects in the  
 460 brain. The first step, the squaring multiplier, generates 10 frequency components represented by  
 461 Fig. A.5 with phasors that can be expressed as (A.7).

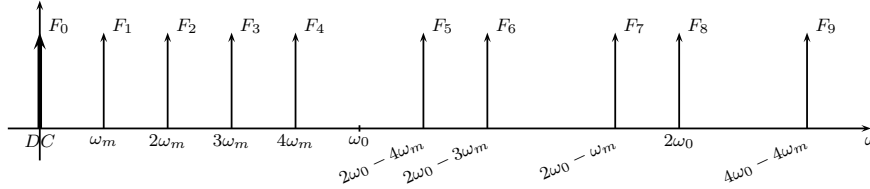


Figure A.5: Frequency diagram of the  $u_{4a}(t)$  signal, output of the squaring multiplier of the flickermeter Block 4.

$$\begin{aligned}
 (F_0) &= \left( \frac{E_0^2}{2} + \frac{E_1^2}{2} + \frac{E_2^2}{2} \right) & (F_5)_{|\zeta_5} &= (E_1 E_2)_{|\underline{\epsilon_2 - \epsilon_1}} \\
 (F_1)_{|\zeta_1} &= (E_0 E_1)_{|\underline{\epsilon_1 - \epsilon_0}} & (F_6)_{|\zeta_6} &= (E_0 E_2)_{|\underline{\epsilon_2 - \epsilon_0}} \\
 (F_2)_{|\zeta_2} &= \left( \frac{E_0^2}{2} \right)_{|\underline{2\epsilon_0}} & (F_7)_{|\zeta_7} &= (E_0 E_2)_{|\underline{\epsilon_0 + \epsilon_2}} \\
 (F_3)_{|\zeta_3} &= (E_0 E_1)_{|\underline{\epsilon_0 + \epsilon_1}} & (F_8)_{|\zeta_8} &= (E_1 E_2)_{|\underline{\epsilon_1 + \epsilon_2}} \\
 (F_4)_{|\zeta_4} &= \left( \frac{E_1^2}{2} \right)_{|\underline{2\epsilon_1}} & (F_9)_{|\zeta_9} &= \left( \frac{E_2^2}{2} \right)_{|\underline{2\epsilon_2}}
 \end{aligned} \tag{A.7}$$

462 The second step, the sliding-mean filter, discards the frequency components above 0.5 Hz by  
 463 using a first-order resistance–capacitance filter with a time constant of 300 ms. In this case, the  
 464 frequency components  $2f_0 - f_m$ ,  $2f_0$ , and  $4f_0 - 4f_m$  will be sufficiently attenuated, independently  
 465 of the modulation frequency  $f_m$ , and therefore can be neglected. However, frequency components  
 466 that could be located at about a few tens of Hertz, depending on the modulation frequency  $f_m$ , are  
 467 considered for the accuracy of the approximation. Therefore, the output signal  $u_4(t)$  contains seven  
 468 frequency components that are represented by Fig. A.6. These components will be multiplied by  
 469 the filter frequency response  $H_4(\omega)$  at the corresponding frequency  $\omega$ . The corresponding phasor  
 470 representation of the output signal  $u_4(t)$  is in (A.8), where  $|H_4(\omega_i)|$  and  $\Phi_4(\omega_i)$  are the amplitude and  
 471 phase response, respectively, of the sliding-mean filter of Block 4 at the corresponding frequency

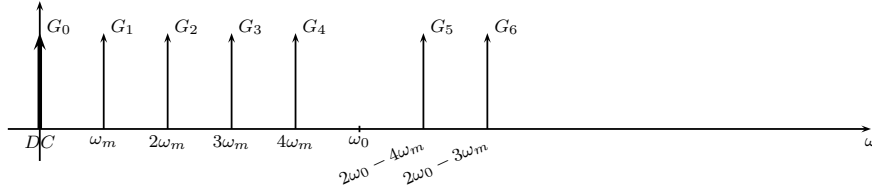


Figure A.6: Frequency diagram of signal  $u_4(t)$ , output of flickermeter Block 4.

472  $\omega_i$ .

$$\begin{aligned}
 (G_0) &= (F_0 \cdot |H_4(0)|) \\
 (G_1)_{\rho_1} &= (F_1 \cdot |H_4(\omega_m)|)_{|\zeta_1 + \Phi_4(\omega_m)} \\
 (G_2)_{\rho_2} &= (F_2 \cdot |H_4(2\omega_m)|)_{|\zeta_2 + \Phi_4(2\omega_m)} \\
 (G_3)_{\rho_3} &= (F_3 \cdot |H_4(3\omega_m)|)_{|\zeta_3 + \Phi_4(3\omega_m)} \\
 (G_4)_{\rho_4} &= (F_4 \cdot |H_4(4\omega_m)|)_{|\zeta_4 + \Phi_4(4\omega_m)} \\
 (G_5)_{\rho_5} &= (F_5 \cdot |H_4(2\omega_0 - 4\omega_m)|)_{|\zeta_5 + \Phi_4(2\omega_0 - 4\omega_m)} \\
 (G_6)_{\rho_6} &= (F_6 \cdot |H_4(2\omega_0 - 3\omega_m)|)_{|\zeta_6 + \Phi_4(2\omega_0 - 3\omega_m)}
 \end{aligned} \tag{A.8}$$

When the phasors of the output signal of Block 4 are calculated, the  $u_4(t)$  signal can be expressed as:

$$\begin{aligned}
 u_4(t) &= G_0 + G_1 \sin(\omega_m t + \rho_1) + G_2 \sin(2\omega_m t + \rho_2) + G_3 \sin(3\omega_m t + \rho_3) \\
 &+ G_4 \sin(4\omega_m t + \rho_4) + G_5 \sin((2\omega_0 - 4\omega_m)t + \rho_5) + G_6 \sin((2\omega_0 - 3\omega_m)t + \rho_6).
 \end{aligned} \tag{A.9}$$

473 Finally,  $P_{st}$  is calculated in Block 5 using a statistical evaluation of  $u_4(t)$  according to [6].

#### 474 A.2.2. Case of Current with Interharmonics Near the Cut-off Frequency

475 When the  $i_m(t)$  is modulated with a couple of frequencies far from the fundamental component,  
 476 the resulting and scaled  $u_{fic}(t)$  can still be represented with the three phasors of (A.4), but the  
 477 output of Block 1,  $u_1(t)$  signal can be better described with the frequency diagram of Fig. A.7.

478 In this case, the squaring multiplier of Block 2 gives rise to eight frequency components, but  
 479 only one will be in the bandpass of Block 3: the difference between  $2\pi 1500$  and  $2\pi 1490$ , that  
 480 is,  $2\pi 10$ . Therefore, the output signal  $u_3(t)$  contains only one frequency component. The phasor



Figure A.7: Frequency diagram of the  $u_1(t)$  signal, output of flickermeter Block 1.

481 of the  $u_3(t)$  signal can be expressed by (A.10), where  $|H_3(\omega_{10})|$  and  $\Phi_3(\omega_{10})$  are the amplitude and  
 482 phase responses, respectively, of Block 3 filters at the frequency  $\omega_{10} = 2\pi 10$ .

$$(E)_{\underline{\delta}} = (C_1 \cdot C_2 \cdot |H_3(\omega_{10})|)_{|\gamma_1 + \gamma_2 + \Phi_3(\omega_{10})}. \quad (\text{A.10})$$

The squaring multiplier and the sliding-mean filter of Block 4 process the simple sinusoid  $u_3(t)$ . Squaring the signal gives rise to a DC component and a frequency component at  $2\pi 20$ . The low-pass filter attenuates the  $2\pi 20$  component with more than 30 dB, so it can be neglected. The output of Block 4,  $u_4(t)$ , can be considered a DC component described as:

$$(G) = \left(\frac{E^2}{2}\right) \cdot |H_4(0)|, \quad (\text{A.11})$$

483 where  $|H_4(0)|$  is the amplitude response of the sliding-mean filter of Block 4 for the DC component.

The final Block 5 performs a statistical analysis that can be simplified, as all the percentile values of  $u_4(t)$  are identical. The output of the flickermeter can be expressed as:

$$P_{st} = 0.7139 \cdot \sqrt{G}. \quad (\text{A.12})$$

## 484 References

- 485 [1] M. Ammar, G. Joos, A Short-Term Energy Storage System for Voltage Quality Improvement in Distributed  
 486 Wind Power, IEEE Transactions on Energy Conversion 29 (4) (2014) 997–1007, ISSN 0885-8969, doi:  
 487 10.1109/TEC.2014.2360071.
- 488 [2] Y. Zhang, W. Hu, Z. Chen, M. Cheng, Y. Hu, Flicker mitigation strategy for a doubly fed induction generator  
 489 by torque control, IET Renewable Power Generation 8 (2) (2014) 91–99, ISSN 1752-1416, doi:10.1049/iet-  
 490 rpg.2013.0029.
- 491 [3] IEC 61400-21 Wind turbines - Part 21: Measurement and assesment of power quality characteristics of grid  
 492 connected wind turbines, 2 edn., 2008.

- 493 [4] K. Redondo, A. Lazkano, P. Saiz, J. J. Gutierrez, I. Azcarate, L. A. Leturiondo, A Strategy for Improving the  
494 Accuracy of Flicker Emission Measurement from Wind Turbines, Under Review in *Electr. Power Syst. Res.* .
- 495 [5] B. Andresen, P. Sørensen, F. Santjer, J. Niiranen, Overview, status and outline of the new revision for the  
496 IEC 61400-21 – Measurement and assessment of power quality characteristics of grid connected wind turbines,  
497 in: 12th Int. Workshop on Large-Scale Integr. of Wind Power into Power Syst., 2013.
- 498 [6] IEC 61000-4-15: Electromagnetic compatibility (EMC) - Part 4: Testing and measurement techniques - Section  
499 15: Flickermeter functional and design specifications, 2 edn., 2010.
- 500 [7] T. Key, D. Nastasi, H. Sakulin, J. Harding, T. Cooke, System compatibility research project final report, Task  
501 21: Power line monitors, Part II: Flickermeters, Technical Report, EPRI PEAC Corporation, 1999.
- 502 [8] M. Piekarz, M. Szlosek, Z. Hanzelka, A. Bien, A. Stankiewicz, M. Hartman, Comparative tests of  
503 flickermeters, in: 10th Int. Conf. on Harmon. and Qual. of Power (ICHQP), vol. 1, 220–227, doi:  
504 10.1109/ICHQP.2002.1221436, 2002.
- 505 [9] E. Gunther, A proposed flicker meter test protocol, in: *Quality and Security of Electric Power De-*  
506 *livery Systems*, 2003. CIGRE/PES 2003. CIGRE/IEEE PES International Symposium, 235–240, doi:  
507 10.1109/QSEPDS.2003.1259363, 2003.
- 508 [10] K. Redondo, A. Lazkano, P. Saiz, J. J. Gutierrez, I. Azcarate, L. A. Leturiondo, Influence of the fictitious  
509 grid on flicker assessment of grid connected wind turbine, in: *Int. Conf. on Renew. Energ. and Power Qual.*  
510 *(ICREPQ'13)*, 2013.
- 511 [11] K. Redondo, A. Lazkano, P. Saiz, J. J. Gutierrez, L. A. Leturiondo, I. Azkarate, Effects of digital differentiation  
512 on flicker measurements in wind turbines, in: *IEEE 16th Int. Conf. on Harmon. and Qual. of Power (ICHQP)*,  
513 263–267, doi:10.1109/ICHQP.2014.6842746, 2014.
- 514 [12] P. Sørensen, T. F. Pedersen, G. Gerdes, R. Klosse, F. Santjer, N. Robertson, W. Davy, M. Koulouvari, E. Mor-  
515 fiadakis, A. Larsson, European wind turbine testing procedure developments, Task 2: Power quality, Technical  
516 Report Riso-R-1093(EN), Riso National Laboratory, Denmark, 2001.
- 517 [13] K. Redondo, A. Lazkano, J. J. Gutierrez, C. Alvarez Ortega, E. Teixeira, Influence of the harmonic distortion  
518 due to modern wind turbines on the bandwidth requirement for flicker measurement, in: 13th Int. Workshop  
519 on Large-Scale Integr. of Wind Power into Power Syst. as well as on Transm. Netw. for Offshore Wind Plants,  
520 Berlin, Germany, ISBN 978-3-98 13870-9-4, 2014.
- 521 [14] Z. Chen, J. M. Guerrero, F. Blaabjerg, A review of the state of the art of power electronics for wind turbines,  
522 *IEEE Transactions on Power Electronics* 24 (8) (2009) 1859–1875.
- 523 [15] J. Fortmann, M. Seidel, V. Schulz, Advanced Wind Plant Control Providing Grid Voltage Flicker Reduction,  
524 in: 13th International Workshop on Large-Scale Integration of Wind Power into Power Systems as well as on  
525 Transmission Networks for Offshore Wind Power Plants, 2014.
- 526 [16] Y. Zhang, Z. Chen, W. Hu, M. Cheng, Flicker mitigation by individual pitch control of variable speed wind

- 527 turbines with DFIG, *IEEE Transactions on Energy Conversion* 29 (1) (2014) 20–28.
- 528 [17] T. Sun, Z. Chen, F. Blaabjerg, Flicker study on variable speed wind turbines with doubly fed induction genera-  
529 tors, *IEEE Transactions on Energy Conversion* 20 (4) (2005) 896–905.
- 530 [18] W. Hu, Z. Chen, Y. Wang, Z. Wang, Flicker mitigation by active power control of variable-speed wind turbines  
531 with full-scale back-to-back power converters, *IEEE Transactions on Energy Conversion* 24 (3) (2009) 640–649.